



Constraint Reduction in Algebra, Geometry and Deformation Theory

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Constraint Reduction in Algebra, Geometry and Deformation Theory

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Everyone generalizes from one example.
At least, I do.

Vlad Taltos (*Issola* by Steven Brust)

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Introduction

Since the advent of quantum mechanics in the early twentieth century, physicists struggled to find a general scheme to construct a quantum mechanical analogue to a given classical system. Such a *quantization* procedure becomes necessary since, even though nature seems to be inherently governed by quantum physics, our inability to directly perceive quantum mechanical features forces us to rely on classical physics as a guideline to construct and interpret quantum mechanical systems. In mathematical terms a classical mechanical system is often described by a manifold M , to be understood as the phase space of the system, with points in M representing individual states, together with a symplectic structure ω (or more generally a Poisson structure π) governing the dynamics of the system [AM85]. The commutative algebra $\mathcal{C}^\infty(M)$ of real or complex functions on M together with its Poisson bracket $\{\cdot, \cdot\}$ is then interpreted as the algebra of observables. On the other hand, the states of a quantum mechanical system are given by unit vectors in some Hilbert space \mathcal{H} and its algebra of observables is given by the *non-commutative* algebra of operators $\mathfrak{B}(\mathcal{H})$ on \mathcal{H} with the induced commutator $[\cdot, \cdot]$. A quantization is then generally supposed to yield, for a given classical system (M, ω) , a Hilbert space \mathcal{H} and a linear quantization map $Q: \mathcal{C}^\infty(M) \rightarrow \mathfrak{B}(\mathcal{H})$ fulfilling the following property [AE05]:

$$Q(\{f, g\}) = \frac{1}{i\hbar}[Q(f), Q(g)]. \quad (1)$$

The hope to find such a perfect quantization is destroyed by various no-go theorems, such as the Groenewold-van Hove Theorem [Gro46], which forces us to weaken some of our assumptions.

Deformation Quantization Over the years many quantization schemes have been proposed, such as geometric quantization [Woo97], C^* -algebraic deformation quantization [Rie94], strict deformation quantization [Lan98; Rie89] and convergent deformation quantization [Wal19]. In this thesis we will focus on formal deformation quantization. In formal deformation quantization, as introduced in [Bay+78], one assumes that (1) only holds asymptotically. More precisely, given a Poisson manifold M with Poisson bracket $\{\cdot, \cdot\}$ on $\mathcal{C}^\infty(M)$, the algebra of complex-valued functions on M , a *star product* is an associative multiplication

$$\star = \sum_{r=0}^{\infty} : \mathcal{C}^\infty(M)[[\lambda]] \otimes_{\mathbb{C}} \mathcal{C}^\infty(M)[[\lambda]] \rightarrow \mathcal{C}^\infty(M)[[\lambda]] \quad (2)$$

of the form

$$f \star g = \sum_{r=0}^{\infty} \lambda^r C_r(f, g) \quad (3)$$

with bidifferential operators $C_r: \mathcal{C}^\infty(M) \otimes_{\mathbb{C}} \mathcal{C}^\infty(M) \rightarrow \mathcal{C}^\infty(M)$ such that

- a.) $f \star g = fg + \sum_{r=1}^{\infty} \lambda^r C_r(f, g)$,
- b.) $\frac{1}{i\hbar}[f, g]_{\star} = \{f, g\} + \lambda(\dots)$,
- c.) $1 \star f = f = f \star 1$.

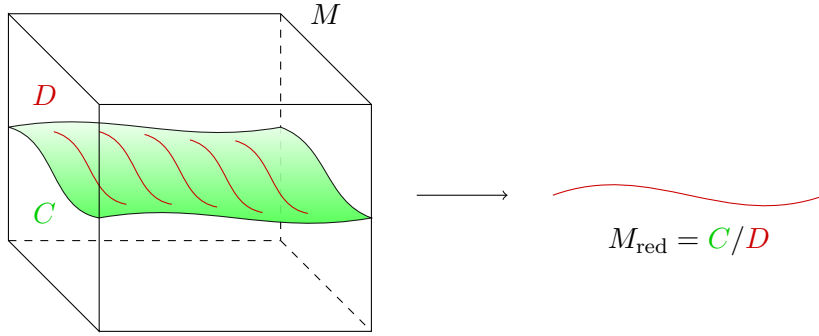


Figure 1: Reduction of coisotropic submanifold $C \subseteq M$ with characteristic distribution D .

The in general non-commutative algebra $(\mathcal{C}^\infty(M)[[\lambda]], \star)$ is then interpreted as the observable algebra of the quantized system. In order to get in contact with the standard formulation of quantum mechanics we interpret λ as a formal replacement of \hbar , but then we still need to establish a suitable notion of convergence and find a representation on a (pre-)Hilbert space. This leads to strict deformation quantization, which we will not discuss here, see [Wal19] for an overview. Such star products are nothing but associative deformations of the commutative algebra $\mathcal{C}^\infty(M)$ in the sense of Gerstenhaber [Ger64], and this deformation problem is governed by the Hochschild cohomology $\text{HH}(\mathcal{C}^\infty(M))$ [Hoc45]. The existence and classification of star products was proved over the years for many situations, see e.g. [CG82; DL83b] for the existence of star product on cotangent bundles. In [DL83a] and [Fed94] the existence on general symplectic manifolds was proven. This development culminated in Kontsevich's Formality Theorem establishing the existence and classification of formal star products on general Poisson manifolds [Kon03].

Geometric Reduction In classical mechanics symmetry reduction plays an important role. Mathematically, this is usually phrased in terms of Marsden-Weinstein reduction [MW74] on a symplectic manifold (M, ω) . For this assume that a connected Lie group G acts on M in a Hamiltonian fashion, i.e. there exists a momentum map $J: M \rightarrow \mathfrak{g}^*$, with \mathfrak{g} denoting the Lie algebra of G , such that

$$\phi(\xi) = X_{J_\xi} \tag{4}$$

for $\xi \in \mathfrak{g}$, and ϕ denoting the infinitesimal action of \mathfrak{g} . If $0 \in \mathfrak{g}$ is a value and regular value of J , then $C := J^{-1}(\{0\})$ is a closed submanifold of M . Moreover, suppose that G acts freely and properly on C , then

$$M_{\text{red}} := C/G \tag{5}$$

is a symplectic manifold with symplectic form ω_{red} fulfilling $\pi^*\omega_{\text{red}} = \iota^*\omega$, with $\iota: C \rightarrow M$ the inclusion and $\pi: C \rightarrow M_{\text{red}}$ the canonical projection. It turns out that C is a coisotropic submanifold of M and that the above reduction procedure can actually be done for any coisotropic submanifold of a Poisson manifold. Such coisotropic submanifolds and their reduction were introduced by Weinstein in [Wei88] based on ideas of Poisson reduction from [MR86], see also [Sta97]. For this consider a Poisson manifold (M, π) , with $\cdot^\sharp: T^*M \rightarrow TM$ denoting the corresponding musical homomorphism. Then a submanifold C of M is coisotropic if and only if

$$\text{Ann}(T_p C)^\sharp \subseteq T_p C \tag{6}$$

for all $p \in C$. Every such coisotropic submanifold carries a so-called characteristic distribution $D \subseteq TC$ spanned by the Hamiltonian vector fields X_f for all functions f vanishing on C . In

the above case of the Marsden-Weinstein reduction of a symplectic manifold the leaves of this distribution are given by the orbits of the group action on C . If the characteristic distribution is nice enough, we can construct a reduced manifold

$$M_{\text{red}} := C/D, \tag{7}$$

see [Figure 1](#), which carries a Poisson structure π_{red} induced by the Poisson structure π on M .

Quantization vs. Reduction The question arises how quantization relates to (symmetry) reduction. In particular, does quantization commute with reduction? Can we quantize the reduction data on the classical side to allow for some kind of reduction procedure on the quantized system such that it does not matter whether we first quantize and then reduce or first reduce and then construct the corresponding quantum system? In other words: does the following diagram commute?

$$\begin{array}{ccc} \text{classical physics} & \xrightarrow{Q} & \text{quantum physics} \\ \text{with symmetries} & & \text{with symmetries} \\ \text{red} \downarrow & & \downarrow \text{red} \\ \text{classical physics} & \xrightarrow{Q} & \text{quantum physics} \end{array} \tag{8}$$

This question has been asked, and sometimes answered, for many different notions of reduction and quantization, see e.g. [\[Mei96; GS82\]](#) for the case of geometric quantization, [\[BHW00\]](#) for a BRST-type reduction in deformation quantization and [\[Bor04; Bor05\]](#) for the symplectic case in deformation quantization. In this thesis we want to focus on coisotropic reduction in the Poisson setting and its relation to formal deformation quantization. More precisely, we ask under which conditions a given star product \star on a Poisson manifold (M, π) equipped with a coisotropic submanifold $C \subseteq M$ induces a star product \star_{red} on the reduced manifold M_{red} . Moreover, we want to clarify if such compatible star products exist and how equivalence of such star products may be investigated.

In [\[CF07\]](#) a similar situation is considered. There a resolution of $\mathcal{C}^\infty(C)$ by means of the conormal bundle of C is constructed. This resolution carries a P_∞ -structure which, under certain conditions, can be shown to induce a deformation of $\mathcal{C}^\infty(C)$. Note however, that this approach only uses an infinitesimal neighbourhood of C , while we are interested in honest global star products allowing for a reduction.

Algebraic Reduction The general strategy is now to reformulate the geometric situation of a coisotropic submanifold equipped with its characteristic distributions in algebraic terms, similar to the way the algebra of observables $\mathcal{C}^\infty(M)$ is used to algebraically describe the manifold M . Any closed submanifold $C \subseteq M$ can be described in terms of functions by its vanishing ideal

$$\mathcal{I}_C = \{f \in \mathcal{C}^\infty(M) \mid f|_C = 0\} \subseteq \mathcal{C}^\infty(M). \tag{9}$$

Similarly, the foliation induced by any distribution $D \subseteq TM$ can be encoded by the subalgebra

$$\mathcal{C}^\infty(M)^D = \{f \in \mathcal{C}^\infty(M) \mid \mathcal{L}_X f = 0 \text{ for all } X \in \Gamma^\infty(D)\} \subseteq \mathcal{C}^\infty(M). \tag{10}$$

Now for a coisotropic submanifold $C \subseteq (M, \pi)$ the characteristic distribution D is only defined on C . This leads us to consider the subalgebra

$$\mathcal{C}_D^\infty(M) = \{f \in \mathcal{C}^\infty(M) \mid \mathcal{L}_X f|_C = 0 \text{ for all } X \in \Gamma^\infty(D)\} \subseteq \mathcal{C}^\infty(M) \tag{11}$$

instead. Note that the vanishing ideal \mathcal{I}_C is contained in $\mathcal{C}_D^\infty(M)$. Thus we have established a correspondence

$$(M, C, D) \leftrightarrow (\mathcal{C}^\infty(M), \mathcal{C}_D^\infty(M), \mathcal{I}_C) \quad (12)$$

between a manifold M equipped with a closed submanifold C on one side and a distribution D on C and its algebra of functions $\mathcal{C}^\infty(M)$ equipped with the subalgebra $\mathcal{C}_D^\infty(M)$ of functions which are invariant on C and the vanishing ideal \mathcal{I}_C on the other side. Motivated by coisotropic reduction there is a reduction procedure for both sides of this correspondence. Namely, on the geometric side, under the assumption of a simple distribution, we can construct the reduced manifold $M_{\text{red}} := C/D$ as before, while on the algebraic side we can always construct the reduced algebra

$$\mathcal{C}^\infty(M)_{\text{red}} = \mathcal{C}_D^\infty(M)/\mathcal{I}_C. \quad (13)$$

It is then easy to see that $\mathcal{C}^\infty(M)_{\text{red}} \simeq \mathcal{C}^\infty(M_{\text{red}})$ is just the algebra of functions on the reduced manifold. If we consider again the setting of a coisotropic submanifold $C \subseteq M$ then one can show that \mathcal{I}_C is a Poisson subalgebra of $(\mathcal{C}^\infty(M), \{ \cdot, \cdot \})$ and that $\mathcal{C}_D^\infty(M)$ coincides with the Poisson normalizer

$$\mathcal{B}_C = \{f \in \mathcal{C}^\infty(M) \mid \{f, g\} \in \mathcal{I}_C \text{ for all } g \in \mathcal{I}_C\} \quad (14)$$

of \mathcal{I}_C . In particular, \mathcal{I}_C becomes a Poisson ideal in the Poisson subalgebra \mathcal{B}_C , and therefore $\mathcal{C}^\infty(M)_{\text{red}}$ carries itself a Poisson bracket, which turns M_{red} into a Poisson manifold.

Constraint Algebras and their Deformations We now seek to carry over the basic ideas of deformation quantization to this more structured situation. This means we want to treat the triple $(\mathcal{C}^\infty(M), \mathcal{C}_D^\infty(M), \mathcal{I}_C)$ as a single algebraic entity and study deformations of it. Thus we use $(\mathcal{C}^\infty(M), \mathcal{C}_D^\infty(M), \mathcal{I}_C)$ as the motivating example to define an (embedded) *constraint algebra* \mathcal{A} as consisting of a unital associative algebra \mathcal{A}_T together with a unital subalgebra \mathcal{A}_N and an ideal $\mathcal{A}_0 \subseteq \mathcal{A}_N$. The subscript N is supposed to remind the reader of the coisotropic situation, where \mathcal{A}_N is given as the Poisson normalizer of the Poisson subalgebra \mathcal{A}_0 .

In a next step we can try to define formal deformations of constraint algebras by taking the classical definition of a formal deformation and formally replace algebras by constraint algebras. In particular, replacing $\mathcal{C}^\infty(M)$ by the constraint algebra $(\mathcal{C}^\infty(M), \mathcal{C}_D^\infty(M), \mathcal{I}_C)$ in (2) should yield the definition of a constraint star product. To make sense of this we first need to clarify some notions:

- What are modules over constraint algebras and their tensor products?
- What are constraint multidifferential operators?
- Is there a cohomology theory governing the deformation problem of constraint algebras?

We will answer these questions by taking a categorical point of view: constraint algebras can be realized as monoid objects internal to a certain monoidal category \mathbf{CMod}_k equipped with a tensor product \otimes_k , whose objects will be called constraint k -modules. By abstract categorical considerations, the definition and some first properties of constraint modules over constraint algebras, as well as their tensor products, are then fixed. In contrast to classical categories of modules, the categories of constraint modules will not form abelian categories. This will lead to effects not present in classical module theory, and forces us to thoroughly examine even the most basic constructions of constraint modules.

This categorical approach will immediately allow us to find constraint analogues of many other classical algebraic concepts, such as derivations, groups, vector spaces, Lie algebras etc. All these constraint notions will consist of a classical object as T-component, together with a subobject as N-component and an equivalence relation or ideal as 0-component. Then, by

construction, there is always a reduction procedure, defined by taking the quotient of the N -component by the 0 -component, which by definition always yields a classical object. It should be noted that the motivating example $(\mathcal{C}^\infty(M), \mathcal{C}_D^\infty(M), \mathcal{I}_C)$ has additional properties not accounted for in the definition of constraint algebras, namely that \mathcal{I}_C is an ideal not only in $\mathcal{C}_D^\infty(M)$ but in all of $\mathcal{C}^\infty(M)$. Such constraint algebras will be called strong, and their modules will allow for two different canonical tensor products \otimes and \boxtimes , whose interplay will be an important piece of study. Note however that, since we are interested in non-commutative deformations of constraint algebras we should not expect \mathcal{A}_0 to stay a two-sided ideal in \mathcal{A}_T after deformation, see Lu's coisotropic creed [Lu93]. Even in the classical geometric situation we will encounter examples of honest non-strong constraint algebras.

Having found suitable notions of modules over constraint algebras we can introduce constraint differential operators using Grothendieck's algebraic definition and thus arrive at the definition of constraint star products in analogy to (2), which is nothing but a formal deformation of the constraint algebra $(\mathcal{C}^\infty(M), \mathcal{C}_D^\infty(M), \mathcal{I}_C)$ by constraint differential operators.

The classical deformation theory of $\mathcal{C}^\infty(M)$ is governed by the differentiable Hochschild cohomology $\mathrm{HH}_{\mathrm{diff}}^\bullet(\mathcal{C}^\infty(M))$, which can be computed by the Hochschild-Kostant-Rosenberg Theorem [HKR62; GR99], proving the existence of an isomorphism

$$\mathrm{HH}_{\mathrm{diff}}^\bullet(\mathcal{C}^\infty(M)) \simeq \Gamma^\infty(\Lambda^\bullet TM) \tag{15}$$

of Gerstenhaber algebras, identifying the Hochschild cohomology with multivector fields on M . When we want to find a constraint analogue of the classical HKR Theorem, we have to make sense of both sides of (15) in the constraint setting.

Constraint Manifolds and Vector Bundles Consider again the correspondence (12). Here, on the algebraic side, we have a subobject together with an equivalence relation on the subobject which is compatible with the structure of the subobject in a suitable sense. In our example we have a subalgebra and an ideal inside this subalgebra. On the geometric side, the triple (M, C, D) carries the same underlying structure: A subobject $C \subseteq M$, i.e. a submanifold, together with an equivalence relation on C , which in our case comes from a distribution D on C . We will understand in the course of this thesis that both the geometric and the algebraic side of (12) can be derived from the notion of constraint sets. In particular, (M, C, D) can be understood as a constraint set equipped with geometric structure, while the constraint algebra $(\mathcal{C}^\infty(M), \mathcal{C}_D^\infty(M), \mathcal{I}_C)$ can be seen as a constraint set equipped with algebraic structure. Therefore we will call $\mathcal{M} = (M, C, D)$ a constraint manifold. From this point of view we can reformulate the correspondence (12) as a functor

$$\begin{aligned} \mathbb{C}\mathcal{C}^\infty &: \mathbb{C}\mathrm{Manifold} \rightarrow \mathbb{C}\mathrm{Alg}, \\ \mathbb{C}\mathcal{C}^\infty(\mathcal{M}) &:= (\mathcal{C}^\infty(M), \mathcal{C}_D^\infty(M), \mathcal{I}_C), \end{aligned} \tag{16}$$

from the category of constraint manifolds to the category of constraint algebras.

The notion of constraint manifolds encompasses two extreme, but important cases, namely that of a submanifold $C \subseteq M$ without a distribution, described by $(M, C, 0)$, and that of a distribution D on M without an additional submanifold, described by (M, M, D) . When applying the functor $\mathbb{C}\mathcal{C}^\infty$ we obtain

$$\mathbb{C}\mathcal{C}^\infty(M, C, 0) = (\mathcal{C}^\infty(M), \mathcal{C}^\infty(M), \mathcal{I}_C) \tag{17}$$

and

$$\mathbb{C}\mathcal{C}^\infty(M, M, D) = (\mathcal{C}^\infty(M), \mathcal{C}^\infty(M)^D, 0). \tag{18}$$

Thus we see that the information of the N-component on the geometric side, i.e. the submanifold C , is encoded in the 0-component on the algebraic side. Conversely, the geometric 0-component, i.e. the distribution D , is described by the N-component of the algebra of functions. Therefore, if we are searching for a common framework including both the geometric and algebraic information, even if we are only interested in submanifolds *or* in distributions, we have to consider the full constraint triple. In particular, we can *not* expect to be able to separate the reduction problem into two independent problems taking care of restriction and quotients separately.

The notion of constraint manifolds suggests to also introduce constraint versions of other geometric concepts, such as constraint vector bundles and, in particular, constraint tangent and cotangent bundles. A constraint vector bundle E over a constraint manifold $\mathcal{M} = (M, C, D)$ will consist of a vector bundle $E_T \rightarrow M$ with a subbundle $E_N \rightarrow C$ of the restricted vector bundle $\iota^\# E_T \rightarrow C$, a subbundle $E_0 \subseteq E_N$ and a holonomy-free partial D -connection ∇ on E_N/E_0 . One should think of E_0 and ∇ to define an equivalence relation on E_N such that the quotient is a vector bundle. We thus get the reduced vector bundle

$$E_{\text{red}} = (E_N/E_0)/\nabla \tag{19}$$

by identifying fibres of E_N/E_0 along the leaves using the parallel transport of ∇ . Examples of constraint vector bundles have been considered before under various names, e.g. quotient data [CO22] and infinitesimal ideal systems in [JO14]. See also [MPR12] for related structures in the study of Marsden-Weinstein reduction for symplectic-like Lie algebroids. Similar to (16) we will obtain a constraint sections functor

$$\mathbf{C}\Gamma^\infty : \mathbf{C}\text{Vect}(\mathcal{M}) \rightarrow \mathbf{C}\text{Mod}(\mathbf{C}\mathcal{C}^\infty(\mathcal{M})), \tag{20}$$

which yields for any constraint vector bundle a constraint $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -module of sections. These constraint modules of sections will allow for clear geometric interpretations. In particular, the sections of the constraint tangent bundle $T\mathcal{M}$ will be given by

$$\begin{aligned} \mathbf{C}\Gamma^\infty(T\mathcal{M})_T &= \Gamma^\infty(TM), \\ \mathbf{C}\Gamma^\infty(T\mathcal{M})_N &= \{X \in \Gamma^\infty(TM) \mid X|_C \in \Gamma^\infty(TC), [X, Y] \in \Gamma^\infty(D) \text{ for all } Y \in \Gamma^\infty(D)\}, \\ \mathbf{C}\Gamma^\infty(T\mathcal{M})_0 &= \{X \in \Gamma^\infty(TM) \mid X|_C \in \Gamma^\infty(D)\}. \end{aligned} \tag{21}$$

Here the partial D -connection is given by the Bott connection, which is holonomy-free if the leaf space is smooth. Motivated by the classical Serre-Swan Theorem [Swa62; Nes20] we will identify sections of constraint vector bundles as a certain class of projective constraint modules. This will lead us to the first main theorem (see [Theorem 2.3.18](#)):

Main Theorem I (Constraint Serre-Swan Theorem) *The monoidal category of constraint vector bundles over a constraint manifold \mathcal{M} is equivalent to the monoidal category of projective strong constraint modules over the constraint algebra $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$.*

We obtained a similar result for projective non-strong constraint modules in [DMW22], where the equivalence to a category of certain systems of vector bundles was shown. In our present terms these could be understood as strong constraint vector bundles over strong constraint manifolds, but these objects will not be studied in this thesis.

With the constraint Serre-Swan Theorem we can, at least roughly, make sense of the right-hand side of (15). Moreover, since all constraint notions are by definition equipped with a reduction functor and all constraint analogues of classical constructions, such as taking sections, are designed to be compatible with reduction, we will be able to show that taking sections of (constraint) vector bundles commutes with reduction.

Constraint Differential Operators and Symbol Calculus In order to understand the left-hand side of (15) in the constraint world we want to study more deeply the constraint differential operators $\text{CDiffOp}^\bullet(\mathcal{M})$ of $\mathcal{C}\mathcal{L}^\infty(\mathcal{M})$. It will turn out that $\text{CDiffOp}^\bullet(\mathcal{M})$ can be understood in geometric terms by using a constraint covariant derivative, which will lead us to the second major result (see [Theorem 2.5.26](#)):

Main Theorem II (Constraint symbol calculus) *Given a constraint covariant derivative ∇ on a constraint manifold $\mathcal{M} = (M, C, D)$ there is an isomorphism*

$$\text{Op}: \text{C}\Gamma^\infty(\mathbb{S}_\otimes^\bullet T\mathcal{M} \boxtimes \dots \boxtimes \mathbb{S}_\otimes^\bullet T\mathcal{M}) \rightarrow \text{CDiffOp}^\bullet(\mathcal{M}). \quad (22)$$

Every symmetric tensor power $\mathbb{S}_\otimes^\bullet T\mathcal{M}$ corresponds to a differential operator with a single input. To obtain general multidifferential operators we need to combine these using the tensor product \boxtimes , which will be defined for constraint vector bundles in a similar manner as for constraint modules. Thus, for understanding the constraint symbol calculus we need to study both tensor products \otimes and \boxtimes and their relationship.

