Molecular Mode of Action of Flavonoids: From Neuroprotective Hybrids to Molecular Probes for Chemical Proteomics.

Wirkmechanismen von Flavonoiden: Von neuroprotektiven Hybriden zu Sonden für chemische Proteomik.



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Affidavit

I hereby confirm that my thesis entitled *Molecular Mode of Action of Flavonoids:* From Neuroprotective Hybrids to Molecular Probes for Chemical Proteomics is the result of my own work. I did not receive any help or support from commercial consultants. All sources and/or materials applied are listed and specified in the thesis.

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1. Introduction

Humans used plants as medicine already 60 000 years ago.¹ Flower pollens discovered in a Neanderthal grave in northern Iraq were identified as plant species with medicinal properties.² Traditional medicine with plants intentionally used in potions and oils as treatment is documented back to 2600 BC.³ Among the plant-derived medicines depicted on clay tablets from Mesopotamia from that period were oils from *Commiphora* species (myrrh) and *Cupressus sempervirens* (cypress), both still in use today for treatment of coughs and inflammation.³, ⁴ Historically, the remedies from nature were applied without any knowledge about the bioactive compounds. The treatment was based on the knowledge of people experimenting for centuries.⁴

Nowadays, drug discovery follows two major approaches: First, the phenotypic screening approach identifies compounds leading to a positive outcome in different cellular or animal models of a disease.⁵ Institutional compounds like salicylic acid in white willow bark and morphine from poppies were discovered with this approach in the years 1897 and 1806, respectively.⁶ Second, the approach of synthetic chemical libraries that are tested against preselected targets (one-target strategy) in high throughput screenings.⁷ Advances in combinatorial chemistry and robotic devices enabled and promoted this method.⁷ The one-target strategy dominates the pharmaceutical industry and has led to some successful launches of drugs, mostly in the field of cancer.⁷ While both approaches have their advantages and disadvantages, the one-target strategy has failed in drug discovery regarding complex diseases, like Alzheimer's disease (AD).⁸

1.1 Alzheimer's Disease

In 1907, Alois Alzheimer described the course of a disease and the examination of his patient Auguste Deter in the report "On an Unusual Illness of the Cerebral Cortex" (orig. title "Über eine eigenartige Erkrankung der Hirnrinde"). More than 100 years later his findings have not lost any clinical significance:

"As the illness progressed, these phenomena which are to be interpreted as complex symptoms appear sometimes stronger, sometimes weaker. ... the imbecility of the patient increased in general. Her death occurred after four and a half years of illness. At the end, the patient was lying in bed in a fetal position completely pathetic, incontinent." 10

Alzheimer's description of the symptoms displays the striking nature of AD. The disease leads to a progressive decline in memory, with the consequence of a person's inability to perform daily activities. AD has an insidious onset with consecutive loss of brain function.¹¹ Consequently, relatives of patients, who are often the caregivers, are heavily involved by a diseased person in their private sphere as well.¹²

With 60-75% of the cases, AD is the most common form of dementia affecting around 50 million people worldwide, without taking the patient's social environment into account.¹² With the prognosis of growing incidences due to the demographic changes, the disease gains more attention in society and the economy, due to its severe burden for caregivers and healthcare resources.¹²

There are two forms of AD categorized today, the familial form (fAD) and the sporadic form (sAD) of AD. The familial form is associated with early disease onset and corresponds to less than 1% of the cases. fAD is caused by mutations in one of the three genes coding for the amyloid precursor protein (APP), presenilin 1 (PSEN1) or presenilin 2 (PSEN2).¹³⁻¹⁵ Another genetic predisposition for AD is the apolipoprotein E type 4 allele (APOE ε4).¹⁶ APOE ε4 carriers hold a dramatically increased risk to develop AD later in life.¹⁷⁻¹⁹

The second form, sAD, is the common one and does not necessarily hold genetic risk factors. Its etiology is not resolved, and the causality is not entirely elucidated. Several hypotheses were established in the field, attempting to explain the principles and cascades of disease development. None of them, however, proved exclusively valid. Accordingly, none of them led to the cure of AD so far. Since the discovery of AD,

research has made considerable progress in understanding the pathology and development of symptoms. However, clinical trials have largely failed to provide sufficient treatment due to the innate complexity and heterogeneity in the onset of the disease.

1.1.1 Pathology

Not only the clinical symptoms were well described by Alzheimer in 1907, but also his pathological findings are still valid.^{9, 10} The protein abnormalities, β -amyloid peptide aggregation, and neurofibrillary tangles (NFT) reported by Alzheimer continue to be the key elements of research.

β -Amyloid

The most known protein associated with AD is the β -amyloid peptide (A β). A β is a fragment of the integral membrane protein β -amyloid precursor protein (β -APP).²⁰ Under physiological conditions, A β is a regular secretion product of cells expressing the APP gene²¹⁻²³ but is rapidly degraded.²⁴ A miscleaved product of APP and aberrant degradation and clearance lead to aggregation and accumulation of the A β plaques.²⁵ The associated pathology of amyloid deposits is seen in all AD patients. Therefore, A β represents a hallmark of the disease²⁶⁻²⁸ and serves as a diagnostic biomarker in cerebrospinal fluid analysis.^{29, 30}

The main theoretical construct for AD provides the amyloid cascade hypothesis by Hardy and Higgins proposed in 1992.³¹ According to the theory, A β deposits are designated as the prime pathogenic driver of AD and the origin of a series of events: First, microglia and astrocytes are activated, followed by progressive synaptic injuries. This leads to oxidative damages, altered kinase and phosphatase activities producing neurofibrillary tangles (NFTs), neuronal atrophy, and ultimately dementia.³² While there is general agreement that the multiple factors stated in the amyloid hypothesis contribute to disease progression, the top-down cascade with A β as the ultimate origin of the disease is challenged.³³ The main argument against the theory is that cognitive loss does not necessarily correlate with the amount of A β deposits.^{34, 35} Further, clinical trials with drugs targeting A β have failed.^{8, 36} Phase III trials of drugs preventing A β aggregation, like tramiprosate,³⁷ tarenflurbil,³⁸ and semagacestat,³⁹ had no significant effects in AD patients randomized to placebo. The humanized monoclonal antibodies against A β , which scientists had considered a promising approach, also failed in phase

III clinical trials. Bapineuzumab,⁴⁰ Solanezumab,⁴¹ and, more recently, aducanumab,^{42, 43} did not achieve the amelioration anticipated.

The one-target strategy for drug development was unsuccessful during the last decades. The pathology and progression of the disease became more complex than only focusing on A β .^{33, 44} Therefore, therapeutic strategies addressing other pathologies and A β in parallel are needed.

Tau-Protein

Abnormal tau protein aggregation is another hallmark, and a significant pathology of AD.⁴⁵ Tau is a microtubule-associated protein in neurons, stabilizing the microtubules under physiological conditions, necessary for the growth of neurites and neuron development.^{46, 47} Abnormal hyperphosphorylation, as it occurs in tau pathology, decreases tau's microtubule-affinity. Aggregates of soluble and insoluble hyperphosphorylated tau form neurofibrillary tangles (NFTs).⁴⁸ Consequently, neurons lose axonal integrity, connectivity, and synapses, which leads to an impairment of cognitive performance.^{34, 49}

Neuroinflammation

Tau and Aβ pathology are both critical for the pathogenesis of AD. However, neuroinflammation is recognized as a mechanistic driver and gains more importance in neurodegeneration.⁵⁰ Usually, neuroinflammation is a reparative and beneficial process upon acute inflammation. A defensive response of the glial cells to repair tissue damage.⁵¹ In the context of neurodegeneration, however, persistent inflammation leads to a chronic condition and release of pro-inflammatory factors that are harmful to the central nervous system (CNS) and enhance neuronal damage.⁵²

It has been shown that the brains of AD patients displayed elevated levels of proinflammatory cytokines released by microglia, like tumor necrosis factor-alpha (TNF- α) or interleukin 6 (IL-6), compared to healthy controls.⁵³⁻⁵⁵ This indicates that neuroinflammation plays a role in AD and is already present in the early stage of the disease.⁵⁶ Indeed, there is a destructive and self-perpetuating process between A β deposits and activated microglia mediating neuroinflammation. A β itself can cause local inflammatory responses activating microglia,^{57, 58} while activated microglia, in turn, induce more amyloid deposition.⁵⁹ Similarly, tau pathology is enhanced by neuroinflammation in a vicious cycle. Activated microglia further promote tau

hyperphosphorylation,^{60, 61} and tau aggregates released by dead neurons stimulate microglial cells.⁶²

Next to promoting and enhancing AD pathology by the interplay with Aβ and tau, there is evidence that microglia also actively contribute to synapse loss and consequent cognitive decline. Synaptic pruning by phagocytic microglia is part of the physiological brain development. However, it might be reactivated in adult brains in AD, mediating synapse loss by phagocytosis. ^{63, 64} Therefore, neuroinflammation directly contributes to AD pathology and should be considered in a holistic view on disease origin and progression when searching for effective treatment.

Oxidative Stress

Oxidative stress occurs when the homeostasis of pro-oxidants and antioxidants in cells, or neurons in the context of AD, is out of balance. Reactive oxygen species (ROS) accumulate, eventually resulting in cell death. In AD, oxidative stress has been recognized as an important driver of disease progression and cognitive decline. There are several sources of ROS, with mitochondrial dysfunction being the major contributor. Mitochondrial malfunction leads to increased production and release of ROS. Further, Aβ toxicity in neurons is related to increased ROS production concomitant with oxidative stress, tau phosphorylation, lipid peroxidation, and ultimately synaptic dysfunction. Once again, highlighting the synergy of AD pathologies.

The Cholinergic System

The principal neurotransmitter in the brain, acetylcholine (ACh), is used by all cholinergic neurons for signal transduction.⁶⁸ Cholinergic neurons innervate almost all regions of the brain, and therefore, cholinergic neurotransmission plays a crucial role in brain function and is essential for memory, learning, attention, and sensory information.⁶⁸⁻⁷¹ ACh is synthesized by the enzyme choline acetyltransferase from choline and acetyl-coenzyme A in the cytoplasm of cholinergic neurons.⁷² Upon depolarization, ACh is released into the synaptic cleft from synaptic vesicles, where it activates nicotinic and/or muscarinic receptors on the postsynaptic membrane. The enzyme acetylcholinesterase (AChE) inactivates ACh in the synaptic cleft with a very high kinetic efficiency, releasing choline and acetate.^{73,74}

As ACh is important for cognitive function, the cholinergic system came to the fore early in dementia and AD research.⁷⁵ The cholinergic hypothesis was the first causal hypothesis for AD proposed by Bartus et al. in 1982.⁷⁶ The theory was based on brains of AD patients showing a loss of cholinergic activity.^{77, 78} So far, this is the only hypothesis that brought drugs to approval. AChE inhibitors increase ACh levels in the synaptic cleft and ameliorate AD symptoms.^{79, 80} Currently, four drugs are approved for AD patients: The AChE inhibitors donepezil, rivastigmine, and galantamine, and the N-methyl-D-aspartate (NMDA) receptor antagonist memantine (Fig. 1). All of them only ameliorate symptoms and aim to stabilize or slow down progression, but cannot cure the disease or even modify its course.⁸¹ These four drugs result in five medication options as a combination treatment of donepezil and memantine (Namzaric®) was approved by the FDA in 2014.⁸²

Figure 1: Chemical structures of the drugs approved for the treatment of Alzheimer's disease. Donepezil, galantamine, and rivastigmine are AChE inhibitors, and memantine is an NMDA receptor antagonist.

The treatment with AChE inhibitors provides amelioration for patients only for a short time.⁸³ One reason for the time-restricted effect of the AChE inhibitors might be the loss of enzymatic activity of AChE and the decrease of AChE levels as AD progresses.^{84,85} With the target diminishing, naturally, the therapeutic effect of the drugs cannot be maintained. Butyrylcholinesterase (BChE) is a second choline esterase present in the brain.⁸⁶ AChE decrease in AD correlates with increasing levels BChE,⁸⁵ suggesting BChE as a potential target for therapeutics.⁸⁷

Due to the limited effect of choline esterase inhibitors, one might say these drugs are not a real success in combating AD. Again, the strategy of addressing a singular symptom of AD failed. The complexity of AD pathology becomes evident, considering that ACh also plays an important role in neuroinflammation. ACh controls glial activation and inhibits the release of pro-inflammatory cytokines.⁸⁸ Therefore, cholinergic deficits, as a characteristic of AD brains, attenuate the uncontrolled neuroinflammation due to reduced ACh levels.^{56, 88} Further, A β oligomers are toxic to cholinergic synapses contributing to the synaptic loss,^{89, 90} highlighting once more, how closely intertwined the pathologies of AD are (Fig. 2).

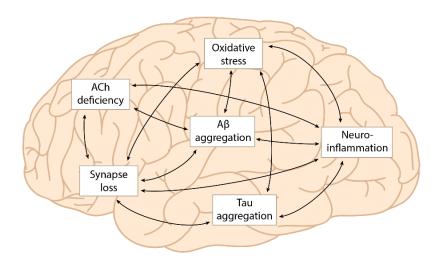


Figure 2: Overview of dependencies and synergies in AD pathology displaying its innate complexity.

Instead of a serial model of disease origin and progression, as described in the amyloid cascade hypothesis or cholinergic hypothesis, a parallel occurrence of the main contributors early before the first symptoms appear is likely to be the cause of AD. The temporal sequence resulting in cognitive decline is unknown and could not be determined or scientifically proven so far. Hence, it is useful to apply a holistic view to combating the disease. Compounds bearing a pleiotropic effect addressing several key elements of AD in parallel are needed to encounter this devastating disease of the human intellect, threatening aging societies and us as we age.

1.1.2 Age as a Risk Factor

The stereotype AD patient is an elderly individual with insidiously progressing problems of memory. Indeed, age is the major risk factor for developing AD⁹¹ if there is no genetic predisposition causing fAD with an early onset.¹¹ López-Otin et al.⁹² defined the nine so-called "hallmarks of aging" in 2013 with the primary hallmarks being genomic instability, telomere attrition, epigenetic alterations, and loss of proteostasis. In AD, genomic instability and loss of proteostasis and the resulting consequences are the most important hallmarks of aging.

As the aggregated proteins tau and $A\beta$ are the main characteristics of AD pathology, loss of proteostasis – the balance between protein synthesis and degradation – is a hallmark of aging and AD. Regarding genomic instability, neurons are particularly predetermined to be affected. As postmitotic cells, they are more susceptible to DNA damage accumulating over time as proliferating cells and thereby more sensitive to aging.⁹³ The consequences of DNA damage are inflammation and senescence, both contributing to age-related neurodegeneration.⁹⁴ The number of senescent cells naturally increases with age, but senescence can also be induced by $A\beta$ or tau pathology, leading to cognitive deficits.^{95, 96} Further, nuclear damage leads to mitochondrial dysfunction. Brain mitochondria become impaired with age as a consequence of increased damage and reduced biogenesis accompanied by aging.⁹⁴ Due to stress and dysfunction, mitochondria tend to permeabilize and thereby initiate apoptotic signaling.⁹⁷ This contributes to neuronal loss but also triggers inflammatory reactions and accumulation of oxidative stress, both drivers of AD pathology.⁹⁸

1.2 Natural Products as Neuroprotectants

As mentioned before, traditional herbal medicines have been used for a long time in the history of humankind. Not only for the treatment of colds and wounds but also to treat dementia and forgetfulness – in East Asian traditional medicine for this use case exists for over 2000 years.⁹⁹ The concepts were rather systemic, and herbs applied against forgetfulness were, for example, the ones that nourished the blood and were blood circulation-promoting.⁹⁹ While natural products are decried due to their limited stability, often low bioavailability, and restricted passage through the blood-brain barrier,¹⁰⁰ several *in vivo* studies have shown the beneficial effect of plant-based natural products on neuroprotection and amelioration of AD.¹⁰¹ The most famous 28

herbal supplement for the treatment of dementia is *Ginkgo biloba* leaf extract. Glycosides of the flavonoids quercetin, kaempferol, and isorhamnetin are with 24% of the total weight the main constituents of the Ginkgo extract, followed by terpene trilactones contributing to 6% of the total weight.¹⁰² Several randomized, placebocontrolled, double-blind clinical trials have shown the clinical efficacy and safety of *G. biloba* extract EGb761 against dementia.¹⁰³ More recently, the treatment outcome of ginkgo extract was compared to donepezil in a study with AD patients older than 80 years, showing similar effects on cognitive symptoms with a favorable safety profile.¹⁰⁴

With oxidative stress being a significant driver of AD and other neurodegenerative disorders, plant-derived polyphenols gained attention as neuroprotectants due to their antioxidant activity. Among them are flavonoids, like taxifolin, quercetin, and fisetin (Fig. 3). These abundant dietary secondary plant metabolites are proposed to ameliorate neurodegeneration via multiple effects. Chemically, the common structure of flavonoids contains two phenyl rings A and B and a heterocyclic ring C, which are then stocked with hydroxyl groups in different positions (Fig. 3).

Figure 3: Chemical structures of the general flavone backbone of flavonoids, and the polyphenolic flavonoids taxifolin, quercetin, and fisetin as examples.

Even though the exact mechanism of action and the active metabolic component of this class of compounds are not always precise,^{107, 108} flavonoids have been assigned to various beneficial effects in the context of AD.¹⁰⁹ Next to their antioxidant and radical scavenging activity,¹¹⁰ flavonoids showed to be anti-inflammatory,^{111, 112} anti-amyloidogenic,^{113, 114} anti-aging,¹¹⁵ and to improve learning and memory.¹¹⁶ Therefore, flavonoids are interesting starting points for drug development for complex CNS

diseases where a pleiotropic approach is needed, and treatment might be employed over a long duration.¹⁰⁰

1.3 Phenotypic Screening

Many of the pathologies described in AD and aging can be reproduced in cell-based assays.¹¹⁷ These assays can be used as a model to identify compounds whose biological activity is beneficial to several mechanisms relevant to aging and disease.^{7, 118} Thereby compounds with pleiotropic effects are revealed, which independently address several pathways in parallel, without the need to inhibit the function of one specific pathway completely. Two advantages for drug development compared to the one-target strategy arise as a result: First, long-term therapy might be accompanied by fewer side effects, as the normal constitutive function of the pathways might not be constrained,³³ and second, identified compounds address multiple centers of the disease in parallel.

The phenotypic screening assays introduced in the following have been used within the scope of this work and are not intended to be exhaustive. Of course, any type of assay displaying pathologies of AD or aging would add on to these phenotypic screening assays. Examples that are already in practice but are not described in detail here are PC12 cell differentiation¹¹⁹ or intracellular amyloid toxicity using MC65 cells.¹²⁰

Oxytosis

Oxytosis is a specific form of cell death distinct from apoptosis and induced by the inhibition of the cystine/glutamate antiporter system x_{c^-} with high extracellular concentrations of glutamate.¹²¹ The murine neuronal cell line HT22, a subclone of the hippocampal cell line HT4, lacks ionotropic glutamate receptors and therefore does not die of glutamate-induced excitotoxicity.¹²² Glutamate blocks cystine uptake via system x_{c^-} into nerve cells. The reduced form of cystine is the rate-limiting amino acid cysteine of the tripeptide glutathione (GSH). Therefore, glutamate treatment leads to GSH depletion (Fig. 4). GSH is the major player in the intracellular antioxidant defense, and its decrease leads to a dramatic increase in ROS from complex I of the mitochondrial transport chain. These endogenously generated ROS start a cascade of 12/15 lipoxygenase (LOX) activation, increased intracellular cyclic guanosine monophosphate (cGMP) levels resulting in the opening of calcium channels, and ultimately cell death.¹²¹ Murphy et al.¹²³ first described the pathway in 1989, and Tan

et al.¹²¹ extensively studied it. Oxytosis gained relevance in neurodegeneration, as the morphological and physiological features relate to nerve cell death observed in AD and

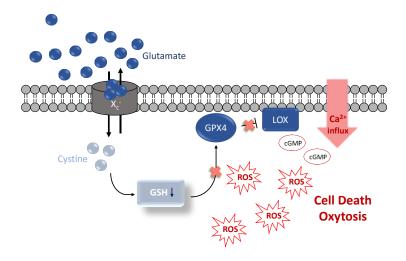


Figure 4: High concentrations of extracellular glutamate block the glutamate/cystine antiporter system x_c -in HT22 cells and lead to intracellular cystine depletion and thereby GSH depletion. Decreased GSH levels inhibit GPX4, which activates LOX, ROS production, cGMP accumulation, and calcium influx.

stroke.121

Ferroptosis

Ferroptosis and oxytosis are closely related forms of cell death in HT22 cells. It is under debate whether they are individual pathways or the same pathways with an insultdependent variation.¹²⁴ Experimentally, ferroptosis is induced by direct inhibition of the enzyme glutathione peroxidase 4 (GPx4) with RSL3. The consequences are very similar to the cellular pathways observed in oxytosis: Upon GPx4 inhibition, ROS levels increase, lipoxygenase is activated, leading to increased cGMP levels, calcium influx, and cell death.¹²⁴ Induction of ferroptosis occurs downstream in the cell death pathway of oxytosis (Fig. 5), as GSH depletion occurring in oxytosis also leads to inhibition of GPx4. Hence, the discussion about the distinction of the pathways. 125 Within the phenotypic screening approach, both assays are applied. Thereby, additional information about the compound's mode of action can be gained and some compounds showed differences in the ability to protect against the insults. For example, in HT22 cells, the protein synthesis inhibitor cycloheximide protected against oxytosis. However, it was not protective against RSL3 induced ferroptosis, as protein synthesis was required early in the cell death pathway - upstream of GPx4 inhibition but downstream of system x_c - inhibition. 124 Within this work, the term ferroptosis is used when oxidative stress is induced by applying the GPx4 inhibitor RLS3 and oxytosis for the glutamate-induced cell death.

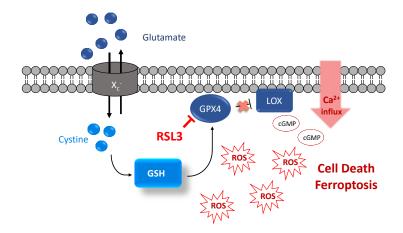


Figure 5: In ferroptosis, RSL3 directly inhibits the enzyme GPX4 and thereby induces the neuronal death cascade.

ATP depletion

As we age, energy metabolism changes. ATP levels in the brain decrease,¹²⁶ and also hypoglycemia impairs cerebral function and cognition.¹²⁷ A model for ATP depletion and loss of mitochondrial energy metabolism is therefore relevant in the context of AD. Iodoacetic acid (IAA) is used to induce ATP depletion in HT22 cells. IAA irreversibly inhibits the glycolytic enzyme glyceraldehyde 3-phosphate dehydrogenase (GAPDH), which is part of the glycolytic breakdown in cells and therefore required for energy supply.¹²⁸ Compounds that maintain ATP levels and counteract IAA-induced neuronal death are of interest for the treatment of neurodegeneration.

BV-2 Activation

Neuroinflammation is a critical contributor to the pathology of AD.^{51, 129} Hence, neuroinflammation is an important model of AD when screening for neuroprotective compounds with pleiotropic effects and therapeutic potential. As outlined in the AD pathology section, pro-inflammatory microglia are resident CNS immune cells and key players in AD-related neuroinflammation.¹³⁰ Inflammation can be investigated in the murine microglia cell line BV-2. Treatment with bacterial lipopolysaccharide (LPS) leads to the production and release of pro-inflammatory cytokines, free radicals, excitatory neurotransmitters, and other factors contributing to neuroinflammation, neurodegeneration, and cell death.¹³⁰

2. Scope and Objectives

In aging societies, Alzheimer's disease (AD) grows in importance as a global health concern, and available therapies are scarce. As outlined in the introduction, it is of particular importance to gain a more detailed understanding of the disease and its causes. Because the one-target strategy has not been successful in drug development, research needs to incorporate a holistic view of AD. To take account of the complex and multifactorial nature of the disease, this work focuses on natural products, such as secondary plant metabolites. These compounds possess pleiotropic effects exceeding their antioxidant capacity and have been used in traditional medicine to treat disorders related to the nervous system.

The goal of this work was to synthesize derivatives of secondary plant metabolites, characterize their neuroprotective potential, and investigate their mode of action.

Chapters 3 and 4 address the first objective, the examination of 7-*O*-esters of the flavonoid taxifolin as neuroprotectants. Previous studies with taxifolin described neuroprotective effects only at high concentrations of the flavonoid (> 100 μM)¹³¹ and condemned it for therapeutic use. Ester hybrids of the flavonolignan silibinin have been shown to reduce the neuroprotective concentration to the low micromolar range.¹³² However, the flavonolignan-based esters suffer from drawbacks regarding the high molecular weight and constrained solubility, which counteract their drugability. To eliminate these disadvantages, 7-*O*-esters of taxifolin with phenolic acids were synthesized and investigated in phenotypic screening assays addressing different aspects of neurodegeneration and aging. Disease-modifying effects of the compounds were examined *in vivo* in an AD mouse model.

Despite the neuroprotective effect of taxifolin and derivatives thereof, specific intracellular targets and the mode of action of this class of compounds remain elusive. Therefore, a chemical probe of 7-O-cinnamoyltaxifolin was designed, synthesized, and validated to be used in an activity-based protein profiling approach for target identification. Identified proteins are promising as specific targets of 7-O-cinnamoyltaxifolin. They will provide further insights into proteins involved in AD that might serve as potential and innovative targets for drug development in the future.

Chapter 5 addressed the second objective, the examination of synthetic approaches for the chemical modification of the flavonoids taxifolin, quercetin, and fisetin. The chemistry of flavonoids is particular and rarely described in the literature. To further derivatize the natural products, synthetic strategies for modifying the respective flavonoids are presented and discussed.

Chapter 6 covers the objective to specifically address an established target in AD, namely BChE, with a derivative of the alkaloid dehydroevodiamine (DHED). For other heterocycles, the introduction of a heptyl carbamate led to selective and highly potent inhibition of BChE.^{133, 134} However, those lipophilic compounds' physicochemical properties are not optimal. DHED is exceptional in its structure and exists as water-soluble quaternary salt at acidic pH, improving its solubility and was therefore applied in this study. The enzyme inhibition was assessed in a colorimetric assay.

The future perspectives in chapter 7 conclude this thesis and provide research directions extrapolated from the results of this work. The objective was to discuss and inspire future studies on natural product derivatives, exploiting their neuroprotective potential to treat neurodegenerative disorders.

3. 7-O-Esters of Taxifolin with Pronounced and Overadditive Effects in Neuroprotection, Anti-Neuroinflammation, and Amelioration of Short-Term Memory Impairment *In Vivo*

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Research Paper

7-*O*-Esters of taxifolin with pronounced and overadditive effects in neuroprotection, anti-neuroinflammation, and amelioration of short-term memory impairment *in vivo*



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Author contributions:

<u>S. Gunesch</u> (under supervision of Prof. Dr. M. Decker) designed and synthesized the compounds and performed all *in vitro* characterization experiments, cell-based assays and cellular uptake experiments (under supervision of Dr. P. Maher).

Dr. M. Hoffmann (under supervision of Dr. T. Maurice) performed in vivo studies.

C. Kiermeier (under supervision of <u>S. Gunesch</u> and Prof. Dr. M. Decker) assisted with the synthesis.

Dr. W. Fischer performed HPLC measurements.

Dr. A. F. M. Pinto performed MS measurements.

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3.1 Introduction

Natural products have gained increased attention for their potential neuroprotectants, as by their nature, they show pleiotropic effects instead of addressing a single target.^{101, 117} A library of 7-O-esters of the flavonolignan silibinin with different phenolic acids showed overadditive neuroprotective features in a set of assays related to neurodegeneration and aging. 132 Position 7 of silibinin was regioselectively esterified in that study, 132 as the 7-OH group, in contrast to the other four hydroxyl groups of silibinin, was attributed a pro-oxidant character. 135 Esterification with a phenolic acid derivative increased the antioxidant capacity and blocked the pro-oxidant effect of the 7-OH group. Structure-activity relationships (SARs) revealed the influence of different numbers of hydroxy- and methoxy-groups as aromatic substituents and the Michael system on the neuroprotective and antioxidant effects. SARs analysis showed that phenolic acids possessing a Michael system are essential for the neuroprotective activity of the compounds. 132 The assays applied were cell-based, avoiding potentially false-positive results due to false-positive readouts as it was shown for pan assay interference compounds (PAINS). 136, 137 The most potent esters of the compound library in that study were 7-O-cinnamoylsilibinin and 7-O-feruloylsilibinin.132

Phenolic acids have been studied in the context of neurodegenerative diseases before due to their antioxidant capacities. Ferulic acid is a free radical scavenger that counteracted intracellular apoptotic pathways induced by oxidative stress¹³⁸ and was studied in *in vivo* models of AD. Long-term administration of ferulic acid prevented the neurotoxic effect caused by β -amyloid in mice,¹³⁹ and reduced behavioral impairment in a transgenic PSAPP mouse model.¹⁴⁰ Cinnamic acid upregulated the suppressor of cytokine signaling 3 (SOCS3) in BV-2 microglial cells and suppressed the expression of pro-inflammatory cytokines counteracting neuroinflammation.¹⁴¹

Flavonolignan-based silibinin-esters with phenolic acids suffer from some drawbacks. The high molecular weight of the compounds and their constrained solubility counteract their drugability. The secondary plant metabolite taxifolin is a flavonoid, structurally closely related to silibinin, but smaller (Fig. 6). The catechol moiety of taxifolin is an important antioxidant group and was shown to site-specifically inhibit aggregation of 42-residue amyloid β -protein ($A\beta$ 42) *in vitro*, which is relevant for the pathogenesis of AD. This was confirmed by a computational study analyzing the

reaction mechanism. The covalent adduct was shown to be formed via an aza-Michael addition of the oxidized o-quinone species of (+)-taxifolin with a lysine residue of the A β 42 fibril. Previously, Vrba et al. 44 had esterified taxifolin semisynthetically in position 7 with gallic acid. The compound upregulated the nuclear factor (erythroid-derived 2)-like 2 (Nrf2) pathway in RAW264.7 cells, 44 an important cytoprotective mechanism in response to redox imbalance. It has been shown that orally administered taxifolin exhibits a pleiotropic beneficial effect on intracerebral profiles in a cerebral amyloid angiopathy (CAA) mouse model *in vivo*, a disease often accompanied by AD. 45

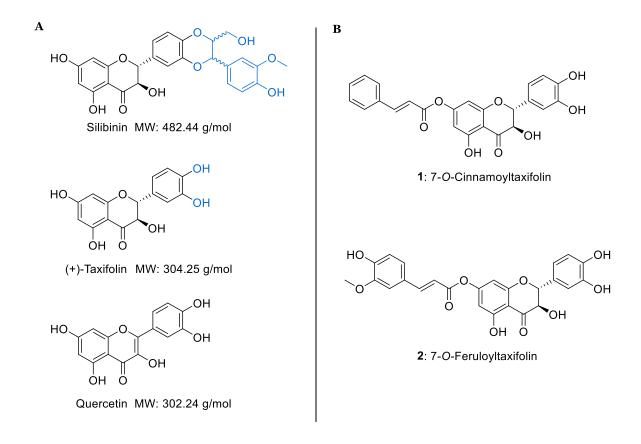


Figure 6: A) Chemical structures of the flavonolignan silibinin and the flavonoids taxifolin and quercetin. Structural differences between the natural products silibinin and taxifolin are highlighted in blue. **B)** Target compounds 7-*O*-cinnamoyltaxifolin (1) and 7-*O*-feruloyltaxifolin (2).

Highlighting the therapeutic potentials of taxifolin and ferulic and cinnamic acid in the context of neurodegenerative diseases, ^{131, 146} it was of interest to investigate natural product hybrids of the flavonoid taxifolin and the acids as novel potent neuroprotectants. Therefore, 7-O-esters of taxifolin and cinnamic or ferulic acid were synthesized (Fig. 6).

3.2 Chemistry

Despite several hydroxyl groups, 7-*O*-esterification of taxifolin was achieved without using protective groups under optimized reaction conditions. This was possible due to two reasons. First, the reactivity of the five hydroxyl groups of taxifolin is distinct, and position 7 is the most reactive one. Adjusting reaction time and conditions led to the 7-*O*-ester as the main product. Second, optimized gradients in silica gel column chromatography allowed the separation from byproducts esterified in other or multiple positions. Acyl chlorides of ferulic or cinnamic acid were generated with oxalyl chloride and catalytic amounts of DMF and immediately added to taxifolin in basic solution under anhydrous conditions (Scheme 1).^{132, 144} Extensive purification by column chromatography after the workup was necessary to remove all byproducts and obtain the pure compounds 7-*O*-cinnamoyltaxifolin 1 and 7-*O*-feruloyltaxifolin 2.

Cinnamic acid:
$$R_1 = R_2 = H$$
 Ferulic acid: $R_1 = OCH_3$, $R_2 = OH$ (2) 7-O-Feruloyltaxifolin: $R_1 = R_2 = H$ $R_1 = OCH_3$, $R_2 = OH$

Scheme 1. Synthesis of 7-*O*-cinnamoyltaxifolin (**1**, 43% yield) and 7-*O*-feruloyltaxifolin (**2**, 27% yield). i) Acid, oxalyl chloride, DMF, dry THF, 1 h, room temperature; ii) taxifolin, triethylamine, dry THF, 2 h, room temperature after addition of the respective acyl chloride.

3.3 Biology

A variety of assays was applied to investigate the neuroprotective features of the 7-O-esters of taxifolin 1 and 2, for two reasons. First, with the catechol moiety, the molecules hold a so-called PAINS motif.¹³⁷ Even though neither a high-throughput screening nor colorimetric target-based assays were performed where PAINS could lead to misleading data,¹⁴⁷ the results of assays have to be examined carefully, and different methods have to be applied to exclude false-positive results (cf. Appendix III).¹³⁶ Second, addressing complex CNS disorders like AD, the single-target approach

was hampering drug development in the past, as mentioned above.⁸ Hence, a phenotypic screening approach was followed.

3.3.1 Neuroprotection in HT22 Cells

Oxytosis. Glutamate treatment leads to intracellular GSH depletion resulting in programmed cell death due to oxidative stress. $^{121, 148}$ As shown in Figure 7A and C, compounds 1 and 2 were highly protective at a concentration of 5 μ M, exceeding the beneficial effect of 25 μ M quercetin, which served as a positive control. Taxifolin, the respective acid, and the equimolar mixtures thereof were not neuroprotective at the

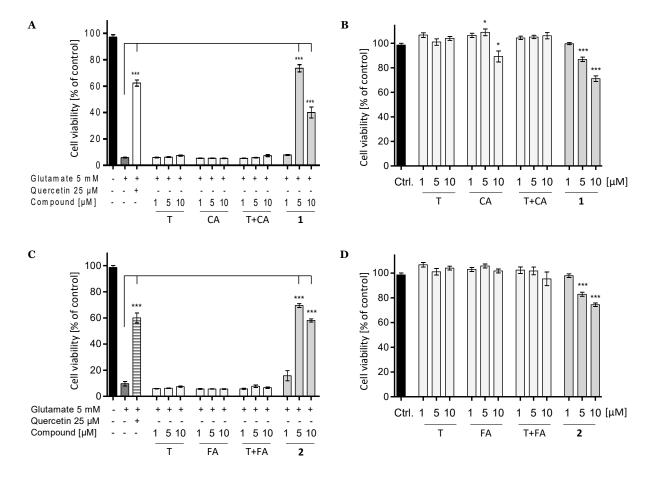


Figure 7. 5 mM glutamate was used to induce toxicity (dark grey) and 25 μM Quercetin served as a positive control (patterned). Neuroprotective effect (**A**) and neurotoxicity (**B**) of 7-*O*-cinnamoyltaxifolin (**1**) and the controls taxifolin (**T**), cinnamic acid (CA), and the equimolar mixture of taxifolin and cinnamic acid (T+CA). **C**) Neuroprotective effect and neurotoxicity (**D**) of 7-*O*-feruloyltaxifolin (**2**) and the controls taxifolin (**T**), ferulic acid (FA), and the one-to-one mixture of taxifolin and ferulic acid (T+FA). Data are presented as means \pm SEM of three independent experiments, and results refer to untreated control cells (black). Statistical analysis was performed using One-way ANOVA followed by Dunnett's multiple comparison posttest referring to cells treated with 5 mM glutamate only in **A**) and **C**) or to untreated control cells in **B**) and **D**). Levels of significance: * p < 0.01; ****p < 0.001.

tested concentrations (Fig. 7A, C). The equimolar mixture is of interest as it represents the hydrolysis products of the ester components and ensures that the effects observed are not based solely on the presence of the two compounds. In investigations towards the compounds' toxicity, the taxifolin esters 1 and 2 were found to exhibit a slight, dosedependent neurotoxic effect (Fig. 7B, D). However, for both compounds, greater than 70% of the cells survived even at the highest concentration tested (Fig. 7B, D).

Ferroptosis. Ferroptosis is a cell death pathway induced by direct inhibition of GPx4 via covalent interaction of the compound RSL3 with the active site selenocysteine of GPx4. As shown in Figure 8, both 7-O-esters of taxifolin, 1 and 2, were neuroprotective against ferroptosis. In contrast, the individual components and the respective equimolar mixture did not exhibit any protective activity at the tested concentrations.

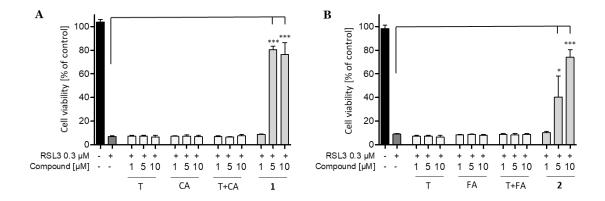


Figure 8. Neuroprotective effect of 7-*O*-cinnamoyltaxifolin **1** (**A**), 7-*O*-feruloyltaxifolin **2** (**B**) and the controls taxifolin (T), cinnamic acid (CA), ferulic acid (FA), and the equimolar mixtures (T+CA), and (T+FA). Data are presented as means \pm SEM of three independent experiments, and results refer to untreated control cells (black). Statistical analysis was rendered using One-way ANOVA referring to cells treated with 300 nM RSL3 only (dark grey). Levels of significance: *p < 0.01; ***p < 0.001.

ATP Depletion. Iodoacetic acid (IAA) was used to induce ATP loss in HT22 cells. 128 The overadditive effect in oxytosis and ferroptosis was also observed for ATP depletion (Fig. 9). Compound 1 showed an effect already at 1 μ M with 40% cell survival.

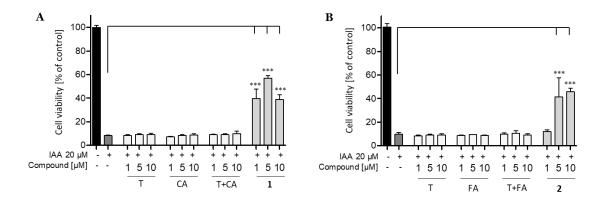


Figure 9. Protective effect of 7-*O*-cinnamoyltaxifolin **1** (**A**) and 7-*O*-feruloyltaxifolin **2** (**B**) with the controls taxifolin (T), cinnamic acid (CA), ferulic acid (FA), the equimolar mixtures (T+CA), and (T+FA). Data are presented as means \pm SEM of three independent experiments, refer to untreated control cells (black). Statistical analysis was performed using One-way ANOVA referring to cells treated with 20 μ M IAA only (dark grey). Levels of significance: ***p < 0.001.

GSH Quantification. As GSH and GSH-dependent enzymes provide the main line of defense in the protection towards oxidative stress in cells and GSH levels decrease with age,¹⁴⁸ it was of interest whether the neuroprotective ester *7-O*-cinnamoyltaxifolin **1** and *7-O*-feruloyltaxifolin **2** had an influence on total glutathione levels (tGSH) in HT22

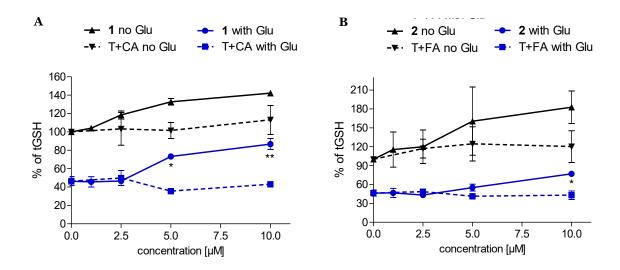


Figure 10. Dose-dependent effects of 7-*O*-cinnamoyltaxifolin **1** (**A**) and 7-*O*-feruloyltaxifolin **2** (**B**) and the equimolar mixtures (T+CA in **A** and T+FA in **B**) on total glutathione (tGSH) levels in HT22 cells in the absence (black lines) or presence (grey lines) of 5 mM glutamate (Glu) to induce oxytosis. Results are given as mean \pm SEM and were analyzed by One-way ANOVA followed by Dunnett's multiple comparison posttest using GraphPad Prism 5 referring to cells with no compound added. Levels of significance: *p < 0.05, **p < 0.01.

cells. Levels of tGSH were determined in the presence of increasing concentrations of 1 and 2 with and without glutamate (Fig. 10). The equimolar mixture of taxifolin and the respective acid served as controls. Figure 10 shows that compounds 1 and 2 had an influence on tGSH levels and increased tGSH under oxidative stress induced by glutamate treatment in HT22 cells.

3.3.2 Anti-Inflammatory Effects in BV-2 Cells

NO and cytokines. BV-2 mouse microglial cells were used to investigate the ability of 1 and 2 to counteract inflammation induced by bacterial lipopolysaccharide (LPS). Both 7-O-esters 1 and 2 strongly reduced NO production (Fig. 11A, B), and compound 1 was further examined to affect the cytokines IL6 and TNFα using ELISAs (Fig. 11C). IL6 induction was significantly reduced by 1. Even though the levels of TNFα were

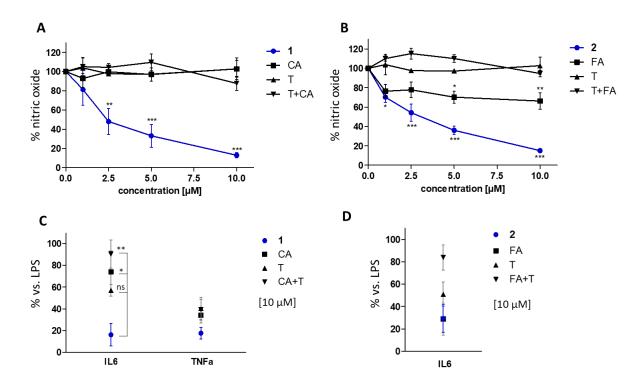


Figure 11. Cells were treated overnight with 50 ng/mL LPS alone or in the presence of compound **1**, cinnamic acid (CA), taxifolin (T), or the one-to-one mixture (T+CA) (**A** and **C**) or with compound **2**, ferulic acid (FA), taxifolin (T), or (T+FA) (**B** and **D**). NO was quantified by the Griess assay. Data in **A** and **B** are given as means \pm SEM and relative to BV-2 cells treated with LPS only, set as 100%. One-way ANOVA was used for statistical analysis followed by Dunnett's multiple comparison posttest. **C)** The effect of 10 μM **1** on levels of IL6 and TNFα after LPS-treatment was assessed using ELISAs as was the effect of 10 μM **2** on IL6 (**D**). For **C**) and **D**), Results are presented as percent (%) of treatment with LPS alone, which was set as 100%. Shown are means \pm SEM analyzed by One-way ANOVA followed by Tukey's posttest. Levels of significance: *p < 0.05, **p < 0.01, ***p < 0.001

decreased strongest by **1**, the difference to the controls taxifolin, cinnamic acid, and the one-to-one mixture of both was not statistically significant (Fig. 11C).

Nrf2 Upregulation. Previously, taxifolin esterified in position 7 with gallic acid had been shown to upregulate the nuclear factor (erythroid-derived 2)-like 2 (Nrf2) pathway in RAW264.7 cells, ¹⁴⁴ a macrophage cell line. Brain microglial cells have many properties similar to peripheral macrophages, and compound 1 was the most potent reducer of neuroinflammation markers in the BV-2 cells. Therefore, it was of interest whether Nrf2 was involved in the compound's mode of action. Nuclear fractions of BV-2 cells treated with the compounds were subjected to Western blot analysis. 7-O-cinnamoyltaxifolin 1 dose-dependently increased nuclear Nrf2 levels up to 24-fold at 10 μM after 4 hours of incubation (Fig. 12A).

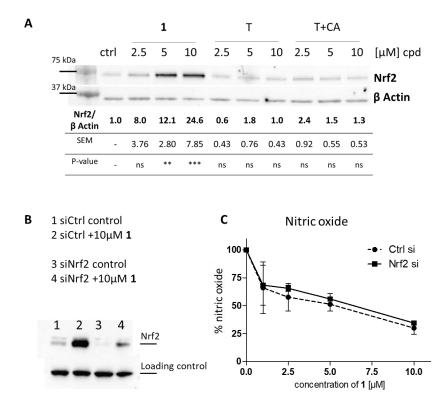


Figure 12. A) Cells were treated for 4 hours with DMSO or increasing concentrations of **1**, taxifolin (T), or the equimolar mixture (T+CA). Nuclear fractions of BV-2 cells were analyzed by Western blot for Nrf2 and normalized to actin. **B)** Transfection of BV-2 cells with control or Nrf2 siRNA. **C)** NO quantification of transfected cells treated with increased concentrations of **1**.

