## STABILITY OF TRICYCLO[4.1.0.02,7] HEPTENYL-CATIONS

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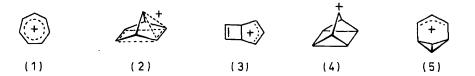
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(Received in UK 23 January 1978; accepted for publication 17 February 1978)

In the series of  $C_7H_7$ -carbocations the monocyclic ion (1), the bicyclic ions (2)/(3) and the tetracyclic ion (4) are known, but not as yet, the tricyclic ion (5)<sup>2</sup>).



Considering the relative stabilities and rapid interconversions in this series as well as the high strain in (5) ( $E_g(bicyclo[1.1.0]butane) = 65 \text{ kcal/mole}^{3)}$ ) the direct identification of (5) is problematic indeed; the more so, as the interaction between allyl- and bicyclobutane fractions of the molecule does not provide appreciable stabilisation: the relationship between LUMO  $\phi_2$  of the allyl-cation (C3-C4-C5) with HOMO  $a_1$  of the bicyclo[1.1.0]butane-ring (C1-C2-C6-C7)<sup>4)</sup> is nonbonding, with the "subjacent orbital"  $a_2$  bonding, but energetically only slightly rewarding. The interaction  $\phi_2$ - $a_2$  is probably partially responsible for the transformations observed in the tricycloheptene-system. Consequently, under solvolytic conditions, the skeleton (5) remains intact only in strongly nucleophilic environments<sup>5)</sup>.

For direct characterisation of (5) and of stabilised derivatives under conditions of "long life"  $^{6)}$ , the precursors  $(6)^{7)}$  and (7), synthesized recently in the Freiburg and Würzburg laboratories, seemed promising. First results are reported here.

The carbinol  $(7a)^{5,7}$  is dissolved in FSO<sub>3</sub>H-SO<sub>2</sub>ClF at -120°C without noticeable decomposition; in the <sup>1</sup>H-NMR-spectrum recorded promptly, only the typical signals of the norbornadienyl-cation  $(10a)^2$  are present. Because of the clear separation of some signals (cp. (9f)) even very small proportions of (9a) would have been detected. Under identical conditions with (7b) <sup>8)</sup> first the 1-methyl-norbornadienyl-cation (10b) is

observed  $^{1}$ H-nmr-spectroscopically, which -as well known $^{9}$ ) - between -70 $^{0}$  and -50 $^{0}$ C rearranges into the isomeric norbornadienyl-cation (12b). The latter is accompanied by

(a), 
$$R = H$$
  
(b),  $R = C_6H_4 - CCH_3$   
(c),  $R = C_6H_5$   
(7)

(8)

(8)

(11)

(11)

(a),  $R = C_6H_4 - CCH_3$   
(b),  $R = C_6H_4 - CCH_3$   
(c),  $R = C_6H_4 - CCH_3$   
(d),  $R = C_6H_4 - CCH_3$   
(e),  $R = C_6H_4 - CCH_3$   
(f),  $R = C_6H_4 - CCH_3$ 

very small equilibrium concentrations of the bicyclo[3.2.0]heptadienyl-cation (11b).  $^1$ H-nmr-spectra for the solution of the bicyclic carbinol (8b), prepared between  $-78^\circ$  and  $-50^\circ$ C and under identical conditions, indicate an analogous composition.

## H-nmr data (6, ppm) of the cations (10b) and (12b)

The aryl substituents in the carbinols (7c)-(7e) change the situation in a not unexpected way; according to the  $^{1}$ H- and  $^{13}$ C-nmr-spectra, measured between -120° and -20° C, the cations (11c)-(11e) are present exclusively. Only in the case of (7e) are there indications in favour of a precursor, which, however, is not (9e). The ions (11c)-(11e) are stable up to -20°C.

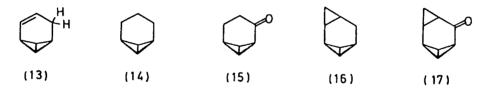
No. 14

## $^{1}\text{H-}$ , $^{19}\text{F-}$ , $^{13}\text{C-nmr}$ data (5,ppm) of the cations (11d) and (11e)

With respect to the original goal a pleasing observation results from the protonation of the ketone (6) in  $FSO_3H-SO_2CIF$ : between  $-120^\circ$  and  $-60^\circ$ C a species is formed, which by  $^1H-$  and  $^{13}C-$ analysis is clearly identified as the 3-hydroxytricyclo[4.1.0.0 $^2$ ,7] heptenyl-cation (9f). As a consequence of the appreciable charge delocalisation -cp.

## $^{1}\text{H-}$ , $^{13}\text{C-nmr}$ data (5, ppm) of (6) and the cation (9f)

(6) and (9f)- and in agreement with the relative shifts in (13)/(6) or (14)/(15) and in (16)/(17) - besides H-5 ( $\Delta b$ =1.36 ppm) the protons H-1(7) ( $\Delta b$ =2.2 ppm) are most efficiently deshielded. This is equally pronounced in the  $^{13}$ C-comparison between (6) and (9f) with  $\Delta b$ C-1(7) = 45.6 ppm.



The substituent effects upon the stability of (5) as manifested in the examples (9) – (12) is qualitatively in line with the earlier observations with (4)  $^{10}$ . Only after massive diminution of the electron deficit by donor-substituents at C-3 - admittedly changing drastically the character of the ion (5) - is the driving force for rearrange ments sufficiently weakend. No information is available yet concerning the detailed mechanisms of the transformations leading to the ions (10) and (11)  $^{11}$ .

12 50 No. 14

This work was supported by the  $\underline{\text{Deutschen Forschungsgemeinschaft}}$  and the  $\underline{\text{Fonds der}}$  Chemischen Industrie.

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