Constraint Hochschild Cohomology Motivated by classical deformation theory we consider the constraint Hochschild complex of the constraint algebra \mathcal{A} given by

$$C^\bullet(\mathcal{A}) := \text{CHom}_{\mathbb{k}}(\mathcal{A}^{\otimes n}, \mathcal{A}) \quad (23)$$

and we will show that this actually carries a compatible Hochschild differential δ , allowing to define the constraint Hochschild cohomology by

$$\text{HH}^\bullet(\mathcal{A}) := \frac{\ker \delta}{\text{im } \delta}. \quad (24)$$

This constraint Hochschild cohomology will be shown to govern the deformation theory of \mathcal{A} in familiar ways. To make this more precise, note that $\text{HH}^\bullet(\mathcal{A})$ is constructed out of the constraint algebra \mathcal{A} and thus carries itself the structure of a graded constraint module, meaning that $\text{HH}^\bullet(\mathcal{A})$ consists of a T-, N- and 0-component. The T-component is just given by the classical Hochschild cohomology of \mathcal{A} , and therefore contains information about the deformation theory of \mathcal{A}_T without taking into account the additional reduction information. This additional structure is now incorporated into the N-component. In particular, $\text{HH}^2(\mathcal{A})_N$ can be identified with equivalence classes of infinitesimal deformations of \mathcal{A}_T which preserve the reduction data, and thus can be reduced to infinitesimal deformations of \mathcal{A}_{red} . Similarly, $\text{HH}^3(\mathcal{A})_N$ gives obstructions to extending deformations which are compatible with reduction in such a way that they stay compatible. Finally, $\text{HH}^2(\mathcal{A})_0$ and $\text{HH}^3(\mathcal{A})_0$ give those infinitesimal deformations and obstructions that vanish after reduction. We will be able to identify the zeroth Hochschild cohomology with the constraint version of centre and the first Hochschild cohomology with the constraint derivations.

Additionally, the constraint Hochschild complex $C^\bullet(\mathcal{A})$ will admit a Gerstenhaber bracket allowing us to interpret formal deformations of \mathcal{A} as Maurer-Cartan elements in an associated constraint differential graded Lie algebra. The equivalence of formal deformations can then be reformulated using a suitable gauge action on the constraint set of Maurer-Cartan elements.

It should be noted that there exists a well-established deformation theory for diagrams of algebras, see [\[FMY09; GS83\]](#). Interpreting a constraint algebra \mathcal{A} as a span $\mathcal{A}_{\text{red}} \leftarrow \mathcal{A}_N \rightarrow \mathcal{A}_T$ one might consider deformations of this diagram as a deformation of constraint algebras. However, the category of modules over a diagram, which is the main ingredient used in [\[GS83\]](#), is always abelian and hence cannot agree with our notion of constraint modules. It remains to be seen if elements of this theory can help to compute constraint Hochschild cohomology.

We will apply the above results on constraint Hochschild cohomology to the constraint algebra $C\mathcal{C}^\infty(\mathcal{M})$ but restricting ourselves to the differentiable Hochschild complex

$$C_{\text{diff}}^\bullet(C\mathcal{C}^\infty(\mathcal{M})) := \text{CDiffOp}^\bullet(\mathcal{M}) \quad (25)$$

in order to obtain constraint star products.

Even though the interpretation of constraint Hochschild cohomology fits well into our general constraint scheme, here also something unexpected happens: despite all constraint objects so far had their N-component embedded as a subobject into the T-component, this will in general not be true for the constraint Hochschild cohomology. There will still exist a map

$$\iota_{\text{HH}}: \text{HH}_{\text{diff}}^\bullet(C\mathcal{C}^\infty(\mathcal{M}))_{\text{N}} \rightarrow \text{HH}_{\text{diff}}^\bullet(C\mathcal{C}^\infty(\mathcal{M}))_{\text{T}}, \quad (26)$$

but it might not be injective. This immediately leads to problems when searching for a constraint analogue of the HKR Theorem, because while the N-component of the left hand side of (15) seems not to be injected into the T-component in general, the obvious constraint generalizations of the right-hand side will.

Even though we will not be able to fully solve the problem of finding a constraint analogue of the HKR Theorem in this thesis, we can get deeper insights into the problem by considering the situation of flat space. Thus we want to study the constraint Hochschild cohomology for

$$\mathcal{M} = \mathbb{R}^n := (\mathbb{R}^{n_{\text{T}}}, \mathbb{R}^{n_{\text{N}}}, \mathbb{R}^{n_0}) \quad \text{with } n_{\text{T}} \geq n_{\text{N}} \geq n_0. \quad (27)$$

We will be able to compute the constraint Hochschild cohomology up to degree two in this case, which gives the final main result of this thesis (see [Theorem 3.5.9](#)):

Main Theorem III *The second constraint Hochschild cohomology for $\mathbb{R}^n = (\mathbb{R}^{n_{\text{T}}}, \mathbb{R}^{n_{\text{N}}}, \mathbb{R}^{n_0})$ is given by*

$$\begin{aligned} \text{HH}_{\text{diff}}^2(C\mathcal{C}^\infty(\mathbb{R}^n))_{\text{N}} &\simeq (\Lambda^2 \text{C}\Gamma^\infty(T\mathbb{R}^n)_{\text{N}} + \text{C}\Gamma^\infty(T\mathbb{R}^{n_{\text{T}}}) \wedge \text{C}\Gamma^\infty(T\mathbb{R}^n)_0) \\ &\oplus \left(\bigoplus_{k=1}^{\infty} S^k \Gamma^\infty(T\mathbb{R}^{n_0}|_{\mathbb{R}^{n_{\text{N}}}}) \vee \Gamma^\infty(T\mathbb{R}^{n_{\text{T}}-n_{\text{N}}}|_{\mathbb{R}^{n_{\text{N}}}}) \right). \end{aligned} \quad (28)$$

The term $\Lambda^2 \text{C}\Gamma^\infty(T\mathbb{R}^n)_{\text{N}}$ should be interpreted as bivector fields on $\mathbb{R}^{n_{\text{T}}}$ for which both legs are separately compatible with reduction, and hence these contributions will yield bivector fields on the reduced manifold. In contrast $\text{C}\Gamma^\infty(T\mathbb{R}^{n_{\text{T}}}) \wedge \text{C}\Gamma^\infty(T\mathbb{R}^n)_0$ describes bivector fields for which at least one leg vanishes after reduction, meaning that these contributions will reduce to zero. The third summand is symmetric and therefore it is not a bivector field, but should rather be interpreted as a higher order differential operator. This shows that $\text{HH}_{\text{diff}}^2(C\mathcal{C}^\infty(\mathbb{R}^n))_{\text{N}}$ can not sit injectively inside $\text{HH}_{\text{diff}}^2(C\mathcal{C}^\infty(\mathbb{R}^n))_{\text{T}} = \text{HH}_{\text{diff}}^2(\mathcal{C}^\infty(\mathbb{R}^{n_{\text{T}}}))$. Moreover, these terms, when interpreted as bidifferential operators, can in principal have arbitrary degrees of differentiation while the classical HKR Theorem tells us that only multidifferential operators of order one in each slot appear in cohomology.

Beside their applications in the study of reduction of star products, many of the introduced concepts lend themselves for the study of reduction in other areas. For example, the introduction of constraint bimodules and their tensor product naturally leads to the question of Morita theory of constraint algebras, which itself could be an important part of the study of representations of algebras compatible with reduction. Some first result can be found in [[Dip18](#); [DEW19](#)]. Moreover, the notion of constraint projective module can be used to introduce and study K_0 -theory compatible with reduction. On the geometric side, constraint vector bundles and constraint Lie algebras could be used to study the reduction of Lie algebroids and related geometric objects.

Structure of the Thesis

This thesis is structured into three chapters:

- **Chapter 1:** Starting from the notion of constraint sets we develop in a mostly categorical fashion various constraint versions of well-known classical notions, such as groups, \mathbb{k} -modules, algebras, modules over algebras etc. Required basics from category theory are recalled in [Appendix A](#). From the beginning we will introduce slight variations for every constraint notion, namely that of strong constraint and embedded (strong) constraint objects, where strong constraint objects are constraint objects with the additional property that the 0-component defines also an equivalence relation on the T-component, and embedded (strong) constraint objects are (strong) constraint objects with N-component embedded into the T-component. The necessity to study also non-embedded constraint objects comes from the constraint Hochschild cohomology as introduced above. Having defined these basic constraint notions we will study free and projective modules over (embedded strong) constraint algebras. This will lead to a characterization of projective modules by constraint versions of the dual basis theorem.
- **Chapter 2:** In this second chapter we introduce and study constraint manifolds and vector bundles as geometric counterparts of the algebraic constraint objects in the first chapter. A constraint version of the Serre-Swan Theorem will make this duality between algebra and geometry precise. Building on this, we will introduce constraint differential forms and (multi-)vector fields, establishing a Cartan calculus on constraint manifolds. We will then use constraint covariant derivatives to establish a symbol calculus for constraint multidifferential operators on constraint manifolds.
Readers mostly interested in the geometric side of the story can directly begin with this second chapter. However, some definitions, like that of constraint algebras and modules, will be needed to follow the exposition. Basics on coisotropic reduction for Poisson manifolds can be found in [Appendix B](#).
- **Chapter 3:** We will bring together the geometric and algebraic objects introduced in the first two chapters to study star products compatible with reduction. For this we will introduce constraint versions of Hochschild cohomology and study deformations of constraint algebras using techniques from the theory of differential graded Lie algebras. Finally, we will compute the lowest constraint Hochschild cohomologies in the flat case.

Afterwards we will give an [outlook](#) on related open questions and possible paths for further studies.

Bibliographical Notes

This thesis is based on three publications [[DEW19](#)], [[DMW22](#)] and [[DEW22](#)]. Since the basic notions used there have somewhat changed over time, let us comment a bit on their relation to the current thesis.

A first version of the notion of constraint algebra was introduced in [[Dip18](#)] and [[DEW19](#)] under the name of *coisotropic triple of algebras* as a tool to study the behaviour of Morita equivalence under reduction. These coisotropic triples would now be called embedded constraint algebras, with the additional property of \mathcal{A}_0 being a left ideal in \mathcal{A}_T . The notion of bimodules over coisotropic triples of algebras as used in [[DEW19](#)] already coincides with the notion of constraint bimodules over constraint algebras, and reduction functors for constraint algebras and modules were already defined. Thus the bicategory of bimodules over coisotropic triples of algebras as constructed in [[DEW19](#)] can be understood as a subcategory of the bicategory of constraint bimodules over constraint algebras. The proofs can easily be carried over to the more general situation of constraint algebras.

In [DMW22] the notions of coisotropic triples of algebras and modules were replaced by *coisotropic algebras* and *coisotropic modules*, which agree with what we call constraint algebras and constraint modules in this thesis. Here also coisotropic index sets, now called constraint index sets, were introduced in order to study free and projective coisotropic modules. These results can be found in [Section 1.3.1](#), [Section 1.5.1](#) and [Section 1.5.3](#). The goal of [DMW22] was then to find a suitable notion of vector bundles over what we would now call a constraint manifold, such that sections of these vector bundles correspond to constraint modules by some sort of Serre-Swan Theorem. These vector bundles are similar to the constraint vector bundles we introduce in [Section 2.2](#), but they do still differ in important aspects. In particular, there seems to be no good notion of tangent bundles or dual bundles. We will see in the course of this thesis that these deficiencies come from the fact that the algebraic analogue of constraint manifolds is given by *strong* constraint algebras, and hence when searching for the correct notion of vector bundles one should also consider *strong* constraint modules. Although the vector bundles introduced in [DMW22] do not agree with our objects of study many ideas and smaller results used in [Chapter 2](#) are based on [DMW22].

Finally, in [DEW22] the formal deformation theory of what was still called coisotropic algebras was studied. The introduction of constraint Hochschild cohomology and the deformation functor based on constraint DGLAs is based on [DEW22].

Even though this thesis is based on these three publications, a considerable amount does appear here for the first time. In particular the notions of *strong* constraint algebras and related objects, together with the strong tensor product, as well as the notion of constraint vector bundles have not been studied before. Also the three main results as introduced above have not appeared elsewhere.

Notation and Conventions

We adopt the following conventions:

- If not specified otherwise, \mathbb{k} denotes a commutative unital ring, and \mathbb{K} denotes an arbitrary field.
- We will often use the term *classical* to denote standard, non-constraint objects. For example, a constraint algebra consists of three classical algebras.
- Constraint analogues of classical categories or functors will be denoted by the classical symbol with a preceding \mathbf{C} . For example \mathbf{Alg} denotes the category of classical algebras while \mathbf{CAlg} denotes the category of constraint algebras. Similarly, $\mathcal{C}^\infty(M)$ is the classical algebra of functions on a manifold M , while $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ denotes the constraint algebra of functions on the constraint manifold \mathcal{M} .
- Forgetful functors are often denoted by \mathbf{U} and their left adjoint free functors by \mathbf{F} . Exceptions occur when these functors need to be referenced at a later stage.
- All constraint constructions will admit a reduction functor. Every such reduction functor is denoted by \mathbf{red} , and we will specify its domain only if necessary.
- Manifolds are considered to be connected, smooth, and in particular Hausdorff and second countable.

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Chapter 1

Constraint Algebraic Structures

We introduce numerous algebraic objects for which a reduction procedure can be defined. These algebraic structures naturally appear in our study of deformation quantization of coisotropic submanifolds. Even more, constraint algebras and their deformation theory will be our main objects of interest.

We start by introducing constraint sets in [Section 1.1](#), which should be thought of as traditional sets equipped with the structures required to allow for a notion of reduction. More explicitly, these will consist of a set M_T together with a map $\iota_M: M_N \rightarrow M_T$ from another set M_N which is itself equipped with an equivalence relation \sim_M . The reduction of constraint sets is then defined as

$$M_{\text{red}} := M_N / \sim_M. \quad (1.0.1)$$

A constraint set with injective ι_M will be called *embedded*, while an additional equivalence relation on M_T leads to the notion of *strong* constraint sets. Most examples from geometry will lead to embedded strong constraint sets, nevertheless, honest constraint sets, even non-embedded ones, will naturally appear. Canonical constructions, such as limits and colimits as well as mono-, epimorphisms and notions of image and subobject will be studied for the various flavours of constraint sets. It will be apparent that even though **CSet** shares a lot of features with **Set**, it differs at important points, giving a first hint that introducing classical mathematical objects internal to **CSet** might produce some unfamiliar effects.

We do not investigate constraint sets for their own sake, but as the foundation for all following notions appearing in this thesis. Beginning with [Section 1.2](#) we introduce additional algebraic structure on constraint sets. The idea is to follow the classical hierarchy of algebraic notions but implement their categorical definitions in the category **CSet** instead of the classical category **Set**. Therefore, we start with constructing constraint (abelian) groups, followed by constraint \mathbb{k} -modules and their strong constraint cousins. Unsurprisingly, it will turn out that these derived constraint notions share a structural similarity with constraint sets. For example a constraint \mathbb{k} -module will be given by a \mathbb{k} -module \mathcal{E}_T together with a module morphism $\iota_{\mathcal{E}}: \mathcal{E}_N \rightarrow \mathcal{E}_T$ and an equivalence relation on \mathcal{E}_N compatible with the \mathbb{k} -module structure. Since in most algebraic categories equivalence relations compatible with the algebraic structure can be understood as subobjects of a certain type, e.g. normal subgroups, submodules, ideals, etc., the equivalence relation on the N-component will mostly be replaced by such a subobject. For constraint \mathbb{k} -modules this means we consider a submodule \mathcal{E}_0 of \mathcal{E}_N . The trinity of T-, N- and 0-component will be prevalent in the rest of this work. At this point we already encounter the two different tensor products \otimes and \boxtimes for constraint modules. Their interplay and their mismatch alike will have tremendous impact on the later chapters.

Before continuing to introduce constraint algebras and their modules we pause to take a closer look at constraint vector spaces and their bases in [Section 1.3](#). For this it will be useful

to first study constraint index sets in [Section 1.3.1](#). Then in [Section 1.3.2](#) the relation of \otimes and \boxtimes for constraint vector spaces will become apparent. These results will serve as a guideline for the later study of free and projective constraint modules.

In [Section 1.4](#) we proceed to define (strong) constraint algebras as monoid objects internal to the category $\mathbf{CMod}_{\mathbb{k}}$ of constraint \mathbb{k} -modules and introduce modules over such constraint algebras.

Even though the main example for constraint modules over constraint algebras, namely that of constraint manifolds and their vector bundles, will not be introduced until [Chapter 2](#) the reader acquainted with classical differential geometry will anticipate the relevance of free and projective constraint modules. Therefore, we will study these notions in both the strong and non-strong case and will find characterizations of projective constraint modules analogous to the classical situation, using a lifting property, as summands of free modules and as allowing for a sort of dual basis.

In the last section of this chapter we collect additional constraint notions which will be useful later on but which are either special cases of objects we studied before or whose definition and properties follow in a more or less straightforward way from what has been done before. In particular, [Section 1.6.1](#) contains the basics of graded constraint modules and foundational results for homological algebra of those. At last, in [Section 1.6.2](#), constraint (differential graded) Lie algebras and related structures are introduced.

1.1 Constraint Sets

Consider the motivating example of a coisotropic submanifold C of a Poisson manifold M . Forgetting all the geometric structure and just remembering the bare set-theoretic minimum needed for reduction leaves us with the set M , a subset C and an equivalence relation defined on C given by the characteristic distribution. This motivates the following definition.

Definition 1.1.1 (Constraint set)

- i.) A constraint set M consists of a map $\iota_M: M_N \rightarrow M_T$ of sets, together with an equivalence relation \sim_M on M_N .
- ii.) A morphism $f: M \rightarrow N$ of constraint sets (or constraint morphism) consists of maps $f_T: M_T \rightarrow N_T$ and $f_N: M_N \rightarrow N_N$ such that $f_T \circ \iota_M = \iota_N \circ f_N$ and f_N preserves the equivalence relation, i.e. $f_N(x) \sim_N f_N(y)$ for all $x \sim_M y$. The set of constraint morphisms from M to N is denoted by $\text{Map}(M, N)$.
- iii.) The category of constraint sets and their morphisms is denoted by \mathbf{CSet} .

We will often suppress the map included in the definition of constraint sets and just write $M = (M_T, M_N, \sim_M)$. Following our motivation it would be natural to include injectivity of ι_M in the definition of constraint sets. In fact, most examples of coisotropic sets will be of this form and thus they will get their own name later on. But injectivity of ι_M is not preserved under some important categorical constructions which are compatible with reduction. Hence we excluded this property from the definition of constraint sets.

Let us collect some important properties of the category \mathbf{CSet} . For this we need the notion of pushforward and pullback of equivalence relations: Let $f: M \rightarrow N$ be a map between sets, and let \sim_M and \sim_N be equivalence relations on M and N , respectively. We denote by $f^*(\sim_N) = \sim_{f^*}$ the pullback equivalence relation on M defined by

$$x \sim_{f^*} x' :\Leftrightarrow f(x) \sim_N f(x'). \tag{1.1.1}$$

In general we say that a map is compatible with the equivalence relations if $\sim_M \subseteq f^*(\sim_N)$. Moreover, by $f_*(\sim_M) = \sim_{f_*}$ we denote the pushforward equivalence relation on N given as the equivalence relation generated by

$$f(x) \sim_{f_*} f(x') \text{ for all } x \sim_M x'. \quad (1.1.2)$$

Note that this implies that $f_*(\sim_M)$ is discrete outside of $\text{im}(f)$. The discrete equivalence relation will always be denoted by \sim_{dis} . With this we can give a description of useful co/limits in **CSet**, see [Example A.3.4](#) for the general definitions.

Proposition 1.1.2 (Co/limits in CSet) *Let M, N, P be constraint sets, and let $f, g: M \rightarrow N$ as well as $h: P \rightarrow N$ be constraint morphisms.*

- i.) *The initial object in CSet is given by $(\emptyset, \emptyset, \sim)$, with \sim the unique equivalence relation on \emptyset .*
- ii.) *The final object in CSet is given by $1 := (\{\text{pt}\}, \{\text{pt}\}, \sim)$, with $\{\text{pt}\}$ any one-element set and \sim the unique equivalence relation on $\{\text{pt}\}$.*
- iii.) *The product is given by*

$$\begin{aligned} (M \times N)_{\text{T}} &= M_{\text{T}} \times N_{\text{T}}, \\ (M \times N)_{\text{N}} &= M_{\text{N}} \times N_{\text{N}}, \end{aligned} \quad (1.1.3)$$

with the product map $\iota_{M \times N} = \iota_M \times \iota_N: M_{\text{N}} \times N_{\text{N}} \rightarrow M_{\text{T}} \times N_{\text{T}}$ and the product relation $\sim_{M \times N}$ given by

$$(x_1, y_1) \sim_{M \times N} (x_2, y_2) :\Leftrightarrow x_1 \sim_M x_2 \text{ and } y_1 \sim_N y_2. \quad (1.1.4)$$

- iv.) *The coproduct is given by*

$$\begin{aligned} (M \sqcup N)_{\text{T}} &= M_{\text{T}} \sqcup N_{\text{T}}, \\ (M \sqcup N)_{\text{N}} &= M_{\text{N}} \sqcup N_{\text{N}}, \end{aligned} \quad (1.1.5)$$

with the coproduct map $\iota_M \sqcup \iota_N: M_{\text{N}} \sqcup N_{\text{N}} \rightarrow M_{\text{T}} \sqcup N_{\text{T}}$ and the relation

$$x \sim_{M \sqcup N} y :\Leftrightarrow x \sim_M y \text{ or } x \sim_N y. \quad (1.1.6)$$

Here \sqcup denotes the disjoint union of sets.

- v.) *The pullback of f and h is given by the constraint set*

$$\begin{aligned} (M \times_{f \times h} P)_{\text{T}} &= M_{\text{T}} \times_{f_{\text{T}} \times h_{\text{T}}} P_{\text{T}} = \{(x, y) \in M_{\text{T}} \times P_{\text{T}} \mid f_{\text{T}}(x) = h_{\text{T}}(y)\}, \\ (M \times_{f \times h} P)_{\text{N}} &= M_{\text{N}} \times_{f_{\text{N}} \times h_{\text{N}}} P_{\text{N}} = \{(x, y) \in M_{\text{N}} \times P_{\text{N}} \mid f_{\text{N}}(x) = h_{\text{N}}(y)\}, \end{aligned} \quad (1.1.7)$$

with the relation $\sim_{f \times h}$ given by

$$(x_1, y_1) \sim_{f \times h} (x_2, y_2) \Leftrightarrow x_1 \sim_M x_2 \text{ and } y_1 \sim_P y_2 \quad (1.1.8)$$

and projection maps

$$(\text{pr}_{\text{T}}^M, \text{pr}_{\text{N}}^M): (M \times_{f \times h} P) \rightarrow M, \quad (1.1.9)$$

$$(\text{pr}_{\text{T}}^P, \text{pr}_{\text{N}}^P): (M \times_{f \times h} P) \rightarrow P. \quad (1.1.10)$$

vi.) The equalizer of f and g is given by the constraint set

$$\begin{aligned} \text{eq}(f, g)_T &= \text{eq}(f_T, g_T) = \{x \in M_T \mid f_T(x) = g_T(x)\}, \\ \text{eq}(f, g)_N &= \text{eq}(f_N, g_N) = \{x \in M_N \mid f_N(x) = g_N(x)\}, \end{aligned} \quad (1.1.11)$$

with the equivalence relation given by the restriction of \sim_M and the morphism $i = (i_T, i_N): \text{eq}(f, g) \rightarrow M$ given by the inclusions i_T and i_N of $\text{eq}(f_T, g_T)$ and $\text{eq}(f_N, g_N)$ into M_T and M_N , respectively.

vii.) The coequalizer of f and g is given by the constraint set

$$\begin{aligned} \text{coeq}(f, g)_T &= \text{coeq}(f_T, g_T), \\ \text{coeq}(f, g)_N &= \text{coeq}(f_N, g_N), \end{aligned} \quad (1.1.12)$$

with the equivalence relation given by $(q_N)_*(\sim_N)$ with $q_N: N_N \rightarrow \text{coeq}(f, g)_N$ and the morphism $q = (q_T, q_N): N \rightarrow \text{coeq}(f, g)$ of constraint sets. Here $q_N: N_N \rightarrow \text{coeq}(f_N, g_N)$ and $q_T: N_T \rightarrow \text{coeq}(f_T, g_T)$ denote the coequalizer in Set of f_N, g_N and f_T, g_T , respectively. More explicitly, $\text{coeq}(f_T, g_T)$ is given by N/\sim with \sim the equivalence relation generated by $y_1 \sim y_2$ if and only if there exist $x \in M$ such that $f(x) = y_1$ and $g(x) = y_2$.

viii.) The category CSet has all finite limits and colimits.