Taxifolin and the equimolar mixture of taxifolin and cinnamic acid did not induce Nrf2 translocation to the nucleus. Next, it was of interest whether Nrf2 was directly involved in the anti-inflammatory effects of 1, and Nrf2 was downregulated in BV-2 microglia

by transfection using specific siRNA. Figure 12B shows the effective downregulation of Nrf2 in cells treated with siNrf2 (lane 3) even in the presence of the potent inducer 1 (lane 4), compared to the nonspecific control siRNA (lanes 1 and 2). The LPS inflammation assay with siRNA-modified BV-2 cells showed that Nrf2 knockdown did not affect the anti-inflammatory activity of compound 1 (Fig. 12C).

3.3.3 Cellular Uptake Experiments

Vrba et al.¹⁴⁴ had shown that a semisynthetic gallic acid ester of taxifolin was converted to a quercetin derivative in RAW264.7 cells. Therefore, cellular uptake metabolites of the synthetic hybrid 7-O-cinnamoyltaxifolin 1 were investigated in murine BV-2 microglial cells.¹⁴⁴ A reference HPLC chromatogram with 50 ng of each compound was recorded to determine their retention times (Fig. 13A). For cellular uptake experiments, BV-2 cells were incubated with 50 µM 7-O-cinnamoyltaxifolin 1 for 0, 30, 90, and 240 minutes, and lysates were analyzed by HPLC (Fig. 13B). Next to compound 1, a second peak was detected at 48.9 minutes of retention time, and the compounds were metabolized over the time course of 4 hours (Fig. 13B). Both compounds were found to be stable with regard to hydrolysis. Figure 13C showed that the conversion of 7-O-cinnamoyltaxifolin 1 was dependent on the presence of cells. The two peaks at 43.9 and 48.9 minutes were collected and submitted to MS analysis for identification (Fig. 13D, E). The peak with a retention time of 43.9 minutes was identified as 7-Ocinnamoyltaxifolin 1 with $[M+H]^+$ m/z = 435.11 (m/z calc. = 434.10). MS/MS fragmentation resulted in signals at m/z = 305.07 corresponding to taxifolin and at m/z = 131.05 and m/z = 103.05 corresponding to cinnamic acid (minus H₂O and additional loss of CO, respectively). MS analysis of the peak with a retention time of 48.9 minutes gave signals at $[M+H]^+$ m/z = 433.09 corresponding to 7-Ocinnamoylquercetin with a calculated m/z = 432.08 (Fig. 13E). The compound was confirmed by MS/MS fragmentation where the masses of cinnamic acid (m/z = 131.05and m/z = 103.05) and quercetin (m/z = 303.05) were identified.

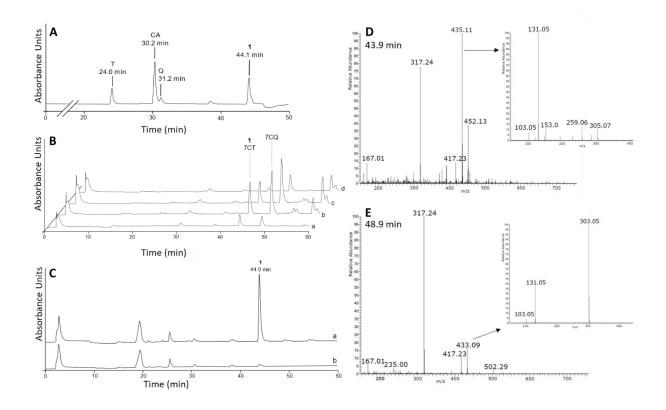


Figure 13. Cellular uptake of compound **1. A)** 50 ng of taxifolin (T), cinnamic acid (CA), quercetin (Q), and 7-*O*-cinnamoyltaxifolin (**1**) were submitted to HPLC as reference for the compounds' retention times. **B)** 50 μ M **1** was added to BV-2 cells, and cells were immediately lysed (**a**) or incubated for 30 min (**b**), 90 min (**c**), or 4 hours (**d**) before lysis and sample preparation. **C)** Incubation of 50 μ M **1** for 30 min in medium only did not lead to compound conversion (**a**). (**b**): blank chromatogram with medium only. **D)** MS spectrum of the first HPLC peak (43.9 min) after incubating **1** for 30 min with BV-2 cells. The signal at m/z = 435.11 corresponds to **1** and was isolated for MS/MS fragmentation (inset) where m/z = 131.05 and m/z = 305.07 were detected corresponding to cinnamic acid and taxifolin, respectively. **E)** MS spectrum of the 48.9 min fraction gave a signal at m/z = 433.09, and the MS/MS fragmentation (inset) of the selected ion at m/z = 131.05 and m/z = 303.05 fit the m/z values of cinnamic acid and quercetin.

3.4 In Vivo Studies

The esters 1 and 2 were evaluated and compared to the one-to-one mixtures of the respective acids and taxifolin in a mouse model of AD regarding their protective effects against $A\beta_{25-35}$ -induced memory impairment. The experiments were performed by Dr. Matthias Hoffmann (Decker lab) under supervision of Dr. Tangui Maurice at the MMDN, University of Montpellier in France.

AD-like cognitive dysfunction was induced by intracerebroventricular (icv) injection of oligomerized A β_{25-35} peptide into the mouse brain on day 1. Compounds were injected intraperitoneally (ip) from day 1 to day 7, and spatial working memory was evaluated on day 8 in a Y-maze assay. On days 9 and 10 of the study, a step-through passive-avoidance assay was performed as a measure of long-term memory improvement, followed by sacrifice on day 11 (for detailed experimental procedures cf. Appendix I). Investigating the spatial working memory, compound **2** reduced the A β_{25-35} —induced spontaneous alternation deficits at all doses tested (Fig. 14A). Compound **1** showed significant prevention of the A β_{25-35} —induced alternation deficit at 3 and 10 mg/kg (Fig. 14B). The esters **1** and **2** appeared to be significantly more neuroprotective than the mixtures of taxifolin and the respective acids (Fig. 14C, D, E).

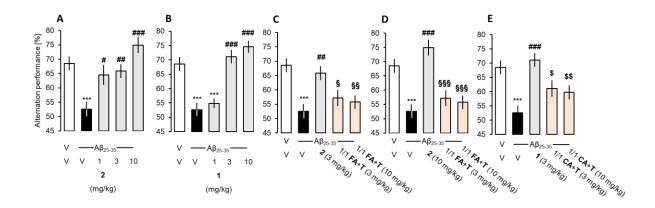


Figure 14. Effect of the compounds on Aβ₂₅₋₃₅-induced spontaneous alternation deficits in mice. V – vehicle, **2** – 7-*O*-feruloyltaxifolin, **1** – 7-*O*-cinnamoyltaxifolin, FA – ferulic acid, T – taxifolin, CA – cinnamic acid. Data shows mean ± SEM. ANOVA: $F_{(4,59)} = 8.77$, p < 0.001, n = 11-12 per group in **A**); $F_{(4,58)} = 19.97$, p < 0.001, n = 11-12 in **B**); $F_{(7,95)} = 7.35$, p < 0.001, n = 11-12 in **C**) and **D**); $F_{(7,95)} = 8.54$, p < 0.001, n = 11-12 in **E**). *** p < 0.01 vs. (V+V)-treated group; # p < 0.05, ## p < 0.01, ### p < 0.001 vs. (Aβ₂₅₋₃₅+V)-treated group; § p < 0.05, §§ p < 0.01 vs. (Aβ₂₅₋₃₅+**2**)-treated group; \$ p < 0.05, \$\$ p < 0.01 vs. (Aβ₂₅₋₃₅+**1**)-treated group; Dunnett's test.

On day 9 of the study, the step-through passive-avoidance training was conducted, followed by assessing the step-through latency on day 10 to examine the effects on long-term memory. None of the compounds or mixtures improved the Aβ-induced impairment of long-term memory in prolonging step-through latency (for data cf. Appendix I). A dichotomy between the effects on A β_{25-35} -induced short-term and long-term memory deficits could, however, be anticipated. Antioxidants like idebenone and α -tocopherol prevented the short-term memory deficits in the Y-maze and watermaze, but not passive avoidance deficits in A β_{1-42} -treated mice. Furthermore,

taxifolin has a preventive effect on $A\beta_{1-42}$ accumulation and aggregation. ^{154, 155} It was previously reported that a γ -secretase inhibitor, BMS-299897, attenuated $A\beta_{25-35}$ -induced $A\beta_{1-42}$ seeding and toxicity. ¹⁵⁶ Importantly, BMS-299897 blocked the $A\beta_{25-35}$ -induced deficits in spontaneous alternation or novel object recognition using a 1 h intertrial time interval but failed to affect the passive avoidance impairments or novel object recognition using a 24 h intertrial time interval. Therefore, $A\beta_{25-35}$ injection provoked an accumulation in endogenous $A\beta_{1-42}$, an effect that was blocked by γ -secretase inhibition. This $A\beta_{1-42}$ accumulation marginally contributed to the toxicity or long-term memory deficits, but likely plays a major role in synaptic dysfunction and short-term memory deficits.

3.5 Conclusion

7-O-esters of taxifolin and ferulic or cinnamic acid have shown overadditive neuroprotective effects against oxidative stress and neuroinflammation in various cell-based assays, proving a pleiotropic beneficial effect which is desperately needed in the context of multifactorial neurodegenerative diseases like AD. Due to the significant and overadditive *in vivo* effects, it can be assumed that the compounds could cross the blood-brain barrier, and their metabolic stability was sufficient to evoke an amelioration of short-term memory defects in mice, in contrast to the controls. Even though their detailed mechanism of action remains to be determined, several target pathways were identified, including maintenance of GSH under conditions of oxidative stress and Nrf2 signaling. Thus, the natural product hybrids should be considered as a class of neuroprotective compounds with a distinct and specific pharmacological profile.

3.6 Unpublished Results: Antioxidant Capacities

The beneficial effects of flavonoids towards treating several diseases had previously been mainly attributed to their antioxidant capacity. The published work in this chapter showed that 7-O-esters of taxifolin modified intracellular pathways and the effect was not solely based on their function as antioxidants. To examine this hypothesis, the antioxidant and radical scavenging capacity of taxifolin and 7-O-cinnamoyltaxifolin 1 were investigated in different antioxidant assays, addressing

various aspects of antioxidant activity. The DPPH (diphenyl-1-picrylhydrazyl) assay was performed by Matthias Scheiner (Decker lab, University of Würzburg), Feng He (Decker lab, University of Würzburg) performed the metal chelation and the ORAC (oxygen radical absorbance capacity) assay, and Florian Lang (Högger lab, University of Würzburg) conducted the FRAP (ferric ion reducing antioxidant parameter) assay.

The assays were chosen based on the categories of antioxidant capacity assays according to the chemical reactions involved. 157 The DPPH and FRAP assay are based on hydrogen atom transfer, while the ORAC assay quantifies the antioxidant capacity based on single electron transfer. Metal chelation was investigated as antioxidants can scavenge alkoxyl radicals, which can be formed through metal-catalyzed Fenton-type reactions during the decomposition of peroxides. This aspect is particularly important in AD, as postmortem analysis of AD brains showed the accumulation of redox-active transition metals iron and copper.¹⁵⁸ The results of the DPPH, FRAP, and ORAC assays of compound 1, taxifolin, and cinnamic acid are shown in Table 1. Cinnamic acid was inactive in all assays and showed no antioxidant capacity. In the DPPH and FRAP assays, comparable values were observed for the antioxidant capacities of 1 and taxifolin. The esterification to cinnamic acid did not significantly impair the antioxidant capacity of taxifolin in the DPPH and FRAP assays, showing that the hydroxyl group in position 7 was not relevant for electron-transfer reactions. The ORAC assay, however, showed the antioxidant capacity involving hydrogen atom transfer reactions was negatively influenced by esterification (Table 1).

Compound	IC ₅₀ DPPH assay [μM]	FRAP assay [equiv. FeSO ₄]	ORAC [Trolox equiv.]
Taxifolin	5.0	2.0 ± 0.7	8.4 ± 0.4
7-O-Cinnamoyltaxifolin 1	5.6	1.7 ± 0.7	4.3 ± 0.4
Cinnamic acid	n. a.	n.a.	n. a.

Table 1: Results of the antioxidant capacity assays.

These results show that the overadditive effect observed in cell-based assays cannot be explained by a synergistic effect of taxifolin and cinnamic acid in their antioxidant capacity. Only for the chelation of copper compound **1** was a more potent chelator than taxifolin (Fig. 15A). These findings support that 7-O-esters of taxifolin modify

intracellular pathways and hold a distinct mode of action for neuroprotection, exceeding their effects as antioxidants.

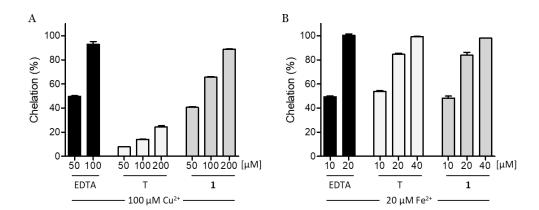


Figure 15: The capacity of taxifolin (T) and 7-O-cinnamoyltaxifolin 1 to chelate copper ions (**A**) or ferrous iron (**B**). EDTA served as a positive control. Data are presented as mean \pm SEM.

4. Development and Application of a Chemical Probe Based on a Neuroprotective Flavonoid Hybrid for Target Identification Using Activity-Based Protein Profiling



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Development and Application of a Chemical Probe Based on a Neuroprotective Flavonoid Hybrid for Target Identification Using Activity-Based Protein Profiling

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Author contributions:

- <u>S. Gunesch</u> (under supervision of Prof. Dr. M. Decker) designed and synthesized the compounds and performed all cell-based assays (under supervision of Dr. P. Maher).
- Dr. D. Soriano-Castell performed the microscopic analysis.
- S. Lamer (under supervision of Prof. Dr. A. Schlosser) performed MS analysis.
- Dr. P. Maher performed the knockdown experiments.

4.1 Introduction

In this follow-up project, the mode of action of the neuroprotectant 7-*O*-cinnamoyltaxifolin (1, 7CT) was closer examined on the molecular level by applying activity-based protein profiling (ABPP) to identify intracellular targets of the compound. A bioorthogonal Cu(I)-catalyzed [3+2] azido-alkyne cycloaddition (CuAAC) approach was used.¹⁵⁹⁻¹⁶¹ Briefly, an alkyne tagged chemical probe of the compound of interest undergoes covalent interactions with its target proteins when incubated with cells. The alkyne probe binds to its native targets and can then be tagged with any azide in a CuAAC reaction (Fig. 16). Depending on the application, tags can either be fluorescent dyes or other markers to visualize and identify target proteins. A widely used tag is biotin as the high affinity for streptavidin is convenient for the enrichment of bound proteins and enables characterization by MS analysis.¹⁶⁰ Within this work, a chemical probe of 7CT suitable for target identification was designed, synthesized, validated, and implemented in ABPP.

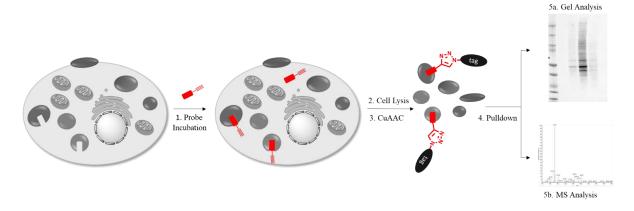


Figure 16: Workflow of the CuAAC approach for target identification. During incubation, the chemical probe binds to its native targets inside the cells. The cells are lysed and submitted to CuAAC reaction to tag the chemical probe, which is covalently bound to its intracellular targets. After pulldown purification, target proteins are analyzed.

4.2 Chemistry

Designing a chemical probe for target identification purposes, it is of utmost importance not to alter the mode of action of the compound. For 7-O-cinnamoyltaxifolin, its simultaneous influence on several proteins and signaling pathways could be mediated through the Michael acceptor sites at the cinnamoyl

moiety and the catechol residue, allowing covalent conjugation to proteins (Fig. 17A). Comprehensive SARs on the respective silibinin ester supported this hypothesis. 132

Figure 17: A) Design of the chemical probe without altering electrophilic moieties (encircled) of 7-O-cinnamoyltaxifolin; **B)** The chemical structure of 7CT-alkyne (3).

The attack of a nucleophilic side chain, of cysteinyl thiolates, for example, to the electrophilic beta-carbon, could be one potential mechanism to form covalent adducts inducing cellular responses.¹⁶² To preserve potential reactive sites of 7CT, the aromatic

Scheme 2: Synthesis of 7CT-alkyne **3**, a 7-*O*-cinnamoyltaxifolin based chemical probe, and the control compound **4**. i) NaI, dry acetone, reflux, overnight; ii) H₂SO₄, ethanol, reflux, 6 h; iii) **5** or 1-iodopentane, K₂CO₃, dry acetone, o °C to reflux, overnight; iv) NaOH, water, ethanol, room temperature; v) 1. Oxalyl chloride, DMF, dry THF, room temperature, 30 min; 2. Taxifolin, triethylamine, room temperature, overnight.

moiety of the phenolic acid was chosen to introduce the alkyne tag necessary for CuAAC. Previous SARs study with phenolic acid esters of silibinin¹³² and 7-O-feruloyltaxifolin as a potent neuroprotectant¹⁶³ showed that this entity tolerated modification without loss of activity. Therefore, 7CT-alkyne (3) (Fig. 17B) was synthesized, starting from 3-hydroxy cinnamic acid (6). The carboxylic acid moiety was protected using ethanol to selectively react with 5-iodopentyne (5), which was synthesized via a Finkelstein reaction, at the aromatic hydroxyl position. Deprotection under basic conditions gave compound 9. Regioselective esterification with taxifolin without protective groups was achieved by forming the acid chloride of compound 9 with oxalyl chloride. In situ esterification under basic conditions with adjusted reaction times and extensive column purification gave the target compound 7CT-alkyne (3). The control compound 7CT-alkane (4) was synthesized to compare the influence of an aliphatic modification in the aromatic position on the neuroprotective performance of the compound and served as a negative control in the CuAAC reaction. The synthetic route for compound 4 was analogous to compound 3, replacing 5-iodopentyne (5) with 1-iodopentane in step iii (Scheme 2).

4.3 Biology

For validation of the chemical probe, 7CT-alkyne $\bf 3$ and the control compound 7CT-alkane $\bf 4$ were subjected to phenotypic screening assays described before. Figure 18 shows the activity of the chemical probe $\bf 3$ and the control compound $\bf 4$ compared to the lead compound 7-*O*-cinnamoyltaxifolin (7CT). Even though there was a slight increase in toxicity by introducing the aliphatic moiety (Fig. 18A), compound $\bf 3$ proved to be neuroprotective throughout all assays, as was the control compound $\bf 4$. At a concentration of 5 μ M, 7CT-alkyne $\bf 3$ was as protective as 7CT in the phenotypic screening assays for protection against oxytosis (Fig. 18B), ferroptosis (Fig. 18C), and ATP depletion (Fig. 18D), proving that the compound was active and therefore suitable for use as a chemical probe for target identification.

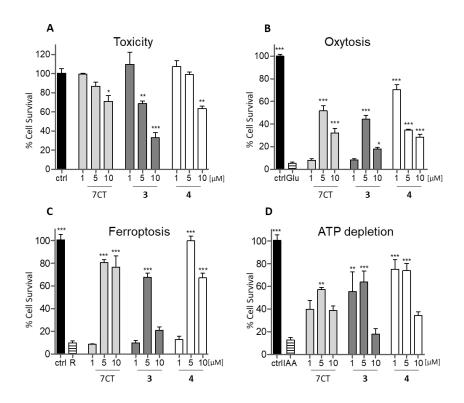


Figure 18: Phenotypic screening assays in HT22 cells. **A)** Toxicity of the compounds 7CT, 7CT-alkyne (3), and 7CT-alkane (4). **B)** Neuroprotective effects of 7CT, 3, and 4 against oxytosis induced by 5 mM glutamate (patterned). **C)** Neuroprotection of 7CT, 3, and 4 against ferroptosis induced by 0.3 μ M RSL3 (patterned). **D)** Neuroprotective effects of 7CT, 3, and 4 against ATP depletion induced by 20 μ M iodoacetic acid (IAA, patterned). Means \pm SEM of three independent experiments are shown referring to untreated control cells (black). One-way ANOVA followed by Dunnett's multiple comparison posttests was used for analysis referring to untreated controls in A) or cells treated with the respective insult in B), C), and D). Levels of significance: *p < 0.05; **p < 0.01; ***p < 0.001.

Further validation experiments showed that the probe 7CT-alkye 3 remained active against neuroinflammation and induced Nrf2 upregulation (Fig. 19). Even though 7CT seemed more potent at higher concentrations and led to nearly 6-fold induction of Nrf2 levels at 10 μ M, increased Nrf2 levels were significant for both compounds at the same concentration of 5 μ M (Fig. 19B).

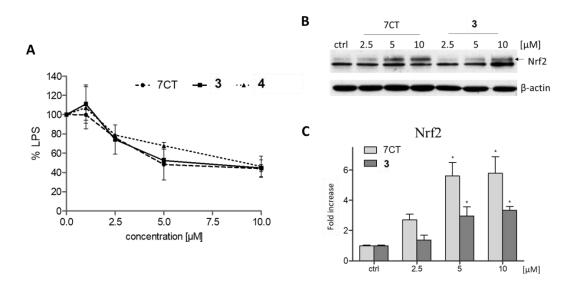


Figure 19: A) Neuroinflammation in BV-2 cells. Effects of 7CT, **3**, and **4** on NO production in LPS-induced inflammation. NO was quantified by the Griess assay. Data are given as means \pm SEM and relative cells treated with LPS only set as 100%. **B)** Western blot analysis of Nrf2 induction by 7CT and **3** in nuclear fractions of HT22 cells. DMSO served as a control. Levels of Nrf2 were normalized to actin, and representative blots are shown. **C)** Quantification of the results from three independent experiments, as shown in B). Statistical significance refers to DMSO-treated controls with * p < 0.05.

Flavonoids like fisetin have been shown to modulate MAP kinases, 116 and modifications of MAP kinases have not been investigated for 7-O-esters of taxifolin before. Therefore, compound 7CT and its chemical probe 3 were analyzed to induce the MAP kinases ERK and p38, both relevant for AD. ERK signaling is involved in cell proliferation, differentiation, and survival or promotion of cell death. It, therefore, can be engaged in neurodegeneration. 164 Postmortem brains of early-stage AD patients showed increased phosphorylation of p38, which is connected to mediating A β -induced inflammation and impaired autophagy in neurons leading to neurodegeneration. $^{165-167}$ 7CT and compound 3 led to a significant increase in ERK phosphorylation and activation after 5 minutes (Fig. 20B). The effect ceased with increased incubation times, thereby excluding chronic ERK activation, which is correlated with neurodegeneration. 168

Figure 2oC shows that at a concentration of 10 μ M, compound **3** and 7CT both reduced p38 phosphorylation. Decreased activation was observed after 5 minutes of incubation and was significantly reduced for the whole time-course of 2 hours. The activation of ERK and deactivation of p38 underlined that 7CT and compound **3** modify MAP kinases. Thus, these results strengthened the reliability of compound **3** as a suitable bait for target identification.

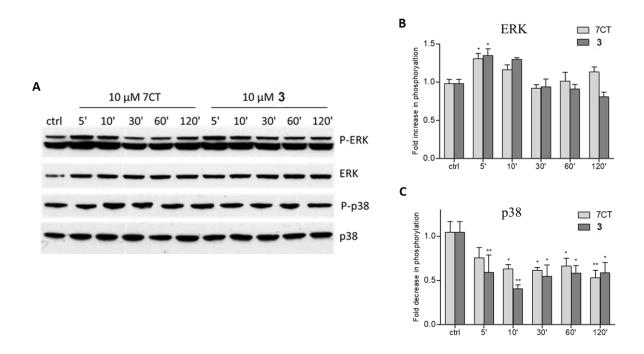


Figure 20: A) Cells were treated for the indicated time points with 10 μ M **3** or 7CT. DMSO treatment served as a control. Lysates of HT22 cells were prepared in sample buffer and analyzed by Western blot for phosphorylated ERK (P-ERK), total ERK (ERK), phosphorylated p38 (P-p38), or total p38 (p38). Levels of the phosphorylated protein were normalized to those of the total protein, and representative blots are shown. **B)** and **C)** Quantification of the results from three independent experiments, as shown in **A)**. Statistical significance refers to DMSO-treated controls with * p < 0.05, ** p < 0.01.

4.4 Microscopic Analysis

As outlined in the introduction of this work, neuronal cell death in AD is associated with mitochondrial dysfunction. To determine whether compound **3** targets mitochondria, high-resolution fluorescence microscopy was used to analyze its intracellular location in an HT22 cell line expressing green fluorescent protein (GFP) in mitochondria (HT22-mitoGFP). HT22-mitoGFP cells were treated with the probe 7CT-alkyne **3** for 30 minutes, permeabilized, and reacted with the fluorescent dye Cy3-azide in a CuAAC reaction (for experimental details cf. Appendix II). Cy3 is then

covalently bound to the probe **3**, and its detection with fluorescence microscopy shows the probe's intracellular localization. As shown in Figure 21, compound **3** was found at several locations, including unknown structures in the perinuclear region. Notably, the fluorescence profiles of mito-GFP and Cy3 indicated that compound **3** was also strongly enriched in mitochondria after 30 minutes of incubation (see graphs on the right of Fig. 21). No significant signal for Cy3 was detected in control cells treated with DMSO. These results suggested that the interaction partners of compound **3** and 7CT might be associated with mitochondria.

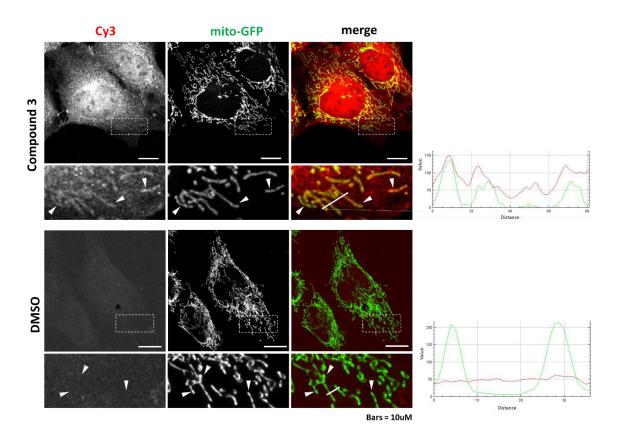


Figure 21: Representative microscopic images of HT22-mitoGFP cells incubated with 5 μ M 3 or DMSO for 30 minutes. Red signals derive from Cy3 and correspond to adducted proteins; green signals are mito-targeted GFP. Arrows indicate mitochondrial structures to note the co-localization of Cy3 staining with mitochondria in cells incubated with compound 3 compared to the absence of signal in DMSO-treated cells. Co-localization was visualized by a line plotted in the merged images (magnified images). Charts on the right show quantification of the fluorescence profile along this line for each channel. The X-axis indicates distance, and the Y-axis represents fluorescence intensity in arbitrary units.

4.5 Affinity Pulldown and MS Analysis

For the affinity pulldown, 200 μ M of compound 3 were incubated with HT22 cells for 2 hours before lysis and CuAAC reaction with biotin-azide. Proteins bound to 3 were purified on streptavidin magnetic beads, separated by SDS-PAGE, and analyzed by nanoLC-MS/MS after tryptic digestion. MS analysis and quantification were performed by Stephanie Lamer and Prof. Dr. Andreas Schlosser at the RVZ of the University of Würzburg. A total of 708 proteins were identified in a label-free approach and quantified. Seventy of these were significantly enriched in both replicates and thus classified as potential target candidates (summed significance 4; Fig. 22).

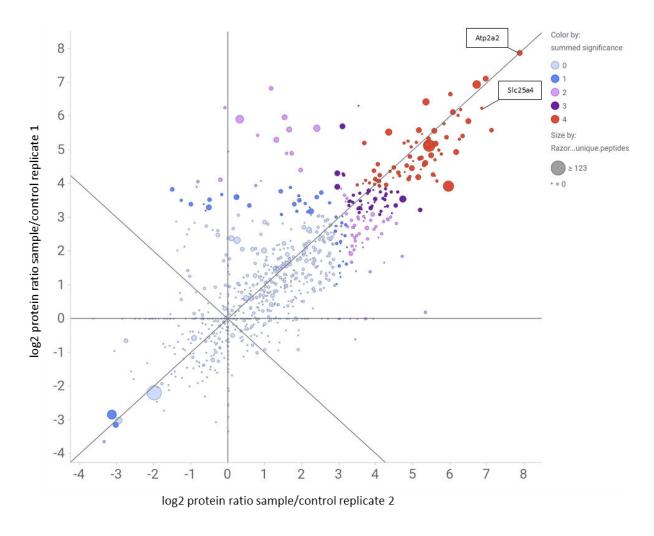


Figure 22: Log2 transformed protein ratios sample/control of two replicates are shown. Summed significance values were used to identify the best target candidates (significance 2 in both replicates = significance 4, marked in red). The size of the dots corresponds to the number of razors and unique peptides of a protein.

Two of the 70 hits were pursued further to investigate the interaction between compound **3** and 7CT and the respective identified proteins. One was the sarco/endoplasmic reticulum Ca²⁺-ATPase (SERCA, Atp2a2), which was the protein with the highest significance in the log2 protein ratio sample/control and, therefore, the top target identified according to MS analysis (Fig. 22). As the microscopic analysis showed compound **3** in mitochondria, mitochondrial proteins among the targets were of interest. The mitochondrial carrier adenine nucleotide translocase 1 (ANT-1, Slc25a4) was as top 4 the most significant hit of a mitochondrial protein and the only mitochondrial protein among the top 10 of the identified proteins. Therefore, ANT-1 was chosen as a second target for further examination.

4.6 ANT-1 and SERCA as Targets

ANT-1 is an ADP/ATP carrier at the inner mitochondrial membrane and the most abundant protein in mitochondria, contributing to 1-10% of total mitochondrial protein. ANT-1 is relevant as a potential pharmacological target in neurodegenerative disorders, particularly for AD, as mitochondrial dysfunction is proposed to be one of the major hallmarks of the disease. The carrier was characterized as a pro-apoptotic protein, as increased ATP export is essential for apoptosis, and is mediated by enhanced levels of ANT-1 leading to mitochondrial breakdown and the apoptotic cascade. The role in non-apoptotic forms of cell death, like ferroptosis and oxytosis covered in this work, has not been investigated yet. Therefore, HT22 cells transfected with ANT-1 siRNA were tested for effects on oxytosis and ferroptosis. ANT-1 knockdown protected against these insults. Significantly more

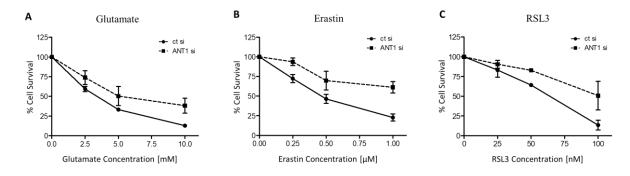


Figure 23: ANT-1 knockdown leads to protection against glutamate as an inducer of oxytosis (**A**), and erastin (**B**) and RSL3 (**C**) as inducers of ferroptosis.

cells survived treatment with glutamate as an inducer of oxytosis (Fig. 23A), or erastin and RSL3 as inducers of ferroptosis (Fig. 23B, C, respectively). These findings strongly supported the implication of ANT-1- inhibition in the protective effect of compound **3** and, consequently, 7CT.

In the absence of 7CT, the knockdown of ANT-1 was protective (Fig. 23). However, under oxytosis conditions and the treatment of 7CT, the control cells were as protected as the ANT-1 knockdown cells. This indicates that ANT-1 was not the exclusive target of 7CT, and other mechanisms are also involved as the compound provided additional protection (Fig. 24B). ROS and oxidative stress lead to increased mitochondrial membrane potential (MMP).¹⁷⁶ Modification of the MMP by 7CT would also be a possible mechanism of action. It was shown that FCCP, a mitochondrial uncoupler dissipating the MMP, also protected cells from oxytosis.¹⁷⁷ Further, a study with quercetin showed that low concentrations of the flavonoid reduced the MMP and inhibited adenine nucleotide exchange by ANT-1.¹⁷⁸ As the concentrations at which neuroprotection was observed in our study were in the low micromolar range, 3 and 7CT could act as mild uncouplers and inhibitors of ANT-1 and thereby protect cells.

The sarco/endoplasmic reticulum Ca²⁺-ATPase 2 (SERCA), a second interaction partner identified by MS analysis, is located in the endoplasmic reticulum (ER). The pump regulates calcium influx into the ER under ATP consumption. ER-stress concomitant with calcium dysregulation causes neuronal impairment and neuronal

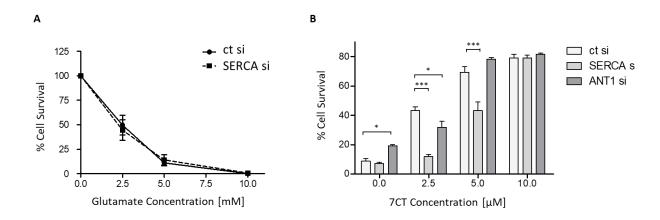


Figure 24: A) The transfection of HT22 cells with SERCA siRNA is not protective against glutamate-induced oxytosis. **B)** ANT-1 (dark grey) and SERCA (light grey) knockdown cells were treated with increasing concentrations of 7CT in the presence of glutamate. Data are presented as means \pm SEM of four independent experiments. Statistical analysis was rendered using Two-way ANOVA followed by Bonferroni posttests using GraphPad Prism 5. Levels of significance: * p < 0.05; ***p < 0.001.

death and is involved in the progressive neurological decline of AD. 179 Krajnak et al. 180 showed that activation of SERCA was neuroprotective and improved memory and cognition in APP/PS1 mice. In this work, SERCA was validated as a target for 7-O-esters of taxifolin by knockdown experiments. In contrast to the results with ANT-1, HT22 cells treated with SERCA siRNA were not protected against glutamate-induced oxytosis (Fig. 24A). However, SERCA knockdown significantly impaired the protection by compound 7CT at the lower concentrations of 2.5 and 5 μ M (Fig. 24B), suggesting a vital role of SERCA in the protective mechanism of 7CT. At a concentration of 10 μ M 7CT, the decrease in protection by the absence of SERCA was no longer seen. Higher concentrations of 7CT likely activate other protective pathways due to the pleiotropic action of the compound and hence compensate for SERCA knockdown.

4.7 Conclusion

In conclusion, the probe **3**, designed and synthesized within this work, proved its suitability as a neuroprotectant in cell-based phenotypic screening assays addressing different aspects of neurodegeneration and aging. On a molecular level, Western blots confirmed that the parent compound 7CT and the probe act on the same pathways. The alkyne-tag was not altering the mechanisms of action. SERCA, the top target candidate of compound **3** in MS analysis, and ANT-1, the top mitochondrial target candidate, were investigated for their relevance for compound **3** and 7CT in knockdown experiments. Both proteins are crucial for cell homeostasis and survival and were, for the first time, shown to be modified by 7-*O*-esters of flavonoids.

5. Studies on Chemical Modifications of Flavonoids

5.1 Chemical Modification of Fisetin

Fisetin (Scheme 3) is a secondary plant metabolite found in fruits and vegetables and enriched in strawberries. The flavonoid is structurally closely related to quercetin, lacking the hydroxyl group in position 5 of the A-ring. Fisetin was shown to be neuroprotective in several *in vitro* assays and proved to be orally active and cognition-enhancing in *in vivo* studies.¹⁸¹ The natural product entered clinical trials for the treatment of AD.¹⁸¹ Different intracellular pathways were shown to be modified by fisetin,^{115, 116, 182} however, the identification of direct interaction partners is of interest to understand its detailed mode of action. Therefore, within this work, an alkyne moiety should be introduced to fisetin for target identification studies applying the approach established in chapter 4 (details cf. Appendix II). In previous studies with taxifolin and another study with the structural closely related silibinin,^{132, 163} position 7 proved suitable and well-tolerated for chemical modifications regarding neuroprotection. Hence, the alkyne tag should be introduced in position 7 of fisetin.

Chemical modifications of fisetin are so far confined to a study aimed to increase its direct radical-scavenging ability by C-methylation¹⁸³ and a more extensive study investigating SARs by modifying hydroxyl groups and the flavone scaffold to improve its neuroprotectivity for the treatment of stroke.¹⁸⁴ In both studies, the flavonoid was synthesized by aldol condensation of an aldehyde and acetophenone with the respective modification to a chalcone, which was subsequently reacted to the flavone (Fig. 25).

Figure 25: Total synthesis of fisetin derivatives according to Chiruta et al. ¹⁸⁴ i) Ba(OH)₂, MeOH, 40 °C, overnight; ii) I₂, DMSO, 130 °C, 6 h.

As fisetin is commercially available, the compound was directly used for chemical modification. Direct alkynylation without protective groups failed as an inseparable mixture of 3'-O, 4'-O, and 7-O-alkynated products was obtained and protective groups

were required. Mattarei et al. 185 used protective acetyl groups for the selective methylation of quercetin in an improved synthesis of the natural product rhamnetin (7-O-methyl quercetin). Based on that study, protective acetyl groups were introduced into the flavonoid fisetin. The specific reactivity of the hydroxyl groups was utilized for selective deprotection in position 7 with imidazole-assisted deacetylation (Scheme 3). Acetylation of all four hydroxyl groups of fisetin to 12 was achieved with a quantitative reaction. Selective deprotection occurred with detriments in yields, and compound 13 was isolated with a yield of 49%. The reaction was limited by the incomplete conversion of the starting material 12 (Scheme 3).

Scheme 3: Protection of fisetin.

To facilitate the reaction, thiophenol was added as acetyl scavenger as proposed by Li et al. 186 However, the conversion was not improved by thiophenol, and also changing the solvent from dichloromethane to *N*-methyl-2-pyrrolidone (NMP) as suggested by Li et al. 186 did not lead to a significant increase of yield, which would justify the use of the toxic chemical or abstain from TLC monitoring, which was hampered using NMP.

Reacting compound **13** with 5-hexynoic acid alkynylation in two positions of the flavonoid was observed. Next to the starting material **13**, LC-MS analysis revealed m/z = 503 as the main product corresponding to the proposed structure **14** with [M+H]⁺

Scheme 4: LC-MS analysis revealed alkyne addition in two different positions of **13**. Shown is a proposed structure. The exact position of the linker was not assigned.

calculated = 502. Hence, for fisetin, not all protective acetyl groups were stable under the reaction conditions for nucleophilic substitution (Scheme 4).

Alternative protective groups used for flavonoids are mainly benzyl protective groups. However, after introducing an alkyne tag, hydrogenolysis cannot be applied for deprotection, limiting the selection of protective groups. As the 3-OH is relatively unreactive due to the hydrogen bond with the carbonyl in position 4 of fisetin, it was assumed that the protection of 3'- and 4'-OH might be sufficient to modify position 7

Scheme 5: 3'-4' diol protection approaches of fisetin. No conversion was achieved with the acetonide or borax protective groups.

selectively. Due to the specific reactivity of 3-OH and 7-OH, finetuning of the reaction conditions was expected to give selectively 7-O-akynylated fisetin. Hence, 1,2-diol protective groups were applied. Several approaches to introduce acetonide or borate protective groups failed as no reaction occurred, and fisetin was recovered (Scheme 5). Successful diol protection of fisetin was achieved in a microwave-assisted reaction with dichlorodiphenylmethane yielding compound **15** (Scheme 5). The diphenyl protective group could be removed with glacial acetic acid in water in a five to one ratio, which was compatible with the alkyne moiety.

The reaction of compound **15** with 1.0 equivalent of 5-iodopentyne led to the substitution of both positions 7 and 3 as the main product **16**. Shi et al. ¹⁸⁷ applied the diphenyl protective group to study *O*-methylation patterns of quercetin as an inhibitor of cancer cell proliferation. In that study, positions 7 and 3 were methylated in parallel using 2.6 equivalents methyl iodine instead of 1.0 equivalent applied in this work. ¹⁸⁷ Tight monitoring of the reaction with TLC and LC-MS revealed that the double alkynylated product formed immediately. Decreasing the amount of 5-iodopentyne to 0.5 equivalent did not influence regioselectivity. Therefore, 7-*O*-alkynation of fisetin was not successful using protective groups.

Scheme 6: Alkynation of compound 15.

5.2 Chemical Probes of Taxifolin and Quercetin

Investigating 7-*O*-esters in chapter 3, taxifolin was not protective in the assays applied. However, it must be noticed that the concentrations studied were in the low micromolar range. Using a >10-fold higher dosage of the flavonoid, several studies had shown neuroprotective effects of taxifolin *in vivo*.¹³¹ However, the detailed mechanisms of action of taxifolin are mostly elusive and not well understood. Therefore, with adapted concentrations, interactions of taxifolin with target proteins should be detected in the pulldown fraction of the ABPP approach. Hence, a chemical probe of taxifolin was synthesized together with Julian Hofmann (Decker lab, University of Würzburg).

The flavonoid quercetin is also a known neuroprotectant with an elusive mode of action. ¹⁸⁸ In cellular uptake studies, 7-O-cinnamoyltaxifolin was metabolized to 7-O-cinnamoylquercetin (Fig. 13, chapter 3). Interestingly, Vrba et al. ¹⁴⁴ had shown that incubation of taxifolin alone did not lead to the conversion to quercetin. Therefore, the mode of action of taxifolin and quercetin might vary, and the chemical probe of quercetin was also synthesized. Based on the previous studies with taxifolin and 66

silibinin,^{132, 163} the alkyne tag should be introduced in position 7 of taxifolin and quercetin. The direct reaction of the pentyne halide to the native flavonoid was not regioselective, and protective groups had to be applied for the selective modification in position 7. As the acetyl protective groups described in the synthesis of the chemical modification of fisetin (Scheme 3) were proven stable in the study of Mattarei et al.¹⁸⁵ for the selective methylation of quercetin, the acetyl protective group strategy was applied for taxifolin and quercetin (Scheme 7).

Scheme 7: Protection and selective deprotection of the flavonoids taxifolin and quercetin.

For the two flavonoids taxifolin and quercetin, the protection and selective deprotection reactions proceeded with satisfying yields and compounds **18** and **20** proved to be stable in the following steps of the synthesis route. A Finkelstein reaction with 5-chloropentyne gave 5-iodopentyne **21** as a linker precursor. In a nucleophilic substitution, **21** was reacted with the 7-*O*-deprotected flavonoids **18** and **20**. Acidic removal of protective acetyl groups gave the target compounds **22** and **23**, respectively (Scheme 8).

Scheme 8: Alkynylation of taxifolin and quercetin.

In future work, compounds **22** and **23** could be used in an ABPP approach according to the established protocol in chapter 4. A comparative analysis of the resulting lists of targets could give insights about the compounds' mode of actions. Three key hypothetical outcomes would allow the following valuable conclusions:

- 1. A large intersection of targets between 7CT and quercetin would suggest quercetin as the active metabolite important for the cellular response.
- 2. If the targets of quercetin and taxifolin are distinct from 7CT, the result would indicate that 7-O-esters of taxifolin are a specific class of compounds with a different intracellular mode of action.
- 3. If the targets identified are mostly overlapping between 7CT and taxifolin, it might indicate that the phenolic acid moiety serves as a sort of carrier, facilitating cellular uptake. A time-course experiment of cellular uptake quantifying and comparing the intracellular amount of taxifolin and 7-O-cinnamoyltaxifolin would give hints towards this hypothesis.

5.3 Synthesis of Amine-Functionalized Derivatives of Taxifolin and Quercetin

Hydrolysis represents a common degradation reaction affecting the stability of chemical compounds. Ester bonds are prone to hydrolyze and are therefore preferably used in prodrugs than in the active compound in medicinal chemistry. Even though cellular uptake experiments of the ester 7-O-cinnamoyltaxifolin showed that the primary metabolic product is the quercetin derivative, the compound was mostly degraded within 4 hours (Fig. 13, chapter 3).

$$\begin{array}{c} OH \\ OH \\ OH \\ OH \\ OH \\ O \end{array}$$

Figure 26: General structure of 7-*O*-esters of taxifolin (left) and the compound with the amide linker (right) for comparison.

To replace the ester group by an amide moiety, which is less readily hydrolyzed, aminefunctionalized derivatives of taxifolin and quercetin were synthesized. The introduction of an amine linker connected via an ether to the flavonoid enables the synthesis of another, more stable class of hybrids via an amide bond (Fig. 26).

The linker precursor was synthesized starting with 3-bromopropylamine. The amine group was Boc-protected to compound **24**. In a Finkelstein reaction, the bromine was replaced by iodine, compound **25**, to improve the leaving group in the nucleophilic substitution (Scheme 9).

Scheme 9: Synthesis of the linker precursor **25**.

In contrast to the 7-O-ester formation, where direct esterification was possible without protective groups, the introduction of the linker via an ether bond was not

regioselective and required the use of protective groups. The acetylated derivatives **18** and **20** described before to synthesize chemical probes (Scheme 8) were applied for the synthesis. For the linker addition, basic conditions in anhydrous DMF were used. Even though sodium hydrate was better soluble in the solvent, potassium carbonate was the base of choice as test reactions revealed fewer side products monitored by TLC. Compounds **18** and **20** were dissolved in dry DMF and reacted with **25**. Compounds **26** and **27** were purified by silica gel column chromatography, isolated, and spectrally characterized. However, yields were low (Scheme 10). Milder conditions with extended reaction time and stirring at ambient temperature did not improve the yield, as shown in Table **2.**

Scheme 10: Nucleophilic substitution to introduce the linker moiety in position 7 of the flavonoids.

Table 2: Reaction conditions for the linker coupling with 7-O-deprotected quercetin.

Examining the multiple side products during the linker addition shown in Scheme 10, the apparent hindrance was the loss of the flavonoid's protective groups during the reaction. LC-MS analysis revealed a mixture of byproducts with different numbers of protective groups and mono- or dialkylated products. Table 3 gives an overview of the most abundant byproducts in the crude reaction mixture of the quercetin derivative.

Retention time	Area	<i>m/z</i> found	m/z calculated	Proposed corresponding structure *
11.4 min	25%	[M+H] ⁺ 429.10	428.07	Educt 20
12.1 min	15%	[M+H] ⁺ 544.25	543.17	BochN O O OAc OAc
12.6 min	28%	[M+Na] ⁺ 650.50	627.20	Product 27
13.2 min	13%	[M+Na] ⁺ 765.35	742.29	BochN OAc OAc OAc

^{*} exact position of the linker and/or acetyl groups was not assigned.

Table 3: LC-MS analysis of the linker coupling to compound **20**.

In the final step synthesizing the amine-functionalized flavonoids taxifolin and quercetin, all protective groups were removed under acidic conditions (Scheme 11). Purification turned out to be difficult due to the amine group in conjunction with the free hydroxyl groups. During extraction, compounds **28** and **29** remained in the aqueous phase, as either the hydroxyl groups were charged under basic conditions or the amine under acidic conditions. Purification using reversed-phase column chromatography led to strong interactions of the compounds with the column material. Compounds **28** and **29** could only be isolated with low yields.

BocHN OAc OAc
$$CH_3CN:HCl$$
 $5:1$ $reflux, 2 h$ 30% OAc OAc

Scheme 11: Deprotection of **26** and **27** obtaining the derivatives of taxifolin **28** and quercetin **29** with a linker in position 7 bearing a free amine.

5.4 Synthetic Groundwork for Amide-Coupled Derivatives

The amine-functionalized compounds **28** and **29** can serve as precursors for different derivatives of taxifolin and quercetin. Via an amide bond, various moieties can be introduced with a more stable chemical connection. Taxifolin amides, with phenolic acids, for example (Fig. 27A), could be investigated in phenotypic screening assays to examine the influence of the ester bond and the presence of a linker on neuroprotective features in SARs.

Figure 27: Example structures of compounds resulting from amine-functionalized flavonoids. The taxifolin core is generic. **A)** Amide coupled phenolic acids with R = hydroxy or methoxy groups, depending on the acid. **B)** Amide coupled MMF.

Another exciting group to attach was monomethylfumarate (MMF, Fig. 27B). Dimethyl fumarate, the prodrug of MMF, is an FDA-approved drug to treat relapsing forms of multiple sclerosis. Among the mechanisms of action of MMF is the activation of the Nrf2 pathway to modulate inflammation and oxidative stress, which both play pivotal roles in AD pathology. In the first approach, the direct regions lective

esterification with taxifolin was implemented. The purified product **30**, however, hydrolyzed within hours (Scheme 12), and TLC analysis observed complete degradation overnight. Therefore, the compound was not suitable for *in vitro* investigations. Coupling MMF via an amide bond might stabilize the compound and overcome accelerated hydrolysis (Scheme 12).

Scheme 12: A) Synthesis of the 7-*O*-ester of taxifolin and MMF **30**. The ester hydrolyzed, and within 24 h, accumulation of taxifolin was observed. Degradation products of MMF are not detectable on TLC and LC-MS. **B)** Synthesis proposal of MMF coupled to taxifolin via an amide linker.

Further, chemical probes were synthesized using the amine derivatives of taxifolin and quercetin. For the amide coupling, the compounds **28** for taxifolin and **29** for quercetin were reacted with 5-hexynoic acid and HBTU as coupling agent under basic conditions to give compound **31** and **32**, respectively (Scheme 13).

Scheme 13: Amide coupling to obtain compounds **31** and **32** based on taxifolin and quercetin, respectively.

5.5 Conclusion and Outlook

Within this work, essential intermediates for amide-coupled derivatives of taxifolin and quercetin have been synthesized. The synthetic route needs optimization towards work-up and purification methods to improve the yields. A robust synthesis is required as the amine-functionalized compounds **28** and **29** can serve as the basis for a variety of derivatization by introducing phenolic acids, MMF, or other moieties of interest for SARs and pleiotropic molecule design. An option would be to introduce protective groups more stable than acetyl groups. Benzyl and diphenylmethane protective groups, for example, could be used. The diphenyl-protection of quercetin's catechol moiety was successful in a test reaction using microwave-assistance, under conditions used for the reaction of fisetin to compound 15. Further, treatment of 33 with benzyl bromide and potassium carbonate should give the 3-benzyl protected compound 34, and presumably, the 3,7-dibenzyl protected derivate as a side product, which should be separated easily. The Boc-protected linker precursor could then be introduced selectively in position 7, and hydrogenation and an acidic workup would remove all protective groups. The suggested route in Scheme 14 for quercetin would also apply to taxifolin.

Scheme 14: Synthetic route proposal for aminated flavonoid derivatives using benzyl and diol protective groups. Reagents and conditions: i) dichlorodiphenylmethane, diphenyl ether, 180 °C. ii) BnBr, K₂CO₃, DMF, room temperature iii) **25**, K₂CO₃, DMF, 50 °C. iv) Pd/C, H₂, MeOH, room temperature.

Another approach would be the total synthesis of the flavonoids. According to a synthetic approach applied in our lab (Hofmann et al.),¹⁹¹ the aminated acetophenone **39** and the aldehyde **41** would be reacted to the chalcone **43** (Scheme 15). Stirring **43** with hydrogen peroxide in methanol under basic conditions according Chiruta et al.¹⁸⁴ 74

followed by acidic workup should result in ring closure and deprotection and give the respective fisetin **44** or quercetin **45** derivative.

Scheme 15: Proposal for the total synthesis of amine derivates of flavonoids. Reagents and conditions: i) 1. **25**, K₂CO₃, DMF, 50 °C; 2. NaH, MOMCl, DMR, 0 °C to room temperature. ii) NaH, MOMCl, DMR, 0 °C to room temperature. iii) KOH, EtOH, 0 °C to room temperature. iv) 1. NaOH, 30% H₂O₂, MeOH, 0 °C to room temperature; 2. HCl, MeOH, room temperature.

For fisetin, the direct alkynylation was not successful as it was difficult to convert or fragile protective groups hampered the synthesis. The total synthesis of this compound could also be considered as proposed in Scheme 15. Starting from 2,4-dihydroxyacetophenone **36**, MOM-protection in step i is not needed as it was shown by Imai et al.¹⁸³ The alkyne linker would be connected to **44** via an amide bond. It needs to be investigated whether the amide moiety interferes with its use as a chemical probe.

6. A DHED-Based Carbamate as hBChE Inhibitor

The flavonoid hybrids described in chapters 3 and 4 are neuroprotective due to pleiotropic effects addressing several targets in parallel. However, neuroprotective effects *in vitro* and *in vivo* can also be induced by the targeted inhibition of the enzyme butyrylcholinesterase (BChE).^{134, 192, 193} As outlined in the introduction, inhibition of AChE and BChE is an important therapeutic approach to treat AD. Alkaloids are a class of natural products that can serve as ChE inhibitors and have proven relevant to AD.¹⁹⁴ The FDA-approved drug galantamine, for example, is an alkaloid. The natural products dehydroevodiamine, evodiamine, and rutaecarpine are alkaloids and the main components of dried fruits of the medicinal plant *Evodia rutaecarpa (Juss.)* Bentham (Fig. 28). The source of the alkaloids is often stated as "Wu-Chu-Yu," which is the name of the dried fruits of *E. rutaecarpa*.¹⁹⁵ In traditional medicine, *E. rutaecarpa* extracts were used to treat various diseases and conditions like headache, dysmenorrhea, gastro-intestinal discomforts, and abdominal pain. Due to its pharmacological relevance and clinical use, the extract is listed in the Chinese Pharmacopeia 2015 Edition.¹⁹⁶

Figure 28: Structures of the alkaloids dehydroevodiamine, evodiamine, and rutaecarpine.

While research on rutaecarpine mainly focused on its benefits in cardiovascular diseases, ^{195, 196} evodiamine and DHED drew attention in neurodegenerative disorders. Evodiamine was found to significantly alleviate impairments of learning and memory *in vivo* in AD mouse models, ¹⁹⁷ showed to be protective against oxidative stress in HT22 cells, and reduced levels of AChE in brains of AD mice. ¹⁹⁸ DHED was first mentioned in the context of CNS-related activity in 1996 when Park et al. ¹⁹⁹ screened 29 plants to find new compounds with antiamnesic effect. *E. rutaecarpa* was the most potent plant specimen in their amnesia model, and fractionation identified DHED as the active component. DHED was found to be a non-competitive dose-dependent inhibitor of AChE and was able to reverse scopolamine-induced amnesia in an *in vivo*

AD rat model. Further, DHED was shown to improve cognitive impairment and ameliorate learning and memory deficits in an AD rat model.²⁰⁰ In a study by Wang et al.,²⁰¹ DHED also significantly improved $A\beta_{25-35}$ -induced amnesia in mice additional to its cholinergic activity, supporting the compound as an exciting candidate for treatment against AD.

As mentioned before, cholinergic dysfunction is one characteristic of AD with increased levels of BChE, as the disease progresses.⁸⁷ Therefore, special emphasis was placed on selective BChE inhibitors.²⁰² Selective BChE inhibitors were previously designed and synthesized by implying evodiamine as a carrier scaffold for carbamates functioning as pseudo-irreversible inhibitors of cholinesterases. Huang et al.²⁰³ revealed the heptyl carbamate of 5-deoxo-3-hydroxyevodiamine **46** as a very potent and highly selective BChE inhibitor with $IC_{50} = 77$ nM and a selectivity index of >130. Furthermore, the released hydroxyevodiamine derivate **47** after carbamate transfer served as a potent neuroprotectant against oxidative stress (Fig. 29).²⁰³

Figure 29: Mode of BChE inhibition by the heptyl carbamate **46.** After the transfer, the neuroprotectant **47** is released.¹³⁴

DHED was shown to improve memory impairments related to AD. $^{200,\,201}$ Furthermore, the alkaloid has an interesting characteristic of pH-dependent structural conformational changes. At acidic pH, the compound exists a water-soluble quaternary salt and at basic pH the ring open form exists, which is in an equilibrium with the uncharged hydroxy-form (Fig. 30). To utilize these features, the scope of this project was to synthesize the DHED-based heptyl carbamate **48** as a selective BChE inhibitor (Fig. 30). DHED was suitable as a carrier unit addressing cholinesterases as the compound showed to be a micromolar inhibitor of AChE and BChE with 6.3 μ M and 8.4 μ M, respectively. 204 Introduction of the heptyl carbamate was meant to lead towards selectivity for BChE and increase the inhibitory activity. 192

$$n$$
-Hept $\stackrel{\text{H}}{\longrightarrow}$ $\stackrel{\text{H}}$

Figure 30: Structures of the target compound **48** and the pH-dependent structural changes towards the hydroxy-form, which is in equilibrium with the ring open form.²⁰⁶

6.1 Synthesis

While many reviews discussed the pharmacological profile of DHED and evaluated its biological activity, the literature on the synthesis of DHED was scarce. The most common method described to form the pentacyclic quinazolinium core was via condensation of the anthranilic ester **50** with an activated indolo lactam **49**.^{204, 205} Phosphorus(V) oxychloride was used for the activation of the lactam moiety (Fig. 31).

Figure 31: Condensation reaction of the pyrido indol derivate **49** with the anthranilic ester **50** for the synthesis of DHED as described by Nakayama et al.²⁰⁵

Alternative synthetic access to quinazolinium-salts of DHED with improved yields was described by Wehle et al.²⁰⁶ In that approach, the *N*-methylated isatoic anhydride **51** was directly reacted with the pyrido indole **52** without the use of coupling reagents, resulting in evodiamine **53**, which was then oxidized to DHED (Fig. 32). This approach was also adapted for the synthesis of the DHED-heptyl carbamate derivative **48** within this work.

Figure 32: Alternative synthetic route for DHED by Wehle et al.²⁰⁶ Compounds **51** and **52** were reacted without coupling reagent. Evodiamine **53** was then oxidized to DHED using potassium permanganate.

To introduce the carbamate in para-position to nitrogen 14, a hydroxyl group was needed in position 3 of the isatoic anhydride. The synthesis of the precursor **56** started from 3-methoxy anthranilic acid **54**. The anhydride **55** was formed using triphosgene in dry THF followed by *N*-methylation with methyl iodide to synthesize the isatoic anhydride precursor **56** according to previously described synthetic routes. ^{192, 207} For the pyrido indole precursor **52**, tryptamine **57** was reacted with ethyl formate to form the amide **58**. A cyclization reaction with phosphorus oxychloride gave compound **52**. The pyrido indole derivate **52** was coupled with the methylated isatoic anhydride **56** refluxing overnight in dichloromethane to give 3-methoxy evodiamine **59**. Oxidation of **59** to 3-methoxy DHED **60** required optimization of reaction conditions. Refluxing for 3 hours, as proposed by Wehle et al., ²⁰⁶ led to 3-methoxy rutaecarpine as the main product. LC-MS analysis of the reaction revealed 70% demethylated product corresponding to 3-methoxy rutaecarpine, and only 22% of the desired compound. Studies to optimize conditions showed mild conditions stirring at room temperature

Scheme 16: Synthetic route applied for 3-methoxy DHED **60**.

for 20 minutes are sufficient to oxidize 3-methoxy evodiamine **59** to 3-methoxy DHED **60** (Scheme 16).

For the deprotection of **60**, 47% HBr in water was applied. Milder conditions using aluminum chloride failed to remove the methyl protective group, and the starting material was regained. After refluxing **60** overnight with hydrobromic acid, LC-MS analysis of the crude reaction mixture showed 70% HO-DHED **61**. However, purification of the product turned out to be challenging. Due to the pH-dependent conformational change of the DHED structure, basification of the aqueous phase should shift the equilibrium towards the ring open dicarbonyl form, transferring the compound to the organic layer. For HO-DHED **61**, however, basification also led to deprotonation of the hydroxyl group hampering extraction to the organic phase. Adequate purification was only possible using reversed phase column chromatography resulting in deficits in yield. Carbamoylation of HO-DHED **61** with heptyl isocyanate in dimethylformamide with triethylamine gave the target compound DHED heptyl carbamate **48** (Scheme 17).

Scheme 17: Synthetic route for HO-DHED **61** and the target compound DHED heptyl carbamate **48**.

6.2 BChE Inhibition

Inhibition of human butyrylcholinesterase (*h*BChE) was assessed in the Ellman's assay.^{208, 209} 5,5'-Dithiobis-(2-nitrobenzoic acid) (DTNB), *h*BChE, and the compounds to be tested were incubated for 20 minutes when the synthetic substrate butyrylthiocholine (BTC) was added. Generated thiols by enzymatic conversion reacted with DTNB in a color reaction and were quantified photometrically. DHED and the heptyl carbamate of 5-deoxo-3-hydroxyevodiamine (46) inhibited BChE in the low or even sub-micromolar range. As these compounds represent the lead structures, enzyme inhibition was examined with 1 μM of the DHED heptyl carbamate compound 48. As the introduction of the heptyl carbamate moiety should increase inhibitory capacity at *h*BChE, HO-DHED 61 was assessed for comparison. After 20 minutes incubation of 1 μM 48 and 61, 20% and 21% inhibition of *h*BChE, respectively, was

observed (Table 4), leading to two unexpected drawbacks. First, the activity of the compounds for enzyme inhibition was comparatively low, and second, no difference for the heptyl carbamate derivative **48** and HO-DHED **61** was observed. The incubation time of 20 minutes was applied as it proved to be the optimal period for complete carbamoylation of BChE.^{193, 210} However, an unforeseen different binding mode might need adapted incubation times. Therefore, shorter and longer incubation times than 20 minutes were assessed the Ellman's assay to validate the results (Table 4). Inhibitory activity was determined from 4.5 minutes up to 4 hours, but neither increased activity nor a difference in inhibition capacity was observed at any time points for the heptyl carbamate derivative **48** and HO-DHED **61**.

Incubation time		4.5 min	10 min	15 min	20 min	2.5 h	4 h
% h BChE inhibition [1 μ M] cpd.	48	14 %	25 %	23 %	21 %	40 %	50%
	61	9 %	19 %	18 %	20 %	-	-

Table 4: Results of the Ellman's assay with 1 μM DHED heptyl carbamate 48 and HO-DHED 61.

6.3 Conclusion

Even though the heptyl-residue proved to be important in previous SARs with other tetracyclic carriers, ^{134, 203} the introduction of the heptyl carbamate did not improve the inhibitory capacity for DHED. This might be due to the core structure of DHED. In the study of Huang et al. ²⁰³ where evodiamine derivatives were investigated, selectivity and inhibition increased with the introduction of the heptyl carbamate only for 5-deoxo derivatives of evodiamine (**46**). Carbamoylation of hydroxy-evodiamine with a heptyl moiety did not alter or improve its effect on BChE. DHED, like evodiamine, bears a carbonyl in position 5, which might explain the lack of improvement of the DHED heptyl carbamate **48** as a selective inhibitor of BChE. To investigate this hypothesis, a 5-deoxo derivate of DHED would be needed. However, this compound is synthetically not accessible. Position 5 of DHED is oxidation sensitive, and the reduced form cannot exist, holding the characteristic oxidation in the B-ring necessary for DHEDs' pH-dependent conformational change.

In conclusion, introducing a heptyl carbamate did not improve DHED's features as a selective inhibitor of hBChE. For alkaloids, the absence of the 5-carbonyl moiety seemed to be the more relevant structural feature for enzyme inhibition.

7. Future Perspectives

7.1 Mitochondria as Site of Action

Mitochondrial dysfunction plays a crucial role in early-stage AD and aging, and mitochondria hold a pivotal role in neuronal survival. Due to the findings in microscopic analysis, where the Cy-3 labeled probe of 7-O-cinnamoyltaxifolin colocalized with mitochondria (Fig. 21, chapter 4), it might be of interest to further investigate mitochondria as drug targets and site of action for flavonoid-derivatives in AD.

Mitochondria provide an oxidative microenvironment that might influence the compounds' chemical structures, as flavonoids are redox-sensitive. In cellular uptake experiments, it was shown that 7-O-cinnamoyltaxifolin is metabolized to 7-O-cinnamoylquercetin (Fig. 13, chapter 3). The active metabolite for neuroprotection, however, was unknown. Identifying an active metabolite of 7-O-cinnamoyltaxifolin in a mitochondrial environment could be achieved by incubating the compound with isolated mitochondria. MS analysis of the supernatant could be used for the characterization of metabolites. Mitochondria can be isolated by differential centrifugation²¹¹ or ultracentrifugation. Confirming that the isolated mitochondria are intact, cytochrome c should be determined using ELISA or the potential-sensitive fluorescent dye tetramethylrhodamine ethyl ester (TMRE) could be applied using a plate reader.

With the 3',4'-dihydroxy substituent pattern on the B-ring, taxifolin is prone to oxidization by free radicals in the mitochondrial environment. Therefore, taxifolin semiquinone and quinone metabolites would be expected upon incubating the compound with mitochondria (Fig. 33).

Figure 33: Oxidation of 7-*O*-taxifolin ester in mitochondria might result in quinone-formation in the B-ring.

These quinone-type metabolites may act as electrophiles and serve as Michael acceptors undergoing redox cycling and result in ROS-production. Therefore, high concentrations of the flavonoid could result in a cytotoxic pro-oxidant effect counteracting the compounds' initial use as an antioxidant. The concentrations used in this work, and intended to be used in future work, were in the low micromolar range, and the compounds showed no inherent toxicity in viability assays. More important, it might even be necessary for the flavonoids to be oxidized to quinones to exploit their full potential of action. In cancer therapeutics, for instance, Michael acceptors are gaining importance, and in 2016 Pierce et al.212 even defined the "quinonome" as intracellular protein targets of quinone reactive intermediate electrophiles. In the context of AD, it has been shown that for taxifolin to interact with A\beta fibrils, the oquinone form of the catechol moiety needed to be present to undergo the aza-Michael addition.¹⁴³ Further, the presence of quinones can be important to induce the Nrf2dependent ARE gene expression, as it has been shown for the transcription factor BTB and CNC homology-1 (Bach1), one of the negative regulators of Nrf2.¹⁶² Quinones suppress Bach1 and therefore activate Nrf2 and the expression of antioxidant enzymes, like HO-1.

Despite the potential structural changes of the compounds upon entering mitochondria, it has been shown that flavonoids can modify mitochondrial activity. 178 To investigate the role of 7-O-esters of taxifolin on mitochondrial function, several aspects should be considered and evaluated. Mitochondrial respiration and the mitochondrial membrane potential (MMP) are direct measures of mitochondrial function and can be assessed *in vitro*. Mitochondrial respiration can be determined by quantifying the oxygen consumption of mitochondria using a Clark type electrode or by plate-based fluorescence assays.^{213, 214} Oxygen consumption can be measured in the presence or absence of compounds to investigate their influence on mitochondrial respiration. The MMP can be assessed using lipophilic cationic dyes, e.g., saphranine. Changes in absorbance due to the potential-dependent distribution of the dye in the intramitochondrial compartment and the external medium enables MMP calculation.²¹⁵ As discussed in chapter 4, it has been shown that mild MMP uncouplers were protective against oxytosis in HT22 cells. 177 Therefore, the effect of the flavonoidderivatives on the MMP would be of interest to further elucidate their mode of action. Especially as ANT-1 was identified as a target for 7-O-cinnamoyltaxifolin (cf. chapter 4 and Appendix II), and plays an important role in the dissipation of the MMP as part of the mitochondrial permeability transition pore (mPTP).

7.2 ANT-1 as Target in Alzheimer's Disease

The mPTP plays a crucial role in apoptosis, as its opening leads to the collapse of mitochondrial integrity and is the point of no return for apoptotic cell death. Several phytochemicals, among them flavonoids, have shown to modify mPTP formation and are thereby neuroprotective.²¹⁶ While the role of mitochondria and the mPTP is well understood for apoptosis, its role in ferroptosis remains debatable.²¹⁷ ANT-1, a significant component of the mPTP on the inner mitochondrial membrane, was identified as a target of 7-O-cinnamoyltaxifolin. In apoptosis, increased oxidative damage led to ANT-1 upregulation.²¹⁸ In ferroptosis, its knockdown showed to be neuroprotective (Fig. 23, chapter 4). Hence, it needs to be investigated whether ANT-1 is upregulated in ferroptosis and if protective compounds counteract increased ANT-1 levels. Using an ANT-1 antibody for Western blot analysis of HT22 cells treated with RSL3 or erastin for ferroptotic insult would determine whether ANT-1 levels change during ferroptosis. Co-incubation with compounds of interest would give insights on a contingent direct effect of the compounds on ANT-1 levels. Next to 7CT and its components taxifolin and cinnamic acid, other neuroprotective flavonoids like fisetin and sterubin^{181, 219} might be attractive candidates to investigate their influence on ANT-1.

If ANT-1 became crucial for ferroptosis, one could consider expanding its role in drug development approaches. As its knockdown was protective, it might be of interest to modify the flavonoid-hybrids towards more potent ANT-1 inhibition. Classical and robust inhibitors of ANT-1 are carboxyatractyloside (CATR), assumed to bind at the ADP binding site of ANT-1,²²⁰ and bongkrekic acid (BKA), assumed to bind at the ATP site of the carrier.²²¹ Both compounds are natural products. BKA is secreted by the bacteria *Pseudomonas cocovenenans*, and the Mediterranean thistle *Atractylis gummifera* produces actractylosides like CATR. The chemical structures of BKA and CATR are very different (Fig. 34), and so are their chemical properties. BKA is a membrane-permeable polyunsaturated methoxy tricarboxylic acid polyketide, and CATR a membrane-impermeable diterpene glycoside.

Figure 34: Chemical structures of the ANT-1 inhibitors carboxyatractyloside (CATR) and bongkrekic acid (BKA).

Both inhibitors could be included in a hybrid compound with flavonoids. According to Pebay-Peyroula et al.,²²⁰ the diterpene moiety of CATR bound to the ADP binding site. It was stabilized by various hydrogen bonds, while the glucose moiety with the two sulfate groups was oriented towards the outside. The terminal methyl groups of the butanoic ester residue were important for van der Waals contacts between the inhibitor and the carrier.²²⁰ Assuming that the flavonoid-core can form relevant hydrogen bonds with ANT-1, it might be interesting to introduce an isoalkane residue at the cinnamoyl moiety and investigate the neuroprotective features and ANT-1 inhibition capacity (Fig. 35).

Figure 35: Introduction of an isoalkane residue to 7-*O*-cinnamoyltaxifolin. In a nucleophilic substitution, ethyl protected hydroxy-cinnamic acid (7) reacts with 1-chloro-2-methylpropane or other suitable isoalkanes for SARs. After deprotection, the flavonoid could be coupled as previously described.

With two possible binding sites described, site-directed mutagenesis could be applied to investigate the binding site of 7CT to ANT-1. Pebay-Peyroula et al.²²⁰ proposed tyrosine 186 as an important interaction partner of CATR in the nucleotide-binding site in the c-state. In the m-state bound by BKA, the central substrate-binding site is

formed by the amino acids K30, R88, G192, I193, Y196, S238 and R287.²²¹ Mutating tyrosine to serine for example would revoke aromatic stacking. The role of the individual amino acids of the binding pockets could be investigated by changing to alanine. Systematic mutations in these regions and transfection of HT22 cells could give information about the binding site of the compounds, if changes in the assays are observed.

7.3 Further Proteins Identified with the Chemical Probe 7CT-Alkyne

Next to ANT-1, 69 other proteins were significantly enriched in MS analysis of pulldown fractions. The proteins identified by alignment with the UniProt mouse database were possible interaction partners and intracellular targets of 7-O-cinnamoyltaxifolin. Among the 70 most significant hits, Gene Ontology (GO) terms noticeably often held "nucleotide-binding" as a description of the molecular function of the proteins. Indeed, 12 of the 70 hit genes of the database encoded for proteins directly binding ATP (red in Fig. 36), another 5 were GTP-binding (blue), and a further 15 are DNA or RNA binding proteins (yellow, Fig. 36).

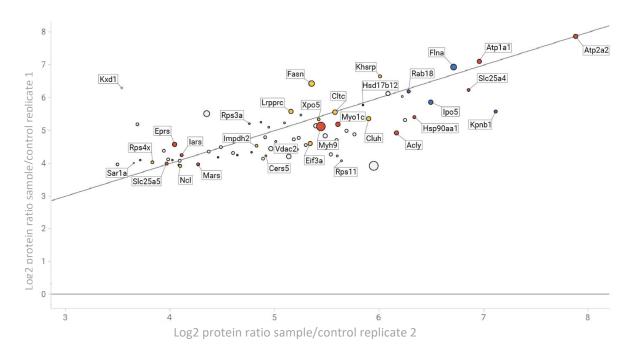


Figure 36: The 70 most significant proteins identified by MS-analysis are depicted. Tags show the gene name according to the UniProt mouse database. Red dots correspond to ATP-binding proteins, blue dots to GTP-binding proteins, and yellow corresponds to proteins binding DNA or RNA.

It has been shown before that flavonoids can interact with ATP binding sites of proteins. For example, quercetin and apigenin showed to bind the ATP binding site of p-glycoprotein. In the ABPP experiment, heat-shock protein 90 α (Hsp90 α , Hsp90a1 in Fig. 36) was among the ten most significant targets identified by MS analysis. Hsp90 holds an ATP binding site and is vital for cell protein homeostasis. As a chaperone, Hsp90 modulates the proper folding of nascent proteins and assists in either refolding of misfolded proteins or ensures their degradation. As the hallmarks of AD are defective protein accumulation of A β and tau, it seems reasonable to investigate Hsp90 as a pharmacological target for a neuroprotective effect.

The inhibition of Hsp90 leads to degradation of Hsp90-dependent kinases like glycogen synthase kinase 3 β (GSK3β) and Akt, contributing hyperphosphorylation.²²⁴ Hsp90 inhibition has two beneficial aspects: First, adverse kinases like GSK3β and Akt are diminished, and second, a prosurvival heat shock response is induced.²²⁴ The natural products Epigallocatechin-3-gallate (EGCG) and silibinin have been shown to inhibit Hsp90 and modulate protein degradation.²²⁵ EGCG binds to the C-terminal domain of Hsp90, which also holds an ATP binding site. 226 To investigate the direct interaction of 7-O-cinnamoyltaxifolin coimmunoprecipitation of the pulldown with an Hsp90-α antibody could be done. Interestingly, co-IP with an antibody for the Hsp90-β isoform did not give a signal as it has been shown for xanthohumol in a similar target identification approach by Brodziak-Jarosz et al.²²⁷ Xanthohumol is a natural product present in hops bearing a Michael system. Hence, the mechanism of action of 7CT seems to exceed an interaction solely based on electrophilic addition due to the Michael system. To examine whether 7CT binds to the ATP binding site for Hsp90 inhibition, a fluorescence polarization assay could be used.228

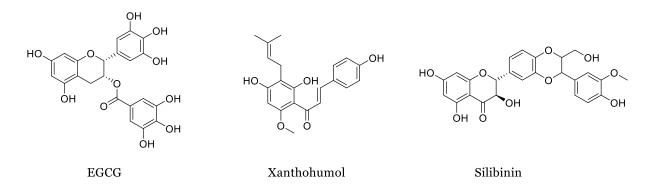


Figure 37: Chemical structures of EGCG, silibinin, and xanthohumol.

Another valuable approach to investigate binding or interaction sites of compounds with distinct protein targets are *in silico* studies. A computational modeling study with silibinin showed the inhibition of Hsp90 at the C-terminal domain overlapping with the binding pocket of the Hsp90 inhibitor novobiocin.²²⁹ Due to the structural similarity between silibinin and 7CT, it would be interesting to perform molecular docking, exploring whether 7CT shows preferences for the nucleotide-binding site or the novobiocin/silibinin binding site of Hsp90.

Other proteins of the list of identified targets might be suitable for docking experiments as well. The crystal structure for SERCA and Heme oxygenase-2 (HO-2), for example, were resolved and could be used in a docking or molecular dynamic (MD) simulation to identify the binding mode of the compound in the enzyme.^{230, 231} Especially for SERCA, it would again be interesting to see whether 7CT binds to the nucleotide-binding pocket. The feasibility of *in silico* experiments might be limited due to the subpar resolution of the crystal structure or complex protein dynamics. ANT-1, for example, is a highly dynamic protein hampering molecular docking experiments. Nevertheless, *in silico* studies hold immense value in the elucidation of potential binding sites of flavonoids at proteins identified as potential targets for therapeutic approaches in AD.

8. Summary

Alzheimer's disease is the most common form of dementia, and currently, there is no treatment to cure or halt disease progression. As life expectancy increases and age is the major risk factor for disease development, the number of affected people will increase. Given the severity of the global situation, it is of urgent need that science engages with AD and neurodegeneration to deepen the understanding of cellular processes in disease progression and to identify new approaches for pharmacological intervention.

Because the one-target strategy focusing on amyloid- β has failed to generate successful pharmaceutical treatment for AD, this work studies natural products with pleiotropic effects focusing on oxidative stress and neuroinflammation as key drivers of disease progression.

The central part of this work focused on flavonoids as neuroprotectants. Flavonoids are a class of secondary plant metabolites and, as polyphenolic compounds, inherent antioxidants. Further, flavonoids have been shown to modify intracellular pathways relevant for neuroprotection. 7-O-Esters of taxifolin and cinnamic or ferulic acid were synthesized and investigated towards their neuroprotective potential in cell-based phenotypic screening assays addressing relevant pathways in aging and disease (chapter 3). 7-O-Feruloyl- and 7-O-cinnamoyltaxifolin showed overadditive effects in oxidative stress-induced assays in the mouse hippocampal neuronal cell line HT22 and proved to be protective against neuroinflammation in microglial BV-2 cells. Specifically, the individual components of the ester and their equimolar mixture were not protective at the tested concentrations in any assay, whereas the esterified compounds showed pronounced neuroprotective activity. The overadditive effect translated to animals using an Aβ₂₅₋₃₅-induced memory-impaired AD mouse model where the compound was able to ameliorate short-term memory defects. While the disease-modifying effects in vivo were observed, the detailed mechanisms of action and intracellular targets of the compounds remained unclear. Hence, a chemical probe of the neuroprotective flavonoid ester 7-O-cinnamoyltaxifolin was developed and applied in an activity-based protein profiling approach (chapter 4). The probe was validated in cell-based assays and Western blot analyses. MS analysis of the pulldown fraction identified and quantified a total of 708 proteins. Seventy of the identified proteins were significantly enriched, of which two proteins, SERCA and ANT-1, were further investigated. SERCA was the top target specified in the analysis, and ANT-1 was the top mitochondrial target, which was of interest as microscopic examination showed localization of the probe in mitochondria. Validation experiments with siRNA suggested that 7-O-cinnamoyltaxifolin could act as a mild uncoupler of the mitochondrial membrane potential and inhibitor of ANT-1 and that SERCA plays a vital role in the protective mechanism of the compound.

To broaden the set of flavonoid-based compounds, chemical modifications on the flavonoids taxifolin, quercetin, and fisetin were performed (chapter 5). Chemical probes of taxifolin and quercetin were designed and synthesized, and the synthetic groundwork for amide-functionalized derivatives was accomplished by replacing the ester bond with an amine linker. Amide-coupling of, for example, phenolic acids allows to investigate the role of the ester bond in the compounds overadditive effect and enables the establishment of a new class of amide-based flavonoid hybrids.

A further part of this work focused on the cholinergic hypothesis, which is an important consideration regarding AD and its treatment, as three of four currently approved drugs are AChE inhibitors. With BChE gaining importance as a pharmacological target in AD, the biologically active alkaloid DHED should be optimized as a selective BChE inhibitor (chapter 6). To improve the inhibitory activity at the enzyme and increase the selectivity towards BChE over AChE, a heptyl-carbamate was introduced, which proved to be essential for inhibition and selectivity in previous SARs. $^{134, 203}$ The introduction of a heptyl carbamate, however, did not improve DHED as a selective inhibitor of hBChE. For alkaloids, the absence of the 5-carbonyl moiety seemed to be the more relevant structural feature for enzyme inhibition, as it was shown for evodiamine and 5-deoxo-evodiamine derivates. 203

The achievements of this work are an important contribution to the use of secondary plant metabolites as neuroprotectants. Chemical modifications increased the neuroprotective effect of the natural products, and distinct intracellular pathways involved in the neuroprotective mechanisms were identified. The beneficial pleiotropic effects of secondary plant metabolites are not exploited as a treatment for complex neurodegenerative diseases, like AD. The results of this work support the use of secondary plant metabolites as potential therapeutics and hint towards new pharmacological targets for the treatment of AD and other neurodegenerative disorders.

9. Zusammenfassung

Morbus Alzheimer ist die häufigste Form der Demenz und derzeit unheilbar. Die therapeutischen Möglichkeiten beschränken sich auf die symptomatische Behandlung der Krankheit und ihrer Folgeerscheinungen. Da sich mit steigendem Alter das Risiko an Alzheimer zu erkranken stark erhöht, wird mit dem demografischen Wandel unserer Gesellschaft auch die Anzahl der betroffenen Personen dramatisch zunehmen. Angesichts des zu erwartenden Anstiegs an Patienten und der fehlenden Behandlungsmöglichkeiten, ist es unabdingbar, dass sich die Wissenschaft intensiver mit Morbus Alzheimer beschäftigt. Durch Ursachenforschung und detailliertem Verständnis der molekularen Mechanismen müssen neue therapeutische Ansätze hervorgebracht werden, um die Krankheit zu heilen.

Der bisherige Fokus der Medikamentenforschung lag auf der Behebung von Aggregationen des Amyloidproteins, einem Hauptmerkmal und Kennzeichen von Morbus Alzheimer. Diese eindimensionale Strategie konnte nicht zu einer erfolgreichen Therapie führen, da hierbei die Komplexität der Krankheit vernachlässigt wurde. Daher sollten andere Forschungsansätze verfolgt und gefördert werden. Diese Arbeit rückt oxidativen Stress und Neuroinflammation als Schlüsselrollen für den Fortschritt der Krankheit in den Mittelpunkt und untersucht Naturstoffe mit pleiotropischer Wirkung, die diesen Pathologien entgegengesetzt werden können.

Der Großteil der Arbeit beschäftigt sich mit Flavonoiden, einer Substanzklasse der Sekundärmetaboliten von Pflanzen, die als Neuroprotektiva eingesetzt werden sollen. Flavonoide waren von Interesse, da sie aufgrund ihrer polyphenolischen Strukturen Antioxidantien sind und darüber hinaus neuroprotektive Eigenschaften aufweisen.

Das Flavonoid Taxifolin wurde selektiv in Position 7 mit den Phenolsäurederivaten Ferula- und Zimtsäure verestert und die Substanzen wurden hinsichtlich ihrer neuroprotektiven Eigenschaften untersucht (Kapitel 3). Hierzu wurde ein sogenanntes phänotypisches Screening durchgeführt. Die Effektivität der Substanzen wurde in verschiedenen Assays mit neuronalen Zelllinien analysiert, wobei die Zellmodelle das Krankheitsbild oder zellphysiologische Prozesse des Alterns abbildeten. Diverse Formen von oxidativem Stress wurden in der hippocampalen Mauszelllinie HT22

analysiert und Untersuchungen neuronaler Entzündungsprozesse wurden an der murinen Mikroglia Zelllinie BV-2 durchgeführt.

Die Zimt- und Ferulasäureester von Taxifolin zeigten überadditive protektive Wirkung in allen Tests. Das bedeutet, dass die einzelnen Komponenten der Esterverbindungen sowie deren äquimolare Mischung in den getesteten Konzentrationen keine protektive Wirkung aufwiesen, während die Ester selbst die Zellen vor den induzierten Schäden schützen konnten. Die überadditive Wirkung der synthetisierten Substanzen ließ sich auch auf ein Tiermodell übertragen. In einem Alzheimer Mausmodell konnten Beeinträchtigungen des Kurzzeitgedächtnisses aufgrund von Amyloid-Ablagerungen im Gehirn durch die Verabreichung der Taxifolin-Zimtsäure- und -Ferulasäureester behoben werden. Obwohl ein krankheitsmodifizierender Effekt in vivo beobachtet werden konnte, sind die molekularen Mechanismen der Wirkung und die intrazellulären Zielstrukturen der Substanz weitgehend unbekannt. Um dieses Problem zu adressieren, wurde eine chemische Sonde des Taxifolin-Zimtsäureesters entwickelt und synthetisiert, um Interaktionen der Substanz mit intrazellulären Proteinen mittels der sogenannten Activity Based Protein Profiling Methode aufzuklären (Kapitel 4). Die Sonde wurde in zellbasierten Tests und Western Blot Untersuchungen validiert und die Pulldown-Fraktion nach der Inkubation der Sonde mit Zellen massenspektrometrisch analysiert. In der MS Analyse wurden insgesamt 708 Proteine quantifiziert von denen 70 Proteine signifikant angereichert waren. Zwei der 70 Proteine wurde genauer untersucht: SERCA, welches in der MS Analyse die höchste Signifikanz aufwies, und ANT-1, das relevanteste mitochondriale Protein der Analyse. Letzteres war von besonderem Interesse, da mikroskopische Untersuchungen eine Lokalisierung der Substanz in den Mitochondrien von Zellen zeigten. Für die Validierung der beiden Proteine als Interaktionspartner des Taxifolin-Zimtsäureesters wurden knockdown Experimente mit siRNA durchgeführt. Im Zusammenhang mit ANT-1 konnten Hinweise gefunden werden, dass die Substanzen als mitochondriale Entkoppler und Inhibitoren von ANT-1 wirken könnten. Studien zu SERCA zeigten, dass das Protein eine wichtige Rolle für den protektiven Wirkmechanismus des Esters spielt.

Um Flavonoid-basierte Substanzen weiterzuentwickeln wurden im Rahmen dieser Arbeit verschiedene chemische Modifikationen an den Flavonoiden Taxifolin, Quercetin und Fisetin durchgeführt (Kapitel 5). Chemische Sonden von Taxifolin und Quercetin wurden entwickelt und dargestellt um die intrazellulären Mechanismen

dieser Substanzklasse weiter zu untersuchen. Um die Rolle der Esterbindung für die überadditive Wirkung genauer zu untersuchen, wurde die Esterbindung durch einen Amin Linker ersetzt. Diesen Verbindungen bilden außerdem durch die eingeführte Aminogruppe die Grundlage für eine neue Serie an Amid-gekoppelten Hybriden.

Ein weiterer Teil der Arbeit befasste sich mit der cholinergen Hypothese für die Entstehung von Alzheimer und den daraus resultierenden pharmakologischen Ansätzen der Therapie. Diese Hypothese stellte sich als vielversprechend für die symptomatische Behandlung von Morbus Alzheimer heraus, da vier der fünf verfügbaren Medikamente AChE Inhibitoren sind. Da dem Enzym BChE zunehmend mehr Bedeutung als therapeutischer Ansatzpunkt zukommt, war das Ziel dieser Arbeit mittels chemischer Modifikationen die inhibitorischen Eigenschaften des Alkaloids DHED an der BChE zu verbessern (Kapitel 6). Um die Inhibitionswirkung an der BChE zu erhöhen und gleichzeitig die Selektivität gegenüber der AChE zu steigern, wurde ein Heptylcarbamat an der Substanz Hydroxy-DHED eingeführt. In Struktur-Aktivitäts-Studien mit anderen Alkaloiden erwies sich die Heptylcarbamat-Gruppe als erfolgsbringend um die beiden Anforderungen zu erfüllen. 134, 203 Für DHED allerdings konnte die selektive Inhibition durch das Heptylcarbamat nicht verbessert werden. Stattdessen scheint das Modifizieren anderer Positionen der Verbindung effizienter zu sein. So führte beispielsweise das Entfernen der 5-Carbonylgruppe in einer Studie mit dem strukturell sehr nah verwandten Alkaloid Evodiamin zu einer deutlichen Verbesserung der Inhibition.²⁰³

Die Erkenntnisse dieser Arbeit leisten einen wichtigen Beitrag zu der Verwendung von pflanzlichen Sekundärmetaboliten als Substanzen mit neuroprotektiven Eigenschaften. Gezielte chemische Veränderungen konnten die Effektivität erhöhen und es konnten Signalwege und bestimmte Proteine identifiziert werden, die mit den Verbindungen und deren Wirkung in Zusammenhang stehen. Das Potential von Naturstoffen als Behandlungsmöglichkeit von Alzheimer und anderen neurodegenerativen Erkrankungen wird derzeit nicht ausgeschöpft. Die Ergebnisse dieser Arbeit unterstützen die Entwicklung naturstoffbasierter Therapeutika, die dringend benötigt werden, um neue Strategien für die Behandlung komplexer multifaktorieller Krankheiten wie Alzheimer hervorzubringen.

10. Experimental Section

General information. All reagents were used without further purification and purchased from common commercial suppliers in reagent grade. For anhydrous reaction conditions, tetrahydrofuran (THF) was distilled from sodium slices, and dichloromethane (CHCl2) was distilled from CaH2 under an argon atmosphere. Thinlayer chromatography (TLC) was performed on silica gel 60 alumina foils with fluorescent indicator 254nm (Macherey Nagel GmbH & Co. KG, Düren, Germany). UV light (254 and 366 nm) was used for detection. For column chromatography, silica gel 60 (particle size 0.040-0.063 mm from VWR chemicals, Leuven, Belgium) was used. Nuclear magnetic resonance (NMR) spectra were recorded with a Bruker AV-400 NMR instrument (Bruker, Karlsruhe, Germany) in a deuterated solvent. Chemical shifts are expressed in ppm relative to the solvent applied (2.50 ppm for 1H and 39.5 ppm for 13C in DMSO-d6; 7.26 ppm for 1H and 77.2 ppm for 13C for CDCl₃; 2.05 ppm for 1H and 206.3 ppm for 13C for acetone-d6; 4.87 ppm for 1H and 49.0 ppm for 13C for MeOD). Abbreviation for data quoted are s – singlet; d – doublet; t – triplet; quart - quartet; quint - quintet; dd - doublet of doublets; dt - doublet of triplets; m multiplet. The purity of the synthesis products was determined by HPLC (Shimadzu Products), containing a DGU-20A3R degassing unit, an LC20AB liquid chromatograph, and an SPD-20A UV/vis detector. UV detection was measured at 254nm. Mass spectra were obtained by an LCMS 2020 (Shimadzu Products). As a stationary phase, a Synergi 4U fusion-RP (150 mm × 4.6 mm) column was used, and as a mobile phase, a gradient of methanol/water with 0.1% formic acid. Parameters: A = water, B = methanol, V(B)/(V(A)+V(B)) = from 5% to 90% over 10 min, V(B)/(V(A)+V(B)) = 90% for 5 min, V(B)/(V(A)+V(B)) =from 90% to 5% over 3 min. The method was performed with a flow rate of 1.0 mL/min. Compounds were only used for biological evaluation if the purity was ≥95%. Melting points were determined using an OptiMelt automated melting point system (Scientific Instruments GmbH, Gilching, Germany). Microwave assisted synthesis was performed on a MLS-rotaPREP instrument (Milestone, Leutkirch, Germany) using 8-10 Teflon disks.