PROOF: Since the strategy to prove these statements is always the same, we will not perform everything at great length. Let us instead prove *i.)* and *v.)* in detail, then the rest should be clear.

Since \emptyset is the initial object in Set we know that there exist unique maps $\emptyset \rightarrow M_T$ and $\emptyset \rightarrow M_N$. It also follows by the uniqueness that

$$\begin{array}{ccc} \emptyset & \longrightarrow & M_T \\ \text{id} \uparrow & & \uparrow \iota_M \\ \emptyset & \longrightarrow & M_N \end{array}$$

commutes. Moreover, $\emptyset \rightarrow M_N$ is clearly compatible with the equivalence relations. Thus we obtain a constraint morphism $(\emptyset, \emptyset, \sim_\emptyset) \rightarrow M$ which is unique, since its components are.

For *v.)* consider another constraint set X with constraint morphisms $\phi: X \rightarrow M$ and $\psi: X \rightarrow P$ such that $f \circ \phi = h \circ \psi$. This means we have the diagram:

$$\begin{array}{ccccc} & & X_T & \xrightarrow{\psi_T} & P_T \\ & & \searrow \phi_T & \dashrightarrow & \downarrow h_T \\ X_N & \xrightarrow{\psi_N} & (M_{f \times h} P)_T & \xrightarrow{\quad} & P_T \\ & \searrow \phi_N & \downarrow f_T & \dashrightarrow & \downarrow h_T \\ & & M_T & \xrightarrow{\quad} & N_T \\ & & \downarrow f_N & \dashrightarrow & \downarrow h_N \\ & & (M_{f \times h} P)_N & \xrightarrow{\quad} & P_N \\ & & \downarrow f_N & \dashrightarrow & \downarrow h_N \\ & & M_N & \xrightarrow{\quad} & N_N \\ & & \downarrow \phi_N & \dashrightarrow & \downarrow h_N \\ & & \emptyset & \longrightarrow & \emptyset \end{array}$$

Since $(M_{f \times_h P})_{\mathsf{T}}$ and $(M_{f \times_h P})_{\mathsf{N}}$ are pullbacks of sets, there exist unique $\kappa_{\mathsf{T}}: X_{\mathsf{T}} \rightarrow (M_{f \times_h P})_{\mathsf{T}}$ and $\kappa_{\mathsf{N}}: X_{\mathsf{N}} \rightarrow (M_{f \times_h P})_{\mathsf{N}}$ making the T- and N-planes in the above diagram commute. Again, by the universal property of $(M_{f \times_h P})_{\mathsf{T}}$, we see that $\iota_{f \times_h} \circ \kappa_{\mathsf{N}} = \kappa_{\mathsf{T}} \circ \iota_X$. It remains to show that κ_{N} is compatible with the equivalence relations. For this consider $x_1 \sim_X x_2$. Since ϕ and ψ are constraint maps, we have $\phi_{\mathsf{N}}(x_1) \sim_M \phi_{\mathsf{N}}(x_2)$ and $\psi_{\mathsf{N}}(x_1) \sim_P \psi_{\mathsf{N}}(x_2)$. Then by (1.1.8) we get $\kappa_{\mathsf{N}}(x_1) \sim_{f \times_h} \kappa_{\mathsf{N}}(x_2)$. Thus κ is a constraint morphism.

Parts *ii.*, *iii.*, *iv.*) and *vii.*) follow analogously. The category **CSet** has all finite limits and colimits for general reasons, since it has pullbacks and a terminal object as well as coequalizers, coproducts and an initial object, see [Bor94a, Prop. 2.8.2]. \square

Remark 1.1.3 In [KP14] the closely related category **Equiv** of sets equipped with an equivalence relation is examined. Many of our results can be derived by understanding **CSet** as a comma category of **Set** and **Equiv**.

Up to now constraint sets seem to be very well behaved. Indeed all the above constructions can be understood as combining easy constructions of sets and equivalence classes. Therefore one might expect **CSet** to resemble the category **Set**, but this is only partially true as the following characterization of (regular) monomorphisms and epimorphisms shows, see [Appendix A](#) or the abstract definitions.

Proposition 1.1.4 (Mono- and epimorphisms in CSet) *Let $f: M \rightarrow N$ be a morphism of constraint sets.*

- i.) The morphism f is a monomorphism if and only if f_{T} and f_{N} are injective.*
- ii.) The morphism f is an epimorphism if and only if f_{T} and f_{N} are surjective.*
- iii.) The morphism f is a regular monomorphism if and only if f_{T} and f_{N} are injective and $(f_{\mathsf{N}})^*(\sim_{\mathsf{N}}) = \sim_M$.*
- iv.) The morphism f is a regular epimorphism if and only if f_{T} and f_{N} are surjective and $\sim_{\mathsf{N}} = (f_{\mathsf{N}})_*(\sim_M)$.*

PROOF: We only show *i.)* and *iii.)*, the statements for epimorphisms follow analogously.

Let $g_1, g_2: X \rightarrow M$ with $f \circ g_1 = f \circ g_2$ be given and assume that f_{T} and f_{N} are injective. Then it follows from $f_{\mathsf{T}} \circ (g_1)_{\mathsf{T}} = f_{\mathsf{T}} \circ (g_2)_{\mathsf{T}}$ and $f_{\mathsf{N}} \circ (g_2)_{\mathsf{N}} = f_{\mathsf{N}} \circ (g_2)_{\mathsf{N}}$ that $(g_1)_{\mathsf{T}} = (g_2)_{\mathsf{T}}$ and $(g_1)_{\mathsf{N}} = (g_2)_{\mathsf{N}}$ hold, and thus $g_1 = g_2$ follows. For the other implication suppose that f is a monomorphism. Let now $g_1, g_2: X' \rightarrow M_{\mathsf{T}}$ be given with $f_{\mathsf{T}} \circ g_1 = f_{\mathsf{T}} \circ g_2$. Define

$$U := \{(m_1, m_2, x) \in M_{\mathsf{N}} \times M_{\mathsf{N}} \times X' \mid g_1(x) = \iota_M(m_1), g_2(x) = \iota_M(m_2) \text{ and } f_{\mathsf{N}}(m_1) = f_{\mathsf{N}}(m_2)\}.$$

Then $X = (X', U, \sim_{\text{dis}})$ with $\iota_X = \text{pr}_3$ is a constraint set. Moreover, $(g_1, \text{pr}_1): X \rightarrow M$ and $(g_2, \text{pr}_2): X \rightarrow M$ are constraint morphisms with $f \circ (g_1, \text{pr}_1) = f \circ (g_2, \text{pr}_2)$. Since f is a monomorphism by assumption, it follows $g_1 = g_2$, and thus f_{T} is injective. To show that f_{N} is injective let $g_1, g_2: X' \rightarrow M_{\mathsf{N}}$ with $f_{\mathsf{N}} \circ g_1 = f_{\mathsf{N}} \circ g_2$ be given. Then $X = (X', X', \sim_{\text{dis}})$ with $\iota_X = \text{id}_{X'}$ is a constraint set. Moreover, $(\iota_M \circ g_1, g_1): X \rightarrow M$ and $(\iota_M \circ g_2, g_2): X \rightarrow M$ are constraint morphisms with $f \circ (\iota_M \circ g_1, g_1) = f \circ (\iota_M \circ g_2, g_2)$. Since f is a monomorphism it follows that $g_1 = g_2$ and hence f_{N} is injective. This shows the first part.

For the second part, recall that a regular monomorphism is the equalizer of some pair of parallel morphisms. Suppose f is a regular monomorphism, then it is a monomorphism by a general result from category theory, see [Bor94a, Prop. 2.4.3]. Moreover, there exist $h_1, h_2: N \rightarrow Y$ such that $M = \text{eq}(h_1, h_2)$ and $f = i$, with i as in [Proposition 1.1.2 vi.\)](#). Then \sim_M is just the restriction of \sim_N . In other words, $f_{\mathsf{N}}^*(\sim_N) = \sim_M$. For the reverse implication assume that f_{T} and f_{N} are injective and $f_{\mathsf{N}}^*(\sim_N) = \sim_M$. In **Set** every injective function can

be written as an equalizer of its characteristic function and the function that is constant 1. In our situation this means $f_T = \text{eq}(1, \xi_{M_T})$ and $f_N = \text{eq}(1, \chi_{M_N})$ with $\chi_T: N_T \rightarrow \{0, 1\}$ the characteristic function of the subset $M_T \subseteq N_T$ and $\chi_N: N_N \rightarrow \{0, 1\}$ the characteristic function of $M_N \subseteq N_N$. Then the combined characteristic functions $(\chi_T, \chi_N), (1, 1): N \rightarrow (\{0, 1\}, \{0, 1\}, \sim_{\text{dis}})$ form constraint morphisms. By [Proposition 1.1.2 vi.\)](#), we have $f = \text{eq}((1, 1), (\chi_T, \chi_N))$. \square

Remark 1.1.5 In a general category there exist many variations of monos (epis), e.g. extremal, strong, strict, effective. In **Set** all these notions agree, while in **CSet** they form two classes. Since it can be shown that all other notions of monos (epis) are equivalent to either regular or plain monos (epis), we only need to consider these two.

The fact that not every monomorphism of constraint sets is regular will have far reaching consequences for all further investigations. A first noteworthy consequence is that a morphism which is mono and epi need not be an isomorphism:

Example 1.1.6 Consider the constraint sets M and N with $M_T = M_N = N_T = N_N = \{1, 2\}$ and \sim_M the discrete and \sim_N the trivial equivalence relation. Then $f = (\text{id}, \text{id}): M \rightarrow N$ is monomorphism and epimorphism of constraint sets. But it is not an isomorphism, as $\text{id}: N_N \rightarrow M_N$ does not preserve the equivalence relation.

This example directly shows that **CSet** is not balanced, meaning that a morphism which is mono and epi is not necessarily an isomorphism, and thus, in contrast to **Set**, cannot be a topos, see [\[Joh14\]](#) for details on topoi. Nevertheless, constraint isomorphisms can be characterized using regular mono- and epimorphisms:

Lemma 1.1.7 *Let $f: M \rightarrow N$ be a morphism of constraint sets. The following statements are equivalent:*

- i.) The constraint morphism f is an isomorphism.*
- ii.) The constraint morphism f is a monomorphism and a regular epimorphism.*
- iii.) The constraint morphism f is a regular monomorphism and an epimorphism.*

PROOF: Suppose f is an isomorphism, then there exists an inverse constraint morphism $f^{-1}: N \rightarrow M$. Thus f_T and f_N are invertible and hence surjective and injective. Now suppose $(x, x') \in f_N^*(\sim_N)$. Then by definition $f_N(x) \sim_N f_N(x')$ and applying f_N^{-1} yields $x \sim_M x'$. Hence $f_N^*(\sim_N) = \sim_M$, and thus f is a regular monomorphism. Suppose $(y, y') \in \sim_M$, then $f_N^{-1}(y) \sim_N f_N^{-1}(y')$. Applying f_N shows $(y, y') \in (f_N)_*(\sim_M)$ and thus $(f_N)_*(\sim_M) = \sim_N$. Hence f is also a regular epimorphism. This shows *i.)* \implies *ii.)* and *i.)* \implies *iii.)*.

Suppose *ii.)*. By definition f_T and f_N are isomorphisms. It only remains to show that f_N^{-1} is compatible with the equivalence relations. For this let $y, y' \in N_N$ with $y \sim_N y'$ be given. Since f_N is an isomorphism there exist unique $x, x' \in M_N$ such that $f_N(x) = y$ and $f_N(x') = y'$. Moreover, since f is a regular monomorphism we know that $f_N^*(\sim_N) = \sim_M$, meaning that $x \sim_M x'$. Hence, f^{-1} is a constraint morphism, and therefore f is a constraint isomorphism.

The implication *iii.)* \implies *i.)* follows analogously. \square

Related to this mismatch of regular and plain monomorphisms is the definition of a subset of a constraint set. We could either define a subset as an equivalence class of monomorphisms or of regular monomorphisms. It is common to choose regular monomorphisms in such a situation and we will follow this strategy.

Definition 1.1.8 (Constraint subset) *A constraint subset of a constraint set M consists of subsets $U_T \subseteq M_T$ and $U_N \subseteq M_N$ such that $\iota_M(U_N) \subseteq U_T$.*

Every constraint subset U of M defines a constraint set $U = (U_T, U_N, \sim_M|_{U_N})$. The obvious inclusion $i: U \rightarrow M$ is a regular monomorphism. With this we can now define the image and preimage of a constraint morphism.

Definition 1.1.9 (Image and preimage) *Let $f: M \rightarrow N$ be a morphism of constraint sets.*

i.) *Let $U \subset N$ be a constraint subset with inclusion $i: U \rightarrow N$. The preimage of U along f is defined by*

$$f^{-1}(U) := M \times_i U. \quad (1.1.13)$$

More explicitly, we have

$$f^{-1}(U) = \left(f_T^{-1}(U_T), f_N^{-1}(U_N), \sim_M \Big|_{f_N^{-1}(U_N)} \right) \quad (1.1.14)$$

ii.) *The image of f is defined by*

$$\text{im}(f) := (\text{im}(f_T), \text{im}(f_N), \sim_{\text{im}}) \quad (1.1.15)$$

with $\sim_{\text{im}} = (f_N)_(\sim_M)$.*

iii.) *The regular image of f is defined by*

$$\text{regim}(f) := (\text{im}(f_T), \text{im}(f_N), \sim_{\text{regim}}) \quad (1.1.16)$$

with $f(x_1) \sim_{\text{regim}} f(x_2)$ if and only if $f(x_1) \sim_N f(x_2)$.

Example 1.1.10 Image and regular image of a constraint morphism do not agree in general. To see this let $M = (\{1, 2\}, \{1, 2\}, \sim_{\text{dis}})$ and $N = (\{1, 2\}, \{1, 2\}, \sim_N)$ with $1 \sim_N 2$ be given and consider the constraint morphism $f = (\text{id}_{\{1, 2\}}, \text{id}_{\{1, 2\}}): M \rightarrow N$. Then $\text{im}(f) = M$ while $\text{regim}(f) = N$.

Using the image we can factorize every constraint morphism as a regular epimorphism followed by a monomorphism, while the regular image yields a factorization as an epimorphism followed by a regular monomorphism. We will mainly use the regular image, since using our definition of constraint subset it is in fact a constraint subset of the codomain, while the image is not.

Let us now turn our attention to the set of all constraint morphisms between constraint sets. This set can actually be upgraded to a constraint set itself.

Proposition 1.1.11 (Closed monoidal structure on CSet) *Let M and N be constraint sets.*

i.) *Setting*

$$\begin{aligned} \text{CMap}(M, N)_T &:= \text{Map}(M_T, N_N), \\ \text{CMap}(M, N)_N &:= \text{Map}(M, N), \end{aligned} \quad (1.1.17)$$

together with the inclusion $\iota: \text{Map}(M, N) \rightarrow \text{Map}(M_T, N_T)$ given by $\iota((f_T, f_N)) = f_T$ and the equivalence relation on $\text{CMap}(M, N)$ given by

$$f \sim g \Leftrightarrow \forall x \in M_N : f(x) \sim_N g(x), \quad (1.1.18)$$

defines a constraint set $\text{CMap}(M, N)$.

ii.) *The functor $\text{CMap}(M, \cdot): \text{CSet} \rightarrow \text{CSet}$ is right adjoint to the functor $\cdot \times M: \text{CSet} \rightarrow \text{CSet}$, i.e. CSet is a cartesian closed category.*

PROOF: The first part is a simple check. For the second part recall the definition of adjoint functors from [Definition A.2.12](#). Fix $X \in \mathbf{CSet}$ and define functors $\mathbf{F} = \cdot \times X$ and $\mathbf{G} = \mathbf{CMap}(X, \cdot)$. Then $\text{ev}(M): \mathbf{CMap}(X, M) \times X \rightarrow M$ given by

$$\begin{aligned} \text{ev}(M)_T: \text{Map}(X_T, M_N) \times X_T \ni (f, x) &\mapsto f(x) \in M_T, \\ \text{ev}(M)_N: \text{Map}(X, M) \times X_N \ni (f, x) &\mapsto f_N(x) \in M_N \end{aligned}$$

is a constraint map. Similarly, $\text{coev}(M): M \rightarrow \mathbf{CMap}(X, M \times X)$ defined by

$$\begin{aligned} \text{coev}(M)_T: M_T \ni m &\mapsto (x \mapsto (m, x)) \in \text{Map}(X_T, M_T \times X_T), \\ \text{coev}(M)_N: M_N \ni m &\mapsto (x \mapsto (x, \iota_M(m)), x \mapsto (m, x)) \in \text{Map}(X, M \times X) \end{aligned}$$

is a constraint map. In particular, these are compatible with the equivalence relations. They define natural transformations, since for a morphism $f: M \rightarrow N$ the diagrams

$$\begin{array}{ccc} \mathbf{CMap}(X, M) \times X & \xrightarrow{\text{ev}(M)} & M \\ \mathbf{CMap}(X, f) \times X \downarrow & & \downarrow f \\ \mathbf{CMap}(X, N) \times X & \xrightarrow{\text{ev}(N)} & N \end{array} \quad \text{and} \quad \begin{array}{ccc} M & \xrightarrow{\text{coev}(M)} & \mathbf{CMap}(X, M \times X) \\ f \downarrow & & \downarrow \mathbf{CMap}(X, f \times X) \\ N & \xrightarrow{\text{coev}(N)} & \mathbf{CMap}(X, N \times X) \end{array}$$

commute. It remains to check that

$$\text{id}_{M \times X} = \text{ev}(M \times X) \circ \mathbf{F}(\text{coev}(M)) \quad \text{and} \quad \text{id}_{\mathbf{CMap}(X, M)} = \mathbf{G}(\text{ev}(M)) \circ \text{coev}(\mathbf{CMap}(X, M))$$

hold. We need to check this separately on the T- and N-component. Thus let $(m, x) \in M_T \times X_T$ and $f: X_T \rightarrow M_T$ be given. Then

$$\text{ev}(M \times X)_T(\mathbf{F}(\text{coev}(M)_T))(m, x) = \text{ev}(M \times X)_T(\text{coev}(M)_T(m), x) = (m, x)$$

and

$$\left(\mathbf{G}(\text{ev}(M)_T)(\text{coev}(\mathbf{CMap}(X, M))_T(f) \right)(x) = (x' \mapsto \text{ev}(M)_T(f, x'))(x) = f(x).$$

The same computations hold for the N-component, which finally shows that \mathbf{G} is indeed right adjoint to \mathbf{F} and we obtain a cartesian closed category. \square

Before we turn our attention to a more special class of constraint sets let us investigate more closely the relationship of constraint sets and classical sets. We have obvious forgetful functors

$$\mathbf{U}_T: \mathbf{CSet} \rightarrow \mathbf{Set}, \quad M \mapsto M_T \tag{1.1.19}$$

and

$$\mathbf{U}_N: \mathbf{CSet} \rightarrow \mathbf{Set}, \quad M \mapsto M_N \tag{1.1.20}$$

forgetting everything but the indicated components. When looking at [Proposition 1.1.2](#) it becomes clear that the T-components of definitions and constructions internal to \mathbf{CSet} will just be the classical definitions and constructions for the T-components. We summarize this:

Lemma 1.1.12 *The forgetful functor $\mathbf{U}_T: \mathbf{CSet} \rightarrow \mathbf{Set}$ is cartesian closed and preserves finite limits and colimits.*

It will be a recurring theme for all our constraint definitions, constructions and theorems that their T-components will recover their classical analogues.

The forgetful functor \mathbf{U}_T has an obvious left adjoint given by $\mathbf{F}_T(M) := (M, M, \sim_{\text{dis}})$. Thus we can also understand \mathbf{Set} as the full subcategory of \mathbf{CSet} consisting of constraint sets M with $M_T = M_N$ and $\sim_M = \sim_{\text{dis}}$.

1.1.1 Embedded Constraint Sets

Most examples of constraint sets as they appear in [Chapter 2](#) will exhibit M_N as a subset of M_T .

Definition 1.1.13 (Embedded constraint set)

- i.) A constraint set M with injective ι_M is called an embedded constraint set.
- ii.) The full subcategory of \mathbf{CSet} consisting of embedded constraint sets is denoted by $\mathbf{C}^{\text{emb}}\mathbf{Set}$.

Note that for a morphism $f = (f_T, f_N)$ of embedded constraint sets the map f_N is completely determined by f_T . Hence we will often identify f with f_T . Then f_N is just the restriction of f to the N-component.

Proposition 1.1.14 (The category $\mathbf{C}^{\text{emb}}\mathbf{Set}$)

- i.) The subcategory $\mathbf{C}^{\text{emb}}\mathbf{Set}$ of \mathbf{CSet} is closed under finite limits and has all finite colimits.
- ii.) The subcategory $\mathbf{C}^{\text{emb}}\mathbf{Set}$ is an exponential ideal in \mathbf{CSet} , this means for all $X \in \mathbf{CSet}$ and $M \in \mathbf{C}^{\text{emb}}\mathbf{Set}$ we have $\mathbf{CMap}(X, M) \in \mathbf{C}^{\text{emb}}\mathbf{Set}$.
- iii.) The category $\mathbf{C}^{\text{emb}}\mathbf{Set}$ is cartesian closed.

PROOF: We show that $\mathbf{C}^{\text{emb}}\mathbf{Set}$ is a reflective subcategory of \mathbf{CSet} . Denote by $\mathbf{l}: \mathbf{C}^{\text{emb}}\mathbf{Set} \rightarrow \mathbf{CSet}$ the inclusion. Mapping a constraint set $M = (M_T, M_N, \sim_M)$ to

$$M^{\text{emb}} := (M_T, \iota_M(M_N), (\iota_M)_* \sim_M)$$

defines a functor $\cdot^{\text{emb}}: \mathbf{CSet} \rightarrow \mathbf{C}^{\text{emb}}\mathbf{Set}$, with $(\iota_M)_* \sim_M$ the induced equivalence relation on the image of ι_M . The functor \cdot^{emb} is left adjoint to \mathbf{l} , thus $\mathbf{C}^{\text{emb}}\mathbf{Set}$ is a reflective subcategory of \mathbf{CSet} and hence is closed under finite limits and has all finite colimits, see [\[Bor94a, Sec. 3.5\]](#). For the second part note that by [\[Joh02, Prop. 4.3.1\]](#) it would be enough to show that \cdot^{emb} preserves finite products. But let us show this more directly: Let $f, g \in \mathbf{CMap}(X, M)$ be given with $f_T = g_T$. Diagrammatically we have:

$$\begin{array}{ccc} X_T & \begin{array}{c} \xrightarrow{f_T} \\ \xrightarrow{g_T} \end{array} & M_T \\ \uparrow \iota_X & & \uparrow \iota_M \\ X_N & \begin{array}{c} \xrightarrow{f_N} \\ \xrightarrow{g_N} \end{array} & M_N \end{array}$$

Since $f_T = g_T$ we have $\iota_M \circ f_N = \iota_M g_N$ and thus by the injectivity of ι_M we obtain $f_N = g_N$. Thus $\iota: \mathbf{Map}(X, M) \rightarrow \mathbf{Map}(X_T, M_T)$ as defined in [Proposition 1.1.11](#) is injective. This shows the second part. The third part is now a direct consequence of the second. \square

At this point it seems that we could restrict ourselves to the category $\mathbf{C}^{\text{emb}}\mathbf{Set}$ since all categorical constructions exist in this category. However, note that even though colimits exist in $\mathbf{C}^{\text{emb}}\mathbf{Set}$ they do not necessarily agree with the respective colimits in the surrounding category \mathbf{CSet} , as the next example illustrates:

Example 1.1.15 Consider two embedded constraint sets M and N given by $M := (\{\text{pt}\}, \emptyset, \sim)$ and $N := (\{0, 1\}, \{0, 1\}, \sim_{\text{dis}})$ together with the constraint maps $f \equiv 0$ and $g \equiv 1$ from M to N . Their coequalizer is then given by $\text{coeq}(f, g) = (\{0\}, \{0, 1\}, \sim_{\text{dis}})$, which is obviously not embedded.

This will have consequences for the reduction of (embedded) constraint sets as we will shortly discuss.