10.1 Chemical Synthesis

4-(3,7-Diacetoxy-4-oxo-4H-chromen-2-yl)-1,2-phenylene diacetate (12)

Fisetin (1.00 g, 3.49 mmol, 1.0 equiv.) was suspended in 10 mL acetic anhydride (10.70 g, 100.00 mmol, 30.0 equiv.), sodium acetate (0.57 g, 6.98 mmol, 2.0 equiv.) was added. The solution was heated to reflux, and after 2 hours, a white precipitate formed. The precipitate was filtered off and washed with cold water giving compound 12 as white solid (1.59 g, 3.50 mmol, quantitative).

¹H NMR (400 MHz, DMSO-d6) δ 8.13 (d, J = 8.7 Hz, 1H), 7.89-7.87 (m, 2H), 7.71 (d, J = 2.1 Hz, 1H), 7.54-7.52 (m, 1H), 7.37 (dd, J = 8.6 and 2.1 Hz, 1H), 2.35-2.33 (m, 12H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 170.6, 168.6, 168.2, 168.0, 167.8, 155.5, 154.9, 154.2, 146.2, 144.4, 142.2, 127.7, 127.5, 126.7, 126.5, 124.5, 123.8, 120.6, 111.9, 20.9, 20.4, 20.3, 20.2 ppm. ESI-MS: m/z calc. for C23H18O10 [M+H]+ 454.09, found [M+H]+ 455.15.

4-(3-Acetoxy-7-hydroxy-4-oxo-4*H*-chromen-2-yl)-1,2-phenylene diacetate (13):

Compound 12 (1.14 g, 2.53 mmol, 1.0 equiv.) was dissolved in dry dichloromethane and cooled to -15 °C in an ice/acetone bath. Imidazole (344 mg, 5.10 mmol, 2.0 equiv.) was dissolved in dry dichloromethane and was added dropwise to the compound solution in the cold. The solution was warmed to room temperature and stirred for 2 hours when the reaction was diluted with dichloromethane and extracted with 1 M HCl. The organic layers were combined, washed with brine, dried over sodium sulfate, and the solvent was evaporated. Flash column chromatography using a gradient of acetone

in dichloromethane (5-30% in 30 mins, 30-100% in 5 mins) gave the compound **13** as yellow solid (511 mg, 1.24 mmol, 49% yield).

¹H NMR (400 MHz, DMSO-d6) δ 10.96 (s, 1H), 7.94-7.92 (m, 1H), 7.84-7.82 (m, 2H), 7.52 (d, J = 8.2 Hz, 1H), 7.00-6.98 (m, 2H), 2.33 (m, 6H), 2.31 (s, 3H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 170.3, 168.2, 168.0, 167.9, 163.4, 156.9, 153.1, 144.1, 142.1, 132.8, 127.8, 126.8, 126.5, 124.4, 123.6, 115.7, 115.5, 102.5, 20.4, 20.3, 20.2 ppm. ESI-MS: m/z calc. for C21H16O9 [M+H]+ 412.08, found [M+H]+ 413.15.

2-(2,2-Diphenylbenzo[d][1,3]dioxol-5-yl)-3,7-dihydroxy-4H-chromen-4-one (15):

Fisetin (1.00 g, 3.49 mmol, 1.0 equiv.) and dichlorodiphenylmethane (1.24 g, 5.20 mmol, 1.5 equiv.) were suspended in 50 mL diphenyl ether and heated to 180 °C with microwave-assistance for 30 minutes. The reaction mixture was diluted with petroleum ether, and a yellow precipitate formed, which was filtered off. Purification of the solid using column chromatography with a gradient of 25-50% ethyl acetate in petroleum ether gave compound **15** as light brown crystals (1.34 g, 3.10 mmol, 89% yield).

¹H NMR (400 MHz, DMSO-d6) δ 10.77 (s, 1H), 9.31 (s, 1H), 7.93 (d, J = 8.7 Hz, 1H), 7.89 – 7.76 (m, 2H), 7.58 (d, J = 6.5 Hz, 4H), 7.45 (d, J = 6.5 Hz, 6H), 7.22 (s, 1H), 6.97 (s, 1H), 6.91 (d, J = 8.8 Hz, 1H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 172.1, 162.4, 156.4, 147.3, 146.7, 143.9, 139.5 (s, 2C), 137.8, 129.5 (s, 2C), 128.6 (s, 4C), 126.5, 125.8 (s, 4C), 125.7, 122.7, 116.9, 114.8, 114.2, 108.8, 107.7, 102.0 ppm. ESI-MS: m/z calc. for C28H18O6 [M+H]+450.11, found [M+H]+451.15.

2-(3,4-Diacetoxyphenyl)-4-oxochromane-3,5,7-triyl triacetate (17):

Taxifolin (1.00 g, 3.29 mmol, 1.0 equiv.) was dissolved in acetic anhydride (10.06 g, 98.70 mmol, 30.0 equiv.), and sodium acetate (539 mg, 6.57 mmol, 2.0 equiv.) was added. The solution stirred at 80 °C for three hours when it was diluted with ethyl acetate, extracted twice with water, and washed with brine. The organic layer was dried over sodium sulfate, and the solvent was evaporated. Silica gel column chromatography using 2% acetone in dichloromethane was used for purification, giving compound 17 as an off-white foam (1.33 g, 2.59 mmol, 79% yield).

¹H NMR (400 MHz, DMSO-d6) δ 7.55 (dd, J = 2.1 Hz and 8.41 Hz, 1H), 7.50 (d, J = 2.0 Hz, 1H), 7.37 (d, J = 8.31 Hz, 1H), 6.95 (d, J = 2.0 Hz, 1H), 6.81 (d, J = 2.1 Hz, 1H), 5.95 (d, J = 12.3 Hz, 1H), 5.81 (d, J = 12.4 Hz), 2.30-2.28 (m, 15H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 185.4, 168.6, 168.5, 168.3, 168.2, 168.1, 162.1, 156.3, 150.8, 142.7, 141.8, 134.0, 126.1, 123.9, 123.3, 111.6, 110.4, 109.3, 79.2, 72.8, 20.9, 20.7, 20.3, 20.3, 20.0 ppm. ESI-MS: m/z calc. for C25H22O12 [M+H]+514.11, found: 515.15.

4-(3,5-Diacetoxy-7-hydroxy-4-oxochroman-2-yl)-1,2-phenylene diacetate (18):

Compound 17 (1.33 g, 2.59 mmol, 1.0 equiv.) was dissolved in dichloromethane and cooled in an ice-acetone bath to -15 °C. Imidazole (352 mg, 5.17 mmol, 2.0 equiv.) was dissolved in dichloromethane and added dropwise to the ice-cold solution. The reaction mixture was warmed to room temperature and stirred at ambient temperature for 2 hours when dichloromethane was added for dilution. The solution was extracted with 1 M HCl, washed with brine, dried over sodium sulfate, and the solvent was evaporated. For purification, silica gel column chromatography was used with a gradient from 3% to 10% acetone in dichloromethane to give 18 as red solid (1.18 g, 2.50 mmol, 96% yield).

¹H NMR (400 MHz, DMSO-d6) δ 11.81 (s, 1H), 7.53 (dd, J = 8.4, 2.0 Hz, 1H), 7.48 (d, J = 2.0 Hz, 1H), 7.35 (d, J = 8.3 Hz, 1H), 6.36 (d, J = 2.3 Hz, 1H), 6.29 (d, J = 2.3 Hz, 1H), 5.76 (d, J = 12.2 Hz, 1H), 5.65 (d, J = 12.1 Hz, 1H), 2.29 (s, 6H), 2.27 (s, 3H), 1.95 (s, 3H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 184.2, 168.6, 168.5, 168.2, 168.1, 164.9,

163.0, 151.9, 142.6, 141.8, 134.4, 126.0, 123.8, 123.2, 105.9, 105.3, 101.1, 79.0, 72.7, 20.8, 20.4, 20.3, 20.1 ppm. ESI-MS: m/z calc. for C23H20O11 [M+H]⁺ 472.10, found: 473.15.

2-(3,4-Diacetoxyphenyl)-4-oxo-4*H*-chromene-3,5,7-triyl triacetate (19):

Quercetin (2.00 g, 6.60 mmol, 1.0 equiv.) was dissolved in 30 mL pyridine, acetic anhydride (13.50 g, 132.00 mmol, 20.0 equiv.) was added, and the solution was heated to reflux. After 5 hours, 100 mL ice-water was added to the warm mixture, and the compound precipitated. The precipitate was filtered off and washed with ethyl acetate giving the **19** as off-white solid (2.89 g, 5.65 mmol, 85% yield).

¹H NMR (400 MHz, DMSO-d6) δ 7.89-7.87 (m, 2H), 7.56-7.53 (m, 1H), 7.15 (d, J = 2.0 Hz, 1H), 6.76 (d, J = 2.0 Hz, 1H), 2.36 – 2.31 (m, 15H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 175.6, 168.3, 168.2, 168.0, 167.9, 167.8, 160.3, 156.3, 155.6, 154.8, 144.7, 142.2, 131.6, 127.0, 126.9, 124.6, 123.9, 108.2, 105.7, 102.1, 20.9, 20.4, 20.3, 20.3, 20.1 ppm. ESI-MS: m/z calc. for C25H20O12 [M+H]+512.10, found: 513.15.

4-(3,5-Diacetoxy-7-hydroxy-4-oxo-4*H*-chromen-2-yl)-1,2-phenylene diacetate (20):

Compound **19** (2.89 g, 5.65 mmol, 1.0 equiv.) was dissolved in dichloromethane and cooled in an ice-acetone bath to -15 °C. Imidazole (769 mg, 11.30 mmol, 2.0 equiv.) was dissolved in dichloromethane and added dropwise to the ice-cold solution. The reaction mixture was warmed to room temperature and stirred at ambient temperature for 2 hours when dichloromethane was added for dilution. The solution was extracted with 1 M HCl, washed with brine, dried over sodium sulfate, and the solvent was evaporated. For purification, silica gel column chromatography was used with a

gradient from 3% to 10% acetone in dichloromethane to give compound **20** as an off-white foam (1.18 g, 2.52 mmol, 45% yield).

¹H NMR (400 MHz, DMSO-d6) δ 11.32 (s, 1H), 7.83-7.80 (m, 2H), 7.50 (d, J = 8.3 Hz, 1H), 6.94 (d, J = 2.2 Hz, 1H), 6.66 (d, J = 2.2 Hz, 1H), 2.34 – 2.28 (m, 12H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 169.0, 168.8, 168.2, 168.0, 167.9, 162.8, 157.6, 152.4, 150.3, 144.2, 142.2, 132.8, 127.5, 126.5, 124.4, 123.6, 109.4, 109.1, 101.0, 20.9, 20.4, 20.3, 20.2 ppm. ESI-MS: m/z calc. for C23H18O11 [M+H]+470.08, found: 471.10.

5-Iodopent-1-yne (21)

5-Chloropent-1-yne (53 mg, 0.52 mmol, 1.0 equiv.) was dissolved in acetone and sodium iodide (386 mg, 2.58 mmol, 5.0 equiv.) was added. The reaction was refluxed overnight when the solution turned deep yellow, and a white precipitate formed. The solution was diluted with water and extracted with diethyl ether. The organic layer was washed with water, brine, dried over sodium sulfate, and the solvent was evaporated. Compound **21** was obtained as a yellow oil (100 mg, 0.52 mmol, quant.).

¹H NMR (400 MHz, CDCl₃) δ 3.30 (t, J = 6.8 Hz, 2H), 2.33 (dd, J = 6.8, 2.7 Hz, 2H), 2.03-1.96 (m, 3H) ppm. ¹³C NMR (101 MHz, CDCl₃) δ 82.4, 69.6, 32.0, 19.6, 5.2 ppm.

2-(3,4-Dihydroxyphenyl)-3,5-dihydroxy-7-(pent-4-yn-1-yloxy)chroman-4-one (22):

1. Linker addition (4-(3,5-diacetoxy-4-oxo-7-(pent-4-yn-1-yloxy)chroman-2-yl)-1,2-phenylene diacetate):

Compound **18** (130 mg, 0.28 mmol, 1.0 equiv.) was dissolved in dry DMF when compound **21** (80 mg, 0.41 mmol, 1.5 equiv.) and potassium carbonate (38 mg, 0.28 mmol, 1.0 equiv.) were added under argon atmosphere. The reaction stirred overnight at room temperature. Dichloromethane was added, and the organic layer was washed

with 5% aqu. HCl, dried over sodium sulfate, and the solvent was evaporated. Purification using silica gel column chromatography with 2.5% methanol in dichloromethane gave the compound as a pale-yellow oil (88 mg, 0.16 mmol, 59% yield).

¹H NMR (400 MHz, CDCl₃) δ 7.38 (dd, J = 8.4, 2.0 Hz, 1H), 7.29 (d, J = 2.0 Hz, 1H), 7.26 (d, J = 8.4 Hz, 1H), 6.42 (d, J = 2.3 Hz, 1H), 6.34 (d, J = 2.4 Hz, 1H), 5.63 (d, J = 12.1 Hz, 1H), 5.38 (d, J = 12.1 Hz, 1H), 4.13 – 4.09 (m, 3H), 2.37 (s, 3H), 2.30 (s, 6H), 2.04 (s, 3H), 2.00 – 1.96 (m, 4H) ppm. ¹³C NMR (101 MHz, CDCl₃) δ 184.5, 169.5, 169.4, 168.1, 165.4, 163.4, 152.2, 143.0, 142.3, 134.1, 125.5, 123.9, 123.0, 106.8, 106.1, 100.2, 84.6, 82.9, 80.4, 73.2, 69.5, 67.1, 27.8, 21.2, 20.8, 20.5, 15.2, 14.3 ppm. ESI-MS: m/z calc. for C28H26O11 [M+H]+538.15, found: 539.15.

2. Deprotection:

OAC OAC OAC
$$\frac{\text{HCI:CH}_3\text{CN} = 1:2}{\text{reflux, 1.5 h}}$$

The compound (88 mg, 0.19 mmol, 1.0 equiv.) was dissolved in 6 mL acetonitrile and 3 mL 6 M HCl and refluxed for 1.5 hours. The reaction was cooled to room temperature when ethyl acetate was added, and the mixture was washed with 5% aqu. HCl and brine. The organic layer was dried over sodium sulfate, and the solvent was evaporated. Purification using silica gel column chromatography with 3% methanol in dichloromethane gave compound **22** as white solid (18 mg, 0.04 mmol, 23% yield).

¹H NMR (400 MHz, MeOD) δ 7.00 (d, J = 1.9 Hz, 1H), 6.88 (d, J = 1.9 Hz, 1H), 6.83 (d, J = 8.1 Hz, 1H), 6.12 (d, J = 2.2 Hz, 1H), 6.08 (d, J = 2.1 Hz, 1H), 4.98 (d, J = 11.5 Hz, 1H), 4.57 (d, J = 11.5 Hz, 1H), 4.14 (t, J = 6.1 Hz, 2H), 2.39 (dt, J = 6.9, 2.6 Hz, 2H), 2.29 (d, J = 2.6 Hz, 1H), 2.02-1.95 (m, 2H) ppm. ESI-MS: m/z calc. for C20H18O7 [M+H]+370.10, found: 371.10.

2-(3,4-Dihydroxyphenyl)-3,5-dihydroxy-7-(pent-4-yn-1-yloxy)-4*H*-chromen-4-one (23):

1. Linker addition (4-(3,5-diacetoxy-4-oxo-7-(pent-4-yn-1-yloxy)-4*H*-chromen-2-yl)-1,2-phenylene diacetate):

Compound **20** (130 mg, 0.28 mmol, 1.0 equiv.) was dissolved in dry DMF when **21** (80 mg, 0.41 mmol, 1.5 equiv.) and potassium carbonate (38 mg, 0.28 mmol, 1.0 equiv.) were added under argon atmosphere. The reaction stirred overnight at room temperature. Dichloromethane was added, and the organic layer was washed with 5% aqu. HCl, dried over sodium sulfate, and the solvent was evaporated. Purification using silica gel column chromatography with 2.5% methanol in dichloromethane gave the compound as a pale-yellow oil (86 mg, 0.16 mmol, 58% yield).

¹H NMR (400 MHz, CDCl₃) δ 7.72 – 7.67 (m, 2H), 7.33 (d, J = 8.5 Hz, 1H), 6.84 (d, J = 2.3 Hz, 1H), 6.63 (d, J = 2.2 Hz, 1H), 4.17 (t, J = 6.1 Hz, 2H), 2.43 – 2.39 (m, 5H), 2.33 (s, 3H), 2.32 (s, 6H), 2.07-2.02 (m, 2H), 2.00 (t, J = 2.6 Hz, 1H) ppm. ¹³C NMR (101 MHz, CDCl₃) δ 170.1, 169.6, 168.1, 168.0, 167.8, 163.3, 158.2, 153.2, 150.8, 144.3, 142.3, 134.0, 128.2, 126.5, 123.9, 123.8, 111.2, 109.2, 99.4, 82.9, 69.6, 67.2, 27.8, 21.2, 20.8, 20.7, 20.6, 15.1 ppm. ESI-MS: m/z calc. for C28H24O11 [M+H]+536.13, found: 537.15.

2. Deprotection:

OAc OAc OAC
$$\frac{\text{HCl:CH}_3\text{CN} = 1:2}{\text{reflux, 1.5 h}}$$

The compound (88 mg, 0.16 mmol, 1.0 equiv.) was dissolved in 6 mL acetonitrile and 3 mL 6 M HCl and refluxed for 1.5 hours. The reaction was cooled to room temperature when ethyl acetate was added, and the mixture was washed with 5% aqu. HCl and brine. The organic layer was dried over sodium sulfate, and the solvent was evaporated. Purification using silica gel column chromatography with 3% methanol in dichloromethane gave 23 as a yellow solid (18 mg, 0.05 mmol, 30% yield).

¹H NMR (400 MHz, MeOD) δ 7.90 (s, 1H), 7.79 (d, J = 8.4 Hz, 1H), 7.04 (d, J = 8.5 Hz, 1H), 6.70 (s, 1H), 6.42 (s, 1H), 4.30 (t, J = 6.0 Hz, 2H), 2.53 (dt, J = 6.9, 2.3 Hz, 2H), 2.43 (t, J = 2.4 Hz, 1H), 2.16-2.10 (m, 2H) ppm. ESI-MS: m/z calc. for C20H16O7 [M+H]+368.10, found: 369.10.

tert-Butyl (3-bromopropyl)carbamate (24):

$$H_2N$$

Br

 A_2N
 A_2N

3-Bromopropylamine (1.00 g, 4.57 mmol, 1.0 equiv.) was dissolved in 30 mL dry dichloromethane, triethylamine (1.84 g, 18.27 mmol, 4.0 equiv.) was added, and the solution was stirred at room temperature for 30 minutes when di-tert-butyl dicarbonate (1.49 g, 6.85 mmol, 1.5 equiv.) was added. The reaction mixture was stirred at ambient temperature overnight when water was added, and the solution was extracted with ethyl acetate. Organic layers were combined, washed with brine, and dried over sodium sulfate. Silica gel column chromatography with petroleum ether and ethyl acetate 2:1 gave the compound **24** as a yellow oil (894 mg, 3.75 mmol, 82% yield).

 1 H NMR (400 MHz, CDCl₃) δ 3.41-3.37 (m, 2H), 3.24-3.20 (m, 2H), 2.02-1.98 (m, 2H), 1.40 (m, 9H) ppm. 13 C NMR (101 MHz, CDCl₃) δ 146.9, 85.3, 39.2, 32.9, 30.9, 28.5 (3x Boc-*C*H₃) ppm.

tert-Butyl (3-iodopropyl)carbamate (25):

Compound **24** (700 mg, 2.94 mmol, 1.0 equiv.) was dissolved in dry acetone, and sodium iodide (499 mg, 3.33 mmol, 1.2 equiv.) was added. The mixture was refluxed overnight when a precipitate formed. Water was added, and the reaction was extracted with ethyl acetate three times to give compound **25** as a brown oil (314 mg, 1.15 mmol, 40% yield).

 1 H NMR (400 MHz, CDCl₃) δ 4.67 (s, 1H, N*H*), 3.20-3.16 (m, 4H), 2.02-1.96 (m, 2H), 1.42 (m, 9H) ppm. 13 C NMR (101 MHz, CDCl₃) δ 156.1, 79.5, 41.2, 33.5, 28.5 (3x Boc-CH₃), 3.2 ppm.

7-(3-((*tert*-Butoxycarbonyl)amino)propoxy)-2-(3,4-diacetoxyphenyl)-4-oxochromane-3,5-diyl diacetate (26):

Compound **18** (411 mg, 0.87 mmol, 1.0 equiv.) was dissolved in dry DMF. Compound **25** (372 mg, 1.30 mmol, 1.5 equiv.) and potassium carbonate (179 mg, 1.30 mmol, 1.5 equiv.) were added, and the solution was stirred at 50 °C for two hours. The reaction was diluted with dichloromethane, extracted with 1 M HCl, and washed with brine. The organic layer was dried over sodium sulfate, and the solvent was evaporated. The crude product was purified using silica gel column chromatography with 3-10% acetone in dichloromethane, giving compound **26** as dark brown oil (95 mg, 0.15 mmol, 17% yield).

¹H NMR (400 MHz, DMSO-d6) δ 7.54 (dd, J = 8.4, 2.0 Hz, 1H), 7.49 (d, J = 2.0 Hz, 1H), 7.35 (d, J = 8.3 Hz, 1H), 6.63 (d, J = 2.4 Hz, 1H), 6.49 (d, J = 2.3 Hz, 1H), 5.83 (d, J = 12.2 Hz, 1H), 5.71 (d, J = 12.2 Hz, 1H), 4.08 (t, J = 6.2 Hz, 2H), 3.05 (q, J = 6.1 Hz, 2H), 2.30 – 2.28 (m, 9H), 1.96 (s, 3H), 1.85 – 1.78 (m, 2H), 1.36 (s, 10H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 185.0, 169.0, 168.9, 168.7, 168.6, 165.5, 163.5, 156.1, 152.0, 149.2, 143.1, 142.3, 134.7, 126.6, 124.3, 123.7, 106.7, 106.0, 100.5, 79.6, 78.0, 73.1, 67.0, 37.1, 30.0, 29.2, 28.7, 21.3, 20.8, 20.7, 20.6 ppm. ESI-MS: m/z calc. for C31H35NO13 [M+H]+629.21, found [M+Na]+652.25.

7-(3-((*tert*-Butoxycarbonyl)amino)propoxy)-2-(3,4-diacetoxyphenyl)-4-oxo-4*H*-chromene-3,5-diyl diacetate (27):

Compound **20** (400 mg, 0.85 mmol, 1.0 equiv.) was dissolved in dry DMF. Compound **25** (290 mg, 1.02 mmol, 1.2 equiv.) and potassium carbonate (151 mg, 1.02 mmol, 1.2 equiv.) were added, and the mixture was stirred for two hours at 50 °C. The reaction was diluted with dichloromethane and extracted with 1 M HCl and washed with brine. The organic layer was dried over sodium sulfate, and the solvent was evaporated.

Purification with silica gel column chromatography using 5% acetone in dichloromethane gave the compound 27 as a yellow oil (105 mg, 0.17 mmol, 20% yield).

¹H NMR (400 MHz, DMSO-d6) δ 7.86-7.84 (m, 2H), 7.53-7.51 (m, 1H), 7.25 (d, J = 2.2 Hz, 1H), 6.93-6.91 (m, 1H), 6.83 (d, J = 2.2 Hz, 1H), 4.17 (t, J = 6.1 Hz, 2H), 3.13-3.08 (m, 2H), 2.32-2.30 (m, 12H), 1.92-1.86 (m, 2H), 1.37 (s, 9H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 169.0, 168.7, 168.2, 168.0, 167.8, 163.1, 157.6, 155.7, 152.5, 149.9, 144.3, 142.2, 133.0, 127.4, 126.5, 124.5, 123.5, 110.1, 109.2, 99.9, 77.6, 66.8, 36.7, 28.8, 28.2 (3C Boc), 20.8, 20.4, 20.3, 20.2 ppm. ESI-MS: m/z calc. for C31H33NO13 [M+H]+627.20, found [M+Na]+650.25.

7-(3-Aminopropoxy)-2-(3,4-dihydroxyphenyl)-3,5-dihydroxychroman-4-one (28):

Compound **26** (40 mg, 0.06 mmol) was dissolved in 5 mL acetonitrile and 1 mL conc. HCl was added. The solution was refluxed for 2 hours when a precipitate formed. The solid was filtered and purified by reversed phase flash column chromatography with water and acetonitrile supplemented with 0.1% formic acid to give compound **28** as off-white oil (7 mg, 0.02 mmol, 30%).

ESI-MS: m/z calc. for C18H19NO7 [M+H]⁺ 361.12, found [M+H]⁺ 362.10, HPLC Purity: 83%.

7-(3-Aminopropoxy)-2-(3,4-dihydroxyphenyl)-3,5-dihydroxy-4*H*-chromen-4-one (29):

BocHN O OAc OAc
$$CH_3CN:HCI$$
 $5:1$ $Feflux, 1 h$ $OAc OH$ OH OH OH

Compound **27** (60 mg, 0.10 mmol) was dissolved in 5 mL acetonitrile and 1 mL conc. HCl was added. The solution was refluxed for 1 hour when a precipitate formed. The solid was filtered and purified by reversed phase flash column chromatography with

water and acetonitrile supplemented with 0.1% formic acid to give compound **29** as off-white oil (16 mg, 0.05 mmol, 50%).

ESI-MS: m/z calc. for C18H17NO7 [M+H]⁺ 359.10, found [M+H]⁺ 360.10; HPLC purity: 98%.

N-(3-((2-(3,4-Dihydroxyphenyl)-3,5-dihydroxy-4-oxochroman-7-yl)oxy)propyl)hex-5-ynamide (31):

Compound **28** (18 mg, 0.05 mmol, 1.0 equiv.) was dissolved in dry DMF and 5-hexynoic acid (9 mg, 0.08 mmol, 1.5 equiv.), HBTU (28 mg, 0.08 mmol, 1.5 equiv.) and triethylamine (10 mg, 0.10 mmol, 2.0 equiv.) were added. The reaction stirred under an argon atmosphere at room temperature for 24 hours when the solution was diluted with ethyl acetate and rinsed with saturated NaHCO₃, water, and brine. The organic layer was dried over sodium sulfate, and the solvent was evaporated. Reversed phase flash column chromatography with water and acetonitrile with 0.1% formic acid was used for purification, and **31** was obtained as a pale-yellow oil (3 mg, 0.007 mmol, 13% yield).

¹H NMR (400 MHz, DMSO-d6) δ 11.85 (s, 1H), 9.02 (s, 1H), 8.96 (s, 1H), 7.87 (s, 1H), 6.88 (d, J = 1.5 Hz, 1H), 6.75 – 6.71 (m, 2H), 6.07 (dd, J = 11.0, 2.2 Hz, 2H), 5.02 (d, J = 11.2 Hz, 1H), 4.54 (d, J = 11.3 Hz, 1H), 4.04 (t, J = 6.1 Hz, 2H), 3.15 (quart., J = 6.5 Hz, 2H), 2.75 (t, J = 2.6 Hz, 1H), 2.17 – 2.11 (m, 4H), 1.81 (quint., J = 6.5 Hz, 2H), 1.65 (quint., J = 7.2 Hz, 2H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 198.3, 171.4, 166.8, 163.0, 162.5, 145.8, 144.9, 127.9, 119.4, 115.4, 115.1, 101.3, 95.2, 94.1, 84.1, 83.2, 71.6, 71.4, 66.1, 35.3, 34.1, 28.6, 24.2, 17.4 ppm. ESI-MS: m/z calc. for C24H25NO8 [M+H]⁺ 455.16, found [M+H]⁺ 456.20. HPLC purity: 83%.

N-(3-((2-(3,4-Dihydroxyphenyl)-3,5-dihydroxy-4-oxo-4*H*-chromen-7-yl)oxy)propyl)hex-5-ynamide (32):

Compound **29** (16 mg, 0.05 mmol, 1.1 equiv.) was dissolved in dry DMF and 5-hexynoic acid (5 mg, 0.04 mmol, 1.0 equiv.), HBTU (17 mg, 0.05 mmol, 1.1 equiv.) and triethylamine (6 mg, 0.06 mmol, 1.5 equiv.) were added. The reaction stirred under an argon atmosphere at room temperature for 18 hours when the solution was diluted with ethyl acetate and rinsed with saturated NaHCO₃, water, and brine. The organic layer was dried over sodium sulfate, and the solvent was evaporated. Reversed phase flash column chromatography with water and acetonitrile with 0.1% formic acid was used for purification, and **32** was obtained as a pale-yellow oil (4 mg, 0.008 mmol, 20% yield).

¹H NMR (400 MHz, DMSO-d6) δ 12.47 (s, 1H), 9.62 (s, 1H), 9.45 (s, 1H), 9.27 (s, 1H), 7.72 (d, J = 2.2 Hz, 1H), 7.56 (dd, J = 8.5, 2.2 Hz 1H), 6.89 (d, J = 8.5 Hz, 1H), 6.68 (d, J = 2.1 Hz, 1H), 6.33 (d, J = 2.1 Hz, 1H), 4.10 (t, J = 6.2 Hz, 2H), 3.22 – 3.18 (m, 2H), 2.76 (t, J = 2.7 Hz, 1H), 2.19 – 2.14 (m, 4H), 1.87 (quint, J = 6.2 Hz, 2H), 1.66 (quint, J = 7.2 Hz, 2H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 171.5, 165.5, 164.2, 160.4, 156.0, 147.8, 147.3, 145.1, 136.0, 121.9, 120.0, 115.6, 115.3, 97.8, 92.3, 84.1, 71.4, 66.2, 57.8, 35.3, 34.1, 28.6, 24.2, 17.4 ppm. ESI-MS: m/z calc. for C24H23NO8 [M+H]+ 453.14, found [M+H]+ 454.20, HPLC purity: 91%.

3-((Heptylcarbamoyl)oxy)-14-methyl-5-oxo-5,7,8,13tetrahydroindolo[2',3':3,4]pyrido[2,1-b]quinazolin-14-ium (48)

Compound **61** (59 mg, 0.18 mmol, 1.0 equiv.) was dissolved in dry DMF, and triethylamine (21 mg, 0.21 mmol, 1.2 equiv.) was added. The solution stirred for 5 minutes at room temperature when heptyl isocyanate (30 mg, 0.21 mmol, 1.2 equiv.) was added. After 1 hour, 200 µL 1 M HCl was added, and the reaction was quenched with water. The solvent was evaporated, and the crude mixture was first purified by preparative HPLC with a gradient of methanol in water with 0.1% formic acid giving a mixture of 44% **48** and 34% HO-DHED **61**. Purification with reversed phase column chromatography with methanol/water without acid increased purity to 86% **48**. However, 13% of HO-DHED **61** could not be removed.

¹H NMR (400 MHz, MeOD) δ 8.14 (d, J = 2.7 Hz, 1H), 8.10-7.94 (m, 1H), 7.88-7.83 (m, 2H), 7.72-7.64 (m, 1H), 7.58-7.50 (m, 1H), 7.33 (m, 1H), 4.62-4.57 (m, 2H), 4.44 (s, 3H), 3.41 (t, J = 7.1 Hz, 2H), 1.64-1-57 (m, 2H), 1.39-1.29 (m, 10H), 0.94-0.90 (m, 3H) ppm. ESI-MS: m/z calc. for C27H31N4O3+ [M+H]+459.24, found: 459.25.

4,9-Dihydro-3H-pyrido[3,4-b]indole (52)

Compound **58** (1.80 g, 9.6 mmol, 1.0 equiv.) was dissolved in 6 mL dry dichloromethane and cooled to 0°C in an ice bath. POCl₃ (3.66 g, 23.9 mmol, 2.5 equiv.) was added dropwise within 5 minutes. The reaction stirred for 1 hour at 0°C and for additional 2 hours at room temperature when the solvent was evaporated. The residue was dissolved in ethyl acetate and extracted six times with 10% acetic acid in water. The water phases were combined and basified to pH 10 with aqueous ammonia before extraction with dichloromethane. The organic layers were combined, washed with brine, dried over sodium sulfate, and the solvent was evaporated to give compound **52** as an orange foam (1.39 g, 8.17 mmol, 85%).

¹H NMR (400 MHz, CDCl₃) δ 8.48 (s, 1H), 8.39 (m, 1H), 7.60 (d, J = 8.0 Hz, 1H), 7.39-7.37 (m, 1H), 7.30-7.28 (m, 1H), 7.18 – 7.14 (m, 1H), 3.98 – 3.93 (m, 2H), 2.94 – 2.89 (m, 2H) ppm. ¹³C NMR (101 MHz, CDCl₃) δ 151.7, 137.0, 128.3, 125.5, 124.8, 120.6, 120.2, 116.4, 112.2, 48.7, 19.2 ppm. ESI-MS: m/z calc. for C11H10N2 [M+H]+ 170.08, found: 171.10.

6-Methoxy-2*H*-benzo[d][1,3]oxazine-2,4(1H)-dione (55):

3-methoxy anthranilic acid **54** (5.20 g, 31.1 mmol, 1.0 equiv.) was dissolved in 30 mL dry THF, and triphosgene (3.14 g, 10.6 mmol, 0.34 equiv.) was added. The reaction stirred at 45°C overnight when precipitation formed. The solid was filtered and washed with hexane to give the first product batch. The solvent of the filtrate was evaporated, and the residue was washed with hexane to give the second product batch. The batched

were combined, and compound **55** was obtained as off-white solid (5.63 g, 29.1 mmol, 94%).

¹H NMR (400 MHz, DMSO-d6) δ 11.60 (s, 1H), 7.40 – 7.37 (dd, J = 3.0 Hz, 8.9 Hz, 1H), 7.34 (d, J = 3.0 Hz, 1H), 7.11 (d, J = 8.9 Hz, 1H), 3.81 (s, 3H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 155.2, 146.9, 135.5, 125.7, 116.9, 114.6, 110.7, 109.9, 55.7 ppm.

6-Methoxy-1-methyl-2H-benzo[d][1,3]oxazine-2,4(1H)-dione (56)

Compound **55** (5.50 g, 28.5 mmol, 1.0 equiv.) was dissolved in 40 mL DMAc. DIPEA (7.73 g, 59.8 mmol, 2.1 equiv.) was added, and the solution stirred for 10 minutes at room temperature when methyl iodide (10.50 g, 74.0 mmol, 2.6 equiv.) was added, and the reaction was heated to 40°C. After stirring overnight, the reaction was cooled to room temperature. Upon addition of water with vigorous stirring, an off-white precipitate formed. The solid was filtered and washed with hexane to obtain compound **56** (5.61 g, 27.1 mmol, 97%).

¹H NMR (400 MHz, DMSO-d6) δ 7.45 (m, 3H), 3.84 (s, 3H), 3.45 (s, 3H) ppm. ¹³C NMR (101 MHz, DMSO-d6) δ 158.9, 155.2, 147.6, 136.3, 125.0, 116.6, 112.2, 110.8, 55.8, 31.7 ppm. ESI-MS: m/z calc. for C10H9NO4 [M+H]+ 207.05, found: 208.10.

N-(2-(1H-Indol-3-yl)ethyl)formamide (58)

Tryptamine **57** (3.00 g, 18.7 mmol, 1.0 equiv.) was suspended in ethyl formate (11.01 g, 149.6 mmol, 8.0 equiv.) and heated to reflux for 8 hours. The solvent was evaporated, and the crude product was purified using silica gel column chromatography with 1.3% methanol in dichloromethane (conforms a 75:1 ratio) to give compound **58** as a brown oil (2.76 g, 14.7 mmol, 79%).

¹H NMR (400 MHz, CDCl₃) δ 8.79 (s, 1H), 7.94 (s, 1H), 7.58 – 7.56 (m, 1H), 7.34 – 7.32 (m, 1H), 7.23 – 7.18 (m, 1H), 7.14 – 7.10 (m, 1H), 6.92 (d, J = 2.1 Hz, 1H), 6.04 (s, 1H),

3.56 (quart., J = 6.7 Hz, 2H), 2.93 (t, J = 6.9 Hz, 2H) ppm. ¹³C NMR (101 MHz, CDCl₃) δ 161.6, 136.5, 127.2, 122.4, 122.0, 119.4, 119.3, 118.5, 111.5, 38.4, 25.0 ppm. ESI-MS: m/z calc. for C11H12N2O [M+H]+188.09, found: 189.15.

3-Methoxy-14-methyl-8,13,13*b*,14-tetrahydroindolo[2',3':3,4]pyrido[2,1-*b*]quinazolin-5(7*H*)-one (59)

Compound **56** (400 mg, 1.93 mmol, 1.0 equiv.) and **52** (335 mg, 1.97 mmol, 1.02 equiv.) were dissolved in dry dichloromethane and heated to reflux. After 20 hours, the total consumption of the anhydride derivate **56** was observed, and the solvent was evaporated. The crude product was washed with diethyl ether and purified by column chromatography with 50% ethyl acetate in petroleum ether. Compound **59** was obtained as beige solid (489 mg, 1.46 mmol, 76%).

¹H-NMR (400 MHz, CDCl₃) δ 9.05 (s, 1H), 7.51 – 7.47 (m, 2H), 7.35 – 7.32 (m, 1H), 7.15 – 7.11 (m, 1H), 7.07 – 7.03 (m, 1H), 6.96 – 6.95 (m, 2H), 5.77 (s, 1H), 4.81 – 4.76 (m, 1H), 3.74 (s, 3H), 3.22 – 3.15 (m, 1H), 2.92 – 2.79 (m, 2H), 2.28 (s, 3H) ppm. ¹³C NMR (101 MHz, CDCl₃) δ 163.6, 154.9, 143.7, 136.7, 129.4, 125.7, 123.0, 122.8, 121.9, 120.6, 118.8, 118.3, 111.6, 111.5, 110.7, 69.0, 55.4, 39.7, 36.8, 19.7 ppm. ESI-MS: m/z calc. for C20H19N3O2 [M+H]+333.15, found: 334.15.

3-Hydroxy-14-methyl-5-oxo-5,7,8,13tetrahydroindolo[2',3':3,4]pyrido[2,1-b]quinazolin-14-ium (60)

Compound **59** (500 mg, 1.50 mmol, 1.0 equiv.) was dissolved in acetone, and potassium permanganate was added (355 mg, 2.25 mmol, 1.5 equiv.). The reaction stirred at room temperature for 20 minutes and was then poured over celite to filter off the formed MnO₂. The filter was washed with acetone, and the solvent was removed to give compound **60** as an orange oil (296 mg, 0.89 mmol, 59%).

¹H NMR (400 MHz, MeOD) δ 8.42 (s, 1H), 8.02 (d, J = 9.3 Hz, 1H), 7.84 – 7.82 (m, 2H), 7.66 (dd, J = 9.3, 3.2 Hz, 2H), 7.53-7.49 (m, 1H), 7.30 – 7.26 (m, 1H), 4.59 (t, J = 7.0 Hz, 2H), 4.44 (s, 3H), 3.99 (s, 3H), 3.38 (t, J = 7.0 Hz, 2H) ppm. ¹³C NMR (101 MHz, MeOD) δ 161.2, 159.4, 150.0, 143.4, 135.1, 132.1, 130.3, 126.2, 125.2, 123.2, 122.4, 121.8, 121.3, 121.1, 114.4, 110.3, 56.8, 43.7, 41.4, 20.0 ppm. ESI-MS: m/z calc. for C20H18N3O2+ [M+H]+332.14, found: 332.20.

3-Hydroxy-14-methyl-5-oxo-5,7,8,13-tetrahydroindolo[2',3':3,4]pyrido[2, 1-*b*]quinazolin-14-ium (61)

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Compound **60** (320 mg, 0.96 mmol, 1.0 equiv.) was dissolved in 25 mL 47% HBr in water and stirred overnight at 100°C. The precipitate was filtered off, and the solvent was evaporated. The crude product was purified using reversed phase column chromatography with a gradient from 15% to 50% methanol in water over 30 minutes. HO-DHED **61** was obtained as a yellow oil (72 mg, 0.22 mmol, 23%).

¹H NMR (400 MHz, MeOD) δ 8.49 (s, 1H), 7.96 (d, J = 9.2 Hz, 1H), 7.85 (d, J = 8.1 Hz, 1H), 7.75 (m, 1H), 7.68 (d, J = 8.3 Hz, 1H), 7.57 – 7.53 (m, 2H), 7.35 – 7.33 (m, 1H), 4.61 (t, J = 7.1 Hz, 2H), 4.44 (s, 3H), 3.41 (t, J = 7.1 Hz, 2H) ppm. ESI-MS**:** m/z calc. for C19H16N3O2+ [M+H]+318.12, found: 318.15.

10.2 In Vitro Assays

DPPH Assay

Stock solutions [3 mM] of the compounds were prepared in DMSO, ascorbic acid in ddH_2O . All compounds were further diluted in a 96-well plate using methanol, and blank absorption was measured at 517 nm. Methanol replacing the compound dilution served as a negative control. To 100 μ L compound dilution, 33.3 μ L [200 μ M] freshly prepared methanolic DPPH solution were added. The plate was shaken for 5 seconds and incubated at room temperature for 30 min. After incubation, the absorbance was

measured at 517 nm. The percentage of DPPH radical scavenging activity (SCV) was calculated by the following equation:

%
$$SCV = \frac{(A_{neg.control} - A_{blank1}) - (A_{sampel} - A_{blank2})}{(A_{neg.control} - A_{blank1})} * 100$$

Concentration-dependent SCV curves were calculated using a non-linear fit, and IC₅₀ values were then determined graphically using GraphPad Prism 5 software.

FRAP Assay

The FRAP reagent was prepared by mixing 300 mmol/L sodium acetate buffer (pH 3.6), 10 mmol/L TPTZ (2,4,6-tripyridyl-s-triazine, Sigma Aldrich, Munich, Germany) solution in 40 mmol/L HCl and 20 mmol/L FeCl3·6H2O solution at a 10:1:1 ratio. The freshly prepared FRAP reagent and the SpectraMax 250 plate reader were warmed to 37 °C. 0.1, 0.2, 0.4, 0.6, 0.8 and 1 mM methanolic solutions (10% DMSO) of the compounds were prepared. FeSO4 was used for calibration. Ascorbic acid and Trolox (Sigma Aldrich, Munich, Germany) served as reference compounds and displayed the antioxidant capacity of 2.0 and 1.8 equivalents of FeSO4, respectively.

In every well of a 96-well-plate, 220.6 μ L of FRAP reagent, 22 μ L of distilled water, and 7.4 μ L of sample or standard were mixed, and the absorbance changes were determined at 593 nm versus the FRAP reagent with methanol. DMSO alone served as a blank. The final dilution of the test samples in the reaction mixture was 1/34. The reaction started immediately and was monitored every 15 s for 4 min with a multiwell plate photometer (Tecan e SpectraMax 250). Experiments were performed in triplicates.

The analysis was accomplished using GraphPad Prism 5 Software applying Oneway ANOVA followed by subsequent Tukey test. Significance was defined as *p < .05.

Metal chelation

The assessment of Fe²⁺ and Cu²⁺ chelation was carried out as described by Santos et al.²³² with partial modifications. To assess the chelation of Fe²⁺ a colorimetric approach was used. Ferrous iron in ferrous sulfate readily reacts with ferrozine (3-(2-Pyridyl)-5,6-diphenyl-1,2,4-triazine-p,p'-disulfonic acid monosodium salt hydrate) to form a purple Fe²⁺-ferrozine complex. To start the reaction, 50 μ L of Fe²⁺ aq. (80 μ M in sodium acetate buffer (50 mM, pH 6.0)) were added to 100 μ L diluted compound in methanol solution, or pure methanol as a negative control. The 96-well plate was incubated at room temperature for 2 minutes and 50 μ L ferrozine aq. (250 μ M in

buffer) were added to each well. After 10 minutes of incubation at room temperature, the absorbance at 562 nm was determined with a microplate reader. The experiments were performed in duplicates. The dilution series of the respective compound in methanol and Fe²⁺ aq. served as a blank which the results were normalized to. EDTA·2Na served as a reference.

The method for Cu^{2+} -chelation was modified by using pyrocatechol violet (PV) as the chromogen agent with slightly acidic sodium acetate buffer (pH 6.0). In each well, 50 μ L Cu^{2+} aq. (400 μ M in buffer) were added to 100 μ L diluted compound in methanol solution or pure methanol as a negative control. The 96-well plate was incubated at room temperature for 2 minutes when 50 μ L PV aq. (400 μ M in buffer) were added. After incubation at room temperature for 10 min, the absorbance at 632 nm was determined using a microplate reader. The experiments were performed in duplicates. Again, EDTA·2Na served as a reference.

ORAC Assay

The assay was applied according to Ou et al. 233 and partially modified according to Dávalos et al. 234 by using fluorescein (FL) as a fluorescent probe, (\pm)-6-Hydroxy-2,5,7,8-tetramethylchromane-2-carboxylic acid (Trolox) as a reference, and 2,2'-azobis(amidinopropane) dihydrochloride (AAPH) as a free radical generator. Reactions were performed in 75 mM PBS (pH 7.4), and the final reaction volume was 200 μ L at 37 °C. Compounds at indicated concentrations (20 μ L) and FL (120 μ L, 70 nM) solutions were mixed in a black 96-well microplate (SARSTEDT) and preincubated for 15 min at 37 °C. Subsequently, AAPH solution (60 μ L, 12 mM) was added rapidly, and the plate was placed in a TECAN microplate reader to measure the fluorescence intensity (485 nm excitation and 510 nm emission filters) every two min for 90 min. Samples were measured at two different concentrations (2 and 4 μ M). A blank (FL + AAPH in PBS) and a calibration curve of Trolox (1, 2, 4, 6, 8, 10, 12, and 15 μ M) were included in each experiment. All samples were prepared in duplicates, and three independent assays were performed for each compound. The area under the fluorescence decay curve (fluorescence vs. time) (AUC) was calculated as

$$AUC = 1 + \sum_{i=1}^{i=45} f_i / f_0$$

where f_0 is the initial fluorescence reading at 0 min, and f_i is the fluorescence reading at time 2i. The net AUC corresponding to a sample was calculated by subtracting the AUC corresponding to the blank (Net AUC = AUC_{antioxidant} - AUC_{blank}). Linear regression equations were calculated by plotting the net AUC against the Trolox antioxidant standard concentration ($R^2>0.99$). ORAC-FL values were expressed as Trolox equivalents.

Ellman's assay for BChE inhibition studies

hBChE (E.C. 3.1.1.8, from human plasma) was kindly donated by Dr. Oksana Lockridge, Nebraska Medical Center. 3.33 mM stock solutions (100 μM final conc. in the assay) of the compounds in ethanol were prepared and stepwise diluted to 3.33 nM (0.1 nM in the assay). A 10 mM DTNB (Sigma-Aldrich, Steinheim, Germany) solution in buffer (3.12 g potassium dihydrogen phosphate in 500 mL MilliQ, pH 8.0) was prepared. 75 mM BTC (Sigma-Aldrich, Steinheim, Germany) stocks in buffer were stored frozen until use. The assay was carried out at 25°C.

In a cuvette, 900 μ L buffer and 30 μ L hBChE stock (2.5 units/mL in buffer stabilized with 1 mg/mL BSA (Sigma-Aldrich)) were mixed. 30 μ L compound solution (3.33 mM stock in ethanol) in different concentrations was added, mixed thoroughly, and incubated for indicated incubation times. 6 μ L BTC (75 mM stock in buffer) was added, and after 2.5 min incubation, the absorbance at 412 nm was measured. Each concentration was assessed in triplicates and referred to a blank sample of buffer and ethanol and full enzyme activity without the addition of compound. 10% ethanol did not affect enzyme activity. The enzyme activity in percent was plotted against the logarithmic inhibitor concentration from which IC50 values were calculated using the GraphPad Prism 5 software.

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Abbreviations

7CT 7-O-cinnamoyltaxifolin

Aβ β-amyloid peptide

ABPP activity-based protein profiling

ACh acetylcholine

AChE acetylcholinesterase
AD Alzheimer's disease
ANOVA analysis of variance

ANT adenine nucleotide translocase

APP amyloid precursor protein

ARE antioxidant response element

ATP adenosine triphosphate

Bach1 BTB and CNC homology-1

BChE butyrylcholinesterase

BKA bongkrekic acid

Boc₂O di-*tert*-butyl decarbonate

BSA bovine serum albumin

BTB broad complex, tramtrack, bric-a-brac

BTC butyrylthiocholine

CAA cerebral amyloid angiopathy

CATR carboxyatractyloside

cGMP cyclic guanosine monophosphate

CNC cap'n'collar

CNS central nervous system

cpd. compound

CSA camphor sulfonic acid

CuAAC Cu(I)-catalyzed [3+2] azido-alkyne cycloaddition

DHED dehydroevodiamine chloride

DIPEA *N,N*-diisopropylethylamine

DMAc *N,N*-dimethylacetamide

DMF dimethylformamide

DMSO dimethylsulfoxide

132

DPPH diphenyl-1-picrylhydrazyl

DTNB 5,5'-dithiobis-(2-nitrobenzoic acid)

EGCG Epigallocatechin-3-gallate

ELISA enzyme-linked immunosorbent assay

ER endoplasmic reticulum
ETC electron transport chain

fAD familial Alzheimer's disease

FRAP ferric ion reducing antioxidant parameter

GFP green fluorescent protein
GPx4 Glutathione peroxidase 4

GSH glutathione

GSK 3β glycogen synthase kinase 3β

h human

HBTU 2-(1*H*-benzotriazol-1-yl)-1,1,3,3-tetramethyluronium

hexafluorophosphate

HO-1/-2 heme oxygenase 1/2

Hsp90 heat shock protein 90

IAA iodoacetic acid

icv intracerebroventricular

interleukin-6ip intraperitonealLOX lipoxygenase

LPS bacterial lipopolysaccharide

MD molecular dynamics

MMF monomethylfumarate

MMP mitochondrial membrane potential

mPTP mitochondrial permeability transition pore

MS mass spectrometry

NFT neurofibrillary tangles

MeOH methanol

NMDA *N*-methyl-D-aspartate

NMP N-methyl-2-pyrrolidone

NMR nuclear magnetic resonance

NO nitric oxide

Nrf2 nuclear factor (erythroid-derived 2)-like 2

ORAC oxygen radical absorbance capacity

PAINS pan-assay interference compounds

ROS reactive oxygen species

r. t. room temperature

sAD sporadic Alzheimer's disease

SARs structure-activity relationships

SERCA sarco-/endoplasmic reticulum Ca²⁺-ATPase

SEM standard error of means

SOCS3 suppressor of cytokine signaling 3

tGSH total glutathione levels

THF tetrahydrofuran

TLC thin layer chromatography

TNF α tumor necrosis factor α

TMRE tetramethylrhodamine ethyl ester

v vehicle

Appendix

Appendix I:

- Gunesch, S.; Hoffmann, M.; Kiermeier, C.; Fischer, W.; Pinto, A. F. M.; Maurice, T.; Maher, P.; Decker, M. 7-*O*-Esters of taxifolin with pronounced and overadditive effects in neuroprotection, anti-neuroinflammation, and amelioration of short-term memory impairment *in vivo*. *Redox Biol.* **2020**, *29* (2020), 101378.
- Supporting Information

Appendix II:

- Gunesch, S.; Soriano-Castell, D.; Lamer, S.; Schlosser, A.; Maher, P.; Decker, M. Development and application of a chemical probe based on a neuroprotective flavonoid hybrid for target identification using activity-based protein profiling. ACS Chem. Neurosci. 2020 (in press).
 - DOI: http://dx.doi.org/10.1021/acschemneuro.ocoo589
- Supporting Information

Appendix III:

- **Gunesch S.**; Schramm, S.; Decker, M. Natural antioxidants in hybrids for the treatment of neurodegenerative diseases: a successful strategy? *Future Med. Chem.* **2017**, *9* (8), 711-713.

Appendix I:

Gunesch, **S.**; Hoffmann, M.; Kiermeier, C.; Fischer, W.; Pinto, A. F. M.; Maurice, T.; Maher, P.; Decker, M. 7-*O*-Esters of taxifolin with pronounced and overadditive effects in neuroprotection, anti-neuroinflammation, and amelioration of short-term memory impairment *in vivo*. *Redox Biol.* **2020**, *29* (2020), 101378.

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Research Paper

7-*O*-Esters of taxifolin with pronounced and overadditive effects in neuroprotection, anti-neuroinflammation, and amelioration of short-term memory impairment *in vivo*



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ABSTRACT

Alzheimer's disease (AD) is a multifactorial disease and the most common form of dementia. There are no treatments to cure, prevent or slow down the progression of the disease. Natural products hold considerable interest for the development of preventive neuroprotectants to treat neurodegenerative disorders like AD, due to their low toxicity and general beneficial effects on human health with their anti-inflammatory and antioxidant features. In this work we describe regioselective synthesis of 7-O-ester hybrids of the flavonoid taxifolin with the phenolic acids cinnamic and ferulic acid, namely 7-O-cinnamoyltaxifolin and 7-O-feruloyltaxifolin. The compounds show pronounced overadditive neuroprotective effects against oxytosis, ferroptosis and ATP depletion in the murine hippocampal neuron HT22 cell model. Furthermore, 7-O-cinnamoyltaxifolin and 7-O-feruloyltaxifolin reduced LPS-induced neuroinflammation in BV-2 microglia cells as assessed by effects on the levels of NO, IL6 and TNFa. In all in vitro assays the 7-O-esters of taxifolin and ferulic or cinnamic acid showed strong overadditive activity, significantly exceeding the effects of the individual components and the equimolar mixtures thereof, which were almost inactive in all of the assays at the tested concentrations. In vivo studies confirmed this overadditive effect. Treatment of an AD mouse model based on the injection of oligomerized $A\beta_{25-35}$ peptide into the brain to cause neurotoxicity and subsequently memory deficits with 7-O-cinnamoyltaxifolin or 7-O-feruloyltaxifolin resulted in improved performance in an assay for short-term memory as compared to vehicle and mice treated with the respective equimolar mixtures. These results highlight the benefits of natural product hybrids as a novel compound class with potential use for drug discovery in neurodegenerative diseases due to their pharmacological profile that is distinct from the individual natural components.

1. Introduction

Currently, 50 million people live with dementia and about two thirds of them suffer from Alzheimer's disease (AD), making AD the most common form of dementia with numbers expected to increase dramatically due to our aging society [1]. So far, only 4 of more than 100 attempts to develop a drug against AD have resulted in a product on the market. Moreover, the available drugs only alleviate symptoms and are not able to stop neurodegeneration or cure the disease [1]. Advances in AD drug development have been hampered for several reasons with most of the researchers focused on amyloid β as the main

perpetrator for AD [2]. Abnormal protein aggregation of amyloid β accumulating as extracellular plaques in the brain, and hyperphosphorylated tau protein forming neurofibrillary tangles are the main characteristics of AD, but neuroinflammation and oxidative stress are just as important as hallmarks of the disease and contribute dramatically to its progression [3]. Due to the multifactorial nature of AD, the one-target strategy to fight the disease needs to be replaced by a more general approach using pleiotropic compounds to deal with its complexity.

Natural products such as flavonoids are used as active components of natural remedies for the treatment of various diseases in traditional

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medicine. Their well-established antioxidant features proved to be beneficial for general human health and natural products have gained increased attention with respect to their potential as neuroprotectants, as by their nature they show pleiotropic effects instead of addressing a single target [4,5].

In previous work we used the natural product silibinin to synthesize a library of 7-O-esters of this flavonolignan with different phenolic acids and investigated their neuroprotective features in a set of assays related to neurodegeneration and aging [6]. The library of esters of silibinin with derivatives of ferulic acid, using substituted and unsubstituted cinnamic, dihydro cinnamic and benzoic acids was investigated for structure-activity relationships (SARs) to reveal the influence of different numbers of hydroxy- and methoxy-groups as aromatic substituents as well as the Michael system on the neuroprotective and antioxidant effects. In all cases, we used natural products or simple derivatives thereof. SARs analysis showed that phenolic acids possessing a Michael system are important for the neuroprotective activity of the compounds [6]. The assays applied were cell-based, avoiding potentially false positive results due to false positive readouts as it was shown for pan assay interference compounds (PAINS) [7,8]. The most potent esters of the compound library in that study were 7-Ocinnamoylsilibinin and 7-O-feruloylsilibinin. Phenolic acids have been studied in the context of neurodegenerative diseases before due to their antioxidant capacities. Ferulic acid is a free radical scavenger which can counteract apoptotic intracellular pathways induced by oxidative stress [9], and has been studied in in vivo models of AD. Long-term administration of ferulic acid counteracted the neurotoxic effect induced by amyloid β in mice [10] and reduced behavioral impairment in a transgenic PSAPP mouse model [11]. Cinnamic acid upregulated the suppressor of cytokine signaling 3 (SOCS3) in BV-2 microglial cells and suppressed the expression of pro-inflammatory cytokines counteracting neuroinflammation [12].

Flavonolignan-based silibinin-esters with phenolic acids suffer from some drawbacks. The high molecular weight of the compounds and their constrained solubility counteract their drugability [13]. The secondary plant metabolite taxifolin is a flavonoid, structurally closely related to silibinin, but smaller in size (Fig. 1). In addition, the catechol moiety in taxifolin is an important antioxidant group itself and it has

been shown to site-specifically inhibit aggregation of 42-residue amyloid β -protein (A β 42) in vitro, which is relevant for the pathogenesis of AD. [14] This was confirmed by a computational study analyzing the reaction mechanism. The covalent adduct is formed via an aza-Michael addition of the oxidized o-quinone species of (+)-taxifolin with a lysine residue of the A β 42 fibril [15]. Previously, taxifolin was semisynthetically esterified in position 7 with gallic acid by Vrba et al. and shown to upregulate the nuclear factor (erythroid-derived 2)-like 2 (Nrf2) pathway in RAW264.7 cells [16], an important cytoprotective mechanism in response to redox imbalance. Recently, it has been shown that orally administered taxifolin exhibits a pleiotropic beneficial effect on intracerebral profiles in a cerebral amyloid angiopathy (CAA) mouse model in vivo, a disease often accompanied by AD [17]. By highlighting the therapeutic potentials of taxifolin and the phenolic acids ferulic and cinnamic acid in the context of neurodegenerative diseases [18,19], these findings inspired us to investigate natural product hybrids based on the flavonoid taxifolin and phenolic acids as novel potent neuro-

Thus, we present in this paper studies on the 7-O-esters of taxifolin with the phenolic acids cinnamic and ferulic acid (Fig. 1B). The latter had proven to be the most effective acids in a comprehensive medicinal chemical structure-activity relationships (SARs) study with silibinin besides their individual neuroprotective features as outlined above. The 7-O-taxifolin esters were regioselectively synthesized without the need of protective groups using acyl chlorides [6,16]. To target AD as a multi-factorial disease with age being the highest risk factor, the compounds need to have a broad pharmacological profile. We assessed the compounds' neuroprotective effects using different cellular models reflecting neurodegeneration and the biology of the aging brain [20]. The hybrids 7-O-cinnamoyltaxifolin 1 and 7-O-feruloyltaxifolin 2 were investigated using the mouse hippocampal neuronal cell line HT22 in different assays of oxidative stress-induced cell death and mouse BV-2 microglia cells for the protection against neuroinflammation. Cellular uptake experiments demonstrated the stability of the compounds under cell culture conditions. Additionally, 1 and 2 were investigated in vivo in an AD mouse model which showed their protective effects towards $A\beta_{25-35}$ -induced memory impairment [21].

(+)-Taxifolin MW: 304.25 g/mol

Quercetin MW: 302.24 g/mol

1: 7-O-Cinnamoyltaxifolin

2: 7-O-Feruloyltaxifolin

Fig. 1. A) Chemical structures of the flavonolignan silibinin and the flavonoids taxifolin and quercetin. Structural differences between the natural products silibinin and taxifolin are highlighted in blue.

B) Target compounds 7-O-cinnamoyltaxifolin (1) and 7-O-feruloyltaxifolin (2). (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

Cinnamic acid:
$$R_1 = R_2 = H$$
Ferulic acid: $R_1 = OCH_3$, $R_2 = OH$

Cinnamic acid: $R_1 = R_2 = H$

(1) 7-O-Cinnamoyltaxifolin: $R_1 = R_2 = H$

(2) 7-O-Feruloyltaxifolin: $R_1 = OCH_3$, $R_2 = OH$

Scheme 1. Synthesis of 7-O-cinnamoyltaxifolin (1, 43% yield) and 7-O-feruloyltaxifolin (2, 27% yield). a) acid, oxalyl chloride, DMF, dry THF, 1 h, room temperature; b) taxifolin, triethylamine, dry THF, 2 h, room temperature after addition of the respective acyl chloride.

2. Results

Chemistry. Despite the presence of several hydroxyl groups, 7-*O*-esterification of taxifolin was achieved without the use of protective groups under optimized reaction conditions. Acyl chlorides of ferulic or cinnamic acid were generated with oxalyl chloride and catalytic amounts of DMF, and immediately added under anhydrous conditions to taxifolin in basic solution (Scheme 1) [6,16]. Extensive purification by column chromatography after the workup was necessary to remove all byproducts and obtain the pure compounds 7-*O*-cinnamoyltaxifolin 1 and 7-*O*-feruloyltaxifolin 2.

2.1. Biological activity

2.1.1. Neuroprotection in HT22 cells

Oxytosis. Glutamate treatment of murine hippocampal HT22 cells leads to intracellular glutathione (GSH) depletion resulting in a form of programmed cell death due to oxidative stress [22]. This assay, also called oxidative glutamate toxicity, has a mechanistic association with aging and AD as GSH reduction is seen in the aging brain and is accelerated in AD [23]. Due to the generality of this toxicity pathway, oxytosis was used to investigate the ability of 7-O-cinnamoyltaxifolin 1 and 7-O-feruloyltaxifolin 2 to protect against oxidative stress in HT22 cells. As shown in Fig. 2A and C, 1 and 2 were highly protective against glutamate-induced oxidative stress, exceeding the beneficial effect of 25 µM quercetin, which served as a positive control. Compound **1** showed the highest neuroprotective activity at a concentration of $5\,\mu\text{M}$ rescuing 74% of the cells (Fig. 2A). Taxifolin, cinnamic acid and the equimolar mixture of both were not neuroprotective at the tested concentrations of 1, 5 and 10 µM (Fig. 2A). The same neuroprotective pattern was observed for 2 and the respective controls taxifolin, ferulic acid and the one-to-one mixture of taxifolin and ferulic acid. None of the individual compounds nor the equimolar mixture showed any neuroprotective activity at the concentrations tested, but the ester hybrid $\boldsymbol{2}$ was highly protective at $5\,\mu M$ with 70% cell viability compared to cells treated with glutamate only (Fig. 2C). The compounds were also investigated with regard to their toxicity in the absence of glutamate and both 1 and 2 were found to exhibit a slight, dose-dependent neurotoxic effect (Fig. 2B and D). However, for both 1 and 2, greater than 70% of the cells survived even at the highest concentration tested (Fig. 2B and D).

Ferroptosis. Ferroptosis is a cell death pathway closely related if not identical to oxytosis [24]. Both forms of programmed cell death due to oxidative stress are consequences of GSH depletion, accumulation of reactive oxygen species (ROS) including lipid peroxides, mitochondrial dysfunction and a final influx of Ca^{2+} from the extracellular space [25]. Distinct from oxytosis which is induced by glutamate inhibiting cystine import by blocking system x_c^- , oxidative stress in the ferroptosis assay can be induced downstream in the cascade by inhibition of glutathione peroxidase 4 (GPx4). GPx4 is a GSH-dependent antioxidant enzyme which loses activity under GSH depletion, but can also be inhibited directly via covalent interaction of the compound RSL3 with the active site selenocysteine of GPx4 [26]. As shown in Fig. 3, both 7-O-esters of

taxifolin, 1 and 2, were neuroprotective against ferroptosis whereas the individual components and the respective equimolar mixture did not exhibit any protective activity at the tested concentrations. At $5\,\mu\text{M}$, compound 1 rescued 80% of the cells and its effect remained at the higher concentration (Fig. 3A). The effect of compound 2 was weaker at $5\,\mu\text{M}$ compared to compound 1 with around 40% cell survival (Fig. 3B), but at $10\,\mu\text{M}$ both compounds were equally potent neuroprotectants, exceeding by far the effect of the controls.

ATP depletion. Energy metabolism and ATP levels in the brain decrease with age, as a consequence of a breakdown in neuronal energy production, and are associated with nerve cell damage and death in AD [27]. Iodoacetic acid (IAA), an irreversible inhibitor of the glycolytic enzyme glyceraldehyde 3-phosphate dehydrogenase, was used to induce ATP loss in HT22 cells [28]. 7-O-Cinnamoyltaxifolin 1 and 7-O-feruloyltaxifolin 2 were investigated towards their ability to protect against ATP loss and found to significantly increase cell survival in contrast to taxifolin, the respective phenolic acid and the mixture of both (Fig. 4). Compound 1 showed an effect already at 1 μ M with 40% cell survival. The effect was lost at lower concentrations (data not shown). As observed in the assays described above, the esters 1 and 2 remarkably outshown the effects of the individual components and the one-to-one mixture of the flavonoid and the phenolic acid as potential hydrolysis products.

GSH quantification. As GSH and GSH-dependent enzymes provide the major line of defense in the protection towards oxidative stress in cells and GSH levels decrease with age [23], we were interested in whether the neuroprotective esters 7-O-cinnamoyltaxifolin 1 and 7-Oferuloyltaxifolin 2 had an influence on total glutathione levels (tGSH) in HT22 cells. Therefore, tGSH levels were determined in the presence of increasing concentrations of 1 and 2. The equimolar mixture of taxifolin and the respective acid served as controls (Fig. 5). In cells treated only with the compounds, GSH levels were maintained by both compound 1 and the one-to-one mixture (Fig. 5A, black lines). Even though the GSH concentrations of 1-treated cells were higher than the control starting from 2.5 µM and increased dose-dependently, the difference observed was not statistically significant. However, when HT22 cells were treated $\,$ with 5 mM glutamate to induce oxytosis, tGSH levels were significantly increased by 1 whereas the presence of the equimolar mixture of taxifolin and cinnamic acid had no effect on the loss of tGSH seen in the presence of glutamate (Fig. 5A, blue lines). As shown in Fig. 5B, the one-to-one mixture of taxifolin and ferulic acid had no influence on tGSH in the absence of glutamate, while compound 2 increased tGSH levels even though the effect was not statistically significant (black lines). The decreased levels of tGSH in the presence of glutamate were significantly elevated by compound 2 at 10 µM where the equimolar mixture of taxifolin and ferulic acid had no effect (Fig. 5B, blue lines). Thus, compounds 1 and 2 both influence tGSH levels and increase tGSH under oxidative stress induced by glutamate treatment in HT22 cells although 1 shows a larger effect at lower concentrations than 2.

2.1.2. Anti-inflammatory effects in BV-2 cells

NO and cytokines. Microglial cells are the resident immune cells of the CNS. Their activation to the pro-inflammatory phenotype

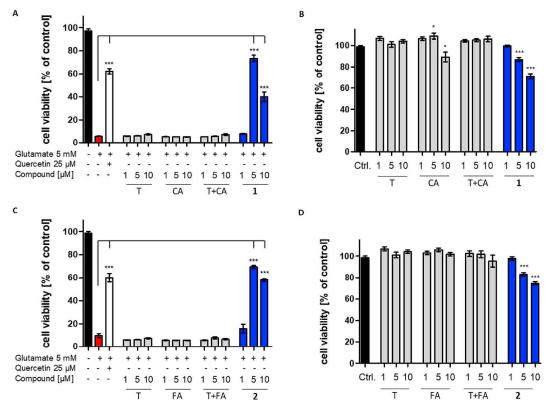


Fig. 2. Oxytosis assay in HT22 hippocampal nerve cells. 25 μM Quercetin served as a positive control (white) while 5 mM glutamate was used to induce toxicity (red). **A)** Neuroprotective effect of 7-*O*-cinnamoyltaxifolin (1) and the controls taxifolin (T), cinnamic acid (CA) and the equimolar mixture of taxifolin and cinnamic acid (T + CA). **B)** Neurotoxicity of the compounds taxifolin (T), cinnamic acid (CA), the one-to-one mixture (T + CA) and compound **1. C)** Neuroprotective effect of 7-*O*-feruloyltaxifolin (**2)** and the controls taxifolin (T), ferulic acid (FA) and the one-to-one mixture of taxifolin and ferulic acid (T + FA). **D)** Neurotoxic effect of the compounds taxifolin (T), ferulic acid (FA), the equimolar mixture (T + FA) and compound **2**. Data is presented as means \pm SEM of three independent experiments and results refer to untreated control cells (black). Statistical analysis was rendered using One-way ANOVA followed by Dunnett's multiple comparison posttest using GraphPad Prism 5 referring to cells treated with 5 mM glutamate only in **A)** and **C)** or to untreated control cells in **B)** and **D)**. Levels of significance: *p < 0.01; ***p < 0.001. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

contributes to neuroinflammation, a major hallmark of AD [29]. Uncontrolled activation of microglia can lead to production of various proinflammatory and cytotoxic factors, like cytokines, free radicals, excitatory neurotransmitters and eicosanoids contributing to neuronal injury and neurodegeneration [29]. Thus, inhibition of pro-inflammatory microglia activation is another important target in the context of AD. BV-2 mouse microglial cells were used to investigate the ability of 1 and 2 to counteract inflammation induced by bacterial lipopolysaccharide (LPS). We were first interested in the effect of the compounds on nitric oxide (NO) production as a pro-inflammatory mediator (Fig. 6A and B). Both 7-O-esters 1 and 2 strongly reduced NO production. The levels were decreased dose-dependently in an overadditive manner with a significant effect already at $2.5\,\mu\text{M}$. As with the neuroprotective effects in the HT22 cells, this again exceeded the effect of the individual components and the equimolar mixture, where only ferulic acid displayed weak activity at higher concentrations. At a concentration of $10\,\mu\text{M}$, 7-O-cinnamoyltaxifolin 1 decreased NO production to only 13% compared to cells treated only with LPS (Figure 6A) and 7-O-feruloyltaxifolin 2 decreased the production to 16% NO (Fig. 6B). Compound 1 was further examined towards its effect on the cytokines IL6 and TNF α (Fig. 6C) using ELISAs. At 10 μ M, taxifolin, cinnamic acid, the one-to-one mixture and ${\bf 1}$ reduced induction of TNF α to less than 40%. Even though the levels of TNF α were decreased strongest by 1, the difference to the controls taxifolin and cinnamic acid as well as the one-to-one mixture of both was not statistically significant (Fig. 6C). A stronger difference was seen with IL6 induction which was significantly reduced by compound 1 compared to cinnamic acid and the equimolar mixture of taxifolin and cinnamic acid (Fig. 6C). As compound 1 did not show an enhanced effect on TNF α levels as compared to the respective controls, compound 2 was only assessed for its effect on IL6 levels using an ELISA. Although IL6 levels were decreased by compound 2 (Fig. 6D), the difference from the controls was not statistically significant.

Nrf2 upregulation. Previously, taxifolin esterified in position 7 with gallic acid was shown to upregulate the nuclear factor (erythroidderived 2)-like 2 (Nrf2) pathway in RAW264.7 cells [16], a macrophage cell line. Since brain microglial cells have many properties similar to peripheral macrophages and, of the two compounds, compound 1 was the strongest reducer of neuroinflammation markers in the BV-2 cells, we asked whether Nrf2 was involved in its mode of action. Nuclear fractions of BV-2 cells treated with the compounds were subjected to Western blot analysis. As shown in Fig. 7A, 7-O-cinnamoyltaxifolin 1 dose-dependently increased nuclear Nrf2 levels up to 24-fold at $10\,\mu M$ after 4 h of incubation. Taxifolin and the equimolar mixture of taxifolin and cinnamic acid did not induce Nrf2 translocation to the nucleus. Next, we were interested in whether Nrf2 was directly involved in the anti-inflammatory effects of 1 and so downregulated Nrf2 in BV-2 microglia by transfection using specific siRNA. Fig. 7B shows the effective downregulation of Nrf2 in cells treated with siNrf2 (lane 3) even in the presence of the strong inducer 1 (lane 4), compared to the nonspecific control siRNA (lanes 1 and 2). The siRNA modified BV-2 cells were then used for the LPS inflammation assay. As shown in Fig. 7C, no shift in the dose-response curve for NO reduction was observed between Nrf2 knockdown cells and the control, therefore Nrf2 knockdown had no effect on the anti-inflammatory activity of 1.

Cellular uptake experiments. Semisynthetic gallic acid esters of

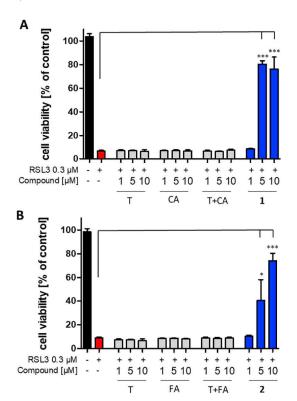


Fig. 3. Ferroptosis was induced in HT22 cells with 300 nM RSL3. **A)** Neuroprotective effect of 7-*O*-cinnamoyltaxifolin (1) and the controls taxifolin (T), cinnamic acid (CA) and the equimolar mixture of taxifolin and cinnamic acid (T + CA). **B)** Neuroprotective effect of 7-*O*-feruloyltaxifolin (2) and the controls taxifolin (T), ferulic acid (FA) and the one-to-one mixture of taxifolin and ferulic acid (T + FA). For both **A)** and **B)**, data is presented as means \pm SEM of three independent experiments and results refer to untreated control cells (black). Statistical analysis was rendered using One-way ANOVA followed by Dunnett's multiple comparison posttest using GraphPad Prism 5 referring to cells treated with 300 nM RSL3 only (red). Levels of significance: *p < 0.01; ***p < 0.001. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

taxifolin have been shown to be converted to quercetin derivatives in RAW264.7 cells by Vrba et al. [16]. Therefore, we were interested if we could detect cellular uptake of our synthetic hybrid 7-O-cinnamoyltaxifolin 1 in murine BV-2 microglia cells, and if the compound was hydrolyzed or was converted to the respective 7-O-quercetin ester, as described before [16]. We first recorded a reference HPLC chromatogram with 50 ng of each compound to determine their retention times (Fig. 8A). For cellular uptake experiments, BV-2 cells were incubated with 50 µM 7-O-cinnamoyltaxifolin 1 for 0, 30, 90 and 240 min and lysates were analyzed by HPLC (Fig. 8B). Fig. 8B-a shows that already for time point 0, where cells were lysed immediately after the addition of the compound, next to the peak for 1 at 43.9 min, a second peak was detected with a retention time of 48.9 min. After 30 min both peaks increased in intensity (Fig. 8B-b) and a shift towards the second peak was observed after 90 min incubation (Fig. 8B-c), indicating that the compound was metabolized. After 4 h, compound 1 was only detected in trace amounts and also the second peak decreased (Fig. 8B-d). Both compounds were found to be stable towards their potential hydrolysis products as no additional peaks were detected at lower retention times that would correspond to the reference compounds in Fig. 8A. To ensure that conversion of 7-O-cinnamoyltaxifolin was indeed dependent on the presence of cells, 50 µM 1 were incubated for 30 min in culture medium only. HPLC analysis gave one distinct peak with a retention time of 44.0 min and no further compounds were detected (Fig. 8C). To confirm the identity of the detected compounds, the two peaks at 43.9

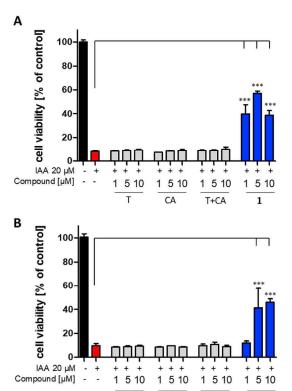


Fig. 4. HT22 cells were treated with 20 μM iodoacetic acid (IAA) to induce ATP depletion in the absence or presence of the compounds. **A)** Protective effect of 7-*O*-cinnamoyltaxifolin (1) and the controls taxifolin (T), cinnamic acid (CA) and the equimolar mixture of taxifolin and cinnamic acid (T + CA). **B)** Protective effect of 7-*O*-feruloyltaxifolin (2) and the controls taxifolin (T), ferulic acid (FA) and the one-to-one mixture of taxifolin and ferulic acid (T + FA). For both **A)** and **B)**, data is presented as means \pm SEM of three independent experiments and results refer to untreated control cells (black). Statistical analysis was rendered using One-way ANOVA followed by Dunnett's multiple comparison posttest using GraphPad Prism 5 referring to cells treated with 20 μM IAA only (red). Levels of significance: ***p < 0.001. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

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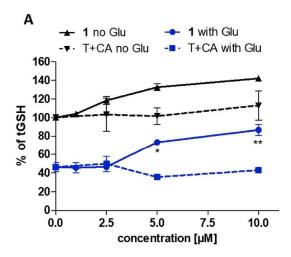
FA

T+FA

2

and 48.9 min were collected and submitted to MS analysis respectively. In Fig. 8D the peak with a retention time of 43.9 min was identified as 7-*O*-cinnamoyltaxifolin with m/z=435.11 ([M+H] $^+$ calc. = 435.11). MS/MS fragmentation resulted in signals at m/z=305.07 corresponding to taxifolin and at m/z=131.05 and m/z=103.05 corresponding to cinnamic acid (minus H₂O and additional loss of CO, respectively). MS analysis of peak 2 with a retention time of 48.9 min gave signals at m/z=433.09 corresponding to 7-*O*-cinnamoylquercetin with a calculated [M+H] $^+$ m/z=433.09 (Fig. 8E). The compound was confirmed by MS/MS fragmentation where the masses of cinnamic acid (m/z=131.05 and m/z=103.05) and quercetin (m/z=303.05) were identified.

In vivo studies. To extend the *in vitro* studies, the esters 1 and 2 were evaluated and compared to the one-to-one mixtures of the respective acids and taxifolin regarding their protective effects against $A\beta_{25-35}$ -induced memory impairment in a mouse model of AD, which has been described in detail before [21,30,31]. AD-like cognitive dysfunction was induced by intracerebroventricular (icv) injection of oligomerized $A\beta_{25-35}$ peptide into the mouse brain on day 1. Compounds were injected intraperitoneally (ip) from day 1 to day 7, and spatial working memory was evaluated on day 8 in a Y-maze assay. On days 9 and 10 of the study, a step-through passive-avoidance assay was performed as a measure of long-term memory improvement, followed by



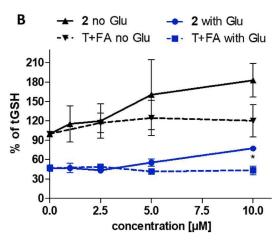


Fig. 5. Dose dependent effects of 7-*O*-cinnamoyltaxifolin **1** (**A**) and 7-*O*-feruloyltaxifolin **2** (**B**) and the equimolar mixtures (T + CA in **A** and T + FA in **B**) on total glutathione (tGSH) levels in HT22 cells in the absence (black lines) or presence (blue lines) of 5 mM glutamate (Glu) to induce oxytosis. GSH levels were measured in a chemical assay after 24 h and normalized to total protein. Results are given as mean \pm SEM and were analyzed by One-way ANOVA followed by Dunnett's multiple comparison posttest using GraphPad Prism 5 referring to cells with no compound added. Levels of significance: *p < 0.05, **p < 0.01. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

sacrifice on day 11. Solutions of compounds in 60% DMSO +40% saline (0.9%) were prepared directly before injection and injected ip once per day to achieve a dose of 1, 3, and 10 mg/kg, respectively. 60% DMSO +40% saline (0.9%) were used as vehicle (V) for control groups. The treatments did not affect the mouse body weight gain significantly during the week of therapy showing good tolerability (Fig. 11). Animals recovered quickly (within 10 min) from the icv injection stress after day 1.

Spatial working memory. Compound 2 reduced the $A\beta_{25.35}$ -induced spontaneous alternation deficits at all doses tested (Fig. 9A). Compound 1 showed significant prevention of the $A\beta_{25.35}$ -induced alternation deficit at 3 and 10 mg/kg (Fig. 9B). The esters 1 and 2 appeared to be significantly more neuroprotective than the mixtures of taxifolin and the respective acids (Fig. 9C,D,E).

Long-term memory. On day 9 of the study, the step-through passive-avoidance training was conducted, followed by the assessment of the step-through latency on day 10 as described earlier [21,30,31]. None of the compounds or mixtures improved the A β -induced impairment of long-term memory in prolongation of step-through (Fig. 10).

3. Discussion

In this work we present the synthesis and detailed in vitro and in vivo evaluation of two natural product hybrids, 7-O-cinnamoyltaxifolin 1 and 7-O-feruloyltaxifolin 2, with overadditive neuroprotective effects. The compounds' design was based on interesting results of a SAR study for silibinin esters [6] and the beneficial pleiotropic effects of taxifolin and the phenolic acids as neuroprotectants [32,33] to address multifactorial neurodegenerative diseases like AD. For silibinin, the influence of the individual hydroxyl groups was investigated and position 7 was assigned with a rather pro-oxidant character [34] and therefore was protected by esterification with the antioxidant phenolic acid [6]. As the catechol moiety of the flavonoid taxifolin is a strong antioxidant group itself and modifications on the A-Ring are well tolerated, taxifolin was chemically connected via an ester bond in position 7 to the phenolic acids cinnamic and ferulic acid, which were the superior phenolic acids in the SARs of silibinin esters [6] and counteract pathways of neurodegeneration as described above.

Due to multiple hydroxyl groups, selective esterification of flavonoids is a challenging task and often requires the use of protective groups [35]. Vrba et al. synthesized taxifolin and quercetin esters with gallic acid by using benzyl-protected gallic acid, or benzyl-protected quercetin for esterification in position 3 of the flavonoid [16]. Protection and deprotection steps enlarge the synthetic route and might narrow the yield of target compounds. Similar to the conditions applied before for the synthesis of silibinin esters [6,36] we synthesized the 7-O-esters of taxifolin by direct acylation without the use of protective groups. Acyl chlorides of cinnamic or ferulic acid were prepared using oxalyl chloride and catalytic amounts of DMF in tetrahydrofuran (THF) and were added to a solution of taxifolin in THF basified with triethylamine. Optimized reaction conditions even allowed the generation of the feruloyl chloride *in situ* for esterification without protecting the free hydroxyl group.

As the single-target approach has not proven successful for the development of effective drugs against AD up to now, our approach was to characterize the compounds in a broad range of assays developed to reflect the biology of aging and neurodegeneration [37]. This approach is also an asset from a medicinal chemists point of view, as for molecules bearing a Michael system like cinnamic and ferulic acid, it is important to choose a variety of assays estimating the biological activity to avoid false-positive readouts (PAINS) [7]. Here, we used two different cell lines for the biological characterization. HT22 hippocampal nerve cells to study the neuroprotective effects of 7-O-cinnamoyltaxifolin 1 and 7-O-feruloyltaxifolin 2 against different types of oxidative stress, and mouse BV-2 microglia cells to examine the esters' anti-neuroinflammatory activity. In all cell-based assays, the compounds 1 and 2 showed strong and pronounced overadditive effects. The individual ester components taxifolin and the respective phenolic acids were not neuroprotective in any of our assays, neither was their equimolar mixture representing the potential hydrolysis products. In the rather low concentration range investigated, only the 7-O-esters were able to rescue cells from oxytosis, ferroptosis and ATP depletion in HT22 cells and LPS-induced neuroinflammation in BV-2 cells. These findings indicate a specific intracellular mode of action of 7-O-cinnamoyltaxifolin ${\bf 1}$ and 7-O-feruloyltaxifolin ${\bf 2}$ with the chemical connection contributing to the biological activity. Flavonoids have been shown to influence GSH levels as part of their antioxidant effect [38] and GSH is developing into an therapeutic objective for the treatment of oxidative stress-related diseases [39]. Compound 1 and 2, but not the one-toone mixture of taxifolin and the respective phenolic acid, were able to significantly increase GSH levels in HT22 cells under glutamate-induced oxidative stress.

Nrf2 is an important transcription factor that acts on the antioxidant response element (ARE) and has been shown to be induced by flavonoids [40]. As Nrf2 also has anti-inflammatory effects [41], we investigated the role of Nrf2 in the anti-inflammatory action of 7-O-

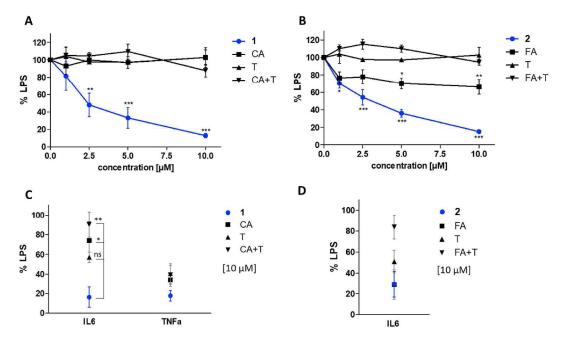


Fig. 6. Effects of 1 and 2 and the respective controls on the production of NO and pro-inflammatory cytokines IL6 and TNFα by LPS-treated BV-2 microglia cells. Cells were treated overnight with 50 ng/mL LPS alone or in the presence of 7-O-cinnamoyltaxifolin (1), cinnamic acid (CA), taxifolin (T) and the one-to-one mixture of both (T + CA) (A and C) or with 7-O-feruloyltaxifolin (2), ferulic acid (FA), taxifolin (T) and the equimolar mixture of the individual components (T + FA) (B and D). Supernatants were cleared and NO was quantified by the Griess assay. Data in in A and B are given as means \pm SEM and relative to BV-2 cells treated with LPS only, which were set as 100%. One-way ANOVA was used for statistical analysis followed by Dunnett's multiple comparison posttest. Levels of significance: *p < 0.05, **p < 0.01, ***p < 0.001. C) The effect of 10 μM 1 on levels of the pro-inflammatory cytokines IL6 and TNFα after LPS-treatment was assessed using ELISAs as was the effect of 10 μM 2 on IL6 (D). For C) and D) Results are presented as percent (%) of the values obtained for treatment with LPS alone, which were set as 100% and are shown means \pm SEM. Levels of significance: *p < 0.05, **p < 0.01 of One-way ANOVA followed by Tukey's posttest.

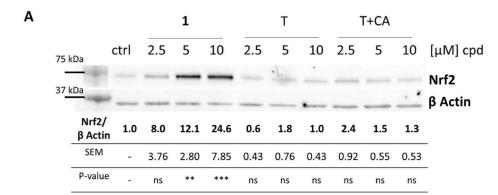
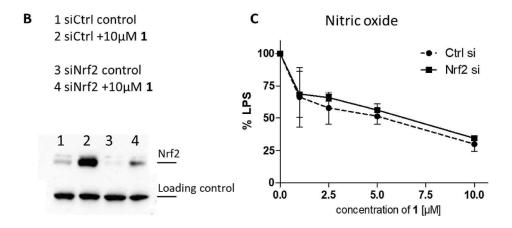


Fig. 7. Correlation of 7-O-cinnamoyltaxifolin 1 and Nrf2 in BV-2 microglia cells. A) Cells were treated for 4 h with DMSO as control or with increasing concentrations of 1, taxifolin (T) or the equimolar mixture of taxifolin and cinnamic acid (T + CA). Nuclear fractions of BV-2 cells were prepared and analyzed by Western blot for Nrf2 using a specific antibody. Levels of Nrf2 were normalized to actin and a representative blot is shown. B) Transfection of BV-2 cells with control siRNA or Nrf2 siRNA. Nuclei of cells incubated with 1 or vehicle (DMSO control) for 4h were analyzed by Western blots for Nrf2. C) Griess assay for NO quantification of transfected cells treated with increased concentrations of 7-O-cinnamoyltaxifolin 1.



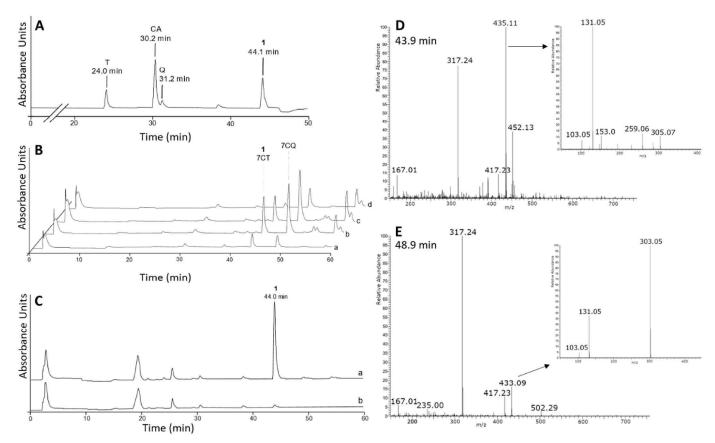


Fig. 8. Cellular uptake of compound **1. A)** 50 ng of taxifolin (T), cinnamic acid (CA), quercetin (Q) and 7-*O*-cinnamoyltaxifolin (**1**) were submitted to HPLC as reference for the compounds' retention times. **B)** 50 μ M **1** were added to BV-2 cells and cells were immediately lysed (**a**), or incubated for 30 min (**b**), 90 min (**c**) or 4 h (**d**) prior to lysis and sample preparation. **C)** Incubation of 50 μ M **1** for 30 min in medium only did not lead to compound conversion (**a**). (**b**): blank chromatogram with medium only. **D)** MS spectrum of the first HPLC peak (43.9 min) after incubating **1** for 30 min with BV-2 cells. The signal at m/z = 435.11 corresponds to **1** and was isolated for MS/MS fragmentation (inset) where m/z = 131.05 and m/z = 305.07 were detected corresponding to cinnamic acid and taxifolin, respectively. **E)** MS spectrum of the 48.9 min fraction gave a signal at m/z = 433.09 and the MS/MS fragmentation (inset) of the selected ion at m/z = 131.05 and m/z = 303.05 fit the m/z values of cinnamic acid and quercetin.

cinnamoyltaxifolin 1. Compound 1 was a strong inducer of Nrf2 in BV-2 cells with more than a 20-fold increase in Nrf2 levels in the nuclear fraction, again in an overadditive manner. However, the anti-inflammatory effect of 1 was not dependent on Nrf2. BV-2 cells transfected with Nrf2 siRNA still showed reduced levels of NO as an inflammation marker upon treatment with 1 in a concentration dependent manner. Therefore, the exact pathways mediating the anti-inflammatory effects of 1 remain unclear and in future investigations

proteins of the NFKB pathway, like pIKB α , or MAP kinases could be considered [40].