The subcategory $\mathbf{C}^{\text{emb}}\mathbf{Set}$ of embedded constraint sets can also be characterized by general categorical terms as the subcategory of regular projective objects:

Proposition 1.1.16 *Let $P \in \mathbf{CSet}$ be a constraint set. Then the following statements are equivalent:*

- i.) Every regular epimorphism $M \rightarrow P$ splits.*
- ii.) P is a regular projective object in \mathbf{CSet} , i.e. for every regular epimorphism $f: M \rightarrow N$ and every morphism $g: P \rightarrow N$ there exists a morphism $h: P \rightarrow M$ such that $f \circ h = g$.*
- iii.) We have $P \in \mathbf{C}^{\text{emb}}\mathbf{Set}$.*

PROOF: Assume *i.*) Given f and g as in *ii.*) consider the pullback $P \times_{g \circ f} M$. It is easy to see that $\text{pr}_1: P \times_{g \circ f} M \rightarrow P$ is a regular epimorphism. By assumption pr_1 splits, i.e. there exists $i: P \rightarrow P \times_{g \circ f} M$ such that $\text{pr}_1 \circ i = \text{id}_P$. Then $\chi = \text{pr}_2 \circ i$ gives the desired morphism. Conversely, choosing $g = \text{id}_P$ in *ii.*) directly yields *i.*) Now assume again *ii.*) We want to show that $\iota_P: P_N \rightarrow P_T$ is injective. For this consider $M_T := P_T \times P_N$, $M_N := P_N$ and $\iota_M := \iota_P \times \text{id}_{P_N}$. Then $f = (\text{pr}_1, \text{id}_{P_N}): M \rightarrow P$ is a regular epimorphism and hence splits by assumption. Therefore, there exists $h: P \rightarrow M$ with $f \circ h = \text{id}_P$. Thus $h_T \circ \iota_P = \iota_M \circ h_N$ is injective since ι_M and h_N are injective. Then ι_P must also be injective. Finally, assume *iii.*) and let $f: M \rightarrow P$ be a regular epimorphism. It follows that $f|_{M_0}: M_0 \rightarrow P_0$ is surjective and thus there exists a splitting $h: P_0 \rightarrow M_0$. Now we can extend h successively to P_N and P_T , obtaining a splitting of f . \square

1.1.2 Reduction of Constraint Sets

Constraint sets were introduced in order to formalize the set theoretic information underlying geometric reduction principles. Thus they are defined in such a way to allow for a reduction procedure already on this set theoretic level.

Definition 1.1.17 (Reduction functor) *The functor $\text{red}: \mathbf{CSet} \rightarrow \mathbf{Set}$ given by mapping a constraint set M to $M_{\text{red}} := M_N / \sim_M$ and a constraint morphism $f: M \rightarrow N$ to the induced morphism $f_{\text{red}}: M_{\text{red}} \rightarrow N_{\text{red}}$ is called reduction functor.*

This reduction procedure can now be shown to be compatible with the various constructions from [Proposition 1.1.2](#):

Proposition 1.1.18 (Properties of reduction)

- i.) The functor $\text{red}: \mathbf{CSet} \rightarrow \mathbf{Set}$ preserves all finite limits and colimits.*
- ii.) The functor $\text{red}: \mathbf{CSet} \rightarrow \mathbf{Set}$ is cartesian closed.*

PROOF: A straightforward computation shows that red preserves the final object and pullbacks, and thus preserves all finite limits. Moreover, it preserves coproducts as well as coequalizer, and hence preserves all finite colimits. For the second part note that since red preserves products it is a cartesian functor. Moreover, since the final object 1 is the unit of the monoidal structure, the first part shows that red preserves this unit. Finally, we have a canonical injection

$$\mathbf{CMap}(M, N)_{\text{red}} \hookrightarrow \mathbf{Map}(M_{\text{red}}, N_{\text{red}}),$$

which is also surjective, since using the axiom of choice any morphism in $\mathbf{Map}(M_{\text{red}}, N_{\text{red}})$ can be lifted to a morphism in $\mathbf{Map}(M_N, N_N)$ compatible with the equivalence relations, and then be extended to M_T . \square

This result shows that \mathbf{CSet} is the correct category for studying constructions compatible with reduction.

Remark 1.1.19

- i.) The reduction functor can be understood as first forgetting the T -component and then computing a coequalizer in the resulting category, whose objects have been called coisotropic pairs in [DEW19]. Since taking colimits commutes with colimits, whenever the forgetful functor commutes with colimits, so does the whole reduction. This point of view could lead to a more general theory for the relation of reduction to $co/limits$.
- ii.) Let $f, g: M \rightarrow N$ be maps between sets. Then their pullback is given by the subset $\{x \in M \mid f(x) = g(x)\} \subseteq M$. Thus pullbacks can be understood as describing subsets of elements fulfilling a given equation. Since the reduction of constraint sets commutes with limits, pullbacks reduce to pullbacks. In other words, elements of a constraint set fulfilling the equation $f(x) = g(x)$ will reduce to elements satisfying the reduced equation $f_{\text{red}}([x]) = g_{\text{red}}([x])$. However it is important to note that the functor red does not *reflect* limits, meaning that even if the reduced equation $f_{\text{red}}([x]) = g_{\text{red}}([x])$ is fulfilled we can *not* infer that also $f(x) = g(x)$ must hold.
- iii.) By contrast, the reduction of embedded constraint sets, which is given by the composition $\text{red} \circ \mathbb{I}$ of the inclusion $\mathbb{I}: \mathbf{C}^{\text{emb}}\mathbf{Set} \rightarrow \mathbf{CSet}$ with the above reduction functor, may not preserve colimits, since \mathbb{I} does not, as shown in Example 1.1.15. Thus even if we are mainly interested in examples which yield embedded constraint sets, the moment we construct colimits we are forced to work in the bigger category \mathbf{CSet} if we want our construction to stay compatible with reduction.

1.1.3 Strong Constraint Sets

Another special type of constraint sets appears in the setting of Hamiltonian actions of Lie groups G on a symplectic or Poisson manifold M . In this case the coisotropic submanifold is given by the zero level set C of the momentum map, but the equivalence relation on C can be viewed as the restriction of the orbit relation on M . In this situation the underlying constraint set carries an additional equivalence relation on the T -component.

Definition 1.1.20 (Strong constraint set)

- i.) A constraint set M together with an equivalence relation \sim_M^T on M_T such that $\text{im}(\iota_M)$ is saturated, i.e. from $\iota_M(x) \sim_M^T y$ follows $y \in \text{im}(\iota_M)$ for all $x \in M_N, y \in M_T$, and \sim_M^T restricts to $(\iota_M)_*(\sim_M)$ on $\text{im}(\iota_M)$, is called a strong constraint set.
- ii.) A morphism $f: M \rightarrow N$ of strong constraint sets (or constraint morphism) is a morphism of constraint sets with f_T preserving the equivalence relation, i.e. $\sim_M^T \subseteq f_T^*(\sim_N^T)$.
- iii.) The category of strong constraint sets and their morphisms is denoted by $\mathbf{C}_{\text{str}}\mathbf{Set}$. The category of embedded strong constraint sets, i.e. those with injective $\iota_M: M_N \rightarrow M_T$, is denoted by $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Set}$.

Observe that embedded strong constraint sets are just given by a subset $M_N \subseteq M_T$ together with an equivalence relation \sim_T on M_T such that M_N is saturated with respect to \sim_T . Moreover, morphisms of strong constraint sets are again completely determined by their T -components.

Even though strong constraint sets will appear as the structure underlying many objects of interest (in particular the functions on constraint manifolds, see Proposition 2.1.5), we will not investigate them in full detail. This is justified by the fact that in geometric situations we will be confronted only with embedded strong constraint sets, and in algebraic situations it is easier to work with subobjects instead of equivalence relations.

The next proposition clarifies the relation between constraint and strong constraint sets.

Proposition 1.1.21 (The category $\mathbf{C}_{\text{str}}\mathbf{Set}$)

- i.) Forgetting the equivalence relation on the \mathbf{T} -component yields a functor $\mathbf{U}: \mathbf{C}_{\text{str}}\mathbf{Set} \rightarrow \mathbf{CSet}$.
- ii.) The functor $\mathbf{U}: \mathbf{C}_{\text{str}}\mathbf{Set} \rightarrow \mathbf{CSet}$ has a left adjoint $\cdot^{\text{str}}: \mathbf{CSet} \rightarrow \mathbf{C}_{\text{str}}\mathbf{Set}$ given on objects by $M^{\text{str}} = M$, together with the equivalence relation $(\iota_M)_*(\sim_M)$ on $M_{\mathbf{T}}$.
- iii.) The category $\mathbf{C}_{\text{str}}\mathbf{Set}$ is \mathbf{CSet} -enriched with

$$\begin{aligned} \mathbf{C}_{\text{str}}\mathbf{Map}(M, N)_{\mathbf{T}} &:= \mathbf{Map}(M_{\mathbf{T}}, N_{\mathbf{T}}), \\ \mathbf{C}_{\text{str}}\mathbf{Map}(M, N)_{\mathbf{N}} &:= \{f \in \mathbf{CMap}(\mathbf{U}(M), \mathbf{U}(N))_{\mathbf{N}} \mid f_{\mathbf{T}}(x) \sim_{\mathbf{N}}^{\mathbf{T}} f_{\mathbf{T}}(y) \text{ for all } x \sim_M^{\mathbf{T}} y\}, \end{aligned} \quad (1.1.21)$$

with the obvious inclusion $\iota: \mathbf{C}_{\text{str}}\mathbf{Map}(M, N)_{\mathbf{N}} \rightarrow \mathbf{C}_{\text{str}}\mathbf{Map}(M, N)_{\mathbf{T}}$ and the equivalence relation on $\mathbf{C}_{\text{str}}\mathbf{Map}(M, N)_{\mathbf{N}}$ given by

$$f \sim g :\Leftrightarrow \forall x \in M_{\mathbf{T}} : f_{\mathbf{T}}(x) \sim_{\mathbf{N}}^{\mathbf{T}} g_{\mathbf{T}}(x) \text{ and } \forall x \in M_{\mathbf{N}} : f_{\mathbf{N}}(x) \sim_{\mathbf{N}} g_{\mathbf{N}}(x) \quad (1.1.22)$$

for $M, N \in \mathbf{C}_{\text{str}}\mathbf{Set}$.

- iv.) The functor \mathbf{U} is \mathbf{CSet} -enriched.

PROOF: The first part is clear. For the second part, choose the constraint morphisms

$$\varepsilon_M: \mathbf{U}(M)^{\text{str}} \rightarrow M \quad \text{and} \quad \eta_M: M \rightarrow \mathbf{U}(M^{\text{str}})$$

to be the identity on both $M_{\mathbf{T}}$ and $M_{\mathbf{N}}$. Hence they clearly define the evaluation and coevaluation of the adjunction. The third part is an easy check, using the usual composition of maps as composition in the enriched category. The last part is then just the fact that we have a canonical morphism $\mathbf{C}_{\text{str}}\mathbf{Map}(M, N) \rightarrow \mathbf{CMap}(\mathbf{U}(M), \mathbf{U}(N))$. \square

It is important to note that the \mathbf{CSet} -enrichment of $\mathbf{C}_{\text{str}}\mathbf{Set}$ does not agree with its internal hom with respect to the cartesian monoidal structure, which we have not spelled out. The reason we do not consider the closed structure is that the forgetful functor $\mathbf{U}: \mathbf{C}_{\text{str}}\mathbf{Set} \rightarrow \mathbf{CSet}$ is not closed, and hence the internal hom will not be compatible with reduction. Whereas, considering the \mathbf{CSet} -enrichment we can define a functor of reduction on $\mathbf{C}_{\text{str}}\mathbf{Set}$ by simply forgetting to \mathbf{CSet} first, and thus obtain a co/limit-preserving reduction

$$\text{red}: \mathbf{C}_{\text{str}}\mathbf{Set} \rightarrow \mathbf{Set}. \quad (1.1.23)$$

There is another important relation between strong constraint and constraint sets: The \mathbf{CSet} -enriched category $\mathbf{C}_{\text{str}}\mathbf{Set}$ is powered and copowered, cf. [Bor94b, Chap. 6.5], meaning that morphisms into and products with a strong constraint set can be equipped with the structure of a strong constraint set.

Proposition 1.1.22 (Co/Power in $\mathbf{C}_{\text{str}}\mathbf{Set}$) *Let $M \in \mathbf{CSet}$ and $N \in \mathbf{C}_{\text{str}}\mathbf{Set}$ be given.*

- i.) We have $\mathbf{CMap}(M, \mathbf{U}(N)) \in \mathbf{C}_{\text{str}}\mathbf{Set}$ with

$$f \sim^{\mathbf{T}} g :\Leftrightarrow \forall x \in M_{\mathbf{T}} : f(x) \sim_{\mathbf{N}}^{\mathbf{T}} g(x) \quad (1.1.24)$$

for $f, g \in \mathbf{Map}(M_{\mathbf{T}}, N_{\mathbf{T}})$.

- ii.) We have $M \times \mathbf{U}(N) \in \mathbf{C}_{\text{str}}\mathbf{Set}$ with

$$(x, y) \sim^{\mathbf{T}} (x', y') :\Leftrightarrow \begin{cases} x = x' \text{ and } y \sim_{\mathbf{N}}^{\mathbf{T}} y' & \text{if } x \notin \text{im}(\iota_M) \text{ or } x' \notin \text{im}(\iota_M) \\ x \sim_{(\iota_M)_*} x' \text{ and } y \sim_{\mathbf{N}}^{\mathbf{T}} y' & \text{else.} \end{cases} \quad (1.1.25)$$

We will often suppress the forgetful functor \mathbf{U} in our notation. With the two previous propositions we get for a fixed strong constraint set N functors

$$\mathbf{CMap}(\cdot, N): \mathbf{CSet}^{\text{opp}} \rightarrow \mathbf{C}_{\text{str}}\mathbf{Set} \quad (1.1.26)$$

and

$$\mathbf{C}_{\text{str}}\mathbf{Map}(\cdot, N): \mathbf{C}_{\text{str}}\mathbf{Set}^{\text{opp}} \rightarrow \mathbf{CSet}. \quad (1.1.27)$$

1.2 Constraint \mathbb{k} -Modules

After having defined the category \mathbf{CSet} as a replacement for \mathbf{Set} which admits a reduction procedure, we can now start to implement virtually all the classical mathematical objects internal to this category. In this chapter we will concentrate on algebraic notions. Thus we could proceed as follows: Since \mathbf{CSet} is (cartesian) monoidal we can construct the category of monoids internal to \mathbf{CSet} , giving a notion of constraint monoids. Requiring invertibility leads us to constraint groups. Now considering monoids internal to the category of constraint abelian groups yields constraint rings, and additionally constraint modules over such. Continuing, we obtain categories of constraint algebras, constraint modules over algebras etc. Constructing all these algebraic notions in this way has the advantage that all resulting structures will automatically come equipped with a functor of reduction.

Since not all intermediate steps will be needed in this thesis we will only spell out those constructions important for our discussion. In [Section 1.2.1](#) we introduce constraint groups and their actions. On one hand these will be the basis to define constraint \mathbb{k} -modules in [Section 1.2.2](#), on the other hand constraint groups will feature prominently as the gauge group acting on Maurer-Cartan elements of constraint differential graded Lie algebras, see [Section 3.2](#).

1.2.1 Constraint Groups

If we consider groups internal to the category \mathbf{CSet} of constraint sets we would obtain a group homomorphism $\iota_G: G_N \rightarrow G_T$ together with an equivalence relation on G_N compatible with the group structure. Such equivalence relations can equivalently be given by normal subgroups, leading us to the following definition.

Definition 1.2.1 (Constraint group)

- i.) A constraint group is given by a triple of groups $G = (G_T, G_N, G_0)$, with $G_0 \subseteq G_N$ a normal subgroup, together with a group homomorphism $\iota_G: G_N \rightarrow G_T$.
- ii.) A morphism $\Phi: G \rightarrow H$ of constraint groups G and H is given by a pair of group homomorphisms $\Phi_T: G_T \rightarrow H_T$ and $\Phi_N: G_N \rightarrow H_N$ such that $\Phi_T \circ \iota_G = \iota_H \circ \Phi_N$ and $\Phi_N(G_0) \subseteq H_0$.
- iii.) The category of constraint groups is denoted by \mathbf{CGroup} .

Example 1.2.2

- i.) Let $M \in \mathbf{CSet}$ be a constraint set. The invertible constraint endomorphisms of M define a constraint subset $\mathbf{CAut}(M) \subseteq \mathbf{CMap}(M, M)$. They form a constraint group by considering the equivalence relation on $\mathbf{CAut}(M)_N$ as the normal subgroup

$$\mathbf{CAut}(M)_0 = \{f \in \mathbf{CAut}(M)_N \mid \forall x \in M_N : f(x) \sim_M x\}. \quad (1.2.1)$$

- ii.) Let $M \in \mathbf{C}^{\text{emb}}\mathbf{Set}$ be an embedded constraint set. Let furthermore G be a group acting on M_T via $\Phi: G \times M_T \rightarrow M_T$. Then (G, G_{M_N}, G_{\sim}) , with G_{M_N} the stabilizer subgroup of the subset M_N and G_{\sim} the normal subgroup of G_{M_N} consisting of all $g \in G_{M_N}$ such that $\Phi_g(x) \sim x$ for all $x \in M_N$, is a constraint group.

Using the constraint automorphism group we could define an action of a group G on a constraint set M to be a constraint group morphism $\Phi: G \rightarrow \text{CAut}(M)$. To phrase this in more elementary terms note that the equivalence relation on the product of two constraint groups G and H is given by the normal subgroup

$$(G \times H)_0 = G_0 \times H_0. \quad (1.2.2)$$

Definition 1.2.3 (Action of constraint group)

- i.) Let G be a constraint group and M a constraint set. An action of G on M is given by an action $\Phi_T: G_T \times M_T \rightarrow M_T$ of G_T on M_T and an action $\Phi_N: G_N \times M_N \rightarrow M_N$ of G_N on M_N such that $\iota_M \circ \Phi_N = \Phi_T \circ (\iota_G \times \iota_M)$ and $(\Phi_N)_g(x) \sim_M x$ for all $g \in G_0$ and $x \in M_N$.
- ii.) Let G and H be constraint groups acting on constraint sets M and N , respectively. A morphism of constraint group actions is given by a pair (ϕ, f) consisting of a constraint group morphism $\phi: G \rightarrow H$ and a morphism $f: M \rightarrow N$ of constraint sets, such that

$$f_T((\Phi_T^G)_g(x)) = (\Phi_T^H)_{\phi(g)}(f_T(x)) \quad (1.2.3)$$

for all $g \in G_T$, $x \in M_T$ and

$$f_N((\Phi_N^G)_g(x)) = (\Phi_N^H)_{\phi(g)}(f_N(x)) \quad (1.2.4)$$

for all $g \in G_N$, $x \in M_N$ holds. Such a map f will also be called equivariant along ϕ .

- iii.) The category of actions of constraint groups on constraint sets together with the above defined morphisms is denoted by CGroupAct .

Nevertheless, it is sometimes useful to think of a group action in terms of a morphism $\Phi: G \rightarrow \text{CAut}(M)$, or, equivalently, as a morphism $\Phi: G \times M \rightarrow M$ of constraint sets fulfilling the usual properties of group actions in every component. As is commonly done, we will often use \triangleright for a generic group action, and sometimes even omit writing out the action entirely.

Example 1.2.4 Let (G, G_{M_N}, G_{\sim}) be the constraint group constructed from a group action of G on M_T as in [Example 1.2.2 ii.](#). Then $(\Phi, \Phi|_{G_{M_N}})$ clearly gives a constraint action on M .

Next we want to consider constraint orbit spaces of constraint group actions.

Lemma 1.2.5 (Constraint orbit space) Let $M \in \text{CSet}$ together with an action of a constraint group G on M be given. Then M/G defined by

$$\begin{aligned} (M/G)_T &:= M_T/G_T, \\ (M/G)_N &:= M_N/G_N, \end{aligned} \quad (1.2.5)$$

together with

$$\iota_{M/G}: (M/G)_N \rightarrow (M/G)_T, \quad \iota_{M/G}(G_N x) := G_T \iota_M(x) \quad (1.2.6)$$

and equivalence relation on G_N given by

$$G_N x \sim_{M/G} G_N y \Leftrightarrow \exists g, g' \in G_N : (g \triangleright x) \sim_M (g' \triangleright y) \quad (1.2.7)$$

for all $x, y \in M_N/G_N$, is a constraint set.

PROOF: The map $\iota_{M/G}$ is well-defined since $\iota_M \circ \Phi_N = \Phi_T \circ (\iota_G \times \iota_M)$ holds by the definition of constraint group action. Moreover, it is easy to check that $\sim_{M/G}$ defines an equivalence relation on M_N/G_N . \square

We call M/G the *constraint orbit space* of the action of G on M .

Remark 1.2.6 For a given constraint group action of G on M we could also construct an equivalence relation internal to \mathbf{CSet} , i.e. a constraint subset $R_G \subseteq M \times M$ with the usual properties. Then M/G as defined above is indeed the coequalizer of this internal equivalence relation, and $\sim_{M/G}$ is just the pushforward relation of \sim_M along the quotient map.

Constructing the constraint orbit space from a constraint group action is actually functorial.

Proposition 1.2.7 (Orbit space functor) *Mapping every constraint group action Φ of G on M to its orbit space M/G defines a functor $\mathbf{COrb}: \mathbf{CGroupAct} \rightarrow \mathbf{CSet}$.*

PROOF: Consider an equivariant map $f: M \rightarrow N$ along a morphism $\phi: G \rightarrow H$ of constraint groups. By the classical theory we know that f_T and f_N induce maps $\check{f}_T: M_T/G_T \rightarrow N_T/H_T$ and $\check{f}_N: M_N/G_N \rightarrow N_N/H_N$ which are compatible with $\iota_{M/G}$ and $\iota_{N/H}$. It remains to show that \check{f}_N is compatible with the equivalence relations. For this let $G_N x, G_N y \in M_N/G_N$ be given with $G_N x \sim_{M/G} G_N y$. Hence there exist $g, g' \in G_N$ such that $g \triangleright x \sim_M g' \triangleright y$. Then, since f_N is compatible with the equivalence relations, we get

$$\phi(g) \triangleright f_N(x) = f_N(g \triangleright x) \sim_N f_N(g' \triangleright y) = \phi(g') \triangleright f_N(y),$$

showing that $\check{f}_N(G_N x) = H_N f_N(x) \sim_{N/H} H_N f_N(y) = \check{f}_N(G_N y)$. Thus \check{f}_N is a morphism of constraint sets. \square

1.2.1.1 Reduction of Constraint Groups

As in the case of constraint sets we have a reduction functor $\text{red}: \mathbf{CGroup} \rightarrow \mathbf{Group}$ given by

$$G_{\text{red}} = G_N/G_0. \quad (1.2.8)$$

Note that G_0 is exactly the kernel of the projection map $\pi: G_N \rightarrow G_{\text{red}}$. Thus we immediately get

$$\mathbf{CAut}(M)_{\text{red}} \subseteq \mathbf{Aut}(M_{\text{red}}). \quad (1.2.9)$$

The next example shows that, in general, we cannot expect more.

Example 1.2.8 Let $M_T = M_N = \{1, 2, 3\}$ with equivalence relation \sim given by the only non trivial relation $2 \sim 3$. Then $M_{\text{red}} = \{[1], [2]\}$. The map $f([1]) = [2]$, $f([2]) = [1]$ is obviously invertible on M_{red} , but there cannot exist an automorphism g of M with $g_{\text{red}} = f$, since from this it would follow that $g(2) = 1 = g(3)$.

Remark 1.2.9

- i.)* It will be a recurring theme that an (often functorial) construction on certain objects which we can also define for their constraint analogues will commute with reduction. To be a bit more precise, consider the following picture: Assume we have a functorial construction $F: \mathfrak{C} \rightarrow \mathfrak{D}$ on a category \mathfrak{C} with values in the category \mathfrak{D} and its constraint analogue $CF: \mathbf{C}\mathfrak{C} \rightarrow \mathbf{C}\mathfrak{D}$ on the category of constraint objects “internal” to \mathfrak{C} . Then the diagram

$$\begin{array}{ccc}
 \mathbf{C}\mathfrak{C} & \xrightarrow{CF} & \mathbf{C}\mathfrak{D} \\
 \text{red} \downarrow & \eta \swarrow & \downarrow \text{red} \\
 \mathfrak{C} & \xrightarrow{F} & \mathfrak{D}
 \end{array} \quad (1.2.10)$$

will often commute up to a natural isomorphism. Nevertheless, there will also occur situations in which (1.2.10) only commutes up to an *injective* natural transformation η . This typically happens when \mathbf{F} and \mathbf{CF} construct certain limits in \mathfrak{C} and $\mathbf{C}\mathfrak{C}$, respectively. Since red does not necessarily commute with taking limits this leads to η not being an isomorphism. For us this will be of interest when \mathbf{F} , and hence \mathbf{CF} map sets to their subsets fulfilling a given equation.