The BV-2 cell line was also used for cellular uptake experiments to study the intracellular behavior of the compound. Instead of hydrolysis of the ester bond, we observed the conversion of 7-O-cinnamoyltaxifolin 1 to its oxidized quercetin derivative, in accordance to what Vrba et al. had shown for galloyl ester of taxifolin in RAW264.7 cells [16]. The oxidation product 7-O-cinnamoylquercetin was detectable right

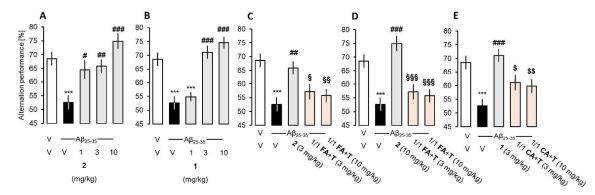


Fig. 9. Effect of the compounds on Aβ₂₅₋₃₅-induced spontaneous alternation deficits in mice. V – vehicle, 2 – 7-*O*-feruloyltaxifolin, 1 – 7-*O*-cinnamoyltaxifolin, FA – ferulic acid, T – taxifolin, CA – cinnamic acid. Data shows mean \pm SEM. ANOVA: $F_{(4,59)} = 8.77$, p < 0.001, n = 11–12 per group in A); $F_{(4,58)} = 19.97$, p < 0.001, n = 11–12 in B); $F_{(7,95)} = 7.35$, p < 0.001, n = 11–12 in C) and D); $F_{(7,95)} = 8.54$, p < 0.001, n = 11–12 in E). ***p < 0.01 vs. (V + V)-treated group; p < 0.05, ##p < 0.01, ###p < 0.01 vs. (Aβ₂₅₋₃₅+V)-treated group; \$p < 0.05, \$\$p < 0.01 vs. (Aβ₂₅₋₃₅+1)-treated group; Dunnett's test.

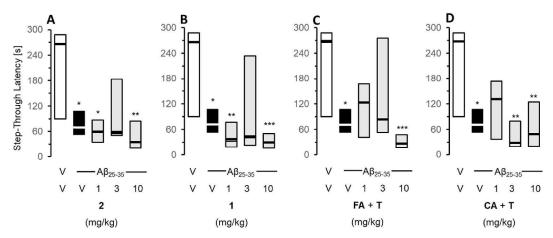


Fig. 10. Effect of the compounds on Aβ₂₅₋₃₅-induced passive avoidance impairments in mice. Data shows median and interquartile range. Kruskal-Wallis ANOVA: H = 9.04, p < 0.1, n = 12 per group in (**A**); H = 15.0, p < 0.05, n = 12 in (**B**); H = 13.8, p < 0.05, n = 12 in (**C**); H = 12.0, p < 0.05, n = 12 in (**D**). *p < 0.05, **p < 0.01, ***p < 0.01, ***p < 0.01 vs. (V + V)-treated group; Dunn's test.

after addition of 1, thus the intracellular oxidation occurred rapidly after cellular uptake and we were unable to determine which derivative contributes to what extent to the biological activity. However, the presence of cells was necessary for the conversion of the compound, as incubation of 1 in medium alone did not produce any metabolic byproduct. Remarkably, even after 4 h incubation, none of the potential hydrolysis products expected were detected, proving the stability of the ester bond.

In vivo experiments with both compounds 7-O-cinnamoyltaxifolin 1 and 7-O-feruloyltaxifolin 2 showed their pharmacological relevance in an $A\beta_{25-35}$ -induced memory impaired AD mouse model. The 7-O-esters of taxifolin, 1 and 2, both showed significant improvement of spatial working memory in the Y-maze assay, although only moderate effects were seen in the non-spatial long-term memory passive avoidance test. A dichotomy between the resulting effects on Aβ₂₅₋₃₅-induced shortterm and long-term memory deficits could however be predicted. Although silibinin ameliorated $A\beta_{25-35}$ -induced memory deficits and oxidative stress, notably by mobilizing nitric-oxide synthase and $TNF\alpha$ [42,43], antioxidants including idebenone and α -tocopherol prevented the short-term memory deficits in the Y-maze and water-maze, but not passive avoidance deficits in $A\beta_{1-42}$ -treated mice [44]. Another point is that taxifolin has a positive effect on $A\beta_{1-42}$ accumulation and aggregation [45,46]. We previously reported that a γ -secretase inhibitor, BMS-299897, attenuated $A\beta_{25-35}$ -induced $A\beta_{1-42}$ seeding and toxicity [47]. Importantly, BMS-299897 blocked the $A\beta_{25-35}$ -induced deficits in spontaneous alternation or novel object recognition, using a 1 h intertrial time interval, but failed to affect the passive avoidance impairments or novel object recognition, using a 24 h intertrial time interval. Aβ₂₅₋₃₅ injection therefore provoked an accumulation in endogenous $A\beta_{1-42}$, an effect that was blocked by γ -secretase inhibition. This $A\beta_{1-42}$ accumulation marginally contributed to the toxicity or long-term memory deficits but likely plays a major role in synaptic dysfunction and short-term memory deficits. Thus, through this mechanism, taxifolin, and the related compounds 1 and 2, may be particularly effective in alleviating these changes.

The effect of both 7-O-cinnamoyltaxifolin 1 and 7-O-feruloyltaxifolin 2 on short-term memory was remarkably superior to the effect evoked by the mixtures of taxifolin and the acids. Thereby, much greater effects on oxidative stress and protection from ATP depletion in the HT22 cells and the anti-neuroinflammatory effect in the BV-2 cells in vitro translates into an improved memory rescue in vivo, when neuroinflammation and neurotoxicity were induced by $A\beta_{25-35}$. Of note, both compounds 1 and 2 outperformed the symptomatic memory improvement of taxifolin which had been shown before [45,48]. These findings provide strong support for the general concept of 7-O-

esterification to improve the neuroprotective effects of taxifolin and show that this might also apply to other natural products with polyphenolic structures.

4. Conclusion

We showed that 7-O-esters of taxifolin and ferulic or cinnamic acid have pronounced neuroprotective effects against oxidative stress and neuroinflammation in a variety of assays in HT22 and BV-2 cell models, proving a pleiotropic beneficial effect which is desperately needed in the context of multifactorial neurodegenerative diseases like AD. Since the effects of 7-O-cinnamoyltaxifolin and 7-O-feruloyltaxifolin were overadditive in each of the assays used, one can conclude a specific cellular interaction distinct from the hybrids' building blocks. Due to the significant and overadditive in vivo effects in the $A\beta_{25-35}$ -induced memory impaired AD mouse model, it can be assumed that the compounds were able to cross the blood-brain barrier and their metabolic stability was sufficient to evoke an amelioration of short-term memory defects in mice, in contrast to the controls. Even though their detailed mechanisms of action remains to be determined, several target pathways were identified including maintenance of GSH under conditions of stress and Nrf2 signaling. Thus, the natural product hybrids should be considered as a class of neuroprotective compounds with a distinct and specific pharmacological profile. This might also be true for other polyphenolic hybrids in addition to taxifolin-based esters. Future research aims to further develop the compounds as a potential starting point for drug development to treat neurodegenerative diseases, as their origin as dietary natural products provides medical value due to reduced toxicity and side effects with a pleiotropic pharmacological ef-

5. Experimental section

5.1. Chemical synthesis

General information. All reagents were used without further purification and bought from common commercial suppliers. For anhydrous reaction conditions, THF was dried prior to use by refluxing over sodium slices for at least 2 days under an argon atmosphere. Thin-layer chromatography was performed on silica gel 60 (alumina foils with fluorescent indicator 254 nm). UV light (254 and 366 nm) was used for detection. For column chromatography, silica gel 60 (particle size 0.040–0.063 mm) was used. Nuclear magnetic resonance (NMR) spectra were recorded with a Bruker AV-400 NMR instrument (Bruker, Karlsruhe, Germany) in DMSO-d6, and chemical shifts are expressed in

ppm relative to DMSO-d6 (2.50 ppm for 1H and 39.5 ppm for 13C). Purity of the synthesis products was determined by HPLC (Shimadzu Products), containing a DGU-20A3R degassing unit, a LC20AB liquid chromatograph, and an SPD-20A UV/vis detector. UV detection was measured at 254 nm. Mass spectra were obtained by a LCMS 2020 (Shimadzu Products). As a stationary phase, a Synergi 4U fusion-RP (150 mm \times 4.6 mm) column was used, and as a mobile phase, a gradient of methanol/water with 0.1% formic acid. Parameters: A = water, B = methanol, V(B)/(V(A) + V(B)) = from 5% to 90% over 10 min, V(B)/(V(A) + V(B)) = 90% for 5 min, V(B)/(V(A) + V(B)) = from 90% to 5% over 3 min. The method was performed with a flow rate of 1.0 mL/min. Compounds were only used for biological evaluation if the purity was \geq 95%. Melting points were determined using an OptiMelt automated melting point system (Scientific Instruments GmbH, Gilching, Germany).

5.2. General procedure for the synthesis of 7-O-ester of taxifolin

The respective phenolic acid (1.5 equiv.) was dissolved in dry THF. $3\,\mu L$ DMF and oxalyl chloride (1.5 equiv.) were added and the solution stirred at room temperature until TLC indicated complete conversion of the acid. Taxifolin (1.0 equiv.) was dissolved in dry THF and NEt₃ (2.0 equiv.) was added. The freshly prepared acid chloride was added dropwise to the taxifolin solution and the mixture stirred at room temperature for $2\,h$. The reaction was quenched by the addition of water and extracted with ethyl acetate. The organic layer was washed with $1\,M$ HCl, brine and dried over NaSO₄ when the solvent was evaporated. The product was purified twice by column chromatography using 1.5%-3% methanol in CH₂Cl₂ with 1% formic acid.

7-O-Cinnamoyltaxifolin ((2R,3R)-2-(3,4-dihydroxyphenyl)-3,5-dihydroxy-4-oxochroman-7-yl cinnamate) 1: Cinnamic acid (146 mg, 0.98 mmol, 1.5 equiv.) was dissolved in 5 mL dry THF. 3 μ L DMF and oxalyl chloride (87.4 μ L, 1.02 mmol, 1.5 equiv.) were added and stirred for 30 min when conversion of cinnamic acid into the acid chloride was complete. Taxifolin (200 mg, 657 μ mol, 1.0 equiv.) was dissolved in 15 mL dry THF and NEt₃ (182.2 μ l, 1.31 mmol, 2.0 equiv.) was added. Following the general procedure, compound 1 was purified twice by column chromatography to obtain the product as an off-white solid (125 mg, 0.28 mmol, 43%).

¹H NMR (400 MHz, DMSO-d₆) δ = 11.73 (s, 1H), 9.01 (s, 2H), 7.86 (d, J = 16.0, 1H), 7.80 (dd, J = 7.2, 2.3, 2H), 7.47 (d, J = 1.7, 3H), 6.92 (d, J = 1.8, 1H), 6.86 (d, J = 16.0, 1H), 6.81–6.73 (m, 2H), 6.45 (d, J = 2.1, 1H), 6.42 (d, J = 2.1, 1H), 5.96–5.85 (m, 1H), 5.15 (d, J = 11.5, 1H), 4.69 (dd, J = 11.5, 5.6, 1H) ppm; ¹³C NMR (101 MHz, DMSO-d₆) δ = 199.6, 164.0, 162.0, 161.9, 158.0, 147.2, 145.9, 145.0, 133.7, 131.1, 129.0 (2C), 128.8 (2C), 127.6, 119.5, 116.7, 115.5, 115.1, 104.8, 102.8, 101.7, 83.3, 71.9 ppm.

ESI: m/z calculated for $\rm C_{24}H_{18}O_8$ [M+H] $^+$ 435.10, found: 435.15; HPLC purity: 98% (retention time: 12.7 min); mp: 189 $^{\circ}$ C.

7-O-Feruloyltaxifolin ((2R,3R)-2-(3,4-dihydroxyphenyl)-3,5-dihydroxy-4-oxochroman-7-yl (E)-3-(4-hydroxy-3-methoxyphenyl)acrylate) **2:** Ferulic acid (268 mg, 1.38 mmol, 1.1 equiv.) was dissolved in dry THF, $3\,\mu\text{L}$ DMF and oxalyl chloride (224 μL, 2.62 mmol, 2.0 equiv.) were added and stirred until the acid chloride formation was complete. Taxifolin (400 mg, 1.31 mmol, 1.0 equiv.) was dissolved in dry THF and NEt₃ (726 μL, 5.24 mmol, 4.5 equiv.) was added. Following the general procedure, compound **2** was obtained as light-yellow foam (168 mg, 0.35 mmol, 27%).

¹H NMR (400 MHz, DMSO) $\delta=11.72$ (s, 1H), 9.74 (s, 1H), 9.01 (m, 2H), 7.77 (d, J=15.9 Hz, 1H), 7.42 (d, J=1.9 Hz, 1H), 7.22 (dd, J=1.9 Hz and 8.3 Hz, 1H), 6.91 (d, J=1.9 Hz, 1H), 6.83 (d, J=8.1 Hz, 1H), 6.78 (d, J=1.9 Hz, 1H), 6.76 (d, J=8.0 Hz, 1H), 6.70 (d, J=15.9 Hz, 1H), 6.42 (d, J=2.1 Hz, 1H), 6.38 (d, J=2.1 Hz, 1H), 5.87 (d, J=6.2 Hz, 1H), 5.13 (d, J=11.5 Hz, 1H), 4.68 (dd, J=6.1 Hz and J=11.5 Hz, 1H), 3.83 (s, 3H) ppm. ¹³C NMR (101 MHz, DMSO) $\delta=199.6$, 164.3, 162.0, 161.9, 158.2, 150.0, 148.0,

147.9, 145.9, 145.0, 127.6, 125.3, 123.9, 119.5, 115.6, 115.4, 115.1, 112.8, 111.5, 104.7, 102.8, 101.6, 83.3, 71.9, 55.7 ppm.

ESI: m/z calculated for $C_{25}H_{20}O_{10}$ [M+H]⁺ 481.11; found 481.15; HPLC purity: 96% (retention time: 11.7 min); mp: 191 °C.

5.3. Cell culture general procedures

HT22 cells were grown in Dulbecco's Modified Eagle Medium (DMEM, Sigma Aldrich, Munich Germany) supplemented with 10% (v/v) fetal calf serum (FCS) and 1% (v/v) penicillin-streptomycin. BV2 cells were grown in low glucose DMEM (Invitrogen, Carlsbad, CA, USA) supplemented with 10% FCS and 1% (v/v) penicillin-streptomycin. Cells were subcultured every two days and incubated at 37° C with 10% CO2 in a humidified incubator.

Compounds were dissolved in dimethyl sulfoxide (DMSO, Sigma Aldrich, Munich, Germany) as stock solutions and diluted further into 1x phosphate-buffered saline (PBS).

For determination of cell viability, a colorimetric 3-(4,5-dimethylthiazol-2-yl)-2,5- diphenyl tetrazolium bromide (MTT, Sigma Aldrich, Munich, Germany) assay was used. MTT solution (5 mg/mL in PBS) was diluted 1:10 with medium and added to the wells after removal of the old medium. Cells were incubated for 3 h and then lysis buffer (10% SDS) was applied. The next day, absorbance at 560 nm was determined with a multiwell plate photometer (Tecan, SpectraMax 250).

Neurotoxicity and oxytosis in HT22 cells. 5×10^3 cells per well were seeded into sterile 96-well plates and incubated overnight. For the neurotoxicity assay, medium was removed and 1, 5, 10 or $25\,\mu\text{M}$ of the compound diluted with medium from a 0.1 M stock solution was added to the wells. 0.05% DMSO in DMEM served as a control. Cells were incubated for 24 h when neurotoxicity was determined using a colorimetric MTT assay.

For the oxytosis assay, 5 mM glutamate (monosodium- ι -glutamate, Sigma Aldrich, Munich, Germany) together with 1, 5 or 10 μ M of the respective compound were added to the cells and incubated for 24 h. 25 μ M quercetin (Sigma Aldrich, Munich, Germany) together with 5 mM glutamate served as a positive control. After 24 h incubation, cell viability was determined using a colorimetric MTT assay as described above. Results are presented as percentage of untreated control cells. Data is expressed as means \pm SEM of three independent experiments. Analysis was accomplished using GraphPad Prism 5 Software applying Oneway ANOVA followed by Dunnett's multiple comparison posttest. Levels of significance: *p < 0.05; **p < 0.01; ***p < 0.001.

Ferroptosis in HT22 cells. 3×10^3 cells per well were seeded into sterile 96-well plates and incubated overnight. The next day medium was exchanged with fresh medium and 300 nM RSL3 was added with vehicle (DMSO) to induce oxidative stress, or together with 1, 5 or 10 µM of the respective compound for protection. After 24 h cell viability was determined using a colorimetric MTT assay. Results are presented as percentage of untreated control cells. Data are expressed as means \pm SEM of three independent experiments. Analysis was accomplished using GraphPad Prism 5 Software applying Oneway ANOVA followed by Dunnett's multiple comparison posttest. Levels of significance: *p < 0.05; **p < 0.01; ***p < 0.001.

ATP depletion in HT22 cells. 3×10^3 cells per well were seeded into sterile 96-well plates and incubated overnight. The next day medium was exchanged with fresh medium. 20 µM iodoacetic acid (IAA) was added with vehicle (DMSO) as negative control, or together with 1, 5 or 10 µM of the respective compound for protection. After 2 h incubation at 37°C in the incubator, medium was aspirated, and fresh medium was applied and only the compounds at the same respective concentrations were added without IAA. After 24 h cell viability was determined using a colorimetric MTT assay. Results are presented as percentage of untreated control cells. Data are expressed as means \pm SEM of three independent experiments. Analysis was accomplished using GraphPad Prism 5 Software applying Oneway

ANOVA followed by Dunnett's multiple comparison posttest. Levels of significance: *p < 0.05; **p < 0.01; ***p < 0.001.

GSH quantification in HT22 cells. 3×10^5 cells per plate were seeded in sterile 60 mm cell culture dishes. After 24 h incubation medium was exchanged with fresh medium and the respective concentrations of compounds in the presence or absence of 5 mM glutamate were added. After 24 h incubation cells were scraped into ice-cold PBS and 10% sulfosalicylic acid was added at a final concentration of 3.3%. tGSH was measured by a recycling assay based on the reduction of 5,5-dithiobis(2-nitrobenzoic acid) with glutathione reductase and NADPH [49]. Results were normalized to protein recovered from the acid-precipitated pellet by treatment with 0.2 N NaOH at 37°C overnight. Protein levels were determined by the bicinchoninic acid assay (Pierce, Rockford, IL, USA).

Anti-inflammatory activity in BV-2 cells. 5×10^5 cells per plate were seeded in sterile 35 mm cell culture dishes. After overnight incubation medium was exchanged with fresh medium. The cells were pretreated with the respective compounds at the indicated concentrations for 30 min when $50\,\mu\text{g/mL}$ bacterial lipopolysaccharide (LPS) was added. After 24 h incubation, the medium was collected, spun briefly to remove floating cells and $100\,\mu\text{L}$ of the supernatant were assayed for nitrite using $100\,\mu\text{L}$ of the Griess Reagent in a 96 well plate. After incubation for $10\,\text{min}$ at room temperature the absorbance at $550\,\text{nm}$ was read on a microplate reader. The levels of IL6 and $TNF\alpha$ in the supernatants were determined using ELISAs (R&D Systems, Minneapolis, MN, USA) according to the manufacturer's instruction. Results are normalized to cell number as assessed by the MTT assay as described above.

5.4. Western blots

Sample preparation. 3×10^5 BV-2 cells per 60 mm dish were grown for 24 h before treatment with the respective compounds at the indicated concentrations were. After 4h incubation nuclear fractions were prepared. Cells were rinsed twice in ice-cold Tris-buffered saline (TBS), scraped into ice-cold nuclear fractionation buffer (10 mM HEPES pH 7.9, 10 mM KCl, 0.1 mM EDTA, 0.1 mM EGTA, 1 mM DTT, 1 mM Na₃VO₄, 1x protease inhibitor cocktail and 1 x phosphatase inhibitor cocktail) and incubated on ice for 15 min. NP40 at a final concentration of 0.6% was added, cells were vortexed and the nuclei were pelleted by centrifugation. Nuclear proteins were extracted by sonication of the nuclear pellet in nuclear fractionation buffer and the extracts were cleared by additional centrifugation. Protein concentrations were quantified by the bicinchoninic acid assay (Pierce, Rockford, IL, USA) and adjusted to equal concentrations. 5x Western blot sample buffer (74 mM Tris-HCl pH 8.0, 6.25% SDS, 10% β-mercaptoethanol, 20% glycerol) was added to a final concentration of 2.5x and samples were boiled for 5 min.

Western blotting. Equal amounts of protein (10-20 µg) per lane were used for SDS-PAGE. All samples were separated using 4-12% Criterion XT Precast Bis-Tris Gels (Biorad, Herculs, CA, USA). Proteins were transferred to nitrocellulose membranes and the quality of protein measurement, electrophoresis and transfer were checked by Ponceau S staining. Membranes were blocked with 5% skim milk in TBS-T (20 mM Tris buffer pH 7.5, 0.5 M NaCl, 0.1% Tween 20) for 1 h at room temperature and incubated overnight at 4°C with the primary antibody diluted in 5% BSA in TBS/0.05% Tween 20. HRP-conjugated rabbit anti-actin (#5125, 1/20 000) from Cell Signaling (Danvers, MA, USA) and rabbit anti-Nrf2 (#sc-13032, 1/500) were used as primary antibody. Subsequently, blots were washed in TBS/0.05% Tween 20 and incubated for 1 h at room temperature in horseradish peroxidase goat ant-rabbit (Biorad) diluted 1/5000 in 5% skim milk in TBS-T. After additional washing, protein bands were detected by chemiluminescence using the ChemiDocTM MP Imaging System (Biorad).

Transfection. BV-2 cells were plated with 5×10^5 cells per dish in 60 mm tissue culture dishes and 166 pmol Nrf2 siRNA (#sc-37049) or control siRNA (#sc-37007) (Santa Cruz Biotechnology) were used along

with RNAi max (Invitrogen) according to the manufacturer's instructions

Cellular uptake experiments. 5×10^5 BV-2 cells were grown in 35 mm dishes overnight and then $50\,\mu\text{M}$ 1 was added. Cells were incubated for the indicated time periods after which they were scraped and transferred to Eppendorf tubes. Samples were centrifuged, washed twice with ice-cold PBS and resuspended in $200\,\mu\text{L}$ methanol with 5% acetic acid (v/v). After sonication, cell debris was pelleted by centrifugation and the supernatant was collected for HPLC and MS analysis. The reaction mixture was fractionated by reversed-phase HPLC employing a linear gradient (0–80% acetonitrile in 0.1% trifluoroacetic acid within 40–60 min). A Vydac C-18 column was used (1.2 \times 150 mm) and absorbance was monitored at a wavelength of 330 nm.

Mass Spectrometry was performed using a ThermoFisher Q Exactive Hybrid Quadrupole Orbitrap mass spectrometer. Samples were introduced by direct electrospray infusion of the HPLC fractions diluted with a one-to-one mixture of methanol and water containing 0.1% formic acid. Full MS scans were recorded for the 150–750 m/z range. MS/MS fragmentation was performed on the major peaks which did not correspond with background signals. Fragmentation was achieved by higher-energy collisional dissociation (HCD) at normalized collision energy settings between 10 and 30%.

5.5. In vivo experiments

Protocols for the behavioral experiments were established and described previously [21,30,31,50]. The compounds 1, 2, and the mixtures of taxifolin and ferulic or cinnamic acid were tested for their the toxicity neuroprotective properties against of tracerebroventricular (icv) injection of the oligomerized $A\beta_{25-35}$ peptide in an in vivo pharmacological mouse model of AD [21]. All compounds were dissolved in 60% DMSO in saline (0.9%) and injected intraperitoneally (ip) once per day on days 1-7 of the study. The oligomerized $A\beta_{25-35}$ peptide was injected icv on the first day of the study. Behavioral evaluation of memory was performed between day 8 and 10, followed by sacrifice on day 11. Brain samples were collected and stored at -80°C awaiting further biochemical analysis.

Animals. For *in vivo* experiments, male Swiss mice (6 weeks old, weighing 31–36 g, from JANVIER (Saint Berthevin, France)) were used. Housing and experiments were conducted in the animal facility building of the University of Montpellier (CECEMA, Office of Veterinary Services agreement # B-34-172-23). Animals were randomly assigned to the different treatment groups and housed with access to food and water *ad libitum*, except during behavioral experiments. Temperature and humidity were controlled in the animal facility providing a 12 h/12 h light/dark cycle (lights off at 07:00 p.m.). All animal procedures were conducted in strict adherence to the European Union directive of September 22, 2010 (2010/63/UE) and the ARRIVE guidelines. The project was authorized by the French National Ethic Committee (APAFIS #1485–15034).

Preparation of compounds for injection. Compounds were weighed every day directly before injection, and dissolved in pure DMSO at a concentration of 3.33 mg/mL. The obtained stock solutions were diluted with saline (0.9%) to the test concentration with a final percentage of DMSO in saline of 60% for all compounds. 60% DMSO in saline was used as vehicle. Behavior of the mice in the home cages was checked visually after all injections, including the control of weight gain. As shown in Fig. 11, weight gain was not altered significantly indicating good compound and vehicle solution tolerability. Animals were tested in behavioral tests 24 h after the last compound/vehicle administration.

Amyloid peptides preparation and icv injection. Methods were described previously [21,30,50]. Briefly, mice were anesthetized with isoflurane 2.5% and $A\beta_{25-35}$ peptide (9 nmol in 3 μ L/mouse) was injected icv. $A\beta_{25-35}$ peptide was prepared and injected according to

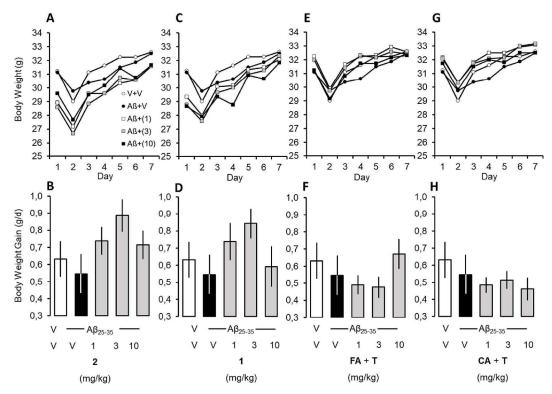


Fig. 11. Upper panel: development of the body weight; lower panel: average of weight gain from day 2–7. Data show mean (upper panel) or mean \pm SEM (lower panel). Icv injection provoked a stress-induced acute weight loss, but animals recovered during the following days. ANOVA: $F_{(4,51)} = 1.73$, p > 0.05, n = 12 in (B); $F_{(4,51)} = 1.25$, p > 0.05, n = 12 in (D); $F_{(4,51)} = 1.20$, p > 0.05, n = 12 in (F); $F_{(4,51)} = 0.73$, p > 0.05, p = 12 in (H).

Maurice et al. [21] Icv injections of bidistilled water served as vehicle. **Spontaneous alternation performances in Y-maze.** On day 8, spatial working memory of all mice was evaluated in the Y-maze [21,30,50]. The Y-maze consists of grey polyvinylchloride and 3 arms (40 cm long, 13 cm high, 3 cm wide at the bottom, 10 cm wide at the top, and converging at an equal angle). Every mouse is placed at the end of one arm and allowed to explore the maze freely for 8 min on its own. All entries into an arm (including returns into the same arm) were counted. From this, the number of arm entries and spontaneous alternations (mouse enters all three arms on consecutive occasion) were calculated (alternations/(arm entries-2) x 100).

Passive avoidance test. A passive avoidance test was performed on days 9 (training) and 10 (measurement of retention) to evaluate nonspatial long-term memory, as described previously [21,30,50]. For the experiment, a two-compartment $(15 \times 20 \times 15 \text{ cm} \text{ high})$ polyvinylchloride box was used. Thereby, one compartment is white and illuminated with a bulb (60 W, 40 cm above the apparatus) and the other compartment is black with a cover and grid floor. The compartments are separated by a guillotine door. During the training session (day 9), every animal was placed in the white compartment and left to explore for 5 s. Then, the door was opened, and the mouse was able to explore into the dark compartment. After it entered the dark with all its paws touching the grid floor, the door was closed, and a foot shock was delivered (0.3 mA) for 3 s using a scrambled shock generator (Lafayette Instruments, Lafayette, USA). The time to enter the dark compartment (step-through latency), and the level of sensitivity to the shock evaluated (no sign = 0, flinching reactions = 1, vocalizations = 2) were recorded. The treatments did not affect the step-through latency or shock sensitivity in the present study (data not shown). On day 10, the mice were placed in the white compartment again and the door was opened after 5 s allowing the mouse to explore the box. The stepthrough latency was measured up to 300 s.

Sacrifice and brain sampling. Animals were sacrificed on day 11, and their brains were collected. Hippocampus and cortex were isolated

and frozen in liquid nitrogen for further evaluation. Brain samples were stored at -80° C.

Statistical analysis. Statistical analysis of weight gain and results from Y-maze assay were performed with GraphPad Prism 5.0 using one-way ANOVA (F value), followed by the Dunnett's *post-hoc* multiple comparison test. As passive avoidance latencies have cut-off times after 300 s, the results do not follow a Gaussian distribution. Therefore, they were analyzed using a Kruskal-Wallis non-parametric ANOVA (H value), followed by a Dunn's multiple comparison test. p < 0.05 was considered as statistically significant.

Associated content

Spectral data for the compounds and uptake experiments can be found in the supporting information.

Author contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.redox.2019.101378.

Abbreviations

GSH glutathione IAA iodoacetic acid IL6 interleukin 6

Nrf2 nuclear factor (erythroid-derived 2)-like 2

TNF α tumor necrosis factor α

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SUPPORTING INFORMATION

7-*O*-Esters of taxifolin with pronounced and overadditive effects in neuroprotection, anti-neuroinflammation, and amelioration of short-term memory impairment *in vivo*.

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Antonio Pinto, [b] Tangui Maurice, [c] Pamela Maher, [b] * and Michael Decker [a] *

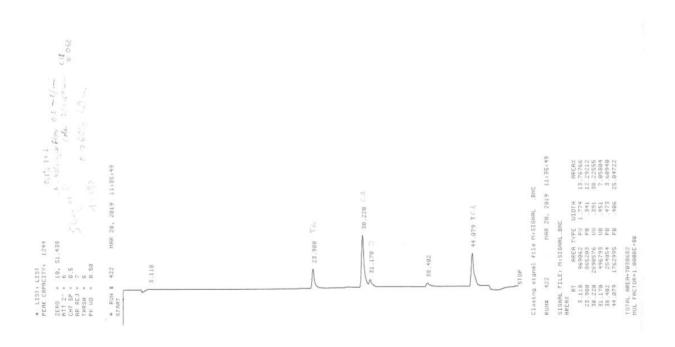
Table of contents: S1: HPLC chromatograms of cellular uptake experiment

S2: Full MS spectra and MS fragmentation pattern of collected peaks

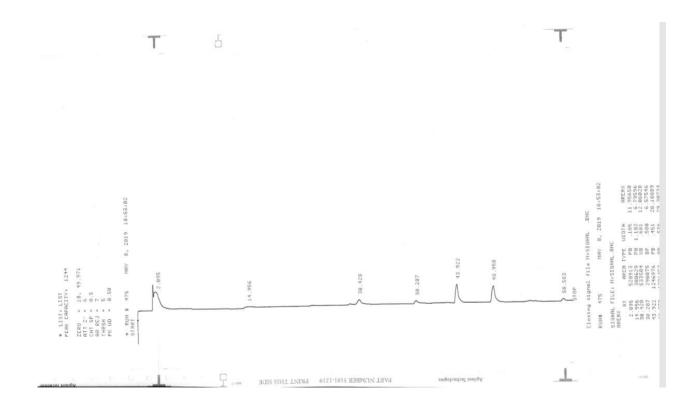
S3: LC chromatograms for purity of target compounds

S1: HPLC chromatograms of cellular uptake experiment

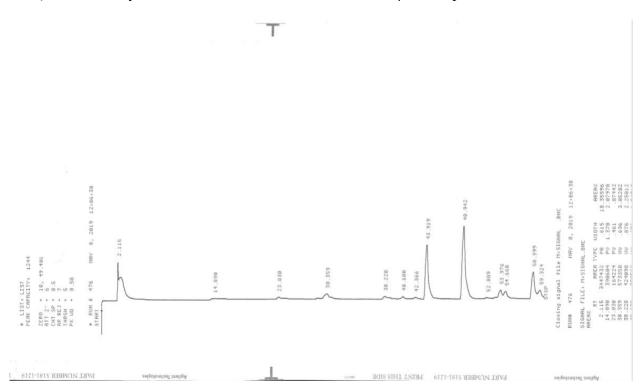
a) Reference chromatogram



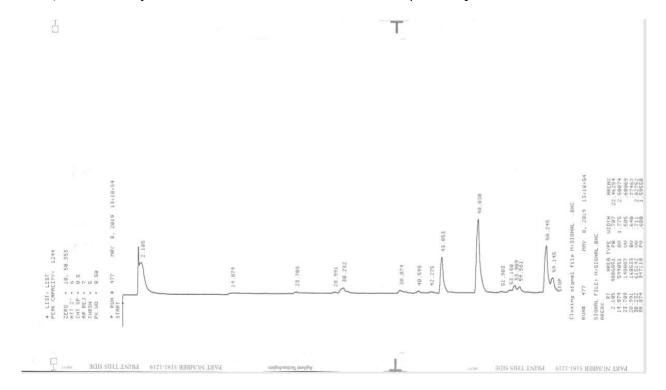
b) BV-2 cell lysate immediately after addition of 50 μ M compound 1 (0 min)



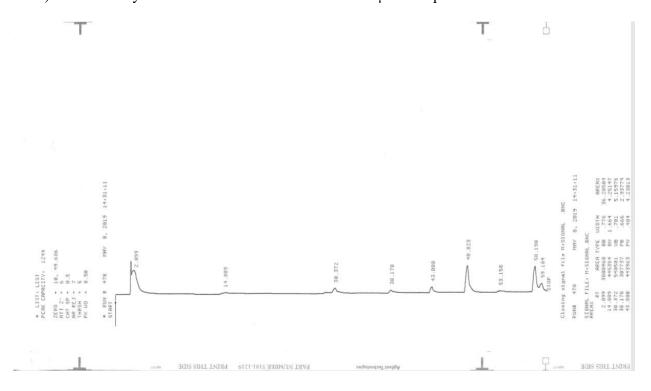
c) BV-2 cell lysate after 30 minutes incubation with 50 µM compound 1



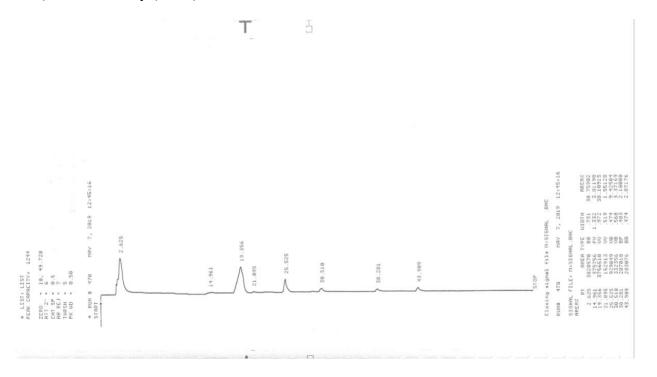
d) BV-2 cell lysate after 90 minutes incubation with 50 μM compound 1



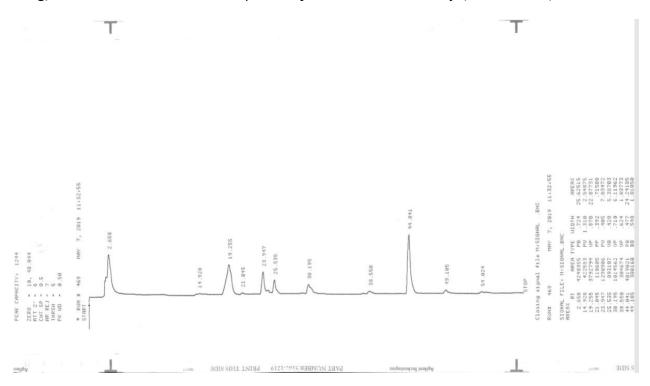
e) BV-2 cell lysate after 4 hours incubation with 50 μM compound 1



f) Medium only (blank)

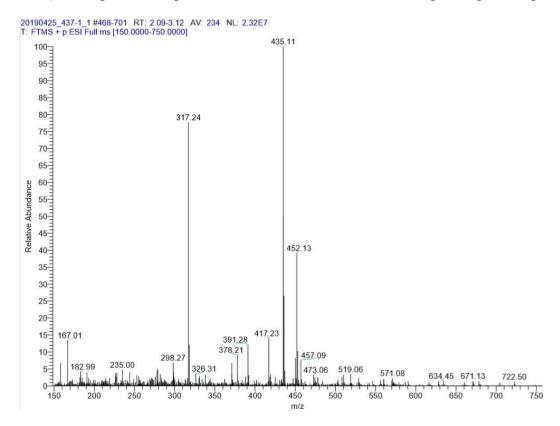


g) 30 minutes incubation of 50 µM compound 1 in medium only (without cells)

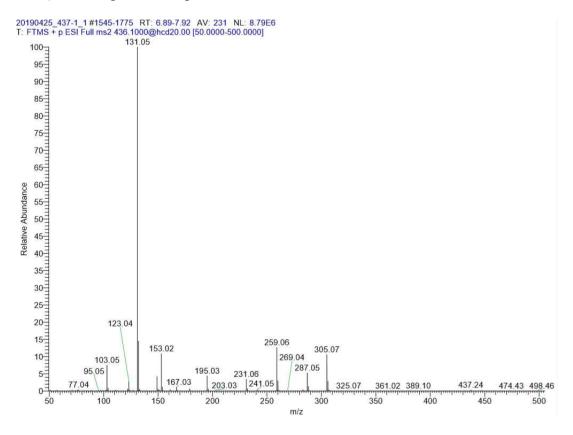


S2: Full MS spectra and MS fragmentation pattern of collected peaks

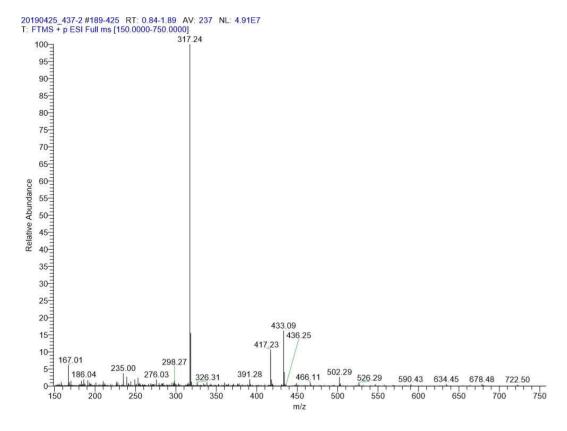
a) MS spectrum of peak 1, retention time = 43.9 min corresponding to compound 1



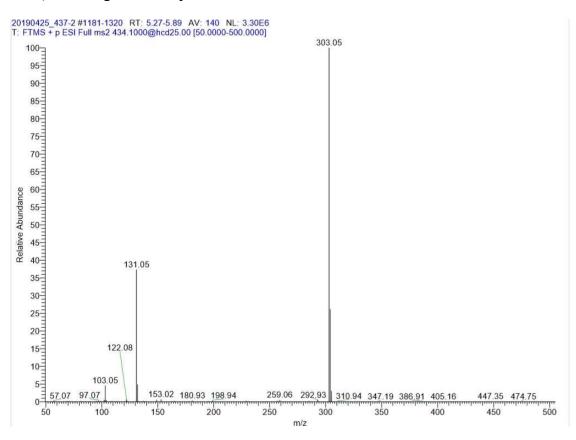
b) MS fragmentation pattern m/z = 435.11



c) MS spectrum of peak 2, retention time = 48.9 min



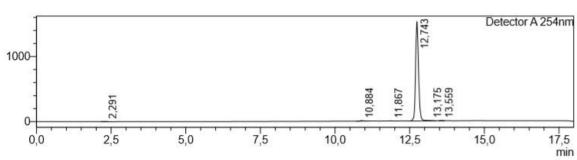
d) MS fragmentation pattern m/z = 435.11



S3: LC chromatogram for purity verification

a) 7-*O*-Cinnamoyltaxifolin (1)



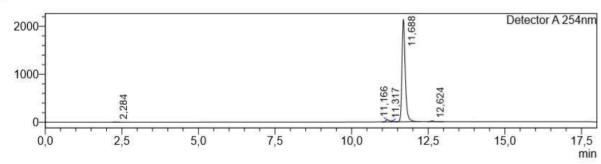


Peak Table

Peak#	Ret. Time	Area	Height	Area%	
1	2,291	20591	2788	0,191	
2	10,884	72304	7475	0,672	
3	11,867	11390	1918	0,106	
4	12,743	10563297	1517145	98,131	
- 5	13,175	68236	6068	0,634	
6	13,559	28705	5233	0.267	
Total	8	10764523	1540627	100,000	

b) 7-O-Feruloyltaxifolin (2)

mV



Peak Table

Peak#	Ret. Time	Area	Height	Area%
1	2,284	12178	2290	0,074
2	11,166	338247	45724	2.063
3	11,317	90606	14234	0,553
4	11.688	15809935	2132902	96,415
5	12,624	146900	18410	0,896
Total		16397867	2213560	100,000

Appendix II:

Gunesch, **S.**; Soriano-Castell, D.; Lamer, S.; Schlosser, A.; Maher, P.; Decker, M. Development and application of a chemical probe based on a neuroprotective flavonoid hybrid for target identification using activity-based protein profiling. *ACS Chem. Neurosci.* **2020** (in press).

http://dx.doi.org/10.1021/acschemneuro.0c00589



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Development and Application of a Chemical Probe Based on a Neuroprotective Flavonoid Hybrid for Target Identification Using Activity-Based Protein Profiling

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ABSTRACT: Alzheimer's disease (AD) is the most common form of dementia, and up to now, there are no disease-modifying drugs available. Natural product hybrids based on the flavonoid taxifolin and phenolic acids have shown a promising pleiotropic neuroprotective profile in cell culture assays and even disease-modifying effects in vivo. However, the detailed mechanisms of action remain unclear. To elucidate the distinct intracellular targets of 7-O-esters of taxifolin, we present in this work the development and application of a chemical probe, 7-O-cinnamoyltaxifolin-alkyne, for target identification using activity-based protein profiling. 7-O-Cinnamoyltaxifolin-alkyne remained neuroprotective in all cell culture assays. Western blot analysis showed a comparable influence on the same intracellular pathways as that of the lead compound 7-O-cinnamoyltaxifolin, thereby confirming its suitability as a probe for target identification experiments. Affinity pulldown and MS analysis revealed adenine nucleotide translocase 1 (ANT-1) and sarco/endoplasmic reticulum Ca²⁺ ATPase (SERCA) as intracellular interaction partners of 7-O-cinnamoyltaxifolin-alkyne and thus of 7-O-esters of taxifolin.

KEYWORDS: Alzheimer's disease, natural product hybrids, CuAAC, neuroinflammation

■ INTRODUCTION

Alzheimer's disease (AD) is the most common form of dementia and due to aging societies, the number of affected people is increasing dramatically. AD is characterized by abnormal protein aggregation of hyperphosphorylated tau protein forming neurofibrillary tangles and amyloid β accumulation as extracellular plaques.² Neuroinflammation and oxidative stress are strong contributors to neurodegeneration and AD pathology.^{3,4} Enhancing the pathophysiology of protein aggregation, oxidative stress and neuroinflammation may even represent key factors in AD development. Currently, there are no disease-modifying drugs on the market. The only available treatments are symptomatic and cannot halt or cure the disease.1 The complexity of AD and the lack of understanding of the details of its cause and progression are hampering drug development. It is important to broaden the knowledge about the molecular causes of the disease and focus

on compounds with a pleiotropic neuroprotective profile to deal with the multifactorial nature of $\mathrm{AD,}^6$ particularly as the one-target strategy has not been successful in drug discovery so far. 7

Natural products have been used in traditional medicine and are gaining increased attention for their potential as neuro-protectants interfering with disease progression. The well-established antioxidant features of natural products, i.e., their radical scavenging abilities, are important for neuroprotection

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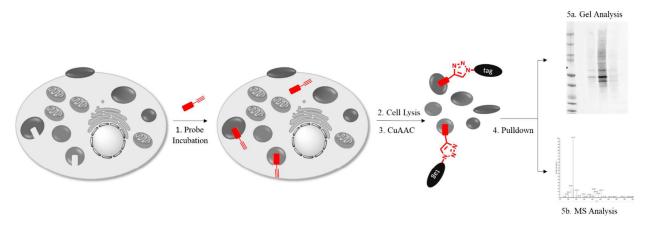


Figure 1. Workflow of the CuAAC approach for target identification. During incubation, the chemical probe binds to its native targets inside the cells. The cells are lysed and submitted to the CuAAC reaction to tag the chemical probe, which is covalently bound to its intracellular targets. After pulldown purification, target proteins are analyzed.