- ii.) To make the above assignment of a constraint category $\mathbf{C}\mathfrak{C}$ to a given category \mathfrak{C} precise we would need to restrict ourselves to categories allowing for a well-behaved notion of equivalence relation. Then we expect \mathbf{C} to be functorial, and every $\mathbf{C}\mathfrak{C}$ would automatically admit a reduction functor $\text{red}: \mathbf{C}\mathfrak{C} \rightarrow \mathfrak{C}$.

Next we want to investigate how constraint group actions behave with respect to reduction.

Lemma 1.2.10 (Reduction of group actions) *Let \mathbf{G} be a constraint group acting via $\Phi: \mathbf{G} \rightarrow \mathbf{CAut}(M)$ on a constraint set M . Then Φ_{red} defines an action of \mathbf{G}_{red} on M_{red} .*

PROOF: Since reduction is functorial on the category of constraint groups, and with the help of (1.2.9) we see immediately that Φ reduces to $\Phi_{\text{red}}: \mathbf{G}_{\text{red}} \rightarrow \mathbf{Aut}(M_{\text{red}})$, giving a group action of \mathbf{G}_{red} on M_{red} . \square

Again, this is functorial. To state this, we denote by $\mathbf{GroupAct}$ the category of classical group actions and equivariant maps along group morphisms between them.

Proposition 1.2.11 *Reducing constraint group actions defines a functor*

$$\text{red}: \mathbf{CGroupAct} \rightarrow \mathbf{GroupAct}. \quad (1.2.11)$$

PROOF: Let \mathbf{G} and \mathbf{H} be constraint groups acting on constraint sets M and N , respectively. Moreover, let $f: M \rightarrow N$ be an equivariant constraint map along a constraint group morphism $\phi: \mathbf{G} \rightarrow \mathbf{H}$. These reduce to a map $f_{\text{red}}: M_{\text{red}} \rightarrow N_{\text{red}}$ and a group morphism $\phi_{\text{red}}: \mathbf{G}_{\text{red}} \rightarrow \mathbf{H}_{\text{red}}$, with

$$f_{\text{red}}([g] \triangleright [x]) = [f(g \triangleright x)] = [\phi(g) \triangleright f(x)] = \phi_{\text{red}}([g]) \triangleright f_{\text{red}}([x]),$$

showing that f_{red} is equivariant along ϕ_{red} . \square

This raises directly the question if constructing orbit spaces is compatible with reduction. For this denote by $\mathbf{Orb}: \mathbf{GroupAct} \rightarrow \mathbf{Set}$ the classical construction of the orbit space. We obtain the following result, cf. Remark 1.2.9.

Proposition 1.2.12 (Orbit spaces vs. reduction) *There exists a natural isomorphism η making the following diagram commute:*

$$\begin{array}{ccc} \mathbf{CGroupAct} & \xrightarrow{\mathbf{COrb}} & \mathbf{CSet} \\ \text{red} \downarrow & \eta \swarrow & \downarrow \text{red} \\ \mathbf{GroupAct} & \xrightarrow{\mathbf{Orb}} & \mathbf{Set} \end{array} \quad (1.2.12)$$

PROOF: Define $\eta: \mathbf{COrb} \circ \text{red} \implies \text{red} \circ \mathbf{Orb}$ for every constraint action $\Phi: \mathbf{G} \rightarrow \mathbf{CAut}(M)$ by

$$\eta_{\Phi}: (M/\mathbf{G})_{\text{red}} \rightarrow M_{\text{red}}/\mathbf{G}_{\text{red}}, \quad \eta_{\Phi}([G_N x]) := \mathbf{G}_{\text{red}}[x].$$

To see that this map is well-defined consider $G_N y \sim_{M/G} G_N x$. Then there exist $g, g' \in G_N$ such that $g \triangleright x \sim_M g' \triangleright y$. Hence

$$G_{\text{red}}[x] = G_{\text{red}}[g^{-1}g' \triangleright y] = G_{\text{red}}([g^{-1}g'] \triangleright [y]) = G_{\text{red}}[y]$$

holds, showing that η_Φ does not depend on the choice of a representative. Now η_Φ is obviously invertible with inverse given by $\eta_\Phi^{-1}(G_{\text{red}}[x]) = [G_N x]$. To show that η is a natural transformation consider another constraint action $\Psi: H \rightarrow \mathbf{CAut}(N)$ and an equivariant constraint map $f: M \rightarrow N$ along a constraint group morphism $\phi: G \rightarrow H$. Then for all $[G_N x] \in (M/G)_{\text{red}}$ we have

$$\begin{aligned} \eta_\Psi(\check{f}_{\text{red}}([G_N x])) &= \eta_\Psi([H_N f_N(x)]) = H_{\text{red}}([f_N(x)]) = H_{\text{red}}(f_{\text{red}}([x])) \\ &= \check{f}_{\text{red}}(G_{\text{red}}[x]) = \check{f}_{\text{red}}(\eta_\Phi([G_N x])). \end{aligned} \quad \square$$

1.2.1.2 Strong constraint groups

For completeness let us also remark on strong constraint groups. As a group internal to $\mathbf{C}_{\text{str}}\mathbf{Set}$ a strong constraint group consists of a group morphism $\iota_G: G_N \rightarrow G_T$ and normal subgroups $G_0 \subseteq G_N$ and $G_0^T \subseteq G_T$ such that $\iota_G(G_0) \subseteq G_0^T$. Moreover, $\iota_G(G_N) \subseteq G_T$ needs to be saturated. It is easy to see that this enforces $G_0^T = \iota_G(G_0)$. Thus strong constraint groups can be defined as follows:

Definition 1.2.13 (Strong constraint group)

- i.) A constraint group G such that $\iota_G(G_0) \subseteq G_0^T$ is a normal subgroup is called strong constraint group.
- ii.) A morphism of strong constraint groups is just a morphism of constraint groups.
- iii.) The category of strong constraint groups will be denoted by $\mathbf{C}_{\text{str}}\mathbf{Group}$.

Note that in contrast to strong constraint sets the morphisms between strong constraint groups are just the morphisms of their underlying constraint groups. Hence, we will write $\mathbf{CHom}(G, H)$ instead of $\mathbf{C}_{\text{str}}\mathbf{Hom}(G, H)$ for the constraint set of constraint group homomorphisms, cf. [Proposition 1.1.21 iii.](#)

From the definition it is clear that there exists a forgetful functor $\mathbf{U}: \mathbf{C}_{\text{str}}\mathbf{Group} \rightarrow \mathbf{CGroup}$. The reduction of strong constraint groups is then given by first forgetting to the category of constraint groups:

$$\text{red} = \text{red} \circ \mathbf{U}: \mathbf{C}_{\text{str}}\mathbf{Group} \rightarrow \mathbf{CGroup}. \quad (1.2.13)$$

1.2.2 Constraint \mathbb{k} -Modules

We could continue by defining constraint rings as monoids internal to the category of abelian constraint groups. Since we will not need these objects during this thesis we instead move on to constraint modules over a certain class of rings. For the rest of this chapter let \mathbb{k} be a commutative unital ring.

Definition 1.2.14 (Constraint \mathbb{k} -modules)

- i.) A constraint \mathbb{k} -module is given by a triple $\mathcal{E} = (\mathcal{E}_T, \mathcal{E}_N, \mathcal{E}_0)$ of \mathbb{k} -modules together with a module homomorphism $\iota_{\mathcal{E}}: \mathcal{E}_N \rightarrow \mathcal{E}_T$ such that $\mathcal{E}_0 \subseteq \mathcal{E}_N$ is a submodule.
- ii.) A morphism $\Phi: \mathcal{E} \rightarrow \mathcal{F}$ of constraint \mathbb{k} -modules is a pair (Φ_T, Φ_N) of module homomorphisms $\Phi_T: \mathcal{E}_T \rightarrow \mathcal{F}_T$ and $\Phi_N: \mathcal{E}_N \rightarrow \mathcal{F}_N$ such that $\Phi_T \circ \iota_{\mathcal{E}} = \iota_{\mathcal{F}} \circ \Phi_N$ and $\Phi_N(\mathcal{E}_0) \subseteq \mathcal{F}_0$.
- iii.) The category of constraint \mathbb{k} -modules is denoted by $\mathbf{CMod}_{\mathbb{k}}$ and the set of morphisms between constraint \mathbb{k} -modules \mathcal{E} and \mathcal{F} is denoted by $\mathbf{Hom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})$.

There is an obvious forgetful functor $\mathbf{U}: \mathbf{CMod}_{\mathbb{k}} \rightarrow \mathbf{CSet}$, forgetting all algebraic structures. The equivalence relation on $\mathbf{U}(\mathcal{E})_{\mathbf{N}}$ is induced by the submodule $\mathcal{E}_0 \subseteq \mathcal{E}_{\mathbf{N}}$. It can be shown that $(\mathbf{CMod}_{\mathbb{k}}, \mathbf{U})$ is an algebraic category in the sense of [AHS90] and hence behaves in many respects as we would expect from a category of objects equipped with algebraic structure. In particular, as we will see in the next proposition, many categorical constructions in $\mathbf{CMod}_{\mathbb{k}}$ are given by the corresponding constructions in \mathbf{CSet} equipped with the structure of a constraint \mathbb{k} -module.

Proposition 1.2.15 (Co/limits in $\mathbf{CMod}_{\mathbb{k}}$) *Let \mathcal{E} , \mathcal{F} and \mathcal{G} be constraint \mathbb{k} -modules and let $\Phi, \Psi: \mathcal{E} \rightarrow \mathcal{F}$ as well as $\Theta: \mathcal{G} \rightarrow \mathcal{F}$ be constraint morphisms.*

i.) *The initial and final object in $\mathbf{CMod}_{\mathbb{k}}$ agree and are given by $0 := (0, 0, 0)$.*

ii.) *The binary product and binary coproduct in $\mathbf{CMod}_{\mathbb{k}}$ agree and are given by*

$$\begin{aligned} (\mathcal{E} \oplus \mathcal{F})_{\mathbf{T}} &= \mathcal{E}_{\mathbf{T}} \oplus \mathcal{F}_{\mathbf{T}}, \\ (\mathcal{E} \oplus \mathcal{F})_{\mathbf{N}} &= \mathcal{E}_{\mathbf{N}} \oplus \mathcal{F}_{\mathbf{N}}, \\ (\mathcal{E} \oplus \mathcal{F})_0 &= \mathcal{E}_0 \oplus \mathcal{F}_0, \end{aligned} \tag{1.2.14}$$

with $\iota_{\oplus} = \iota_{\mathcal{E}} + \iota_{\mathcal{F}}: \mathcal{E}_{\mathbf{N}} \oplus \mathcal{F}_{\mathbf{N}} \rightarrow \mathcal{E}_{\mathbf{T}} \oplus \mathcal{F}_{\mathbf{T}}$.

iii.) *The pullback of Φ and Θ is given by the constraint \mathbb{k} -module*

$$\begin{aligned} (\mathcal{E}_{\Phi \times \Theta} \mathcal{G})_{\mathbf{T}} &= \mathcal{E}_{\mathbf{T}} \times_{\Phi_{\mathbf{T}} \times \Theta_{\mathbf{T}}} \mathcal{G}_{\mathbf{T}}, \\ (\mathcal{E}_{\Phi \times \Theta} \mathcal{G})_{\mathbf{N}} &= \mathcal{E}_{\mathbf{N}} \times_{\Phi_{\mathbf{N}} \times \Theta_{\mathbf{N}}} \mathcal{G}_{\mathbf{N}}, \\ (\mathcal{E}_{\Phi \times \Theta} \mathcal{G})_0 &= \mathcal{E}_0 \times_{\Phi_0 \times \Theta_0} \mathcal{G}_0, \end{aligned} \tag{1.2.15}$$

with projection maps

$$(\text{pr}_{\mathbf{T}}^{\mathcal{E}}, \text{pr}_{\mathbf{N}}^{\mathcal{E}}): (\mathcal{E}_{\Phi \times \Theta} \mathcal{G}) \longrightarrow \mathcal{E}, \tag{1.2.16}$$

$$(\text{pr}_{\mathbf{T}}^{\mathcal{G}}, \text{pr}_{\mathbf{N}}^{\mathcal{G}}): (\mathcal{E}_{\Phi \times \Theta} \mathcal{G}) \longrightarrow \mathcal{G}. \tag{1.2.17}$$

iv.) *The kernel of Φ is given by the constraint \mathbb{k} -module*

$$\begin{aligned} \ker(\Phi)_{\mathbf{T}} &= \ker(\Phi_{\mathbf{T}}), \\ \ker(\Phi)_{\mathbf{N}} &= \ker(\Phi_{\mathbf{N}}), \\ \ker(\Phi)_0 &= \ker(\Phi_{\mathbf{N}}) \cap \mathcal{E}_0, \end{aligned} \tag{1.2.18}$$

with $\iota_{\ker}: \ker(\Phi_{\mathbf{N}}) \rightarrow \ker(\Phi_{\mathbf{T}})$ the morphism induced by $\iota_{\mathcal{E}}$.

v.) *The cokernel of Φ is given by the constraint \mathbb{k} -module*

$$\begin{aligned} \text{coker}(\Phi)_{\mathbf{T}} &= \mathcal{F}_{\mathbf{T}} / \text{im}(\Phi_{\mathbf{T}}), \\ \text{coker}(\Phi)_{\mathbf{N}} &= \mathcal{F}_{\mathbf{N}} / \text{im}(\Phi_{\mathbf{N}}), \\ \text{coker}(\Phi)_0 &= \mathcal{F}_0 / \text{im}(\Phi_{\mathbf{N}}), \end{aligned} \tag{1.2.19}$$

with $\iota_{\text{coker}}: \mathcal{F}_{\mathbf{N}} / \text{im}(\Phi_{\mathbf{N}}) \rightarrow \mathcal{F}_{\mathbf{T}} / \text{im}(\Phi_{\mathbf{T}})$ the morphism induced by $\iota_{\mathcal{F}}$.

vi.) *The coequalizer of Φ and Ψ is given by the constraint \mathbb{k} -module*

$$\begin{aligned} \text{coeq}(\Phi, \Psi)_{\mathbf{T}} &= \text{coeq}(\Phi_{\mathbf{T}}, \Psi_{\mathbf{T}}), \\ \text{coeq}(\Phi, \Psi)_{\mathbf{N}} &= \text{coeq}(\Phi_{\mathbf{N}}, \Psi_{\mathbf{N}}), \\ \text{coeq}(\Phi, \Psi)_0 &= q_{\mathbf{N}}(\mathcal{F}_0), \end{aligned} \tag{1.2.20}$$

with $q = (q_{\mathbf{T}}, q_{\mathbf{N}}): \mathcal{F} \rightarrow \text{coeq}(\Phi, \Psi)$. Here $q_{\mathbf{T}}$ and $q_{\mathbf{N}}$ denote the coequalizer morphisms of $\Phi_{\mathbf{T}}, \Psi_{\mathbf{T}}$ and $\Phi_{\mathbf{N}}, \Psi_{\mathbf{N}}$, respectively.

vii.) The category $\mathbf{CMod}_{\mathbb{k}}$ has all finite limits and colimits.

PROOF: The form of the T- and N-components follow directly from [Proposition 1.1.2](#) and the classical characterization of co/limits of \mathbb{k} -modules. It only remains to show that in each case the equivalence relation as given in [Proposition 1.1.2](#) translates to the correct 0-components. We show this for [iv.](#)), the rest can be done analogously. For this note that $\ker(\Phi)$ is the equalizer of $0: \mathcal{E} \rightarrow \mathcal{F}$ and Φ . The equivalence relation on $\text{eq}(\Phi_N, 0)$ is given by the restriction of the equivalence relation on \mathcal{E} , thus $\ker(\Phi)_0 = \ker(\Phi)_N \cap \mathcal{E}_0$. \square

In categories of sets equipped with algebraic structure, like groups, module algebras etc., we are used to the fact that a morphism respecting the algebraic structure is mono (epi) if and only if its underlying map of sets is mono (epi). The same holds for $\mathbf{CMod}_{\mathbb{k}}$, forcing us to distinguish regular from plain monos and epis.

Proposition 1.2.16 (Mono- and epimorphisms in $\mathbf{CMod}_{\mathbb{k}}$) *Let $\Phi: \mathcal{E} \rightarrow \mathcal{F}$ be a morphism of constraint \mathbb{k} -modules.*

- i.) Φ is a monomorphism if and only if Φ_T and Φ_N are injective module homomorphisms.
- ii.) Φ is an epimorphism if and only if Φ_T and Φ_N are surjective module homomorphisms.
- iii.) Φ is a regular monomorphism if and only if it is a monomorphism with $\Phi_N^{-1}(\mathcal{F}_0) = \mathcal{E}_0$.
- iv.) Φ is a regular epimorphism if and only if it is an epimorphism with $\Phi_N(\mathcal{E}_0) = \mathcal{F}_0$.

PROOF: This is just a repetition of the arguments used in the proof of [Proposition 1.1.4](#). The conditions $(\Phi_N)^*(\sim_{\mathcal{F}}) = \sim_{\mathcal{E}}$ and $(\Phi_N)_*(\sim_{\mathcal{E}}) = \sim_{\mathcal{F}}$ for regular mono- and epimorphisms translate to $\Phi_N^{-1}(\mathcal{F}_0) = \mathcal{E}_0$ and $\Phi_N(\mathcal{E}_0) = \mathcal{F}_0$, respectively. \square

Example 1.2.17

- i.) By the explicit formulas of [Proposition 1.2.15 iv.](#)) we see that the canonical inclusion $i: \ker(\Phi) \rightarrow \mathcal{E}$ is a regular monomorphism.
- ii.) By the explicit formulas of [Proposition 1.2.15 vi.](#)) we see that $q: \mathcal{F} \rightarrow \text{coeq}(\Phi, \Psi)$ is a regular epimorphism.

Remark 1.2.18 Big parts of classical homological algebra solely rely on the fact that the usual categories of modules form abelian categories. Since in $\mathbf{CMod}_{\mathbb{k}}$ regular and plain monos (or epis) do not agree in general, it follows directly that $\mathbf{CMod}_{\mathbb{k}}$ is *not* abelian. This is the reason why in the theory of constraint algebraic objects many effects appear which are unfamiliar if viewed from the point of view of classical algebra. Another consequence is that we cannot rely on general techniques from abelian categories and hence we need to thoroughly examine even the most basic constructions in our categories of constraint algebraic objects.

In any abelian category there is a canonical epi-mono factorization as

$$\text{coker}(\ker(\Phi)) \simeq \ker(\text{coker}(\Phi)) \tag{1.2.21}$$

for every morphism Φ . In the non-abelian category $\mathbf{CMod}_{\mathbb{k}}$ there is no such canonical isomorphism, leading to two different factorizations: We can either use $\text{coker}(\ker(\Phi))$ to obtain an epi-regular mono factorization or we can use $\ker(\text{coker}(\Phi))$ and get a regular epi-mono factorization. These factorizations correspond to the image and regular image, respectively, see [Definition 1.1.9](#).

Proposition 1.2.19 (Image and regular image) *Let $\Phi: \mathcal{E} \rightarrow \mathcal{F}$ be a morphism of constraint \mathbb{k} -modules.*

i.) The image of Φ , as a morphism of constraint sets, is a constraint \mathbb{k} -module given by $\text{coker}(\ker(\Phi))$. More explicitly:

$$\text{im}(\Phi) \simeq (\text{im}(\Phi_T), \text{im}(\Phi_N), \text{im}(\Phi_N|_{\mathcal{E}_0})). \quad (1.2.22)$$

ii.) The regular image of Φ , as a morphism of constraint sets, is a constraint \mathbb{k} -module given by $\ker(\text{coker}(\Phi))$. More explicitly:

$$\text{regim}(\Phi) \simeq (\text{im}(\Phi_T), \text{im}(\Phi_N), \text{im}(\Phi_N) \cap \mathcal{F}_0). \quad (1.2.23)$$

PROOF: Again the T- and N-components are clear, since $\ker(\text{coker})$ and $\text{coker}(\ker)$ agree for classical module morphisms. For the 0-component we have by [Proposition 1.2.15](#)

$$\text{im}(\Phi)_0 = \text{coker}(\ker \Phi)_0 = \mathcal{E}_0 / \ker(\Phi_N) \simeq \text{im}(\Phi_N|_{\mathcal{E}_0})$$

and

$$\text{regim}(\Phi)_0 = \ker(\text{coker} \Phi)_0 = \ker(\text{coker}(\Phi)_N) \cap \mathcal{F}_0 \simeq \text{im}(\Phi_N) \cap \mathcal{F}_0. \quad \square$$

In analogy to constraint sets we can now define constraint submodules as follows.

Definition 1.2.20 (Constraint submodule) *Let \mathcal{E} be a constraint \mathbb{k} -module. A constraint submodule of \mathcal{E} consists of submodules $\mathcal{F}_T \subseteq \mathcal{E}_T$ and $\mathcal{F}_N \subseteq \mathcal{E}_N$ such that $\iota_{\mathcal{E}}(\mathcal{F}_N) \subseteq \mathcal{F}_T$.*

Every submodule can be understood as a regular monomorphism $i: \mathcal{F} \rightarrow \mathcal{E}$ defined on the constraint module $\mathcal{F} = (\mathcal{F}_T, \mathcal{F}_N, i_N^{-1}(\mathcal{E}_0))$. Observe that the regular image of a morphism of constraint \mathbb{k} -modules is a constraint submodule, while the image is not.

The existence of zero morphisms and coequalizers allows us to introduce quotients of constraint modules.

Definition 1.2.21 (Quotient module) *Let $\mathcal{F} \subseteq \mathcal{E}$ be a constraint submodule. The quotient \mathcal{E}/\mathcal{F} is defined as the coequalizer of the inclusion $i: \mathcal{F} \rightarrow \mathcal{E}$ and the zero morphism $0: \mathcal{F} \rightarrow \mathcal{E}$. More explicitly:*

$$\mathcal{E}/\mathcal{F} = (\mathcal{E}_T/\mathcal{F}_T, \mathcal{E}_N/\mathcal{F}_N, \mathcal{E}_0/\mathcal{F}_N). \quad (1.2.24)$$

Here $\mathcal{E}_0/\mathcal{F}_N$ denotes the submodules of $\mathcal{E}_N/\mathcal{F}_N$ generated by equivalence classes $[x]$ of $x \in \mathcal{E}_0$. We could also define a quotient module with respect to more general submodules, i.e. non regular monomorphisms, but since the coequalizer does not depend on the 0-component of \mathcal{F} this will not make a difference. The independence of the quotient on the 0-component of the divisor will be important when we define constraint cohomology, see [Section 1.6.1](#).

Let us now equip the category $\mathbf{CMod}_{\mathbb{k}}$ with the additional structure of a monoidal category, see [Appendix A.4](#) for the definition of monoidal categories.

Proposition 1.2.22 (Monoidal structure on $\mathbf{CMod}_{\mathbb{k}}$)

i.) Let $\mathcal{E}, \mathcal{F} \in \mathbf{CMod}_{\mathbb{k}}$. Then

$$\begin{aligned} (\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F})_T &:= \mathcal{E}_T \otimes_{\mathbb{k}} \mathcal{F}_T, \\ (\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F})_N &:= \mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_N, \\ (\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F})_0 &:= \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_N + \mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_0, \end{aligned} \quad (1.2.25)$$

with $\iota_{\otimes} = \iota_{\mathcal{E}} \otimes \iota_{\mathcal{F}}: \mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_N \rightarrow \mathcal{E}_T \otimes_{\mathbb{k}} \mathcal{F}_T$, is a constraint \mathbb{k} -module.

ii.) The category $\mathbf{CMod}_{\mathbb{k}}$ equipped with the tensor product $\otimes_{\mathbb{k}}$ and unit $(\mathbb{k}, \mathbb{k}, 0)$ is a symmetric monoidal category.

PROOF: For the first part note that $\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_N$ denotes the submodule of $\mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_N$ generated by elements of the form $x \otimes y \in \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_N$. Then *i.)* is clear. The constraint module $(\mathbb{k}, \mathbb{k}, 0)$ is obviously the unit for \otimes . It remains to show that there is an associativity isomorphism for \otimes . This is given by the usual associativity isomorphism on the T- and N-component, and it preserves the 0-component:

$$((\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F}) \otimes_{\mathbb{k}} \mathcal{G})_0 = \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_N \otimes_{\mathbb{k}} \mathcal{G}_N + \mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_0 \otimes_{\mathbb{k}} \mathcal{G}_N + \mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_N \otimes_{\mathbb{k}} \mathcal{G}_0 = (\mathcal{E} \otimes_{\mathbb{k}} (\mathcal{F} \otimes_{\mathbb{k}} \mathcal{G}))_0.$$

□

It is easy to see that the set $\mathrm{Hom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})$ of constraint morphisms between constraint \mathbb{k} -modules carries the structure of a \mathbb{k} -module, leading to a $\mathbf{Mod}_{\mathbb{k}}$ enrichment on $\mathbf{CMod}_{\mathbb{k}}$. The module $\mathrm{Hom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})$ can be enhanced to a constraint \mathbb{k} -module and this internal hom turns out to be compatible with the tensor product of constraint modules.

Proposition 1.2.23 (Internal hom in $\mathbf{CMod}_{\mathbb{k}}$)

i.) Let $\mathcal{E}, \mathcal{F} \in \mathbf{CMod}_{\mathbb{k}}$. Then

$$\begin{aligned} \mathrm{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})_{\mathrm{T}} &:= \mathrm{Hom}_{\mathbb{k}}(\mathcal{E}_{\mathrm{T}}, \mathcal{F}_{\mathrm{T}}), \\ \mathrm{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})_{\mathrm{N}} &:= \mathrm{Hom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F}), \\ \mathrm{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})_0 &:= \{\Phi \in \mathrm{Hom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F}) \mid \Phi_{\mathrm{N}}(\mathcal{E}_{\mathrm{N}}) \subseteq \mathcal{F}_0\}, \end{aligned} \tag{1.2.26}$$

with $\iota_{\mathrm{Hom}}: \mathrm{Hom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F}) \ni (\Phi_{\mathrm{T}}, \Phi_{\mathrm{N}}) \mapsto \Phi_{\mathrm{T}} \in \mathrm{Hom}_{\mathbb{k}}(\mathcal{E}_{\mathrm{T}}, \mathcal{F}_{\mathrm{T}})$, is a constraint \mathbb{k} -module.

ii.) For fixed $\mathcal{E} \in \mathbf{CMod}_{\mathbb{k}}$ the functor $(\cdot \otimes_{\mathbb{k}} \mathcal{E}): \mathbf{CMod}_{\mathbb{k}} \rightarrow \mathbf{CMod}_{\mathbb{k}}$ is left adjoint to $\mathrm{CHom}_{\mathbb{k}}(\mathcal{E}, \cdot)$, i.e. $\mathbf{CMod}_{\mathbb{k}}$ is closed monoidal.

PROOF: The proof is completely analogous to that of [Proposition 1.1.11](#). Alternatively, observe that (1.2.26) is a constraint subset of $\mathbf{CMap}(\mathcal{E}, \mathcal{F})$ which is compatible with composition. □

The fact that $\mathbf{CMod}_{\mathbb{k}}$ is closed monoidal implies that there is a natural isomorphism

$$\mathrm{Hom}_{\mathbb{k}}(\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F}, \mathcal{G}) \simeq \mathrm{Hom}_{\mathbb{k}}(\mathcal{E}, \mathrm{CHom}_{\mathbb{k}}(\mathcal{F}, \mathcal{G})) \tag{1.2.27}$$

for all $\mathcal{E}, \mathcal{F}, \mathcal{G} \in \mathbf{CMod}_{\mathbb{k}}$. A straightforward computation shows that (1.2.27) can be enhanced to an isomorphism

$$\mathrm{CHom}_{\mathbb{k}}(\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F}, \mathcal{G}) \simeq \mathrm{CHom}_{\mathbb{k}}(\mathcal{E}, \mathrm{CHom}_{\mathbb{k}}(\mathcal{F}, \mathcal{G})) \tag{1.2.28}$$

of constraint \mathbb{k} -modules. Here the T-component is just the usual tensor-hom adjunction of \mathbb{k} -modules and the N-component is exactly (1.2.27). It is also worth noting that as part of the adjunction we obtain the evaluation map

$$\mathrm{ev}: \mathrm{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F}) \otimes_{\mathbb{k}} \mathcal{E} \rightarrow \mathcal{F}, \quad \mathrm{ev}_{\mathrm{T}/\mathrm{N}}(\Phi \otimes x) = \Phi(x) \tag{1.2.29}$$

and the coevaluation map

$$\mathrm{coev}: \mathcal{F} \rightarrow \mathrm{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{E} \otimes_{\mathbb{k}} \mathcal{F}), \quad \mathrm{coev}_{\mathrm{T}/\mathrm{N}}(y)(x) = x \otimes y. \tag{1.2.30}$$

After investigating properties of the category $\mathbf{CMod}_{\mathbb{k}}$ itself, let us next look at how we can relate it to other known categories. By the way we defined constraint \mathbb{k} -modules it is clear that

forgetting all algebraic structure and using the equivalence relation induced by the 0-component yields a functor

$$U: \mathbf{CMod}_{\mathbb{k}} \rightarrow \mathbf{CSet}. \quad (1.2.31)$$

It is then easy to see that it preserves finite limits and is lax closed, since $\mathbf{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F}) \subseteq \mathbf{CMap}(U(\mathcal{E}), U(\mathcal{F}))$. There is also a forgetful functor to the T-component $U_T: \mathbf{CMod}_{\mathbb{k}} \rightarrow \mathbf{Mod}_{\mathbb{k}}$, similar to (1.1.19). Moreover, we can identify the category $\mathbf{Mod}_{\mathbb{k}}$ of classical \mathbb{k} -modules with the subcategory of $\mathbf{CMod}_{\mathbb{k}}$ consisting of constraint modules of the form $(\mathcal{E}, \mathcal{E}, 0)$. We will often use this identification implicitly. In particular we will write $\mathbb{k} = (\mathbb{k}, \mathbb{k}, 0)$.

1.2.2.1 Embedded Constraint Modules

Similar to the case of embedded constraint sets we can also consider constraint \mathbb{k} -modules \mathcal{E} with injective module morphism $\iota_{\mathcal{E}}$. We will denote the subcategory of $\mathbf{CMod}_{\mathbb{k}}$ consisting of such embedded constraint \mathbb{k} -modules by $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$.

Proposition 1.2.24 (The category $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$)

i.) $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ is a reflective subcategory of $\mathbf{CMod}_{\mathbb{k}}$ with reflector $\cdot^{\text{emb}}: \mathbf{CMod}_{\mathbb{k}} \rightarrow \mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ given by

$$\mathcal{E}^{\text{emb}} := (\mathcal{E}_T, \iota_{\mathcal{E}}(\mathcal{E}_N), \iota_{\mathcal{E}}(\mathcal{E}_0)). \quad (1.2.32)$$

ii.) The subcategory $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ of $\mathbf{CMod}_{\mathbb{k}}$ is closed under finite limits.

iii.) $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ is closed symmetric monoidal with respect to $\otimes_{\mathbb{k}}^{\text{emb}}$ defined by

$$\mathcal{E} \otimes_{\mathbb{k}}^{\text{emb}} \mathcal{F} := (\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F})^{\text{emb}}. \quad (1.2.33)$$

iv.) The functor $\cdot^{\text{emb}}: (\mathbf{CMod}_{\mathbb{k}}, \otimes_{\mathbb{k}}) \rightarrow (\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}, \otimes_{\mathbb{k}}^{\text{emb}})$ is monoidal.

PROOF: By definition $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ is a full subcategory of $\mathbf{CMod}_{\mathbb{k}}$. To show that \cdot^{emb} is left adjoint to the embedding $U: \mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}} \rightarrow \mathbf{CMod}_{\mathbb{k}}$ consider the natural transformations

$$\varepsilon: (\cdot^{\text{emb}}) \circ U \implies \text{id}_{\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}} \quad \text{and} \quad \eta: \text{id}_{\mathbf{CMod}_{\mathbb{k}}} \implies U \circ (\cdot^{\text{emb}})$$

given by $\varepsilon_{\mathcal{E}} := \text{id}_{\mathcal{E}}$ and $\eta_{\mathcal{E}} := (\text{id}_{\mathcal{E}_T}, \iota_{\mathcal{E}})$. The triangle identities are then easily checked, and we immediately see that ε is a natural isomorphism. This yields the first part. Since every reflective subcategory is closed under limits, the second part follows directly from the first. For the last two parts we use a simple version of Day's reflection theorem, see [Theorem A.5.3](#). To see that \cdot^{emb} is monoidal, see [Definition A.4.5](#), it remains to show that

$$(\eta_{\mathcal{E}} \otimes \eta_{\mathcal{F}})^{\text{emb}}: (\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F})^{\text{emb}} \rightarrow (\mathcal{E}^{\text{emb}} \otimes_{\mathbb{k}} \mathcal{F}^{\text{emb}})^{\text{emb}}$$

is an isomorphism for all $\mathcal{E}, \mathcal{F} \in \mathbf{CMod}_{\mathbb{k}}$. This is clear, since $(\eta_{\mathcal{E}} \otimes \eta_{\mathcal{F}})^{\text{emb}}(\iota_{\mathcal{E}}(x) \otimes \iota_{\mathcal{F}}(y)) = \iota_{\mathcal{E}}(x) \otimes \iota_{\mathcal{F}}(y)$. \square

The tensor product of two injective module maps is in general not injective. Thus $\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F}$ might not be embedded, even if \mathcal{E} and \mathcal{F} are. The definition of $\otimes_{\mathbb{k}}^{\text{emb}}$ cures this defect. However, this results in $U: \mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}} \rightarrow \mathbf{CMod}_{\mathbb{k}}$ not being a monoidal functor. Moreover, $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ is not closed under colimits as the next example shows, cf. [Example 1.1.15](#).

Example 1.2.25 Consider the embedded constraint \mathbb{R} -module $\mathcal{E} = (\mathbb{R}^2, \mathbb{R}^2, 0)$ and its embedded constraint submodule $\mathcal{F} = (\mathbb{R}, 0, 0)$. Then its quotient $\mathcal{E}/\mathcal{F} = (\mathbb{R}, \mathbb{R}^2, 0)$ is not embedded.

1.2.2.2 Reduction on $\mathbf{CMod}_{\mathbb{k}}$

Since the 0-component encodes the equivalence relation on the N-components, reduction is simply given by their quotient. We collect properties of the reduction functor:

Proposition 1.2.26 (Reduction on $\mathbf{CMod}_{\mathbb{k}}$)

i.) Mapping constraint \mathbb{k} -modules \mathcal{E} to $\mathcal{E}_{\text{red}} := \mathcal{E}_{\mathbb{N}}/\mathcal{E}_0$ and constraint morphisms to the induced morphisms on the quotient we obtain a functor

$$\text{red}: \mathbf{CMod}_{\mathbb{k}} \rightarrow \mathbf{Mod}_{\mathbb{k}}. \quad (1.2.34)$$

ii.) The functor $\text{red}: \mathbf{CMod}_{\mathbb{k}} \rightarrow \mathbf{Mod}_{\mathbb{k}}$ is monoidal.

iii.) The functor $\text{red}: \mathbf{CMod}_{\mathbb{k}} \rightarrow \mathbf{Mod}_{\mathbb{k}}$ is lax closed with injective natural transformation $\text{red} \circ \mathbf{CHom}_{\mathbb{k}} \Rightarrow \mathbf{Hom}_{\mathbb{k}} \circ (\text{red} \times \text{red})$.

iv.) The functor $\text{red}: \mathbf{CMod}_{\mathbb{k}} \rightarrow \mathbf{Mod}_{\mathbb{k}}$ preserves finite limits and colimits.

PROOF: The first part is obvious. To show that red is monoidal, observe that $\mathbb{k}_{\text{red}} = \mathbb{k}/0 \simeq \mathbb{k}$. Moreover, $[x \otimes y] \mapsto [x] \otimes [y]$ gives an isomorphism

$$(\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F})_{\text{red}} = (\mathcal{E}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}}) / (\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}} + \mathcal{E}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{F}_0) \simeq \mathcal{E}_{\mathbb{N}}/\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}}/\mathcal{F}_0 = \mathcal{E}_{\text{red}} \otimes_{\mathbb{k}} \mathcal{F}_{\text{red}}.$$

These isomorphisms are clearly natural. Since morphisms of constraint modules preserve the 0-component we obtain a morphism $\eta_{\mathcal{E}, \mathcal{F}}: \mathbf{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})_{\text{red}} \rightarrow \mathbf{Hom}_{\mathbb{k}}(\mathcal{E}_{\text{red}}, \mathcal{F}_{\text{red}})$, which is injective since $\mathbf{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})$ contains exactly those morphisms which vanish after reduction. This shows the lax closedness of red . It is easy to see that reduction preserves the co/limits listed in [Proposition 1.2.15](#). From this it follows directly that red preserves all finite limits and colimits. \square

By contrast, reduction on the monoidal category $(\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}, \otimes_{\mathbb{k}}^{\text{emb}})$ is in general not monoidal, since $\mathbf{U}: \mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}} \rightarrow \mathbf{CMod}_{\mathbb{k}}$ is not.

1.2.3 Strong Constraint \mathbb{k} -Modules

Considering \mathbb{k} -modules constructed internal to the category $\mathbf{C}_{\text{str}}\mathbf{Set}$ of strong constraint sets we would obtain an abelian strong constraint group $\mathcal{E} = (\mathcal{E}_{\mathbb{T}}, \mathcal{E}_{\mathbb{N}}, \mathcal{E}_0)$ together with \mathbb{k} -multiplications. From [Definition 1.2.13](#) it is clear that abelian strong constraint groups do not differ from abelian constraint groups. Thus, as objects, strong constraint \mathbb{k} -modules coincide with constraint \mathbb{k} -modules. However, thinking of the 0-component as defining an equivalence relation on the T-component leads to a different kind of tensor product.

To motivate the definition we anticipate the introduction of (strong) constraint algebras in [Section 1.4.1](#): A constraint algebra \mathcal{A} will be defined as a constraint \mathbb{k} -module together with a multiplication $\mu: \mathcal{A} \otimes_{\mathbb{k}} \mathcal{A} \rightarrow \mathcal{A}$. By definition of $\otimes_{\mathbb{k}}$ this will implement \mathcal{A}_0 as a two-sided ideal in $\mathcal{A}_{\mathbb{N}}$. Now for a strong constraint algebra \mathcal{A} we expect \mathcal{A}_0 to behave like a two-sided ideal in $\mathcal{A}_{\mathbb{T}}$. To implement this idea, at least for embedded modules, we need to modify our tensor product to

$$(\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_0 = \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}} + \mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_0. \quad (1.2.35)$$

In order to turn $\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F}$ into a constraint module we have to enlarge the N-component to

$$(\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_{\mathbb{N}} = \mathcal{E}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}} + \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}} + \mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_0. \quad (1.2.36)$$

If we want to implement this tensor product also for non-embedded modules, we have to replace the internal sum of submodules in (1.2.35) and (1.2.36) by an external direct sum. To prevent counting elements in $\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}}$ and elements in $\mathcal{E}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{F}_0$ twice we have to quotient by an appropriate ideal. This leads to the following definition:

Proposition 1.2.27 (Strong tensor product)

i.) Let $\mathcal{E}, \mathcal{F} \in \mathbf{CMod}_{\mathbb{k}}$. Then

$$\begin{aligned} (\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_{\mathbb{T}} &:= \mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}}, \\ (\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_{\mathbb{N}} &:= \frac{(\mathcal{E}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}}) \oplus (\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}}) \oplus (\mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_0)}{\mathcal{I}_{\mathcal{F}}^{\mathcal{E}}}, \\ (\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_0 &:= \frac{(\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}} + \mathcal{E}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{F}_0) \oplus (\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}}) \oplus (\mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_0)}{\mathcal{I}_{\mathcal{F}}^{\mathcal{E}}}, \end{aligned} \quad (1.2.37)$$

with

$$\begin{aligned} \mathcal{I}_{\mathcal{F}}^{\mathcal{E}} &:= \text{span}_{\mathbb{k}}\{(x_0 \otimes y, 0, 0) - (0, x_0 \otimes \iota_{\mathcal{F}}(y), 0) \mid x_0 \in \mathcal{E}_0, y \in \mathcal{F}_{\mathbb{N}}\} \\ &\quad + \text{span}_{\mathbb{k}}\{(x \otimes y_0, 0, 0) - (0, 0, \iota_{\mathcal{E}}(x) \otimes y_0) \mid x \in \mathcal{E}_{\mathbb{N}}, y_0 \in \mathcal{F}_0\} \end{aligned} \quad (1.2.38)$$

and $\iota_{\boxtimes} = \iota_{\mathcal{E}} \otimes \iota_{\mathcal{F}} + \iota_{\mathcal{E}} \otimes \text{id}_{\mathcal{F}_{\mathbb{T}}} + \text{id}_{\mathcal{E}_{\mathbb{T}}} \otimes \iota_{\mathcal{F}}$, is a constraint \mathbb{k} -module.

ii.) The category $\mathbf{CMod}_{\mathbb{k}}$ equipped with the tensor product $\boxtimes_{\mathbb{k}}$ is a symmetric monoidal category with unit $(\mathbb{k}, \mathbb{k}, 0)$.

PROOF: The first part is clear. For the second part consider the constraint \mathbb{k} -module $\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F} \boxtimes_{\mathbb{k}} \mathcal{G}$ defined by

$$\begin{aligned} (\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F} \boxtimes_{\mathbb{k}} \mathcal{G})_{\mathbb{T}} &:= \mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{G}_{\mathbb{T}}, \\ (\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F} \boxtimes_{\mathbb{k}} \mathcal{G})_{\mathbb{N}} &:= \frac{(\mathcal{E}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{G}_{\mathbb{N}}) \oplus (\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{G}_{\mathbb{T}}) \oplus (\mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_0 \otimes_{\mathbb{k}} \mathcal{G}_{\mathbb{T}}) \oplus (\mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{G}_0)}{\mathcal{J}}, \\ (\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F} \boxtimes_{\mathbb{k}} \mathcal{G})_0 &:= \frac{(\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F} \otimes_{\mathbb{k}} \mathcal{G})_0 \oplus (\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{G}_{\mathbb{T}}) \oplus (\mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_0 \otimes_{\mathbb{k}} \mathcal{G}_{\mathbb{T}}) \oplus (\mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{G}_0)}{\mathcal{J}}, \end{aligned}$$

with

$$\begin{aligned} \mathcal{J} &:= \text{span}_{\mathbb{k}}\{((x_0 \otimes y \otimes z), 0, 0, 0) - (0, (x_0 \otimes \iota_{\mathcal{F}}(y) \otimes \iota_{\mathcal{G}}(z)), 0, 0) \mid x_0 \in \mathcal{E}_0, y \in \mathcal{F}_{\mathbb{N}}, z \in \mathcal{G}_{\mathbb{N}}\} \\ &\quad + \text{span}_{\mathbb{k}}\{((x \otimes y_0 \otimes z), 0, 0, 0) - (0, 0, (\iota_{\mathcal{E}}(x) \otimes y_0 \otimes \iota_{\mathcal{G}}(z)), 0) \mid x \in \mathcal{E}_{\mathbb{N}}, y_0 \in \mathcal{F}_0, z \in \mathcal{G}_{\mathbb{N}}\} \\ &\quad + \text{span}_{\mathbb{k}}\{((x \otimes y \otimes z_0), 0, 0, 0) - (0, 0, 0, (\iota_{\mathcal{E}}(x) \otimes \iota_{\mathcal{F}}(y) \otimes z)) \mid x \in \mathcal{E}_{\mathbb{N}}, y \in \mathcal{F}_{\mathbb{N}}, z_0 \in \mathcal{G}_0\}. \end{aligned}$$

Note that we implicitly use the associativity of the classical tensor product. It is now easy to write down canonical isomorphisms between $\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F} \boxtimes_{\mathbb{k}} \mathcal{G}$ and $(\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F}) \boxtimes_{\mathbb{k}} \mathcal{G}$ as well as $\mathcal{E} \boxtimes_{\mathbb{k}} (\mathcal{F} \boxtimes_{\mathbb{k}} \mathcal{G})$ by specifying it on every direct summand separately and checking that it is well-defined on the quotient by \mathcal{J} . It is then a straightforward but incredibly tedious task to check all properties of a monoidal category, see [Definition A.4.1](#) \square

Definition 1.2.28 (The category $\mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathbb{k}}$) We call $\boxtimes_{\mathbb{k}}$ the strong tensor product of constraint \mathbb{k} -modules and denote the monoidal category $(\mathbf{CMod}_{\mathbb{k}}, \boxtimes_{\mathbb{k}})$ by $\mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathbb{k}}$.

Note that as categories $\mathbf{CMod}_{\mathbb{k}}$ and $\mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathbb{k}}$ are the same, they only differ by their monoidal structure. Even though there is no difference between modules from $\mathbf{CMod}_{\mathbb{k}}$ and $\mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathbb{k}}$ we will write $\mathcal{E} \in \mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathbb{k}}$ and call \mathcal{E} a strong constraint \mathbb{k} -module if we want to stress that the tensor product to be used is $\boxtimes_{\mathbb{k}}$. Later on, when we consider modules over constraint algebras, we will need to distinguish strong constraint from constraint modules more carefully.

Note that we can easily reformulate the 0-component as

$$(\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_0 \simeq \frac{(\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}}) \oplus (\mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_0)}{\text{span}_{\mathbb{k}}\{(x_0 \otimes \iota_{\mathcal{F}}(y_0), 0) - (0, (\iota_{\mathcal{E}}(x_0) \otimes y_0))\}}. \quad (1.2.39)$$

To unwind the definition of $\boxtimes_{\mathbb{k}}$ observe that $(\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_N$ is a colimit of \mathbb{k} -modules:

$$\begin{array}{ccc}
 & \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_N & \xrightarrow{\text{id} \otimes \iota_{\mathcal{F}}} & \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_T \\
 & \downarrow & & \downarrow \\
 \mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_0 & \longrightarrow & \mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_N & \dashrightarrow & (\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_N \\
 \downarrow \iota_{\mathcal{E}} \otimes \text{id} & & & & \uparrow \\
 \mathcal{E}_T \otimes_{\mathbb{k}} \mathcal{F}_0 & & & & \dashrightarrow & (\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_N
 \end{array} \tag{1.2.40}$$

From this the following characterization of morphisms on strong tensor products follows directly.

Lemma 1.2.29 *Let $\mathcal{E}, \mathcal{F}, \mathcal{G} \in \mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$ be given. A constraint morphism $\Phi: \mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F} \rightarrow \mathcal{G}$ is equivalently given by module morphisms*

$$\Phi_T: \mathcal{E}_T \otimes_{\mathbb{k}} \mathcal{F}_T \rightarrow \mathcal{G}_T, \tag{1.2.41}$$

$$\Phi_N^{\text{NN}}: \mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_N \rightarrow \mathcal{G}_N, \tag{1.2.42}$$

$$\Phi_N^{\text{T0}}: \mathcal{E}_T \otimes_{\mathbb{k}} \mathcal{F}_0 \rightarrow \mathcal{G}_0, \tag{1.2.43}$$

$$\Phi_N^{\text{0T}}: \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_T \rightarrow \mathcal{G}_0, \tag{1.2.44}$$

such that

$$\nu_{\mathcal{G}} \circ \Phi_N^{\text{NN}} = \Phi_T \circ (\nu_{\mathcal{E}} \otimes \nu_{\mathcal{F}}), \tag{1.2.45}$$

$$\nu_{\mathcal{G}} \circ \Phi_N^{\text{T0}} = \Phi_T \circ (\text{id}_{\mathcal{E}_T} \otimes \nu_{\mathcal{F}}), \tag{1.2.46}$$

$$\nu_{\mathcal{G}} \circ \Phi_N^{\text{0T}} = \Phi_T \circ (\nu_{\mathcal{E}} \otimes \text{id}_{\mathcal{F}_T}) \tag{1.2.47}$$

hold.

Since $\mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$ and $\mathbf{CMod}_{\mathbb{k}}$ are the same as categories, we see that $\mathbf{C}_{\text{str}}\text{Mod}$ obtains actually two monoidal structures $\boxtimes_{\mathbb{k}}$ and $\otimes_{\mathbb{k}}$. These are obviously not independent.