Figure 2. Chemical structures of cinnamic acid, the flavonoid taxifolin, and 7-O-cinnamoyltaxifolin (7CT).

induced by oxidative stress.¹¹ However, polyphenols as plant secondary metabolites have also shown to be neuroprotective by activating several intracellular pathways in addition to their antioxidative features. 12 The flavonoid fisetin, for example, was shown to activate the Ras-extracellular signal-regulated kinase (ERK) cascade and induced cAMP response element-binding protein (CREB) phosphorylation in rat hippocampal slices and therefore enhanced memory in mice. 13 Recently, it has been shown that the flavonoid taxifolin prevented neuroinflammation in a cerebral amyloid angiopathy mouse model by suppressing the ApoE-ERK1/2-amyloid- β precursor protein axis. 14 Phenolic acid esters of the flavonolignan silibinin are potent neuroprotectants and activate mouse microglia, 15 and esters of taxifolin upregulated the antioxidant response element (ARE) via nuclear factor (erythroid-derived 2)-like 2 (Nrf2) activation. 16,17 Even though the antioxidant and antiinflammatory effects of these natural products are wellestablished and have been shown to translate into in vivo AD models where they ameliorated memory deficits, 13,17 the molecular mode of action and the exact intracellular targets of these compounds remain largely elusive.

To identify cellular targets of compounds, a bioorthogonal Cu(I)-catalyzed [3+2] azido-alkyne cycloaddition (CuAAC) approach can be used. ¹⁸⁻²⁰ An alkyne tagged chemical probe of the compound of interest undergoes covalent interactions with its target proteins when incubated with cells (Figure 1). The alkyne probe binds to its native targets and can then be tagged with any azide in a CuAAC reaction. Depending on the application, tags can be fluorescent dyes or other markers for visualization and identification of target proteins. A widely used tag is biotin, as the high affinity for streptavidin is convenient for the enrichment of bound proteins and enables characterization by MS analysis. ¹⁹

In our previous work, we showed that the 7-O-ester of the flavonoid taxifolin and cinnamic acid, namely, 7-O-cinnamoyltaxifolin (7CT), represented a highly neuroprotective compound acting in an overadditive manner (Figure 2).¹⁷

Specifically, at the concentrations tested, the individual components taxifolin and cinnamic acid or the equimolar mixture of both were not protective, whereas the ester hybrid 7-O-cinnamoyltaxifolin displayed pronounced neuroprotective activity. We observed the overadditive effect of 7-Ocinnamoyltaxifolin in a set of assays inducing protection against oxidative stress in neuronal HT22 cells but also investigating inhibition of inflammatory processes in BV-2 microglial cells.¹⁷ Furthermore, the overadditive effect of 7-Ocinnamoyltaxifolin was translated to animals using an $A\beta_{25-35}$ induced memory-impaired AD mouse model where the compound was able to ameliorate short-term memory deficits.¹⁷ The significant and overadditive effect in vivo of 7-O-cinnamoyltaxifolin showed that these natural product hybrids can be considered as a class of neuroprotective compounds with a distinct pharmacological profile. This is supported by structurally closely related 7-O-silibinin esters, which are sensitive to minor chemical modifications, indirectly ruling out unspecific effects underlying these properties. However, to further develop the compound, it is indispensable to understand its mechanism of action and determine its intracellular targets.

The goal of this study was to design and synthesize a chemical probe of 7-O-cinnamoyltaxifolin suitable for target identification with the CuAAC approach. Previously, targets for xanthumol, a phytochemical of hops, ²¹ have been identified using this approach. Furthermore, a functional probe for flavonoid catabolites was developed by Nakashima et al. and used in the CuAAC reaction. ²² Here, we present 7-O-

Figure 3. Chemical structures. (A) Design of the chemical probe without altering electrophilic moieties (encircled) of 7-O-cinnamoyltaxifolin. (B) The chemical structure of 7CT-alkyne (1).

Scheme 1. Synthesis of 7CT-Alkyne (1), a 7-O-Cinnamoyltaxifolin-Based Chemical Probe, and the Control Compound (2)^a

3 quant.

R= CH₂CH₃:

2 64%

"(i) NaI, dry acetone, reflux, overnight; (ii) H₂SO₄, ethanol, reflux, 6 h; (iii) 3, K₂CO₃, dry acetone, 0 °C to reflux, overnight; (iv) NaOH, water, ethanol, room temperature; (v) (1) Oxalyl chloride, DMF, dry THF, rt, 30 min; (2) Taxifolin, triethylamine, rt, overnight.

cinnamoyltaxifolin-alkyne (7CT-alkyne, 1, Figure 3B), a derivative of 7-O-cinnamoyltaxifolin (7CT), functioning as a chemical probe for intracellular target identification. Equivalent effects in relevant assays of neuroprotection and neuro-inflammation of 7CT-alkyne 1 compared to those of 7CT proved the probes' interaction with the targets of the parent compound. Among others, ANT-1 and SERCA were identified as targets of 1 and 7CT.

■ RESULTS AND DISCUSSION

Aiming to identify intracellular targets of flavonoid esters and investigate their intracellular mode of neuroprotection, the chemical probe of 7-O-cinnamoyltaxifolin (7CT), compound 1, was designed and synthesized to be used in a CuAAC reaction for affinity-based protein profiling. The suitability of 1 as a probe for 7CT was investigated in cell-based phenotypic screening assays and Western blots. Microscopic analysis of Cy3-coupled 1 suggested mitochondria as one of the subcellular locations of internalized 1, which was supported by the identification of the mitochondrial ATP/ADP carrier ANT-1 in MS analysis of the pulldown.

Chemistry. It is of utmost importance not to alter the mode of action of the compound when designing a chemical

probe for target identification purposes. For 7-O-cinnamoyltaxifolin, its simultaneous influence on several proteins and signaling pathways could be mediated through the Michael acceptor sites at the cinnamoyl moiety and the catechol residue, allowing covalent conjugation to proteins (Figure 3A). This is supported by comprehensive structure-activity relationship studies (SARs) on the respective silibinin ester. 15 The attack of a nucleophilic side chain, for example, of cysteinyl thiolates, to the electrophilic β -carbon could be one potential mechanism to form covalent adducts inducing cellular responses.²³ To preserve potential reactive sites in 7-Ocinnamoyltaxifolin, the aromatic moiety of the acid was chosen to introduce the alkyne tag necessary for CuAAC. In a previous SAR study with phenolic acid esters of silibinin¹⁵ and also with 7-O-feruloyltaxifolin as a potent neuroprotectant, 17 we showed that this entity tolerates modification without loss of activity. Therefore, 7CT-alkyne (1) (Figure 3B) was synthesized starting from 3-hydroxy cinnamic acid (4). The carboxylic acid moiety was protected using ethanol to selectively react with 5-iodopentyne (3), which was synthesized via a Finkelstein reaction, at the aromatic hydroxyl position. Deprotection under basic conditions gave compound 7. Regioselective esterification represents a considerable synthetic

R= CH₂CH₃:

9 quant.

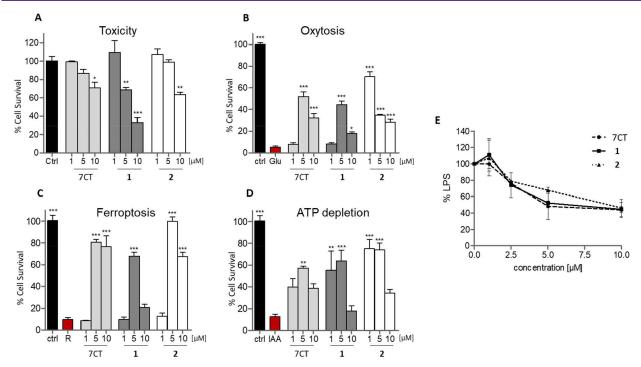


Figure 4. Phenotypic screening assays in HT22 hippocampal nerve cells and neuroinflammation in BV-2 cells. (A) Toxicity of the compounds 7CT, 7CT-alkyne (1), and 7CT-alkane (2). (B) Neuroprotective effects of 7CT, 1, and 2 against oxytosis. Glutamate (5 mM) was used to induce toxicity (red). (C) Neuroprotection of 7CT, 1, and 2 against ferroptosis induced by 0.3 μ M RSL3 (red). (D) Neuroprotective effects of 7CT, 1, and 2 against ATP depletion. ATP loss was induced with 20 μ M iodoacetic acid (IAA, red). Data are presented as means \pm SEM of three independent experiments, and results refer to untreated control cells (black). Statistical analysis was performed using One-way ANOVA followed by Dunnett's multiple comparison post-tests using GraphPad Prism 5 referring to untreated controls in part A or cells treated with the respective insult only in parts B, C, and D (red bars). Levels of significance: p < 0.05; **p < 0.01; ***p < 0.001. (E) Effects of 7CT, 1, and 2 on NO production in LPS-induced neuroinflammation in BV-2 microglial cells. Cells were treated overnight with 50 ng/mL LPS alone or in the presence of 7CT, 1, or 2. Supernatants were cleared, and NO was quantified by the Griess assay. Data are given as means \pm SEM and relative to BV-2 cells treated with LPS only, which was set as 100%.

challenge due to the minor differences in reactivity of the various hydroxyl groups in flavonoids. It can involve complex sequences of full protection, regioselective deprotection, esterification, and full deprotection. We previously described direct regioselective esterification for both the flavonolignan silibinin and taxifolin. 15,17 Therefore, regioselective esterification with taxifolin was achieved by forming the acid chloride of compound 7 with oxalyl chloride. In situ esterification under basic conditions with adjusted reaction times, as described before, 15 and extensive column purification gave the target compound 7CT-alkyne (1). 7CT-alkane (2) was synthesized as a control to compare the influence of an aliphatic modification in the aromatic position on the neuroprotective performance of the compound and served as a negative control in the CuAAC reaction. The synthetic route for compound 2 was analogous to that of compound 1, replacing 5-iodopentyne (3) with 1-iodopentane in step iii (Scheme 1).

Cell Culture Assays to Confirm a Comparable Mode of Action. To validate the chemical probe, compounds 1 and 2 were subjected to phenotypic screening assays addressing different age-related stresses, as age is the major risk factor of neurodegenerative diseases. Activities of the chemical probe 1 and control compound 2 were investigated in the three different assays oxytosis, ferroptosis, and ATP depletion in the murine hippocampal cell line HT22. Oxytosis is a form of programmed cell death due to oxidative stress induced by intracellular glutathione (GSH) depletion due to glutamate

treatment.²⁴ As GSH reduction is seen in the aging brain and is accelerated in AD, this assay has a mechanistic association with aging and AD.²⁵ Closely related to oxytosis, if not identical, is the cell death pathway ferroptosis.²⁶ Here, oxidative stress is induced by direct inhibition of glutathione peroxidase 4 (GPX4) with the compound RSL3. Distinct from oxytosis, which is induced by glutamate inhibiting cysteine import by blocking system x_c⁻, ferroptosis is induced downstream in the cascade. ATP depletion is of interest, as the breakdown in neuronal energy production leads to decreased energy metabolism and ATP levels in the aging brain, which is associated with nerve cell damage and death in AD.²⁷ To induce ATP loss, HT22 cells were treated with iodoacetic acid (IAA), an irreversible inhibitor of the glycolytic enzyme glyceraldehyde 3-phosphate dehydrogenase.

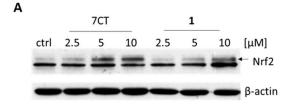
Figure 4 shows the activity of the chemical probe 1 and the control compound 2 compared to that of the lead compound 7-O-cinnamoyltaxifolin (7CT). Even though there is a slight increase in toxicity by the introduction of the aliphatic moiety (Figure 4A), compound 1 proved to be neuroprotective throughout all assays, as was control compound 2. At a concentration of 5 μ M, compound 1 was as protective as 7CT in the phenotypic screening assays for protection against oxytosis (Figure 4B), ferroptosis (Figure 4C), and ATP depletion (Figure 4D) proving that the compound is active and therefore suitable for use as a chemical probe for target identification.

Furthermore, we were also interested in whether compound 1 could be effective against neuroinflammation. As shown in Figure 4E, bacterial lipopolysaccharide (LPS)-induced inflammation in BV-2 mouse microglial cells was reduced by compounds 1 and 2. The production of nitric oxide (NO) as a proinflammatory mediator was quantified to assess inflammation. At 10 μ M, the highest concentration tested, NO levels are reduced to around 45% compared to those of LPS treatment alone, confirming the anti-neuroinflammatory effect of 1 and 2.

The results of the phenotypic screening assays and microglial activation showed that compound 1 was protective in all assays tested and was not altered in its activity compared to that of 7CT, which was of utmost importance for using 1 as a chemical probe. Compound 1 exhibited neuroprotection addressing different characteristics of neurodegeneration and aging in oxytosis, ferroptosis, ATP depletion, and neuro-inflammation at low micromolar concentrations.

Intracellular Pathways Are Modified by Compound 1. The nuclear factor (erythroid-derived 2)-like 2 (Nrf2) is an important transcription factor regulating many antioxidant and detoxification enzyme genes. It is the main regulator of the antioxidant response element (ARE) and is crucial for maintaining the cellular redox balance by preventing the accumulation of reactive oxygen species (ROS) and oxidative stress, one of the hallmarks of AD.²⁸ It has been shown that a taxifolin ester with gallic acid upregulated Nrf2 pathway in RAW264.7 cells, 16 and we previously found 7CT to induce Nrf2 activation in microglial BV-2 cells. 17 Therefore, we asked whether compound 1 also modifies Nrf2 levels. Nuclear fractions of HT22 cells treated with compound 1 and 7CT for comparison were submitted to Western blot analysis. Figure 5 shows that compound 1 significantly induced Nrf2 upregulation. Even though 7CT seemed more potent at higher concentrations and led to nearly a 6-fold induction of Nrf2 levels at 10 μ M, increased Nrf2 levels were significant for both compounds at the same concentration of 5 μ M (Figure 5B).

Upregulation of Nrf2 is, therefore, one potential mechanism explaining the neuroprotective activity of the compounds. The classical mechanism for Nrf2 activation is by its interaction with Keap1. When bound to Keap1, Nrf2 is directed for proteasomal degradation. Modification on cysteine residues of Keap1, by oxidative stress, for example, leads to dissociation of the complex and translocation of Nrf2 to the nucleus where it activates several cellular responses including the ARE. However, phosphorylation of Nrf2 by various protein kinases can be an alternative mechanism of Nrf2 regulation.²⁹ It is known that extracellular signal-regulated protein kinase (ERK) can play a role in Nrf2 activation, and levels of ERK phosphorylation are modified by natural products.³⁰ Furthermore, ERK signaling is involved in cell proliferation, differentiation, and survival or promotion of cell death and therefore can be involved in neurodegeneration.³¹ We were interested in whether ERK is activated by compound 1 and 7CT in HT22 cells, as modifications of mitogen-activated protein (MAP) kinases have not been investigated for 7-Oesters of taxifolin before. Figure 6 shows a time-course of HT22 cells incubated with 10 μ M 1 or 7CT. Both compounds lead to a significant increase in ERK phosphorylation and thereby activation after 5 min (Figure 6B). The effect ceased with increased incubation times thereby excluding chronic ERK activation, which is correlated with neurodegeneration.³² Another MAP kinase important in the context of AD is p38.



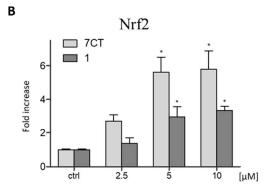


Figure 5. Western blot analysis of Nrf2. Nrf2 induction by 7CT and 1 in nuclear fractions of HT22 cells. (A) Cells were treated for 4 h with DMSO as control or with increasing concentrations of 1 or 7CT. Nuclear fractions of HT22 cells were prepared and analyzed by Western blot for Nrf2. Levels of Nrf2 were normalized to actin, and representative blots are shown. (B) Quantification of the results from three independent experiments as shown in part A. Statistical significance refers to DMSO-treated controls with * p < 0.05.

Postmortem brains of early stage AD patients showed increased phosphorylation of p38 which is connected to mediating $A\beta$ -induced inflammation and impaired autophagy in neurons leading to neurodegeneration.^{33–35} At a concentration of 10 μ M, compound 1 and 7CT both reduce p38 phosphorylation (Figure 6C). Decreased activation was observed after 5 min of incubation and was significantly reduced for the whole time-course of 2 h. The results for activation of ERK and deactivation of p38 underline that 7CT and compound 1 modify MAP kinases. Thus, these results strengthen the reliability of compound 1 as suitable bait for target identification.

CuAAC with Cy3-Azide. The introduction of the alkyne tag in compound 1 enables the reaction of the compound with different azide carriers. As a proof of concept for the CuAAC reaction, and to visualize cellular uptake and the intracellular localization of compound 1, we coupled 1 to an azide conjugated fluorophore Cy3. First, adducts of the chemical probe 1 with proteins of HT22 lysates were visualized by Western blot analysis. Cy3-azide was coupled to compound 1 in a CuAAC reaction, and bands were detected with an anti-Cy3 antibody. HT22 cells were incubated with increasing concentrations of 5-80 μ M 1 for 4 h, lysed, and submitted to the CuAAC reaction using 20 µM Cy3-azide. Incubation of HT22 cells with 1 led to the conjugation of a wide range of proteins with increasing intensity which correlated with increasing concentrations of the compound (see Supporting Information (SI), Figure S1). In cells incubated with DMSO, no adducts were detected. This was also the case for incubation with compound 2 lacking the alkyne tag (SI, Figure S2). Therefore, Cy3-coupling and the detection of conjugated intracellular proteins bound to the probe 1 was

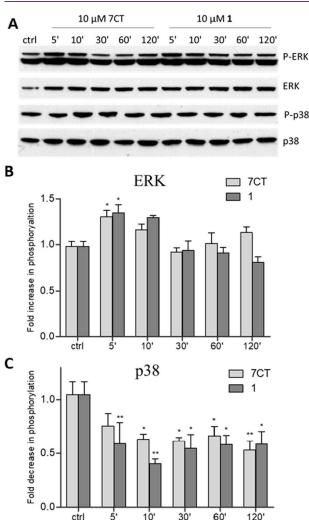


Figure 6. Time-dependent modification of MAP kinases by 7CT and 1 in HT22 cells. (A) Cells were treated for the indicated time points with 10 μ M 1 or 7CT. DMSO treatment served as a control. Lysates of HT22 cells were prepared in sample buffer and analyzed by Western blot for phosphorylated ERK (P-ERK), total ERK (ERK), phosphorylated p38 (P-p38), or total p38 (p38). Levels of the phosphorylated protein were normalized to those of the total protein, and representative blots are shown. (B) Quantification of the results from three independent experiments, as shown in part A. Statistical significance refers to DMSO-treated controls with * p < 0.05, *** p < 0.01

dependent on the presence of the alkyne moiety and not due to unspecific binding.

Microscopic Analysis. Mitochondria play a crucial role in apoptosis, 36 and in AD, neuronal cell death is associated with mitochondrial dysfunction. 37 Although the exact role of mitochondria in ferroptosis is under debate, increasing evidence strongly suggests that these organelles can also play a key role in this cell death pathway. $^{38-41}$ To determine whether compound 1 is targeting the mitochondria, we used fluorescence microscopy to analyze its intracellular location. Therefore, HT22 cells stably expressing the green fluorescent protein in mitochondria (mito-GFP) were incubated with 5 μ M compound 1 or DMSO for 30 min, and after fixation, the cells were reacted with Cy3-azide in a CuAAC reaction. The specimens were then imaged by high-resolution fluorescence

microscopy using an Airyscan detector.⁴² As shown in Figure 7, compound 1 is found at several locations after 30 min of incubation, including in unknown structures in the perinuclear region. Importantly, the fluorescence profiles of mito-GFP and Cy3 indicate that compound 1 is also strongly enriched in mitochondria after 30 min of incubation (see graphs on the right of Figure 7). No significant signal for Cy3 was detected in control cells treated with DMSO. These results indicate that the interaction partners of compound 1 and 7CT might be associated with mitochondria.

Affinity Pulldown and MS Analysis. After demonstrating that compound 1 is suitable as a chemical probe for 7CT, due to pronounced neuroprotection by the same mode of action, the chemical probe 1 was applied for target identification in an affinity pulldown with HT22 cells. HT22 cells were incubated with 200 μM of compound 1 or DMSO as a control for 2 h before lysis and the CuAAC reaction with biotin-azide. Proteins bound by 1 and the DMSO-control lysates were purified on streptavidin magnetic beads, separated by SDS-PAGE, and analyzed by nanoLC-MS/MS after tryptic digestion in a label-free quantification. A total of 708 proteins were identified and quantified. Of these, 70 were significantly enriched in both replicates and thus classified as potential target candidates (summed significance 4; Figure 8). Two of the 70 hits were pursued further to investigate the interaction between compound 1 and 7CT and the respective identified proteins. One was the sarco/endoplasmic reticulum Ca²⁺-ATPase (SERCA, Atp2a2), which was the protein with the highest significance in the log2 protein ratio sample/control and therefore the top target identified according to MS analysis (Figure 8). As the microscopic analysis showed localization of compound 1 in mitochondria, we were interested in mitochondrial proteins among the targets. Indeed, the mitochondrial carrier adenine nucleotide translocase 1 (ANT-1, Slc25a4) was among the top 4 most significant hits and was chosen as a second target for further examination.

ANT-1 and SERCA Are Identified in MS Analysis. Adenine nucleotide translocase-1 (ANT-1) was identified as a potential target in MS/MS analysis. This finding is supported by the microscopic analysis conducted, where colocalization of Cy3-labeled 1 with mitochondria was observed (Figure 7). ANT-1 is an ADP/ATP carrier at the inner mitochondrial membrane and the most abundant protein in mitochondria, contributing to 1-10% of total mitochondrial protein. 43 ANT-1 is the muscle and brain-specific isoform of ANT and is important for mitochondrial physiology as well as general cell function, as it transports ADP into the mitochondrial matrix and ATP into the cytoplasm, undergoing extensive conformational changes during transport. 44-46 ANT-1 is relevant as a potential pharmacological target in the context of neurodegenerative disorders, particularly for AD, as mitochondrial dysfunction is proposed to be one of the major hallmarks of the disease. 47,48 ANT-1 has been studied in the context of apoptosis as well. The carrier was characterized as a proapoptotic protein, as increased ATP export, which is important for apoptosis, is mediated by enhanced levels of ANT-1 leading to mitochondrial breakdown and the apoptotic cascade. 45,49,50 Its role in nonapoptotic forms of cell death, like ferroptosis and oxytosis covered in this work, has not been described so far. Therefore, HT22 cells transfected with ANT-1 siRNA were tested for effects on oxytosis and ferroptosis. ANT-1 knockdown protected against these insults. Significantly more cells survived treatment with glutamate as an

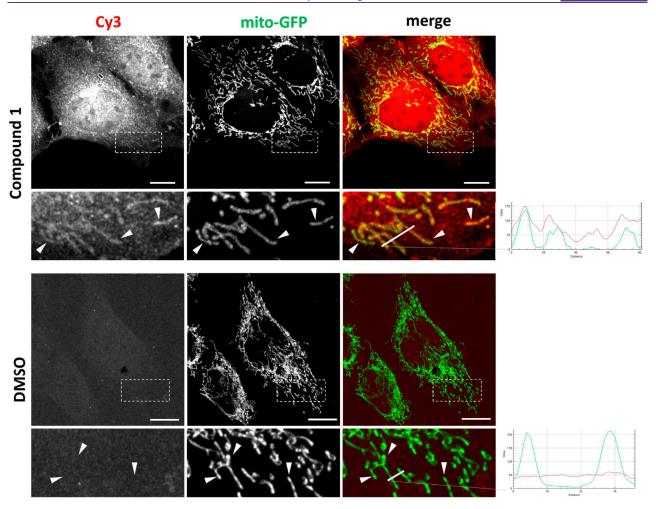


Figure 7. Microscopic analysis of HT22-mitoGFP cells with compound 1. Representative microscopic images of HT22-mitoGFP cells incubated with 5 μ M 1 or DMSO for 30 min. Red signals derive from Cy3 and correspond to adducted proteins; green signals are mitochondria-targeted GFP. Arrows indicate mitochondrial structures to note the colocalization of Cy3 staining with mitochondria in cells incubated with compound 1 compared to the absence of a signal in DMSO-treated cells. To visualize the colocalization, a line was plotted in the merged images (magnified images). Charts on the right show quantification of the fluorescence profile along this line for each channel. The *X*-axis indicates distance, and the *Y*-axis represents fluorescence intensity in arbitrary units. Bars = 10 μ m.

inducer of oxytosis (Figure 9A), or erastin and RSL3 as inducers of ferroptosis (Figure 9B,C, respectively). These findings strongly support the implication of ANT-1 inhibition in the protective effect of compound 1 and consequently 7CT.

The treatment of ANT-1 knockdown cells with 7CT in oxytosis conditions induced by glutamate showed that the compound provides additional protection (Figure 9E). In the absence of 7CT, the knockdown of ANT-1 is significantly protective. However, upon treatment of 7CT, the control cells are as protected as the ANT-1 knockdown cells indicating that ANT-1 is not the exclusive target of 7CT, and other mechanisms are also involved in the protection (Figure 9E). ROS and oxidative stress lead to increased mitochondrial membrane potential (MMP).51 It was shown that FCCP, a mitochondrial uncoupler dissipating the MMP, also protects cells from oxytosis. 52 Furthermore, a study with quercetin showed that low concentrations of the flavonoid reduced the MMP and inhibited adenine nucleotide exchange by ANT-1.53 As the concentrations at which neuroprotection is observed in our study are in the low micromolar range, we hypothesize that 1 and 7CT could act as mild uncouplers and inhibitors of ANT-1 and thereby protect cells. It has been reported that the nucleotide exchange by ANT-1 can potentially play a role in maintaining the MMP, ^{54,55} but increased oxidative damage by apoptosis inducers leads to harmfully increased expression levels of ANT-1. ^{56,57} However, whether this is also the case for oxytosis and ferroptosis as well as the exact role of ANT-1 in these nonapoptotic pathways remains a subject of future research.

A second interaction partner identified by MS analysis was the sarco/endoplasmic reticulum Ca²⁺-ATPase 2 (SERCA). Located in the endoplasmic reticulum (ER), the pump regulates calcium influx into the ER under ATP consumption. ER-stress concomitant with calcium dysregulation causes neuronal impairment and death and is involved in the progressive neurological decline of AD. Krajnak et al. showed that activation of SERCA is neuroprotective and improves memory and cognition in APP/PS1 mice. In this work, SERCA was validated as a target for 7-O-esters of taxifolin by knockdown experiments. In contrast to the results with ANT-1, HT22 cells treated with SERCA siRNA were not protected against glutamate-induced oxytosis (Figure 9D). However,

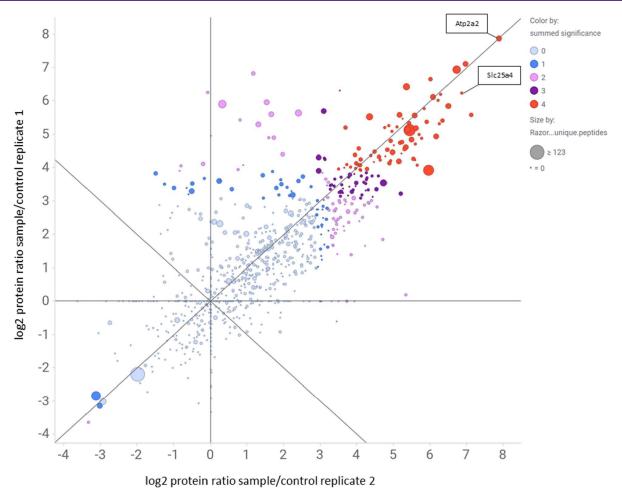


Figure 8. Mass spectrometric identification of target candidates. Log2 transformed protein ratios sample/control of two replicates are shown. Summed significance values were used to identify the best target candidates (significance 2 in both replicates = significance 4, marked in red). The size of the dots corresponds to the number of razors and unique peptides of a protein.

SERCA knockdown significantly impaired the protection by compound 7CT at the lower concentrations of 2.5 and 5 μ M (Figure 9E) suggesting an important role of SERCA in the protective mechanism of 7CT. At a concentration of 10 μ M 7CT, the decrease in protection by the absence of SERCA was no longer seen. Higher concentrations of 7CT likely activate other protective pathways due to the pleiotropic action of the compound and hence compensate for SERCA knockdown.

CONCLUSION

In summary, a chemical probe of the neuroprotective natural product hybrid 7-O-cinnamoyltaxifolin bearing an alkyne tag for CuAAC reaction was designed and synthesized. The probe 1 proved its suitability as a neuroprotectant in cell-based phenotypic screening assays addressing different aspects of neurodegeneration and aging. On a molecular level, Western blots were used to confirm that the parent compound and the probe act on the same pathways and to ensure that the alkyne tag was not altering the mechanisms of action. Both compounds, 1 and 7CT, induced significant upregulation of Nrf2 in HT22 nuclear fractions, increased ERK phosphorylation, and reduced phosphorylation of p38 in HT22 lysates. Microscopic analysis of 1 coupled to the fluorescent dye Cy3-azide showed efficient uptake of the compound into HT22

cells and localization in mitochondria. MS analysis after CuAAC of 1 with biotin-azide and following streptavidin purification, revealed 70 potential target candidates by MS analysis. Due to the pleiotropic mechanism of action, the identification of many interaction partners was assumed. As compound 1 carries a Michael system, which is prone to react with nucleophiles like thiols, we expected to identify proteins that were previously described to interact with electrophiles.²¹ HSP90, prohibitin, and 14-3-3 β are examples of such proteins. Indeed, HSP90, prohibitin and $14-3-3\beta$ were identified among others in the MS analysis of the pulldown with compound 1 (for the full list of targets, see Supporting Information). However, the top target candidate of compound 1 in MS analysis was SERCA. In addition, because microscopic analysis pointed at mitochondria as a subcellular location for compound 1, we also looked at the top mitochondrial target candidate in the analysis which was the mitochondrial protein ANT-1. These two proteins were chosen to investigate for their relevance as targets of compound 1 and 7CT in knockdown experiments. As both proteins are crucial for cell homeostasis and survival and are for the first time shown to be modified by 7-O-esters of flavonoids, further work in this area should focus on the role of ANT-1 and SERCA in AD and oxytotic/ferroptotic cell death pathways as well as the

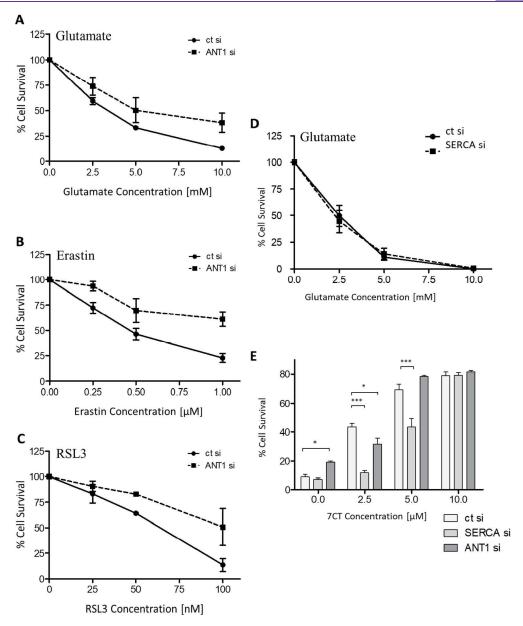


Figure 9. Transfection of HT22 cells with ANT-1 siRNA and SERCA siRNA and investigations on neuroprotection. ANT-1 knockdown leads to protection against glutamate as an inducer of oxytosis (A), and erastin (B) and RSL3 (C) as inducers of ferroptosis. (D) The transfection of HT22 cells with SERCA siRNA is not protective against glutamate-induced oxytosis. (E) ANT-1 (dark gray) and SERCA (light gray) knockdown cells were treated with increasing concentrations of 7CT in the presence of glutamate. Data are presented as means \pm SEM of four independent experiments. Statistical analysis was rendered using Two-way ANOVA followed by Bonferroni posttests using GraphPad Prism 5. Levels of significance: * p < 0.05; ***p < 0.001.

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identification and functional characterization of the site(s) modified by the compound. Our findings are a step toward understanding the specific interaction partners of natural product-derived compounds like 7-O-esters of flavonoids. The activity-based protein profiling approach with compound 1 as a chemical probe revealed several proteins as interaction partners that might also apply to other flavonoids and lead to new approaches for drug development against neurodegenerative diseases like AD.

■ METHODS

Chemical Synthesis. General Information. All reagents were used without further purification and bought from common

commercial suppliers in reagent grade. For anhydrous reaction conditions, THF was dried before use by refluxing over sodium slices for at least 2 days under an argon atmosphere. Thin-layer chromatography was performed on silica gel 60 (alumina foils with fluorescent indicator 254 nm). UV light (254 and 366 nm) was used for detection. For column chromatography, silica gel 60 (particle size 0.040-0.063 mm) was used. Nuclear magnetic resonance (NMR) spectra were recorded with a Bruker AV-400 NMR instrument (Bruker, Karlsruhe, Germany) in a deuterated solvent. Chemical shifts are expressed in parts per million (ppm) relative to the solvent applied (2.50 ppm for 1H and 39.5 ppm for 13C in DMSO- d_6 ; 7.26 ppm for 1H and 77.2 ppm for 13C for CDCl $_3$; 2.05 ppm for 1H and 206.3 ppm for 13C for acetone- d_6 ; 4.87 ppm for 1H and 49.0 ppm for 13C for methanol- d_4). The purity of the synthesis products was

determined by HPLC (Shimadzu Products), containing a DGU-20A3R degassing unit, an LC20AB liquid chromatograph, and an SPD-20A UV/vis detector. UV detection was measured at 254 nm. Mass spectra were obtained by an LCMS 2020 (Shimadzu Products). As a stationary phase, a Synergi 4U fusion-RP (150 mm × 4.6 mm) column was used, and as a mobile phase, a gradient of methanol/water with 0.1% formic acid was used. Parameters: A = water, B = methanol, V(B)/(V(A) + V(B)) = 5 from 5 to 90% over 10 min, V(B)/(V(A) + V(B)) = 5 for 5 min, V(B)/(V(A) + V(B)) = 5 from 90 to 5% over 3 min. The method was performed with a flow rate of 1.0 mL/min. Compounds were only used for biological evaluation if the purity was ≥ 95 %. Melting points were determined using an OptiMelt automated melting point system (Scientific Instruments GmbH, Gilching, Germany).

General Procedure A: Protection. Acid (1.0 equiv) was dissolved in ethanol, and H_2SO_4 was added. The solution was refluxed until TLC showed full conversion. The reaction mixture was concentrated *in vacuo* and dissolved in ethyl acetate to be washed with 5% sodium hydrogen carbonate in water. The organic layers were combined, and the solvent was evaporated.

General Procedure B: Substitution. K_2CO_3 (1.5 equiv) was dissolved in dry acetone under argon and cooled to 0 °C in an ice bath when the protected acid (1.0 equiv) was added. The mixture was stirred at 0 °C for 15 min, and the respective iodine (2.0 equiv) was added. The reaction was heated to reflux for 6 h, diluted with water and 1 M HCl, extracted with ethyl acetate (3 × 30 mL), washed with brine, and dried over Na_2SO_4 . The solvent was removed, and the compound was purified by silica column chromatography using 20% ethyl acetate in petroleum ether to give the compound as an oil.

General Procedure C: Deprotection. The compound was dissolved in ethanol, and 0.52 M NaOH was added. The mixture was stirred at room temperature for 5 h. The reaction was diluted with 5 mL of water and extracted with ethyl acetate twice. The aqueous layers were combined and acidified to pH 1 with 1 M HCl to be again extracted with ethyl acetate. The organic layers were combined, washed with brine, and dried over Na₂SO₄.

General Procedure D: Esterification. Acid (1.1 equiv) was dissolved in 5 mL of dry THF, and oxalyl chloride (2.0 equiv) together with catalytic amounts of DMF (4 μ L) were added. The reaction was stirred at room temperature until TLC showed complete conversion of the acid to the acid chloride. The acid chloride was then added dropwise to a solution of taxifolin (1.0 equiv) and triethylamine (4.5 equiv) in dry THF and stirred at room temperature for 2 h. The reaction was quenched with water and 1 M HCl and extracted with ethyl acetate. The organic layer was combined, washed with brine, and dried over Na₂SO₄. The mixture was purified by flash column chromatography using a gradient of 4–15% acetone in dichloromethane to give the pure compound. 15,17

5-lodo Pentyne (3). 5-Chloro pentyne (1.0 g, 9.76 mmol, 1.0 equiv) was dissolved in dry acetone, and sodium iodine (7.3 g, 48.8 mmol, 5.0 equiv) was added; the mixture was heated to reflux overnight. The reaction was diluted with water and extracted with dichloromethane (3 \times 40 mL). The organic layer was combined, washed with brine, and dried over Na₂SO₄, and the solvent was evaporated. The compound was obtained as a yellow oil (1.8 g, quantitative (quant)).

¹H NMR (400 MHz, CDCl₃): δ 3.28 (td, J = 6.7, 1.3 Hz, 2H), 2.34–2.26 (m, 2H), 2.01–1.92 (m, 3H) ppm.

¹³C NMR (101 MHz, CDCl₃): δ 82.4 (s), 69.6 (s), 32.0 (s), 19.6 (s), 5.2 (s) ppm.

Ethyl (E)-3-(3-Hydroxyphenyl)acrylate (5). Following general procedure A, 500 mg of trans-3-hydroxycinnamic acid was dissolved in 15 mL of ethanol, and 5 drops of sulfuric acid were added. After 6 h, TLC showed total consumption of the educt. Compound 5 was obtained as an off-white solid (584 mg, quant).

¹H NMR (400 MHz, acetone-d6): δ 8.54 (s, 1H, -OH), 7.60 (d, J = 16.0 Hz, 1H, HC=CHCO), 7.26 (t, 1H, aromatic (arom)), 7.15–7.11 (m, 2H, arom), 6.93–6.90 (m, 1H, arom), 6.43 (d, J = 16.0 Hz, 1H, HC=CHCO), 4.20 (quart, 2H, OCH_2CH_3), 1.28 (t, 3H, OCH_2CH_3) ppm.

 ^{13}C NMR (101 MHz, acetone-d6): δ 167.0, 158.7, 145.2, 136.8, 130.9, 120.5, 119.10, 118.3, 115.4, 60.8, 14.6 ppm.

Ethyl (E)-3-(3-(Pent-4-yn-1-yloxy)phenyl)acrylate (6). Following general procedure B, 5 (300 mg, 1.5 mmol, 1.0 equiv) was reacted with 3 (605 mg, 3.12 mmol, 2.0 equiv) and $K_2CO_3(311$ mg, 2.25 mmol, 1.5 equiv). Compound 6 was obtained as a yellow oil (352 mg, 1.36 mmol, 91% yield).

¹H NMR (400 MHz, CDCl₃): δ 7.64 (d, J = 16.0 Hz, 1H, HC = CHCO), 7.27 (t, 1H, arom), 7.11 (m, 1H, arom), 7.05 (m, 1H, arom), 6.93–6.91 (m, 1H, arom), 6.41 (d, J = 16.0 Hz, 1H, HC= CHCO), 4.26 (quart, 2H, OCH₂CH₃), 4.08 (t, 2H, H≡ CCH₂CH₂CH₂O), 2.40 (dt, 2H, H≡CCH₂CH₂CH₂O), 2.03–1.97 (m, 3H, H≡CCH₂CH₂CH₂O), 1.33 (t, 3H, OCH₂CH₃) ppm.

¹³C NMR (101 MHz, CDCl₃): δ 167.0, 159.3, 144.6, 135.9, 130.0, 120.9, 118.7, 116.8, 113.6, 83.4, 69.1, 66.3, 60.6, 28.2, 15.2, 14.4 ppm. (E)-3-(3-(Pent-4-yn-1-yloxy)phenyl)acrylic Acid (7). Following general procedure C, 290 mg **6** was dissolved in 3 mL of ethanol, and 5 mL of 0.52 M NaOH was added. The compound was obtained as a white solid (191 mg, 0.82 mmol, 73% yield).

¹H NMR (400 MHz, CDCl₃): δ 7.74 (d, J = 16.0 Hz, 1H, HC = CHCO), 7.31 (t, 1H, arom), 7.15 (d, J = 8.0 Hz 1H, arom), 7.08 (m, 1H, arom), 6.97 (dd, J = 8.0 and 2.3 Hz, 1H, arom), 6.46 (d, J = 16.0 Hz, 1H, HC=CHCO), 4.11 (t, 2H, H=CCH₂CH₂CH₂O), 2.42 (td, 2H, H=CCH₂CH₂CH₂CH₂O), 2.06-1.98 (m, 3H, H= CCH₂CH₂CH₂O) ppm.

¹³C NMR (101 MHz, CDCl₃): δ 172.0, 159.3, 147.0, 135.4, 130.0, 121.2, 117.5, 117.2, 113.8, 83.3, 69.0, 66.3, 28.1, 15.2 ppm.

7-O-Cinnamoyltaxifolin-pentyne (1). Following general procedure D, 7 (120 mg, 0.52 mmol, 1.1 equiv) was dissolved in 5 mL of dry THF, and 85 μ L of oxalyl chloride (127 mg, 1.00 mmol, 2 equiv) and catalytic amounts of DMF were added. Taxifolin (150 mg, 0.52 mmol, 1 equiv) and triethylamine (228 mg, 2.25 mmol, 4.5 equiv) were dissolved in dry THF, and the acid chloride was added dropwise. Target compound 1 was obtained as a white foam (137 mg, 0.26 mmol, 53% yield).

¹H NMR (400 MHz, DMSO-d6): δ 11.73 (s, 1H, 5-OH), 9.03 (s, 2H, 3′ and 4′-OH), 7.80 (d, J = 16.0 Hz, 1H, ArCH=CH-CO), 7.40 (s, 1H, arom CA), 7.35 (d, J = 5.1 Hz, 2H, arom CA), 7.05–7.02 (m, 1H, arom CA), 6.93 (m, 1H, arom B-ring), 6.88 (d, J = 16.0 Hz, 1H, ArCH=CH-CO), 6.79–6.76 (m, 2H, arom B-ring), 6.45 (d, J = 2.0 Hz, 1H, 8-H), 6.42 (d, J = 2.0 Hz, 1H, 6-H), 5.92 (d, J = 6.2 Hz, 1H, 3-OH), 5.15 (d, J = 11.6 Hz, 1H, 2-H), 4.70 (dd, J = 11.5, 6.3 Hz, 1H, 3-H), 4.09 (t, 2H, HC=C-CH₂-CH₂-CH₂), 2.80 (t, 1H, HC=C-CH₂-CH₂-CH₂), 2.34 (td, J = 2.6 Hz, 2H, HC=C-CH₂-CH₂-CH₂) ppm.

¹³C NMR (101 MHz, DMSO-d6): δ 199.6, 164.0, 162.0, 161.9, 158.9, 158.1, 147.2, 146.0, 145.0, 135.2, 130.1, 127.7, 121.5, 119.6, 117.7, 117.1, 115.5, 115.2, 113.8, 104.9, 102.8, 101.7, 83.7, 83.3, 71.9, 71.6, 66.2, 27.7, 14.5 ppm.

ESI: m/z calculated for $C_{29}H_{24}O_9$ [M + H]⁺ 517.14, found 517.13; HPLC purity = 99%.

Ethyl (E)-3-(3-(Pentyloxy)phenyl)acrylate (8). Following general procedure B, 5 (500 mg, 2.6 mmol, 1.0 equiv) was reacted with 1-iodopentane (1.03 g, 5.2 mmol, 2.0 equiv) and $\rm K_2CO_3(539$ mg, 3.9 mmol, 1.5 equiv). Compound 8 was obtained as an off-white oil (330 mg, 1.26 mmol, 48% yield).

¹H NMR (400 MHz, CDCl₃): δ 7.87 (d, J = 16.0 Hz, 1H), 7.49 (t, 1H), 7.30 (d, J = 7.9 Hz, 1H), 7.13 (dd, J = 7.9, 2.4 Hz, 1H), 6.64 (d, J = 16.0 Hz, 1H), 4.48 (q, J = 7.1 Hz, 2H), 4.18 (t, 2H), 2.01 (quint, 2H), 1.72–1.59 (m, 4H), 1.56 (t, J = 7.1 Hz, 3H), 1.21–1.11 (m, 3H) ppm.