Proposition 1.2.30 *The identity functor $\mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}} \rightarrow \mathbf{CMod}_{\mathbb{k}}$ is lax monoidal with the morphism $\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F} \rightarrow \mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F}$ given by the identity on the T-component and the inclusion in the first summand in the N-component for all $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$.*

PROOF: Since the units for $\otimes_{\mathbb{k}}$ and $\boxtimes_{\mathbb{k}}$ agree, the identity functor clearly preserves them. For all $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$ the map $\mu_{\mathcal{E}, \mathcal{F}}: \mathcal{E} \otimes_{\mathbb{k}} \mathcal{F} \rightarrow \mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F}$ is defined by $(\mu_{\mathcal{E}, \mathcal{F}})_T = \text{id}$ and $(\mu_{\mathcal{E}, \mathcal{F}})_N = \text{pr} \circ i_1$, with

$$i_1: \mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_N \rightarrow (\mathcal{E}_N \otimes_{\mathbb{k}} \mathcal{F}_N) \oplus (\mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_T) \oplus (\mathcal{E}_T \otimes_{\mathbb{k}} \mathcal{F}_0)$$

the inclusion into the first component and pr the projection on the quotient as a constraint \mathbb{k} -module morphism. It is now a straightforward check that $\mu_{\mathcal{E}, \mathcal{F}}$ fulfils the properties of a lax monoidal functor, see [Bor94a, Def. 7.5.1]. \square

Moreover, $\mathbf{CMod}_{\mathbb{k}}$, and therefore $\mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$ too, are $\mathbf{CMod}_{\mathbb{k}}$ -enriched categories. However, $\mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$ is not closed monoidal with respect to $\boxtimes_{\mathbb{k}}$ but only with respect to $\otimes_{\mathbb{k}}$. Thus we will not repeat the structure and compatibilities for the internal hom.

1.2.3.1 Embedded Strong Constraint Modules

Recall that the subcategory of constraint modules \mathcal{E} with injective $\iota_{\mathcal{E}}$ is denoted by $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$. In general we know that $\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F}$ might not be embedded, even if \mathcal{E} and \mathcal{F} are. However, by [Proposition 1.2.24](#) we see that $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ is a reflective subcategory of $\mathbf{CMod}_{\mathbb{k}}$, and we can use this to define a new tensor product on $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$.

Proposition 1.2.31

i.) $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ is symmetric monoidal with respect to $\boxtimes_{\mathbb{k}}^{\text{emb}}$ defined by

$$\mathcal{E} \boxtimes_{\mathbb{k}}^{\text{emb}} \mathcal{F} := (\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})^{\text{emb}}. \quad (1.2.48)$$

ii.) The functor $\cdot^{\text{emb}}: (\mathbf{CMod}_{\mathbb{k}}, \boxtimes_{\mathbb{k}}) \rightarrow (\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}, \boxtimes_{\mathbb{k}}^{\text{emb}})$ is monoidal.

PROOF: We use again Day's reflection theorem, see [Theorem A.5.3](#). The only thing left to show then is $(\eta \otimes \eta)^{\text{emb}}: (\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})^{\text{emb}} \rightarrow (\mathcal{E}^{\text{emb}} \boxtimes_{\mathbb{k}} \mathcal{F}^{\text{emb}})^{\text{emb}}$, with $\eta_{\mathcal{E}}: \mathcal{E} \rightarrow \mathcal{E}^{\text{emb}}$ given by $(\eta_{\mathcal{E}})_{\mathbb{T}} = \text{id}_{\mathcal{E}_{\mathbb{T}}}$ and $(\eta_{\mathcal{F}})_{\mathbb{N}}(x) = \iota_{\mathcal{E}}(x)$, is an isomorphism for all $\mathcal{E}, \mathcal{F} \in \mathbf{CMod}_{\mathbb{k}}$. This is clear since

$$(\eta \otimes \eta)^{\text{emb}}(\iota_{\mathcal{E}}(x) \otimes \iota_{\mathcal{F}}(y)) = \iota_{\mathcal{E}}(x) \otimes \iota_{\mathcal{F}}(y). \quad \square$$

More explicitly, we have

$$\begin{aligned} (\mathcal{E} \boxtimes_{\mathbb{k}}^{\text{emb}} \mathcal{F})_{\mathbb{T}} &= \mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}}, \\ (\mathcal{E} \boxtimes_{\mathbb{k}}^{\text{emb}} \mathcal{F})_{\mathbb{N}} &= \mathcal{E}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}} + \mathcal{E}_{\mathbb{T}} \otimes_{\mathbb{k}} \mathcal{F}_0 + \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}}, \\ (\mathcal{E} \boxtimes_{\mathbb{k}}^{\text{emb}} \mathcal{F})_{\mathbb{0}} &= \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_0 + \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{T}}, \end{aligned} \quad (1.2.49)$$

where we consider the N- and 0-components to be the submodules generated by elements of the given form. This is exactly what we expected when motivating the definition of $\boxtimes_{\mathbb{k}}$.

Definition 1.2.32 (The category $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$) The monoidal category $(\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}, \boxtimes_{\mathbb{k}}^{\text{emb}})$ is denoted by $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$.

In analogy to constraint modules it should be noted that the forgetful functor $\mathbf{U}: \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}} \rightarrow \mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathbb{k}}$ is not monoidal. Summarizing, we showed that the two monoidal structures $\otimes_{\mathbb{k}}$ and $\boxtimes_{\mathbb{k}}$ induce monoidal structures $\otimes_{\mathbb{k}}^{\text{emb}}$ and $\boxtimes_{\mathbb{k}}^{\text{emb}}$ on $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$. The compatibility from [Proposition 1.2.30](#) carries over to the embedded modules.

Proposition 1.2.33

i.) The identity functor $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}} \rightarrow \mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ is lax monoidal with $\mathcal{E} \otimes_{\mathbb{k}}^{\text{emb}} \mathcal{F} \rightarrow \mathcal{E} \boxtimes_{\mathbb{k}}^{\text{emb}} \mathcal{F}$ for all $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ given by the identity on the T-component and the inclusion in the N-component.

ii.) Let $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathbb{k}}$ be given. Then there is an isomorphism of constraint \mathbb{k} -modules such that

$$\begin{aligned} \left(\frac{\mathcal{E} \boxtimes_{\mathbb{k}}^{\text{emb}} \mathcal{F}}{\mathcal{E} \otimes_{\mathbb{k}}^{\text{emb}} \mathcal{F}} \right)_{\mathbb{T}} &\simeq 0, \\ \left(\frac{\mathcal{E} \boxtimes_{\mathbb{k}}^{\text{emb}} \mathcal{F}}{\mathcal{E} \otimes_{\mathbb{k}}^{\text{emb}} \mathcal{F}} \right)_{\mathbb{N}} &\simeq \left(\mathcal{E}_0 \otimes_{\mathbb{k}}^{\text{emb}} \frac{\mathcal{F}_{\mathbb{T}}}{\mathcal{F}_{\mathbb{N}}} \right) \oplus \left(\frac{\mathcal{E}_{\mathbb{T}}}{\mathcal{E}_{\mathbb{N}}} \otimes_{\mathbb{k}}^{\text{emb}} \mathcal{E}_0 \right), \\ \left(\frac{\mathcal{E} \boxtimes_{\mathbb{k}}^{\text{emb}} \mathcal{F}}{\mathcal{E} \otimes_{\mathbb{k}}^{\text{emb}} \mathcal{F}} \right)_{\mathbb{0}} &\simeq \left(\frac{\mathcal{E} \boxtimes_{\mathbb{k}}^{\text{emb}} \mathcal{F}}{\mathcal{E} \otimes_{\mathbb{k}}^{\text{emb}} \mathcal{F}} \right)_{\mathbb{N}}. \end{aligned} \quad (1.2.50)$$

PROOF: The proof for the first part is completely analogous to that of [Proposition 1.2.30](#). The second part follows from the explicit description of $\boxtimes_{\mathbb{k}}^{\text{emb}}$ in [\(1.2.49\)](#) and the definition of $\otimes_{\mathbb{k}}^{\text{emb}}$ in [Proposition 1.2.22](#). \square

1.2.3.2 Reduction

The categories $\mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$ and $\mathbf{CMod}_{\mathbb{k}}$ only differ by their monoidal structure. Hence the results from [Proposition 1.2.26](#) apply also for the reduction of strong constraint modules. The only new structure introduced, namely $\boxtimes_{\mathbb{k}}$, coincides with $\otimes_{\mathbb{k}}$ after reduction as already indicated in [Proposition 1.2.33](#):

Proposition 1.2.34 (Reduction on $\mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$) *The functor reduction functor*

$$\text{red}: (\mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}, \boxtimes_{\mathbb{k}}) \rightarrow (\text{Mod}_{\mathbb{k}}, \otimes_{\mathbb{k}}) \quad (1.2.51)$$

is monoidal. In particular we have

$$(\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_{\text{red}} \simeq (\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F})_{\text{red}} \quad (1.2.52)$$

for all $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$.

PROOF: We directly have

$$(\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_{\text{red}} = \frac{(\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_{\mathbb{N}}}{(\mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{F})_{\mathbb{0}}} \simeq \frac{\mathcal{E}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}}}{\mathcal{E}_{\mathbb{0}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{N}} + \mathcal{E}_{\mathbb{N}} \otimes_{\mathbb{k}} \mathcal{F}_{\mathbb{0}}} = (\mathcal{E} \otimes_{\mathbb{k}} \mathcal{F})_{\text{red}}$$

for $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$. The properties of a monoidal functor can be checked directly by writing out the above isomorphism on elements, see [Definition A.4.5](#) for the definition of monoidal functor. \square

1.3 Interlude: Constraint Linear Algebra

Before we continue to construct (strong) constraint algebras in [Section 1.4](#), let us take a step back and examine the structures present on the categories of (strong) constraint \mathbb{k} -modules in the special case of \mathbb{k} being a field. In other words we will consider constraint vector spaces.

One of the main features distinguishing vector spaces from general modules is the existence of a basis. For a constraint vector space V a constraint basis should be given by a constraint subset $B \subseteq V$. Though we could introduce bases this way, it is more convenient to use a slightly different notion of sets in the constraint setting, namely that of constraint index sets. These will also play an important role in our study of free and projective constraint modules in [Section 1.5](#). We will introduce and study constraint index sets in [Section 1.3.1](#) before we come back to constraint vector spaces in [Section 1.3.2](#).

1.3.1 Constraint Index Sets

Recall that our algebraic notions, like constraint groups and modules, have underlying constraint sets. This can be understood as a consequence of constructing these objects as algebraic objects internal to the category \mathbf{CSet} and its relatives. Nevertheless, in our definitions of constraint groups and modules we rephrased the equivalence relation on the \mathbb{N} -component in terms of normal subgroups and submodules. Thus instead of forgetting to the underlying constraint set, where we recover the equivalence relation from the $\mathbb{0}$ -component, we could also forget all algebraic structure but keep the $\mathbb{0}$ -component as a subset of the \mathbb{N} -component. This leads to a different notion of underlying set for constraint objects:

Definition 1.3.1 (Constraint index sets)

- i.) A constraint index set consists of a map $\iota_M: M_N \rightarrow M_T$ of sets together with a subset $M_0 \subseteq M_N$.
- ii.) A morphism $f: M \rightarrow N$ of constraint sets M and N (or constraint morphism) consists of maps $f_T: M_T \rightarrow N_T$ and $f_N: M_N \rightarrow N_N$ such that $f_T \circ \iota_M = \iota_N \circ f_N$ and $f_N(M_0) \subseteq N_0$.
- iii.) The category of constraint index sets and their morphisms is denoted by $\mathbf{C}_{\text{indSet}}$.

Example 1.3.2 There are obvious forgetful functors from the categories \mathbf{CGroup} and \mathbf{CMod}_k to $\mathbf{C}_{\text{indSet}}$ by forgetting all algebraic structure.

Proposition 1.3.3 (Co/limits in $\mathbf{C}_{\text{indSet}}$) Let M, N and P be constraint index sets and let $f, g: M \rightarrow N$ as well as $h: P \rightarrow N$ be constraint morphisms.

- i.) The initial object in $\mathbf{C}_{\text{indSet}}$ is given by $(\emptyset, \emptyset, \emptyset)$.
- ii.) The final object in $\mathbf{C}_{\text{indSet}}$ is given by $(\{\text{pt}\}, \{\text{pt}\}, \{\text{pt}\})$.
- iii.) The product is given by

$$\begin{aligned} (M \times N)_T &= M_T \times N_T, \\ (M \times N)_N &= M_N \times N_N, \\ (M \times N)_0 &= M_0 \times N_0, \end{aligned} \tag{1.3.1}$$

with the product map $\iota_{M \times N} = \iota_M \times \iota_N: M_N \times N_N \longrightarrow M_T \times N_T$.

- iv.) The coproduct is given by

$$\begin{aligned} (M \sqcup N)_T &= M_T \sqcup N_T, \\ (M \sqcup N)_N &= M_N \sqcup N_N, \\ (M \sqcup N)_0 &= M_0 \sqcup N_0, \end{aligned} \tag{1.3.2}$$

with the coproduct map $\iota_M \sqcup \iota_N: M_N \sqcup N_N \longrightarrow M_T \sqcup N_T$.

- v.) The pullback of f and h is given by

$$\begin{aligned} (M \times_h P)_T &= M_T \times_{f_T \times h_T} P_T, \\ (M \times_h P)_N &= M_N \times_{f_N \times h_N} P_N, \\ (M \times_h P)_0 &= (f_N)^{-1}(N_0) \times_{f_N \times h_N} (h_N)^{-1}(N_0), \end{aligned} \tag{1.3.3}$$

with projection maps

$$(\text{pr}_T^M, \text{pr}_N^M): (M \times_h P) \longrightarrow M, \tag{1.3.4}$$

$$(\text{pr}_T^P, \text{pr}_N^P): (M \times_h P) \longrightarrow N. \tag{1.3.5}$$

- vi.) The equalizer of f and g is given by

$$\begin{aligned} \text{eq}(f, g)_T &= \text{eq}(f_T, g_T) = \{x \in M_T \mid f_T(x) = g_T(x)\}, \\ \text{eq}(f, g)_N &= \text{eq}(f_N, g_N) = \{x \in M_N \mid f_N(x) = g_N(x)\}, \\ \text{eq}(f, g)_0 &= i_N^{-1}(M_0) = \{x \in M_0 \mid f_N(x) = g_N(x)\}, \end{aligned} \tag{1.3.6}$$

with $i = (i_T, i_N): \text{eq}(f, g) \rightarrow M$ given by the inclusions i_T and i_N of $\text{eq}(f_T, g_T)$ and $\text{eq}(f_N, g_N)$ into M_T and M_N , respectively.

vii.) The coequalizer of f and g is given by

$$\begin{aligned} \text{coeq}(f, g)_T &= \text{coeq}(f_T, g_T), \\ \text{coeq}(f, g)_N &= \text{coeq}(f_N, g_N), \\ \text{coeq}(f, g)_0 &= q_N(N_0) \end{aligned} \tag{1.3.7}$$

with the morphism $q = (q_T, q_N): N \rightarrow \text{coeq}(f, g)$ of constraint index sets. Here the maps $q_N: N_N \rightarrow \text{coeq}(f_N, g_N)$ and $q_T: N_T \rightarrow \text{coeq}(f_T, g_T)$ denote the coequalizer in **Set** of f_N, g_N and f_T, g_T , respectively.

viii.) The category $\mathbf{C}_{\text{ind}}\mathbf{Set}$ has all finite limits and colimits.

PROOF: The proof follows from the same arguments as the proof of [Proposition 1.1.2](#). In particular, the T- and N-components are given by the classical statements in **Set**. The 0-component is then always given by the smallest subset of the N-component such that the involved morphisms become constraint. \square

As for constraint sets and constraint modules we have to distinguish between monos (epis) and regular monos (epis).

Proposition 1.3.4 (Mono- and epimorphisms in $\mathbf{C}_{\text{ind}}\mathbf{Set}$) *Let $f: M \rightarrow N$ be a constraint morphism between constraint index sets.*

- i.) f is a monomorphism if and only if f_T and f_N are injective maps.
- ii.) f is an epimorphism if and only if f_T and f_N are surjective maps.
- iii.) f is a regular monomorphism if and only if it is a monomorphism with $f_N^{-1}(N_0) = M_0$.
- iv.) f is a regular epimorphism if and only if it is an epimorphism with $f_N(M_0) = N_0$.

PROOF: Statements i.) and ii.) follow by the same arguments used in [Proposition 1.1.4](#). Then iii.) and iv.) follow by the characterization of equalizer and coequalizer in [Proposition 1.3.3](#). \square

Similarly to the case of constraint sets, it is not enough for a constraint morphism between constraint index sets to be an epimorphism and monomorphism in order to be invertible, cf. [Lemma 1.1.7](#):

Lemma 1.3.5 *Let $f: M \rightarrow N$ be a constraint morphism between constraint index sets. The following statements are equivalent:*

- i.) The constraint morphism f is an isomorphism.
- ii.) The constraint morphism f is a regular monomorphism and an epimorphism.
- iii.) The constraint morphism f is a monomorphism and a regular epimorphism.

PROOF: A constraint morphism is an isomorphism if and only if it is a bijection on the T-, N- and 0-components. Being a bijection on T- and N-components amounts to f_T and f_N being bijective. Moreover, f_N restricts to a bijection on the 0-component if and only if $f_N(M_0) = N_0$ or equivalently $f_N^{-1}(N_0) = M_0$. \square

We define subsets of constraint index sets as images of regular monomorphisms.

Definition 1.3.6 (Constraint index subsets) *A constraint subset of a constraint index set M consists of subsets $U_T \subseteq M_T$ and $U_N \subseteq M_N$ such that $\iota_M(U_N) \subseteq U_T$.*

We can view a constraint subset (U_T, U_N) of a constraint index set M itself as a constraint index set $U = (U_T, U_N, U_N \cap M_0)$ with a regular monomorphism $i: U \rightarrow M$ as embedding. For constraint subsets of constraint index set the following definitions will be useful:

Definition 1.3.7 (Union and Intersection of constraint subsets) *Let $M \in \mathbf{C}_{\text{ind}}\text{Set}$ and constraint subsets $U, V \subseteq M$ be given.*

i.) *The intersection of U and V is defined by*

$$U \cap V := (U_T \cap V_T, U_N \cap V_N, U_0 \cap V_0), \quad (1.3.8)$$

with $\iota_{U \cap V} = \iota_M|_{U_N \cap V_N}$.

ii.) *The union of U and V is defined by*

$$U \cup V := (U_T \cup V_T, U_N \cup V_N, U_0 \cup V_0), \quad (1.3.9)$$

with $\iota_{U \cup V} = \iota_M|_{U_N \cup V_N}$.

Note that $U \cup V$ and $U \cap V$ form again subsets of M since $U_0 \cap V_0 = (U_N \cap V_N) \cap M_0$ and $U_0 \cup V_0 = (U_N \cup V_N) \cup M_0$.

Let us from now on focus on *embedded* constraint index sets, i.e. those constraint index sets M with injective $\iota_M: M_N \rightarrow M_T$. We will denote their category by $\mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$. Even though most of what follows can be also considered inside the bigger category $\mathbf{C}_{\text{ind}}\text{Set}$ this would only complicate the exposition, and it will not be needed in the rest of the thesis.

For (embedded) strong constraint \mathbb{k} -modules we have constructed two different kinds of tensor products. There are now similar constructions available for embedded constraint index sets, which are not present in the classical category of sets.

Definition 1.3.8 (Tensor products and dual) *Let $M, N \in \mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$.*

i.) *The tensor product of M and N is defined by*

$$\begin{aligned} (M \otimes N)_T &:= M_T \times N_T, \\ (M \otimes N)_N &:= M_N \times N_N, \\ (M \otimes N)_0 &:= (M_N \times N_0) \cup (M_0 \times N_N). \end{aligned} \quad (1.3.10)$$

ii.) *The strong tensor product of M and N is defined by*

$$\begin{aligned} (M \boxtimes N)_T &:= M_T \times N_T, \\ (M \boxtimes N)_N &:= (M_N \times N_N) \cup (M_T \times N_0) \cup (M_0 \times N_T), \\ (M \boxtimes N)_0 &:= (M_T \times N_0) \cup (M_0 \times N_T). \end{aligned} \quad (1.3.11)$$

iii.) *The dual of M is defined by*

$$\begin{aligned} (M^*)_T &:= M_T, \\ (M^*)_N &:= M_T \setminus M_0, \\ (M^*)_0 &:= M_T \setminus M_N. \end{aligned} \quad (1.3.12)$$

iv.) *The reduction of M is defined by*

$$M_{\text{red}} := M_N \setminus M_0. \quad (1.3.13)$$

Since there is no dual for the sets M_N and N_0 we will often write M_N^* and M_0^* instead of $(M^*)_N$ and $(M^*)_0$, respectively. All of the above constructions can be shown to be functorial. Moreover, it is easy to see that \times , \otimes and \boxtimes yield monoidal structures on $\mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$. Using the dual we can decompose the (strong) tensor product as follows.

Lemma 1.3.9 *Let $M, N \in \mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$.*

i.) It holds that

$$\begin{aligned} (M \otimes N)_T &= (M \otimes N)_N \sqcup (M_0^* \times N_T) \sqcup (M_T \times N_0^*), \\ (M \otimes N)_N &= (M \otimes N)_0 \sqcup (M_{\text{red}} \times N_{\text{red}}). \end{aligned} \quad (1.3.14)$$

ii.) It holds that

$$\begin{aligned} (M \boxtimes N)_T &= (M \boxtimes N)_N \sqcup (M_0^* \times N_N^*) \sqcup (M_N^* \times N_0^*), \\ (M \boxtimes N)_N &= (M \boxtimes N)_0 \sqcup (M_{\text{red}} \times N_{\text{red}}) \\ &= (M \otimes N)_N \sqcup (M_0^* \times N_0) \sqcup (M_0 \times N_0^*), \\ (M \boxtimes N)_0 &= (M \otimes N)_0 \sqcup (M_0^* \times N_0) \sqcup (M_0 \times N_0^*). \end{aligned} \quad (1.3.15)$$

It can be useful to picture the components of \otimes and \boxtimes as subsets of the cartesian product as follows:

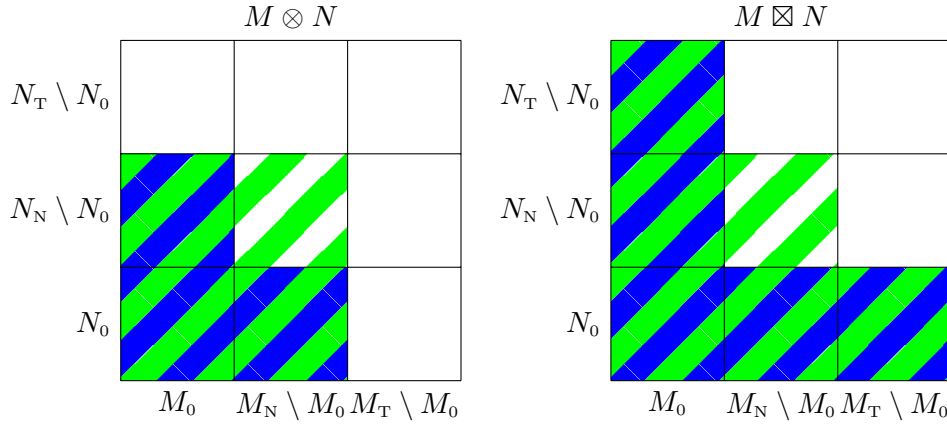


Figure 1.3.1: $M \otimes N$ and $M \boxtimes N$ as subsets of $M \times N$. N-components in green, 0-components in blue.

Notation 1.3.10 We will use scaled down versions of Figure 1.3.1. These will be rotated by 45° counter-clockwise, such that $M_0 \times N_0$ is represented by the bottom diamond. For example, for constraint index sets M and N we write

$$\begin{aligned} (M \otimes N)_N &= M \blacklozenge N & (M \boxtimes N)_N &= M \blacklozenge N \\ (M \otimes N)_0 &= N \blacklozenge N & (M \boxtimes N)_0 &= M \blacklozenge N \end{aligned}$$

as subsets of $M_T \times N_T$.