 ^{13}C NMR (101 MHz, CDCl₃): δ 167.0, 159.5, 144.6, 135.8, 129.8, 120.6, 118.4, 116.7, 113.5, 68.1, 60.5, 28.9, 28.2, 22.5, 14.3, 14.0 ppm. (*E*)-3-(3-(*Pentyloxy*)*phenyl*)*acrylic Acid* (*9*). Following general procedure C, 250 mg of 8 was dissolved in 5 mL of ethanol, and 5 mL of 0.52 M NaOH was added. The compound was obtained as a white solid (173 mg, 1.35 mmol, quant).

¹H NMR (400 MHz, CDCl₃): δ 7.76 (d, J = 15.9 Hz, 1H), 7.31 (t, J = 7.9 Hz, 1H), 7.13 (d, J = 7.7 Hz, 1H), 7.07 (s, 1H), 6.96 (dd, J = 8.2, 2.4 Hz, 1H), 6.44 (d, J = 15.9 Hz, 1H), 3.98 (t, J = 6.6 Hz, 2H),

1.86-1.75 (m, 2H), 1.54-1.33 (m, 4H), 0.95 (t, J = 7.1 Hz, 3H) ppm.

ppm. ^{13}C NMR (101 MHz, CDCl₃): δ 172.3, 159.7, 147.3, 135.5, 130.1, 121.1, 117.5, 117.4, 113.9, 68.3, 29.1, 28.3, 22.6, 14.2 ppm.

7-O-Cinnamoyltaxifolin-pentane (2). Following general procedure D, 9 (134 mg, 0.57 mmol, 1.1 equiv) was dissolved in 5 mL of dry THF, and 100 μ L of oxalyl chloride (155 mg, 1.08 mmol, 2 equiv) and catalytic amounts of DMF were added. Taxifolin (164 mg, 0.54 mmol, 1 equiv) and triethylamine (278 mg, 2.75 mmol, 4.5 equiv) were dissolved in dry THF, and the acid chloride was added dropwise. Compound 2 was obtained as a white foam (181 mg, 0.35 mmol, 64% yield)

¹H NMR (400 MHz, DMSO-d6): δ 11.73 (s, 1H, 5-OH), 9.06 (s, 1H, 3'-OH), 9.00 (s, 1H, 4'-OH), 7.82 (d, J = 16.0 Hz, 1H, ArCH=CH-CO), 7.38 (s, 1H, arom H CA), 7.34–7.33 (m, 2H, arom H CA), 7.03–7.00 (m, 1H, arom H CA), 6.93 (m, 1H, arom B-ring), 6.88 (d, J = 16.0 Hz, 1H, ArCH = CH-CO), 6.79–6.74 (m, 2H, arom B-ring), 6.45 (d, J = 2.0 Hz, 1H, 8-H), 6.41 (d, J = 2.0 Hz, 1H, 6-H), 5.90 (d, J = 6.3 Hz, 1H, 3-OH), 5.13 (d, J = 11.6 Hz, 1H, 2-H), 4.69 (dd, J = 11.6, 6.3 Hz, 1H, 3-H), 4.01 (t, 2H, CH₃CH₂CH₂CH₂CH₂O), 1.72 (tt, J = J' = 6.8 Hz, 2H, CH₃CH₂CH₂CH₂CH₂O), 0.89 (t, 3H, CH₃CH₂CH₂CH₂CH₂CH₂O) ppm.

¹³C NMR (101 MHz, DMSO-d6): δ 199.6, 164.0, 162.0 161.9, 159.1, 158.1, 147.2, 146.0, 145.0, 135.1, 130.0, 127.7, 121.3, 119.6, 117.7, 117.0, 115.5, 115.2, 113.7, 104.8, 102.8, 101.7, 83.3, 71.9, 67.6, 28.4, 27.7, 21.9, 13.9 ppm.

ESI: m/z calculated for $C_{29}H_{28}O_{9}$ [M + H]⁺ 521.17, found 521.14; HPLC purity = 96%.

Cell Culture General Procedures. HT22 cells were grown in Dulbecco's Modified Eagle Medium (DMEM, Sigma-Aldrich, Munich, Germany) supplemented with 10% (v/v) fetal calf serum (FCS) and 1% (v/v) penicillin—streptomycin. BV-2 cells were grown in low-glucose DMEM (Invitrogen, Carlsbad, CA, USA) supplemented with 10% FCS and 1% (v/v) penicillin—streptomycin. Cells were subcultured every 2 days and incubated at 37 °C with 10% CO₂ in a humidified incubator.

Compounds were dissolved in dimethyl sulfoxide (DMSO, Sigma-Aldrich, Munich, Germany) as stock solutions and diluted further into 1x phosphate-buffered saline (PBS).

For determination of cell viability, a colorimetric 3-(4,5-dimethylthiazol-2-yl)-2,5- diphenyl tetrazolium bromide (MTT, Sigma-Aldrich, Munich, Germany) assay was used. MTT solution (5 mg/mL in PBS) was diluted 1:10 with medium and added to the wells after removal of the old medium. Cells were incubated for 3 h, and then lysis buffer (10% SDS) was applied. The next day, absorbance at 560 nm was determined with a multiwell plate photometer (Tecan, SpectraMax 250).

Neurotoxicity and Oxytosis in HT22 Cells. Cells $(5 \times 10^3 \text{ cells per well})$ were seeded into sterile 96-well plates and incubated overnight. For the neurotoxicity assay, the medium was removed, and 1, 5, 10, or 25 μ M of the compound diluted with medium from a 0.1 M stock solution was added to the wells. DMSO (0.05%) in DMEM served as a control. Cells were incubated for 24 h at which neurotoxicity was determined using the colorimetric MTT assay.

For the oxytosis assay, 3×10^3 cells per well were treated with 5 mM glutamate (monosodium-*L*-glutamate, Sigma-Aldrich, Munich, Germany) together with 1, 5, or 10 μ M concentrations of the respective compounds and incubated for 24 h. Cell viability was determined using the MTT assay, as described above. Results are presented as percentage of untreated control cells. Data are expressed as means \pm SEM of three independent experiments. The analysis was accomplished using GraphPad Prism 5 Software applying One-way ANOVA followed by Dunnett's multiple comparison posttest. Levels of significance: * p < 0.05; ** p < 0.01; *** p < 0.001. Ferroptosis in HT22 Cells. Cells (3 \times 10³ cells per well) were

Ferroptosis in HT22 Cells. Cells $(3 \times 10^3 \text{ cells per well})$ were seeded into sterile 96-well plates and incubated overnight. The next day, the medium was exchanged with fresh medium, and 300 nM RSL3 was added with vehicle (DMSO) to induce oxidative stress, or

together with 1, 5, or 10 μ M concentrations of the respective compound for protection. After 24 h, cell viability was determined using the MTT assay. Results are presented as percentages of untreated control cells. Data are expressed as means \pm SEM of three independent experiments. The analysis was accomplished using GraphPad Prism 5 Software applying One-way ANOVA followed by Dunnett's multiple comparison posttest. Levels of significance: * p < 0.05; *** p < 0.01; **** p < 0.001.

ATP Depletion in HT22 Cells. Cells $(3 \times 10^3 \text{ cells per well})$ were seeded into sterile 96-well plates and incubated overnight. The next day, medium was exchanged with fresh medium. Iodoacetic acid (IAA, $20~\mu\text{M}$) was added with vehicle (DMSO) as a negative control, or together with 1, 5, or $10~\mu\text{M}$ concentrations of the respective compound for protection. After 2 h of incubation at $37~^{\circ}\text{C}$ in the incubator, the medium was aspirated, and fresh medium was applied; only the compounds at the same respective concentrations were added without IAA. After 24 h, cell viability was determined using the MTT assay. Results are presented as percentages of untreated control cells. Data are expressed as means \pm SEM of three independent experiments. The analysis was accomplished using GraphPad Prism 5 Software applying One-way ANOVA followed by Dunnett's multiple comparison posttest. Levels of significance: * p < 0.05; ** p < 0.01; *** p < 0.001.

Anti-Inflammatory Activity in BV-2 Cells. Cells (5×10^5 cells per plate) were seeded in sterile 35 mm cell culture dishes. After overnight incubation, medium was exchanged with fresh medium. The cells were pretreated with the respective compounds at the indicated concentrations for 30 min when $50~\mu g/mL$ bacterial lipopolysaccharide (LPS) was added. After 24 h of incubation, the medium was collected and spun briefly to remove floating cells, and $100~\mu L$ of the supernatant was assayed for nitrite using $100~\mu L$ of the Griess Reagent in a 96-well plate. After incubation for 10 min at room temperature, the absorbance at 550 nm was read on a microplate reader. Results are normalized to cell number as assessed by the MTT assay, as described above.

Western Blots. Sample Preparation. Cells $(1.5 \times 10^5 \text{HT}22 \text{ cells})$ per 35 mm dish) were grown for 24 h before treatment with the respective compounds at the indicated concentrations and incubation times. For whole lysate analysis, cells were washed twice with PBS and scraped into $100 \ \mu\text{L}$ of $2.5 \times \text{SDS}$ sample buffer; then, they were sonicated and boiled for 5 min.

For nuclear fractions, HT22 cells were rinsed twice in ice-cold Trisbuffered saline (TBS), scraped into ice-cold nuclear fractionation buffer (10 mM HEPES pH 7.9, 10 mM KCl, 0.1 mM EDTA, 0.1 mM EGTA, 1 mM DTT, 1 mM Na₃VO₄, 1x protease inhibitor cocktail, and 1x phosphatase inhibitor cocktail), and incubated on ice for 15 min. NP40 at a final concentration of 0.6% was added, and cells were vortexed; the nuclei were pelleted by centrifugation. Nuclear proteins were extracted by sonication of the nuclear pellet in nuclear fractionation buffer, and the extracts were cleared by additional centrifugation. Protein concentrations were quantified by the bicinchoninic acid assay (Pierce, Rockford, IL, USA) and adjusted to equal concentrations. Western blot (5x) sample buffer (74 mM Tris–HCl pH 8.0, 6.25% SDS, 10% β -mercaptoethanol, 20% glycerol) was added to a final concentration of 2.5x, and samples were boiled for 5 min.

Western Blotting. Equal amounts of protein (10–20 μg) per lane were used for SDS–PAGE. All samples were separated using 4–12% Criterion XT Precast Bis–Tris Gels (Biorad, Hercules, CA, USA). Proteins were transferred to nitrocellulose membranes, and the quality of protein measurement, electrophoresis, and transfer were checked by Ponceau S staining. Membranes were blocked with 5% skim milk in TBS-T (20 mM Tris buffer pH 7.5, 0.5 M NaCl, 0.1% Tween 20) for 1 h at room temperature and incubated overnight at 4 °C with the primary antibody diluted in 5% BSA in TBS/0.05% Tween 20. HRP-conjugated rabbit antiactin (#5125, 1/20 000), anti-Nrf2 (#sc-13032, 1/500), antiphospho ERK (#9101, 1/1000), antitotal ERK (#9102, 1/1000), antiphospho p38 (#9212, 1/1000), and antitotal p38 (#9212,1/1000) from Cell Signaling (Danvers, MA, USA) were used as the primary antibody. Subsequently, blots were washed in TBS/

0.05% Tween 20 and incubated for 1 h at room temperature in horseradish peroxidase goat antirabbit or antimouse (Biorad) diluted 1/5000 in 5% skim milk in TBS-T. After additional washing, protein bands were detected by chemiluminescence using the Super Signal West Pico Substrate (Pierce). Autoradiographs were scanned using a Biorad GS800 scanner. Band density was measured using ImageJ. Each Western blot was repeated at least three times with independent protein samples.

CuAAC with Cy3-Azide. Cells (5×10^5 cells per 60 mm dish) were grown overnight, and then the compound or DMSO was added at the indicated concentrations and incubated for 4 h. The CuAAC reaction was performed in a final volume of 120 μ L. Therefore, 100 μ g of protein were adjusted to the appropriate volume with PBS, and 20 μ M Cy3-azide was added followed by freshly prepared tris(2-carboxyethyl)phosphine hydrochloride (TCEP) (1 mM final concentration), tris[(1-benzyl-1H-1,2,3-triazol-4-yl)methylamine (TBTA) (0.1 mM final concentration), and copper sulfate (1 mM final concentration). The mixture was vortexed and incubated rotating at room temperature for 1 h after which the reaction was quenched by the addition of 120 μ L of 5X sample buffer. The samples were submitted to SDS-PAGE, transferred to a nitrocellulose membrane, and examined according to the Western blot protocol described above with anti-Cy3 (sc-166894, 1/1000) as a primary antibody.

CuAAC in Fixed Cells for Fluorescence Microscopy. Cells (0.4 \times 10³ HT22 cells) stably expressing a mitochondria-targeted GFP (mito-GFP) were grown overnight on 24-well plates with coverslips. The next day, compounds were added at the indicated concentrations and incubated for 30 min at 37 $^{\circ}$ C. After we washed the cells three times for 5 min with warm PBS, the cells were fixed with freshly prepared 4% paraformaldehyde (PFA) in PBS at 37 °C for 20 min and permeabilized with 0.5% Triton X-100 in PBS at room temperature for 15 min. The CuAAC reaction mixture was prepared in an Eppendorf tube by mixing Cy3-azide (20 μM final concentration), freshly prepared tris(2-carboxyethyl)phosphine hydrochloride (TCEP) (1 mM final concentration), tris[(1-benzyl-1H-1,2,3-triazol-4-yl)methylamine (TBTA) (0.1 mM final concentration), and copper sulfate (1 mM final concentration) in PBS. The CuAAC reaction mixture was added to the permeabilized cells and incubated for 1 h at room temperature in the dark. Three additional PBS washing steps were performed before placing the coverslips on slides with mounting media. Images were acquired using a Zeiss LSM 880 Rear Port Laser Scanning Confocal and Airyscan FAST Microscope. GFP and Cy3 were excited sequentially using 488 and 561 laser lines, respectively, and collected using the corresponding filters and the Airyscan detector. The final analysis of the images was performed using ImageJ FIJI software.

CUAAC with HT22 Cells and Affinity Purification of Target Proteins. The procedure was based on Weerapana et al. 60 and Brodziak-Jarosz et al. 21 with modifications. HT22 cells were grown in 10 cm dishes to 90% confluency. Compound 1 (200 μ M) or DMSO in fresh medium was added and incubated for 2 h. The cells were washed with ice-cold PBS, scraped into 2 mL of ice-cold PBS, and transferred to Eppendorf tubes. After centrifugation at 5000 rpm for one min, the cells were suspended in 500 μ L of lysis buffer (TBS with 1% NP-40, pH 7.4) with protease inhibitor cocktail and left shaking for 20 min at 4 °C. Lysates were centrifuged at 14 000 rpm for 20 min at 4 °C to pellet cellular debris. The supernatant was transferred to fresh Eppendorf tubes, and the protein concentration was determined by the bicinchoninic acid method (Pierce).

The CuAAC reaction was conducted in an Eppendorf tube in a final volume of 500 μ L. Protein (2000 μ g) was adjusted to the needed volume with PBS and 150 μ M Biotin-PEG3-azide (Sigma-Aldrich, Munich, Germany); 1 mM freshly prepared tris(2-carboxyethyl)-phosphine hydrochloride, 0.1 mM tris[(1-benzyl-1H-1,2,3-triazol-4-yl)methylamine, and 1 mM copper sulfate were added. The reaction mixture was vortexed, and then the reaction proceeded for 3 h at room temperature with gentle shaking at which time a precipitate formed. After centrifugation at 6500 g for 4 min at 4 °C, the supernatant was collected and immediately submitted to affinity pulldown following the procedure described below. The pellet was

washed with 500 μ L of ice-cold methanol and sonicated for 4 s, and after 10 min incubation rotating at 4 °C, the suspension was centrifuged at 6500 g for 4 min at 4 °C. The supernatant was removed, and the washing step was repeated once. For solubilization, 1 mL of 1.2% SDS in PBS was added to the pellet after the second washing step, and it was sonicated for 4 s and boiled for 5 min when the sample was transferred into a 15 mL falcon tube and diluted with 5 mL PBS to a final SDS concentration of 0.2%. For affinity purification, streptavidin magnetic beads (GE Healthcare) were used according to the manufacturer's protocol with an extended incubation time of 2 h for proteome incubation. After elution in 35 μ L of 2.5x sample buffer, a methanol/chloroform extraction was rendered to prepare the samples for MS analysis. The samples were diluted in 140 μL of methanol and 35 μL of chloroform and vortexed. Milli-Q (105 μL) was added, and the mixture was vortexed again before centrifugation at 15 000 rpm for 2 min at 4 °C. The upper layer was removed, and 105 μ L of methanol was added and vortexed. After another centrifugation step, the supernatant was removed, and the pellet was left to dry.

MS Analysis. Gel Electrophoresis. Precipitated proteins were dissolved in NuPAGE LDS sample buffer (Life Technologies), reduced with 50 mM DTT at 70 °C for 10 min, and alkylated with 120 mM Iodoacetamide at room temperature for 20 min. The separation was performed on NuPAGE Novex 4–12% Bis—Tris gels (Life Technologies) with MOPS buffer according to the manufacturer's instructions. Gels were washed three times for 5 min with water and stained for 1 h with Simply Blue Safe Stain (Life Technologies). After washing with water for 1 h, each gel lane was cut into 14 slices.

In-Gel Digestion. The excised gel bands were destained with 30% acetonitrile in 0.1 M NH₄HCO₃ (pH 8), shrunk with 100% acetonitrile, and dried in a vacuum concentrator (Concentrator 5301, Eppendorf, Germany). Digests were performed with 0.1 μ g of trypsin per gel band overnight at 37 °C in 0.1 M NH₄HCO₃ (pH 8). After removing the supernatant, peptides were extracted from the gel slices with 5% formic acid, and extracted peptides were pooled with the supernatant.

NanoLC–MS/MS Analysis. NanoLC–MS/MS analyses were performed on an Orbitrap Fusion (Thermo Scientific) equipped with a PicoView Ion Source (New Objective) and coupled to an EASY-nLC 1000 (Thermo Scientific). Peptides were loaded on capillary columns (PicoFrit, 30 cm \times 150 μ m ID, New Objective) self-packed with ReproSil-Pur 120 C18-AQ (1.9 μ m) (Dr. Maisch) and separated with a 30 min linear gradient from 3 to 30% acetonitrile and 0.1% formic acid and a flow rate of 500 nL/min.

Both MS and MS/MS scans were acquired in the Orbitrap analyzer with a resolution of 60 000 for MS scans and 7500 for MS/MS scans. HCD fragmentation with 35% normalized collision energy was applied. A Top Speed data-dependent MS/MS method with a fixed cycle time of 3 s was used. Dynamic exclusion was applied with a repeat count of 1 and an exclusion duration of 30 s; singly charged precursors were excluded from selection. The minimum signal threshold for precursor selection was set to 50 000. Predictive AGC was used with an AGC target value of 2e5 for MS scans and 5e4 for MS/MS scans. EASY-IC was used for internal calibration.

MS Data Analysis. Raw MS data files were analyzed with MaxQuant version 1.6.2.2. 61 The database search was performed with Andromeda, which is integrated into the utilized version of MaxQuant. The search was performed against the UniProt mouse database. Additionally, a database containing common contaminants was used. The search was performed with tryptic cleavage specificity with 3 allowed miscleavages. Protein identification was under the control of the false-discovery rate (FDR; < 1% FDR on protein and PSM level). In addition to MaxQuant default settings, the search was performed against the following variable modifications: Protein N-terminal acetylation, Gln to pyro-Glu formation (N-term. Gln), and oxidation (Met). Carbamidomethyl (Cys) was set as a fixed modification. Further data analysis was performed using R scripts developed in-house. LFQ intensities were used, and missing LFQ intensities in the control samples were imputed with values close to

the baseline. Data imputation was performed with values from a standard normal distribution with a mean of the 5% quantile of the combined log10-transformed LFQ intensities and a standard deviation of 0.1. For the identification of significantly enriched proteins, mean log2 transformed protein ratios were calculated from the two replicate experiments, and boxplot outliers were identified in intensity bins of at least 300 proteins. Log2 transformed protein ratios of the sample *versus* control with values outside a 1.5x (significance 1) or 3x (significance 2) interquartile range (IQR), respectively, were considered as significantly enriched in the individual replicates. Summed significance values were used to identify best target candidates (significance 2 in both replicates = significance 4). GoTerms were added using Perseus.

Transfection. HT22 cells were plated at 5×10^5 cells per dish in 60 mm tissue culture dishes and grown overnight. The cells were then transfected with 166 pmol siRNA (ANT-1 no. sc-42354; SERCA no. sc-36485, both from Santa Cruz Biotechnology) or control siRNA (no. 1027280; Quiagen) using RNAi max (Invitrogen) according to the manufacturer's instructions. After growth overnight, the cells were subcultured for analysis, as described above.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acschemneuro.0c00589.

LC-MS traces and NMR analysis of target compounds 1 and 2 and full list of identified proteins by MS analysis (PDF)

Protein ID and related information (XLSX)

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Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

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Notes

The authors declare no competing financial interest.

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ABBREVIATIONS

7CT, 7-O-cinnamoyltaxifolin; GSH, glutathione; IAA, iodoacetic acid

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Supporting Information

Development and application of a chemical probe based on a neuroprotective flavonoid hybrid for target identification using activity-based protein profiling.

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SI 1: Western Blots, LC-MS traces, and NMR analysis of target compounds 1 and 2.

SI 2: Full list of identified proteins by MS analysis (excel file).

Figure S1: Western blot analysis for CuAAC control of compound 1

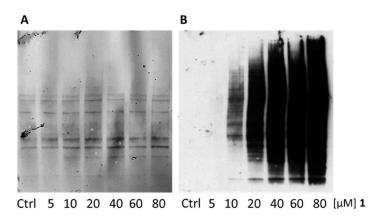


Figure S1: Western blot of HT22 cells incubated with 1 and subsequent CuAAC. A) Amido black stain of the membrane showing equal amounts of protein in each sample. B) Specific bands are detected with increasing intensity in line with the increasing concentrations of compound 1 after anti-Cy3 antibody incubation of the membrane.

Figure S2: Western blot analysis for CuAAC with compound 1 and 2

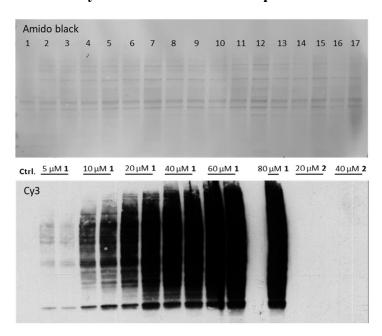


Figure S2: Western blot of HT22 cells incubated with 1 or 2 and subsequent CuAAC. Top:

Amido black stain of the membrane showing equal amounts of protein in each sample. Bottom: Specific bands are detected with increasing intensity in line with the increasing concentrations of compound 1 (lanes 2-13) after anti-Cy3 antibody incubation of the membrane and lanes 14-17 show that compound 2 did not conjugate any proteins. Samples of two independent experiments are loaded for each concentration, lane 12 was deficient.

Figure S3: HPLC chromatogram of compound 1



<Sample Information>

Sample Name Sample ID : SG-II-82

Data Filename SG-II-82.lcd

Method Filename : Analysis 15 cm 05-90 1mL +50-1000 Standard.lcm

Batch Filename batchj.lcb

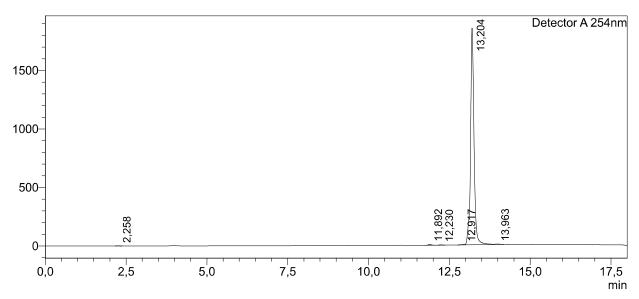
Vial# 1-82

Sample Type : Unknown

20 uL Injection Volume : 15/10/2019 18:25:24 Date Acquired Acquired by : System Administrator Date Processed : 15/10/2019 18:43:29 Processed by : System Administrator

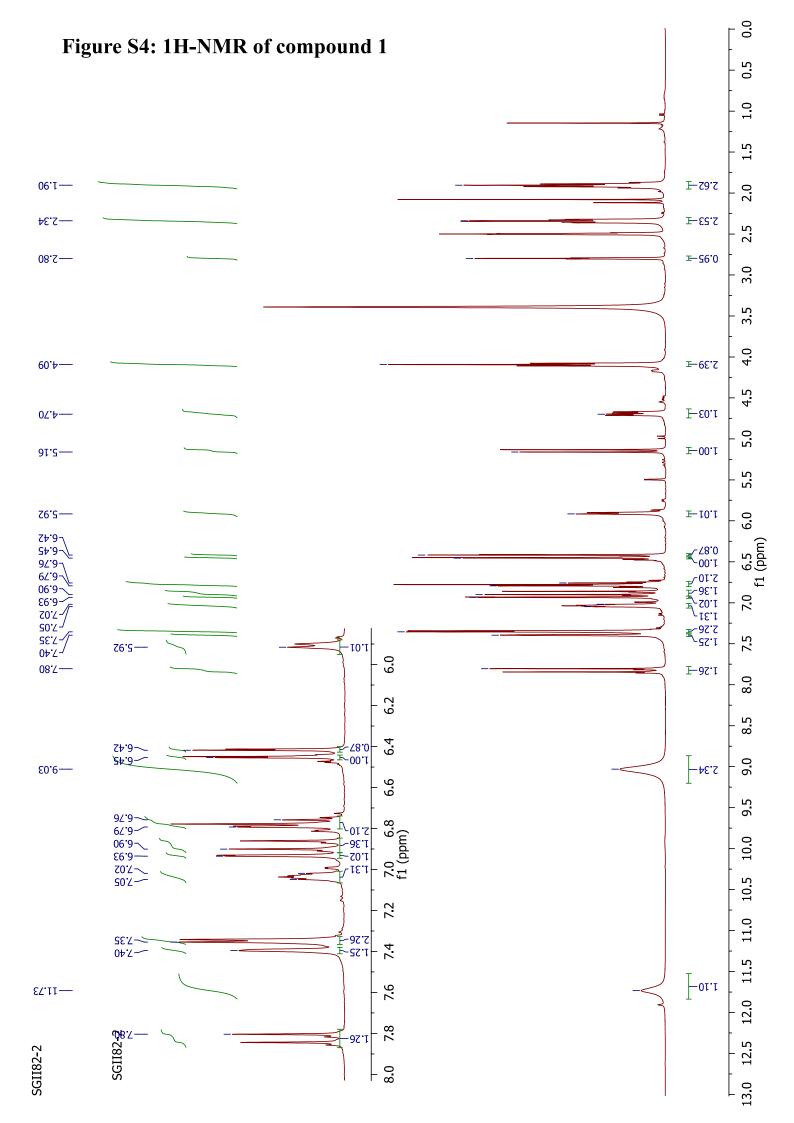
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mV



Peak Table

Detector A	\ 254nm						
Peak#	Ret. Time	Area	Height	Conc.	Unit	Mark	Name
1	2,258	9041	1822	0,065			
2	11,892	49020	7785	0,353			
3	12,230	18392	2782	0,132			
4	12,917	30213	3850	0,218			
5	13,204	13744747	1848042	98,957		V	
6	13,963	38252	3394	0,275		V	
Total		13889666	1867675				



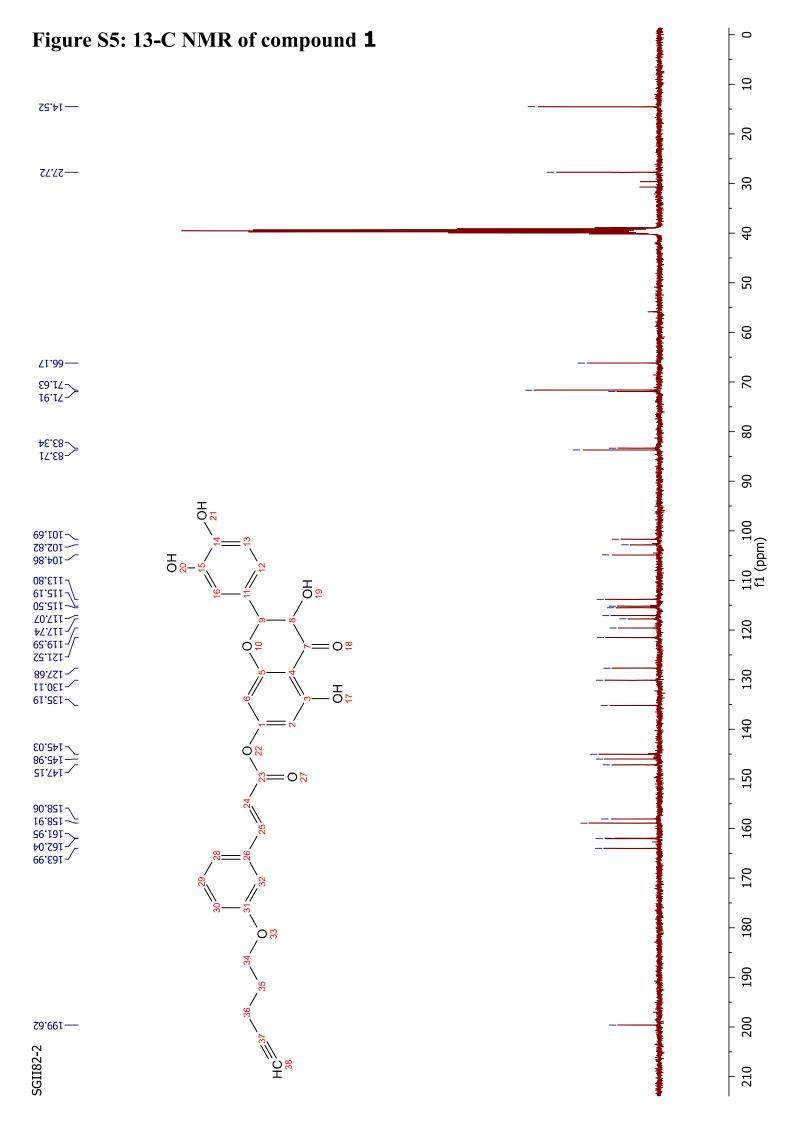


Figure S6: HPLC chromatogram of compound 2

SHIMADZU LabSolutions Analysis Report

<Sample Information>

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Data Filename SG-II-83.lcd

Method Filename : Analysis 15 cm 05-90 1mL +50-1000 Standard.lcm

Batch Filename : batchj.lcb

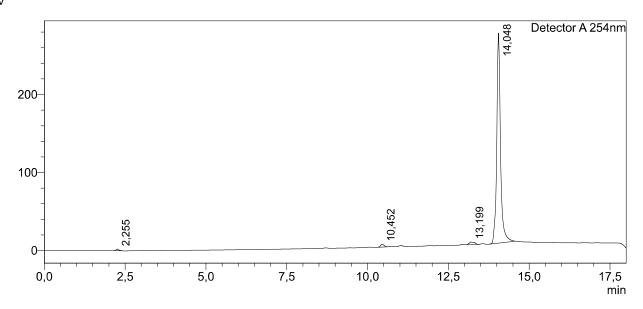
Vial# 1-68 20 uL Injection Volume

Sample Type : Unknown

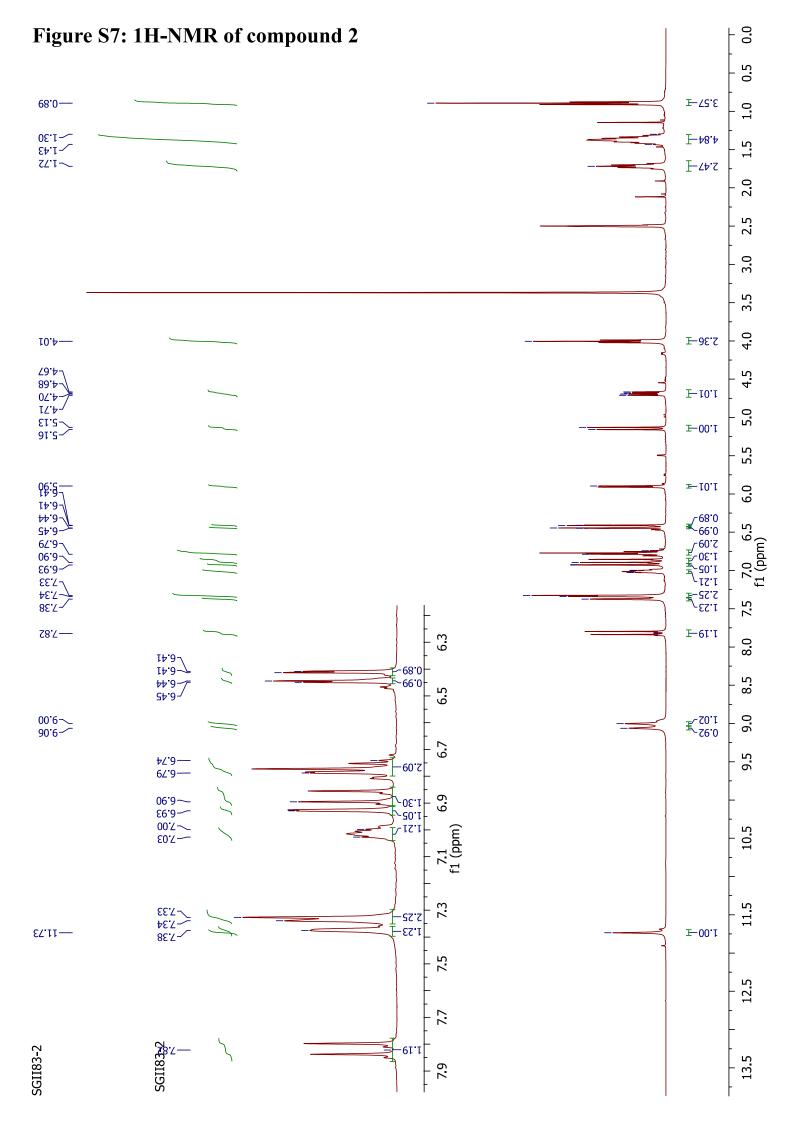
: System Administrator : 15/10/2019 18:53:24 Date Acquired Acquired by Date Processed : 15/10/2019 19:11:28 Processed by : System Administrator

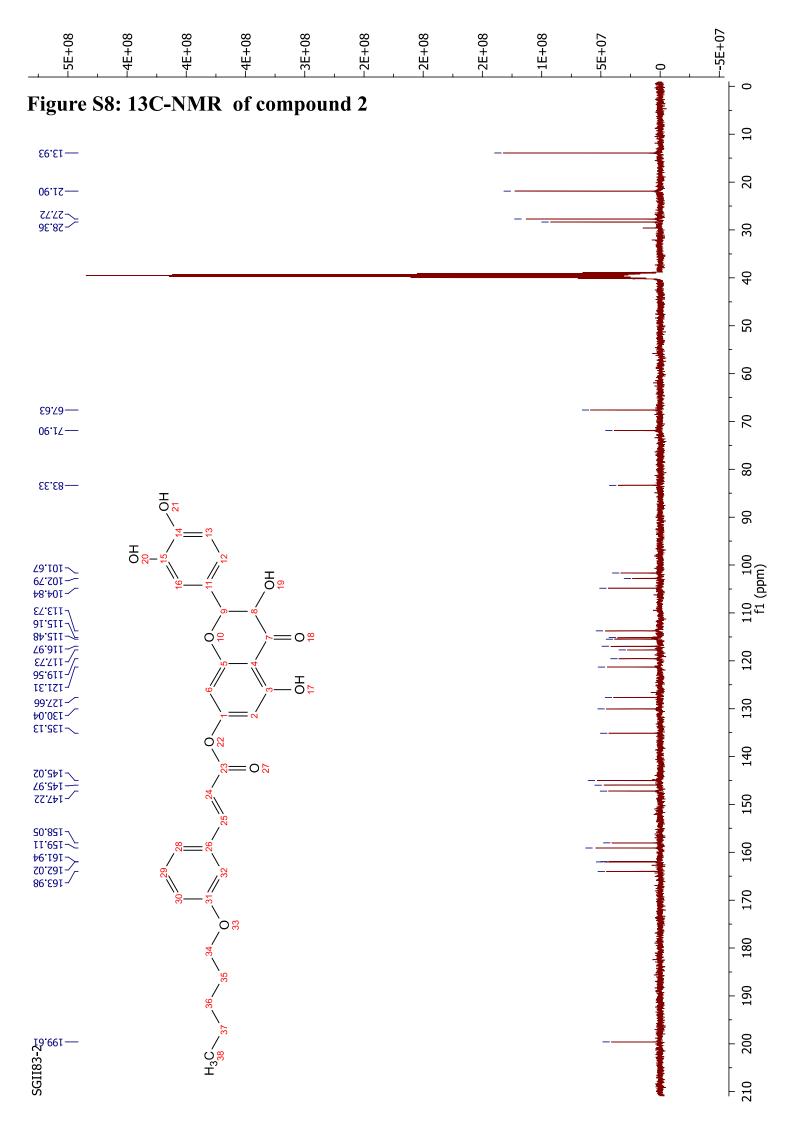
<Chromatogram>

mV



	Peak Table							
Detector A	x 254nm							
Peak#	Ret. Time	Area	Height	Conc.	Unit	Mark	Name	
1	2,255	10122	2050	0,447				
2	10,452	27219	3660	1,201				
3	13,199	33912	3048	1,496				
4	14,048	2195392	268690	96,856				
Total	· ·	2266645	277449	ĺ				





Appendix III:

Gunesch S.; Schramm, S.; Decker, M. Natural antioxidants in hybrids for the treatment of neurodegenerative diseases: a successful strategy? *Future Med. Chem.* **2017**, *9* (8), 711-713.

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Editorial

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Natural antioxidants in hybrids for the treatment of neurodegenerative diseases: a successful strategy?

"The combination of natural antioxidants with other moieties can often lead to disadvantageous drug properties, such as high MW, and therefore low bioavailability, poor solubility, rapid metabolism and hampered blood-brain barrier penetration."

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It is well established that oxidative stress is one of the characteristics of neurodegenerative diseases, such as Alzheimer's disease (AD) and Parkinson's disease [1]. Free radicals, that is, reactive oxygen species (ROS) and reactive nitrogen species, are damaging a variety of biomolecules like lipids, proteins and DNA. Such radicals are generated in the pathology of neurodegenerative diseases and eventually lead to neuronal cell death. They contribute to the overall pathophysiology and pathogenesis of these diseases, but are not their sole cause. In fact, it is unclear until now at which stage of the pathophysiological cascades oxidative stress occurs. Irrespective of the stage though, it is obvious that ROS enhance if not multiply the effects of the neuropathological cascades [1].

In AD, for example, pathological mechanisms mainly include hyperphosphorylation of T proteins, reduction of acetylcholine receptor levels, mitochondrial dysfunction, neuronal DNA damage, cerebral glucose hypometabolism, aggregation of β -amyloid peptides (A β) and oxidative stress [1,2]. With several mechanisms contributing to a complex pathological network, development of therapeutically applicable drugs is extremely challenging. A drug addressing only one biological target is probably not going to yield

any success in stopping neurodegenerative diseases. Therefore, multitarget or hybrid drugs - combining two or more pharmacologically active units - that are capable of modifying several biological targets, may overcome the shortcomings of a single-target drug. Many multitarget compounds have been developed in recent years [3]. A very significant number of those compounds contain - mostly natural - antioxidants to target oxidative stress, therefore counteract the formation of ROS and are claimed to hold the potential to interfere with the neuropathological cascades [3,4]. The term 'antioxidant' is actually kind of vague and unspecific in both the chemical and biological sense and more specific terms are desirable. The combination of natural antioxidants with other moieties can often lead to disadvantageous drug properties, such as high MW, and therefore low bioavailability, poor solubility, rapid metabolism and hampered blood-brain barrier penetration. Problems typical for natural antioxidants also include rapid CYP metabolism - often 3A4 - and CYP induction.

We want to shortly discuss both the physicochemical as well as the cell-based *in vitro* assays that have been applied for assessing the 'antioxidant' properties of multitarget compounds containing antioxidant



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moieties and discuss what the outcomes of these assays actually mean, before we report on some very recent *in vivo* studies.

"A tacrine–ferulic acid hybrid...showed reduction of oxidative stress markers as well as positive effects on learning and memory in transgenic Alzheimer's disease mice"

In vitro cell-free assays

Natural antioxidants belong to very diverse chemical classes including carotenoids, vitamins C and E, stilbene derivatives, curcuminoids, phenolic acids (such as cinnamic, caffeic and ferulic acids) and flavonoids (such as quercetin, rutin and genistein). Due to this structural diversity it is assumed that they exhibit different modes of action [4]. The cell-free assays used to assess antioxidant activity in vitro are fairly similar in what properties they determine, namely their ability to scavenge radicals. These assays include the DPPH assay, an ABTS scavenging assay, the NBT assay and the oxygen radical absorbance capacity assay [5]. The oxygen radical absorbance capacity assay, for example, provides quantification of the test compounds' abilities to protect fluorescein from being oxidized by scavenging peroxyl radicals. Tacrine-ferulic acid hybrids, for example, show even higher values than the natural antioxidant ferulic acid by itself [6,7].

The ability of molecules to scavenge radicals determined in these assays is a prerequisite and an indication for the occurrence of effects in cell-based assays or *in vivo*, but not more than that. For that reason, more advanced cell-based assays are indispensable for better prediction of 'antioxidant' and therapeutically desirable activities *in vivo*.

In vitro cell-based assays

A variety of set-ups can be used *in vitro* to simulate disease-like conditions in cells, including oxidative stress, and to investigate the neuroprotective properties of test compounds. To quantify the protection against ROS-mediated apoptosis, cells are incubated with ROS-inducing or producing agents in the presence of the antioxidant compounds and the experimental read-out is the reduced cell viability determined in an MTT (3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyltetrazolium bromide) or a chemically related assay.

Different cell lines are established that reflect the quite diverse origins of ROS. Mouse hippocampal HT-22 cells are used to study neuroprotective effects of hybrids toward ROS produced by glutamate-induced toxicity [8]. Presence of high glutamate concentrations in culture medium leads to glutathione depletion inside the cells. This causes ROS accumulation leading

to cell death by apoptosis. Aß exposure can also induce oxidative damage in cells and lead to apoptosis due to A\(\beta\)-induced mitochondrial dysfunction [9]. Protection against AB-induced oxidative stress through ferulic-tacrine acid hybrids was shown in PC-12 cells [10], a pheochromocytoma cell line of the rat adrenal medulla. MC-65 and SH-SY5Y are human neuroblastoma cell lines and are also applied in such assays since they derive from neuronal tissue and are therefore very suitable to investigate neurodegenerative diseases. For example, SH-SY5Y cells were used to investigate neuroprotection of tacrine-melatonin hybrids against Aβ-induced toxicity [11]. This cell line also served to investigate ROS production induced by application of hydrogen peroxide and rotenone. Rotenone specifically inhibits mitochondrial complex I leading to increased ROS formation and mitochondrial-induced apoptosis [12], whereas hydrogen peroxide causes DNA damage and lipid peroxidation, being a source of nonselective reactive oxygen species [13].

Drawbacks & first in vivo data

Curcumin represents a prime example for a natural product for which numerous beneficial effects have been claimed and that has also been used in hybrid molecules. A remarkable review has been published recently [14], that discusses the positive results of numerous *in vitro* assays found for this compound and derivatives thereof and the article raises some questions: low solubility, instability due to the oxidation-prone phenolic and enolic hydroxyl groups, the Michael system and its fluorescent properties, respectively, are likely leading to false-positive results *in vitro* for curcumin and curcumin-derived compounds. Albeit to a lower extent, these false positives can also occur with other natural products that, for example, possess a Michael system.

Numerous multitarget compounds containing antioxidant moieties have been claimed to be lead structures for AD therapy after their investigation in one or more of the above described in vitro assays (cell-free and/or cellbased). Nevertheless, only in vivo studies giving access to information about bioavailability, blood-brain barrier penetration and in vivo neuroprotection plus antineuroinflammation, are really answering the question, whether such compounds hold true therapeutic potential. Only very recently first in vivo data has become available [15]. A melatonin-curcumin hybrid was applied to 4-month-old transgenic mice and in vitro results could be confirmed in vivo with regard to reduction of markers of oxidative stress on the molecular level [16], even though no behavioral effects have been reported. However, a tacrine-ferulic acid hybrid described above showed reduction of oxidative stress markers as well as positive effects on learning and memory in transgenic AD mice [10].

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Summary

Taken together, multitarget (hybrid) compounds and other chemical compounds containing antioxidant moieties are prone to several disadvantages: metabolic instability, false-positive results in (some – nonsuitable) in vitro assays and unknown modes of action. There are also problems of transferring the relevance of in vitro data to an in vivo setup. Nevertheless, this does not mean that antioxidants are not versatile and promising components of hybrids, but only specific assays for assessing the compounds' activities are suitable. The methods deemed suitable have to be carefully selected on the basis of the chemical structure of the compounds under investigation. Positive results should not be overinterpreted. The

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often unknown modes of action of natural antioxidants with regard to neuroprotection *in vitro* and *in vivo* still hamper the development of effective hybrid molecules.

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