We can also combine the whole constraint index set into one picture by using an overlay of N-and 0-component:

$$M \otimes N = M \blacklozenge N \quad M \boxtimes N = M \blacklozenge N.$$

Observe that in this notation the dual is given by inverting the colours, i.e. white becomes black, black becomes white and grey stays grey. We can also replace M and N by their duals if we also reflect the diamond along its horizontal axis, e.g. $M \blacklozenge N = M^* \blacklozenge N^*$. The reduction of a diamond is given by its grey parts, e.g. $(M \blacklozenge N)_{\text{red}} = M \blacklozenge N$.

With this notation the following compatibilities are easy to prove.

Proposition 1.3.11 *Let $M, N \in \mathbf{C}_{\text{ind}}^{\text{emb}} \mathbf{Set}$.*

i.) We have

$$(M \otimes N)_{\text{red}} = M_{\text{red}} \times N_{\text{red}}. \quad (1.3.16)$$

ii.) We have

$$(M \boxtimes N)_{\text{red}} = M_{\text{red}} \times N_{\text{red}}. \quad (1.3.17)$$

iii.) We have

$$(M^*)_{\text{red}} = M_{\text{red}}. \quad (1.3.18)$$

iv.) We have

$$(M \otimes N)^* = M^* \boxtimes N^*. \quad (1.3.19)$$

v.) We have

$$(M \boxtimes N)^* = M^* \otimes N^*. \quad (1.3.20)$$

vi.) We have

$$(M^*)^* = M. \quad (1.3.21)$$

PROOF: We compute *iii.)* and *vi.)* explicitly: We have

$$(M^*)_{\text{red}} = (M^*)_{\text{N}} \setminus (M^*)_{\text{0}} = (M_{\text{T}} \setminus M_{\text{0}}) \setminus (M_{\text{T}} \setminus M_{\text{N}}) = M_{\text{N}} \setminus M_{\text{0}} = M_{\text{red}}$$

and

$$\begin{aligned} ((M^*)^*)_{\text{N}} &= (M^*)_{\text{T}} \setminus (M^*)_{\text{0}} = M_{\text{T}} \setminus (M_{\text{T}} \setminus M_{\text{N}}) = M_{\text{N}}, \\ ((M^*)^*)_{\text{0}} &= (M^*)_{\text{T}} \setminus (M^*)_{\text{N}} = M_{\text{T}} \setminus (M_{\text{T}} \setminus M_{\text{0}}) = M_{\text{0}}. \end{aligned}$$

The rest is a straightforward application of the notation introduced in [Notation 1.3.10](#):

$$\begin{aligned} (M \otimes N)_{\text{red}} &= (M \blacklozenge N)_{\text{red}} = M \blacklozenge N = M_{\text{red}} \times N_{\text{red}}, \\ (M \boxtimes N)_{\text{red}} &= (M \blacklozenge N)_{\text{red}} = M \blacklozenge N = M_{\text{red}} \times N_{\text{red}}, \end{aligned}$$

and

$$\begin{aligned} (M \otimes N)^* &= (M \blacklozenge N)^* = M \blacklozenge N = M^* \blacklozenge N^* = M^* \boxtimes N^*, \\ (M \boxtimes N)^* &= (M \blacklozenge N)^* = M \blacklozenge N = M^* \blacklozenge N^* = M^* \otimes N^*. \end{aligned} \quad \square$$

For a finite constraint index set $M = (M_{\text{T}}, M_{\text{N}}, M_{\text{0}})$ we can define its *cardinality* as

$$|M| := (|M_{\text{T}}|, |M_{\text{N}}|, |M_{\text{0}}|). \quad (1.3.22)$$

Thus every finite constraint index set M has an associated cardinality consisting of three natural numbers $|M|_{\text{T}} := |M_{\text{T}}|$, $|M|_{\text{N}} := |M_{\text{N}}|$ and $|M|_{\text{0}} := |M_{\text{0}}|$ with $|M|_{\text{0}} \leq |M|_{\text{N}}$. If M is embedded we additionally have $|M|_{\text{N}} \leq |M|_{\text{T}}$.

Corollary 1.3.12 *Let $M = (M_{\text{T}}, M_{\text{N}}, M_{\text{0}})$ and $N = (N_{\text{T}}, N_{\text{N}}, N_{\text{0}})$ be finite embedded constraint index sets.*

i.) The cardinality of the product of M and N is given by

$$\begin{aligned} |M \times N|_{\text{T}} &= |M|_{\text{T}} \cdot |N|_{\text{T}}, \\ |M \times N|_{\text{N}} &= |M|_{\text{N}} \cdot |N|_{\text{N}}, \\ |M \times N|_{\text{0}} &= |M|_{\text{0}} \cdot |N|_{\text{0}}. \end{aligned} \quad (1.3.23)$$

ii.) The cardinality of the disjoint union of M and N is given by

$$\begin{aligned} |M \sqcup N|_{\mathbb{T}} &= |M|_{\mathbb{T}} + |N|_{\mathbb{T}}, \\ |M \sqcup N|_{\mathbb{N}} &= |M|_{\mathbb{N}} + |N|_{\mathbb{N}}, \\ |M \sqcup N|_0 &= |M|_0 + |N|_0. \end{aligned} \tag{1.3.24}$$

iii.) The cardinality of the tensor product of M and N is given by

$$\begin{aligned} |M \otimes N|_{\mathbb{T}} &= |M|_{\mathbb{T}} \cdot |N|_{\mathbb{T}}, \\ |M \otimes N|_{\mathbb{N}} &= |M|_{\mathbb{N}} \cdot |N|_{\mathbb{N}}, \\ |M \otimes N|_0 &= |M|_{\mathbb{N}} \cdot |N|_0 + |M|_0 \cdot |N|_{\mathbb{N}} - |M|_0 \cdot |N|_0. \end{aligned} \tag{1.3.25}$$

iv.) The cardinality of the strong tensor product of M and N is given by

$$\begin{aligned} |M \boxtimes N|_{\mathbb{T}} &= |M|_{\mathbb{T}} \cdot |N|_{\mathbb{T}}, \\ |M \boxtimes N|_{\mathbb{N}} &= |M|_{\mathbb{N}} \cdot |N|_{\mathbb{N}} + (|M|_{\mathbb{T}} - |M|_{\mathbb{N}}) \cdot |N|_0 + |M|_0 \cdot (|N|_{\mathbb{T}} - |N|_{\mathbb{N}}), \\ |M \boxtimes N|_0 &= |M|_{\mathbb{T}} \cdot |N|_0 + |M|_0 \cdot |N|_{\mathbb{T}} - |M|_0 \cdot |N|_0. \end{aligned} \tag{1.3.26}$$

v.) The cardinality of the dual of M is given by

$$\begin{aligned} |M^*|_{\mathbb{T}} &= |M|_{\mathbb{T}}, \\ |M^*|_{\mathbb{N}} &= |M|_{\mathbb{T}} - |M|_0, \\ |M^*|_0 &= |M|_{\mathbb{T}} - |M|_{\mathbb{N}}. \end{aligned} \tag{1.3.27}$$

vi.) The cardinality of the reduction of M is given by

$$|M_{\text{red}}| = |M|_{\mathbb{N}} - |M|_0. \tag{1.3.28}$$

For finite embedded constraint index sets we will more suggestively write $M + N$ for the disjoint union and $M \cdot N$ for their product.

Remark 1.3.13 The cardinality $|\cdot|$ yields a map from finite embedded constraint index sets to $\mathbb{CN}_0^3 := \{(n_{\mathbb{T}}, n_{\mathbb{N}}, n_0) \in \mathbb{N}_0^3 \mid n_0 \leq n_{\mathbb{N}} \leq n_{\mathbb{T}}\}$, and isomorphic constraint index sets have the same cardinality. Conversely, to every $n \in \mathbb{CN}_0^3$ we can associate the finite embedded constraint index set $(\{1, \dots, n_0\}, \{1, \dots, n_{\mathbb{N}}\}, \{1, \dots, n_{\mathbb{T}}\})$. We will often use this identification implicitly and for example write $k \in n_{\mathbb{N}}$ instead of $k \in \{1, \dots, n_{\mathbb{N}}\}$. In particular, when we apply the above constructions to triples of natural numbers this means we apply them to their associated finite embedded constraint index sets, e.g. $n_{\text{red}} = n_{\mathbb{N}} \setminus n_0 = \{n_0 + 1, \dots, n_{\mathbb{N}}\}$.

In contrast to constraint sets, the reduction of constraint index sets does *not* commute with forgetting algebraic structure. This is not surprising since forgetting to constraint index sets also forgets the equivalence relation needed for reduction. Nevertheless, as we will see soon, when considered as bases of constraint vector spaces the reduction of constraint index sets is compatible and yields the correct basis of the reduced space.

Remark 1.3.14 Note that given a constraint set $M = (M_{\mathbb{T}}, M_{\mathbb{N}}, \sim_M)$ we can construct a constraint index set out of it: Choose (using the axiom of choice) a splitting $s_M: M_{\text{red}} \rightarrow M_{\mathbb{N}}$ of the projection $\text{pr}_M: M_{\mathbb{N}} \rightarrow M_{\text{red}}$, then $M' := (M_{\mathbb{T}}, M_{\mathbb{N}}, M_{\mathbb{N}} \setminus \text{im } s_M)$ is a constraint index set with $M'_{\text{red}} \simeq M_{\text{red}}$. This procedure is in general not functorial, since this would require a coherent choice of splitting for all constraint sets. Moreover, there is in general no way to reconstruct the equivalence relation \sim_M from M' . Thus \mathbf{CSet} and $\mathbf{C}_{\text{ind}}\mathbf{Set}$ should not be considered equivalent.

In the category **Set** of sets the axiom of choice is equivalent to the statement that every epimorphism splits. Even though we assume the axiom of choice to hold in **Set**, this does not imply an equivalent statement about the splitting of regular or plain epimorphisms in $\mathbf{C}_{\text{ind}}\mathbf{Set}$.

Example 1.3.15

i.) Consider the constraint embedded index sets

$$M = (\{1, 2\}, \{1, 2\}, \{1\}) \quad \text{and} \quad N = (\{1, 2\}, \{1, 2\}, \{1, 2\}). \quad (1.3.29)$$

Then $f = (\text{id}, \text{id}): M \rightarrow N$ is an epimorphism, but it does not split since $\text{id}: N_N \rightarrow M_N$ does not preserve the 0-component.

ii.) Consider the constraint index sets

$$M = (\{1, 2\}, \{1, 2\}, \{1, 2\}) \quad \text{and} \quad N = (\{1\}, \{1, 2\}, \{1, 2\}). \quad (1.3.30)$$

Then $f = (1, \text{id}): M \rightarrow N$ is a regular epimorphism but it does not split, since there exists no constraint morphism extending $\text{id}: N_N \rightarrow M_N$.

It turns out that constraint index sets for which every regular epimorphism into them splits are exactly the embedded constraint index sets, cf. [Proposition 1.1.16](#).

Proposition 1.3.16 *Let $P \in \mathbf{C}_{\text{ind}}\mathbf{Set}$ be a constraint index set. Then the following statements are equivalent:*

- i.) *Every regular epimorphism $M \rightarrow P$ splits.*
- ii.) *For every regular epimorphism $\Phi: M \rightarrow N$ and every morphism $\Psi: P \rightarrow N$ there exists a morphism $\chi: P \rightarrow M$ such that $\Phi \circ \chi = \Psi$.*
- iii.) *We have $P \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$.*

PROOF: The proof is completely analogous to the one of [Proposition 1.1.16](#). □

1.3.2 Constraint Vector Spaces

Let \mathbb{K} be a field. We want to study (embedded) constraint \mathbb{K} -vector spaces in this section. On the one hand, these will give us a first impression about what kind of effects we can expect for free and projective constraint modules over more general constraint rings or algebras. On the other hand, these constraint vector spaces will describe the pointwise structure given by constraint vector bundles, which will be introduced in [Section 2.2](#). For simplicity, we define constraint vector spaces to be embedded from the start.

Definition 1.3.17 (Constraint vector space) *Let \mathbb{K} be a field.*

- i.) *An embedded constraint \mathbb{K} -module is called constraint vector space over \mathbb{K} .*
- ii.) *The category of constraint \mathbb{K} -vector spaces is denoted by $\mathbf{CVect}_{\mathbb{K}}$.*

Thus a constraint vector space V simply consists of a \mathbb{K} -vector space V_T together with subspaces $V_0 \subseteq V_N \subseteq V_T$. It is now easy to see that every constraint vector space is free in the following sense:

Proposition 1.3.18 (Constraint vector spaces are free) *Every constraint \mathbb{K} -vector space is free, i.e. there exists a constraint subset $i: B \hookrightarrow V$ such that for every constraint map $\phi: B \rightarrow W$ there exists a unique linear constraint map $\Phi: V \rightarrow W$ such that $\Phi \circ i = \phi$.*

PROOF: Choose a basis for V_0 and extend it successively to V_N and V_T . □

We will call such a constraint subset $i: B \hookrightarrow V$ a *constraint basis* of V . Since for vector spaces the cardinality of all bases agree, the same is true for constraint vector spaces, allowing us to define the dimension of a constraint vector space by

$$\dim(V) := (\dim(V_T), \dim(V_N), \dim(V_0)). \quad (1.3.31)$$

As usual we call V *finite dimensional* if $\dim(V)$ is a finite constraint index set.

Example 1.3.19 For $n_0 \leq n_N \leq n_T \in \mathbb{N}_0$ there is a constraint vector space $\mathbb{R}^n := (\mathbb{R}^{n_T}, \mathbb{R}^{n_N}, \mathbb{R}^{n_0})$. By [Proposition 1.3.18](#) every finite dimensional constraint vector space is of this form.

Let us quickly recall some constructions for constraint vector spaces, known already from constraint modules. Since we only consider \mathbb{K} -vector spaces, we drop the index for the tensor products.

Proposition 1.3.20 *Let $V, W \in \mathbf{CVect}_{\mathbb{K}}$ be finite dimensional constraint vector spaces and let B_V and B_W be constraint bases of V and W , respectively.*

i.) $B_V \sqcup B_W$ is a constraint basis for

$$V \oplus W = (V_T \oplus W_T, V_N \oplus W_N, V_0 \oplus W_0) \quad (1.3.32)$$

and we have $\dim(V \oplus W) = \dim(V) + \dim(W)$.

ii.) $B_V \otimes B_W$ is a constraint basis for

$$V \otimes W = (V_T \otimes W_T, V_N \otimes W_N, V_N \otimes W_0 + V_0 \otimes W_N) \quad (1.3.33)$$

and we have $\dim(V \otimes W) = \dim(V) \otimes \dim(W)$.

iii.) $B_V \boxtimes B_W$ is a constraint basis for

$$V \boxtimes W = (V_T \otimes W_T, (V_N \otimes W_N) + (V_T \otimes W_0) + (V_0 \otimes W_T), (V_T \otimes W_0) + (V_0 \otimes W_T)) \quad (1.3.34)$$

and we have $\dim(V \boxtimes W) = \dim(V) \boxtimes \dim(W)$.

iv.) $(B_V)^$, i.e. the constraint dual set of B_V , is a constraint basis for*

$$V^* = \mathbf{CHom}_{\mathbb{K}}(V, \mathbb{K}) = ((V_T)^*, \text{Ann}_{V_T}(V_0), \text{Ann}_{V_T}(V_N)), \quad (1.3.35)$$

where $\text{Ann}_{V_T}(V_0)$ and $\text{Ann}_{V_T}(V_N)$ denote the annihilators of V_0 and V_N considered as subspaces of $(V_T)^$ and we have $\dim(V^*) = \dim(V)^*$.*

PROOF: These are all simple checks. For *iv.)* recall that by the definition of constraint internal hom we have

$$(V^*)_N = \{\alpha \in (V_T)^* \mid \alpha(V_N) \subseteq \mathbb{K}, \alpha(V_0) \subseteq 0\} = \text{Ann}_{V_T}(V_0)$$

since $\mathbb{K} = (\mathbb{K}, \mathbb{K}, 0)$ as a constraint vector space. Similarly,

$$(V^*)_0 = \{\alpha \in (V_T)^* \mid \alpha(V_N) \subseteq 0\} = \text{Ann}_{V_T}(V_N).$$

Note that we use the identification of $\dim(V)$ and $\dim(W)$ with finite embedded constraint index sets as well as their compositions from [Definition 1.3.8](#). \square

In the following we check some of the well-known compatibilities of dualizing with the different notions of tensor products.

Proposition 1.3.21 *Let $V, W \in \mathbf{CVect}_{\mathbb{K}}$ be finite dimensional constraint vector spaces.*

i.) We have canonically

$$(V \oplus W)^* \simeq V^* \oplus W^* \quad (1.3.36)$$

and therefore $\dim((V \oplus W)^) = \dim(V)^* + \dim(W)^*$.*

ii.) We have canonically

$$(V \otimes W)^* \simeq V^* \boxtimes W^* \quad (1.3.37)$$

and therefore $\dim((V \otimes W)^) = \dim(V)^* \boxtimes \dim(W)^*$.*

iii.) We have canonically

$$(V \boxtimes W)^* \simeq V^* \otimes W^* \quad (1.3.38)$$

and therefore $\dim((V \boxtimes W)^) = \dim(V)^* \otimes \dim(W)^*$.*

iv.) We have canonically

$$\mathbf{CHom}(V, W) \simeq W \boxtimes V^* \quad (1.3.39)$$

and therefore $\dim(\mathbf{CHom}(V, W)) = \dim(W) \boxtimes \dim(V)^$.*

PROOF: Except for *iv.)* these can be shown by choosing constraint dual bases of V and W and the use of [Proposition 1.3.20](#). Then the dimensions follow from [Corollary 1.3.12](#). For the last part note that for $w \otimes \alpha \in W_{\mathbf{T}} \otimes V_0^* + W_0 \otimes V_{\mathbf{T}}^*$ we have $(w \otimes \alpha)(v) = w \cdot \alpha(v) \in W_0$ for all $\alpha \in V_{\mathbf{N}}$. With this it is easy to see that $B_W \boxtimes (B_V)^*$ is a basis for $\mathbf{CHom}(V, W)$. \square

This result shows that the two tensor products \otimes and \boxtimes are intimately linked. In particular, [\(1.3.37\)](#) shows that we could have deduced \boxtimes from \otimes by *defining* $V \boxtimes W := (V^* \otimes W^*)^*$, at least in the finite-dimensional case. Moreover, by definition, these tensor products are related by an injective morphism

$$V \otimes W \hookrightarrow V \boxtimes W, \quad (1.3.40)$$

and they distribute in the sense that there exists a morphism

$$U \otimes (V \boxtimes W) \rightarrow (U \otimes V) \boxtimes W. \quad (1.3.41)$$

Both morphisms are not isomorphisms in general, as the next example shows:

Example 1.3.22 Consider the constraint vector space $\mathbb{R}^n = (\mathbb{R}^3, \mathbb{R}^2, \mathbb{R}^1)$ from [Example 1.3.19](#) with $n = (3, 2, 1)$.

i.) Then it holds that

$$\dim(\mathbb{R}^n \otimes \mathbb{R}^n) = n \otimes n = (9, 4, 3) \quad (1.3.42)$$

and

$$\dim(\mathbb{R}^n \boxtimes \mathbb{R}^n) = n \boxtimes n = (9, 6, 5) \quad (1.3.43)$$

by [Proposition 1.3.20](#) and [Corollary 1.3.12](#). Thus $\mathbb{R}^n \otimes \mathbb{R}^n$ and $\mathbb{R}^n \boxtimes \mathbb{R}^n$ cannot be isomorphic.

ii.) We have

$$\dim(\mathbb{R}^n \otimes (\mathbb{R}^n \boxtimes \mathbb{R}^n)) = n \otimes (n \boxtimes n) = (27, 12, 11) \quad (1.3.44)$$

and

$$\dim((\mathbb{R}^n \otimes \mathbb{R}^n) \boxtimes \mathbb{R}^n) = (n \otimes n) \boxtimes n = (27, 16, 15). \quad (1.3.45)$$

This shows that $\mathbb{R}^n \otimes (\mathbb{R}^n \boxtimes \mathbb{R}^n)$ and $(\mathbb{R}^n \otimes \mathbb{R}^n) \boxtimes \mathbb{R}^n$ are not isomorphic.

Remark 1.3.23 The relations between \otimes and \boxtimes can be derived from the fact that $\mathbf{CVect}_{\mathbb{K}}$ together with \otimes and \cdot^* forms a $*$ -autonomous category, see [[Bar79](#)].

1.4 Constraint Algebras and Modules

In this section we will define our main objects of interest: constraint algebras and their modules. Following our philosophy to construct new constraint notions as objects internal to certain constraint categories, we will define constraint algebras as monoids internal to the categories of constraint \mathbb{k} -modules introduced in Section 1.4.1. Since $\mathbf{CMod}_{\mathbb{k}}$ carries two different monoidal structures, this will lead to the definitions of constraint algebras in Section 1.4.1 and strong constraint algebras in Section 1.4.2. In both cases we can also consider the subcategories of embedded constraint \mathbb{k} -modules which lead to embedded (strong) constraint algebras. In these sections we will also introduce the corresponding notion of (strong) constraint modules over (strong) constraint algebras.

1.4.1 Constraint Algebras and their Modules

The following definition is just a reformulation of monoids internal to $(\mathbf{CMod}_{\mathbb{k}}, \otimes_{\mathbb{k}})$, cf. Appendix A.4 for the definition of monoids internal to a monoidal category.

Definition 1.4.1 (Constraint algebra)

- i.) A constraint \mathbb{k} -algebra is a triple $\mathcal{A} = (\mathcal{A}_{\mathbb{T}}, \mathcal{A}_{\mathbb{N}}, \mathcal{A}_0)$ consisting of unital associative \mathbb{k} -algebras $\mathcal{A}_{\mathbb{T}}$ and $\mathcal{A}_{\mathbb{N}}$ together with a two-sided ideal $\mathcal{A}_0 \subseteq \mathcal{A}_{\mathbb{N}}$ and a unital algebra homomorphism $\iota: \mathcal{A}_{\mathbb{N}} \rightarrow \mathcal{A}_{\mathbb{T}}$.
- ii.) A morphism $\phi: \mathcal{A} \rightarrow \mathcal{B}$ of constraint \mathbb{k} -algebras is given by a pair of unital algebra homomorphisms $\phi_{\mathbb{T}}: \mathcal{A}_{\mathbb{T}} \rightarrow \mathcal{B}_{\mathbb{T}}$ and $\phi_{\mathbb{N}}: \mathcal{A}_{\mathbb{N}} \rightarrow \mathcal{B}_{\mathbb{N}}$ such that $\iota_{\mathcal{B}} \circ \phi_{\mathbb{N}} = \phi_{\mathbb{T}} \circ \iota_{\mathcal{A}}$ and $\phi_{\mathbb{N}}(\mathcal{A}_0) \subseteq \mathcal{B}_0$.
- iii.) The category of constraint \mathbb{k} -algebras is denoted by $\mathbf{CAlg}_{\mathbb{k}}$.

When the underlying ring \mathbb{k} is clear from context, we will write simply \mathbf{CAlg} for the category of constraint algebras.

Example 1.4.2 Let $M \in \mathbf{CSet}$ be a constraint set.

- i.) Consider the ring \mathbb{k} as a constraint set $(\mathbb{k}, \mathbb{k}, \sim_{\text{dis}})$. Then $\mathbf{CMap}(M, \mathbb{k})$ is a constraint algebra given by

$$\begin{aligned} \mathbf{CMap}(M, \mathbb{k})_{\mathbb{T}} &= \mathbf{Map}(M, \mathbb{k}), \\ \mathbf{CMap}(M, \mathbb{k})_{\mathbb{N}} &= \{f \in \mathbf{Map}(M_{\mathbb{T}}, \mathbb{k}) \mid f(\iota_M(x)) = f(\iota_M(y)) \text{ for all } x \sim_M y\}, \\ \mathbf{CMap}(M, \mathbb{k})_0 &= \{f \in \mathbf{Map}(M_{\mathbb{T}}, \mathbb{k}) \mid f|_{\text{im } \iota_M} = 0\}. \end{aligned} \quad (1.4.1)$$

- ii.) Every ring \mathbb{k} can be seen as a constraint algebra $\mathbb{k} = (\mathbb{k}, \mathbb{k}, 0)$.
- iii.) Let V be a constraint vector space over a field \mathbb{K} . Then $\mathbf{CEnd}(V)$ is a constraint algebra with respect to composition of constraint morphisms.

Since $\mathbf{CMod}_{\mathbb{k}}$ is a symmetric monoidal category, we can define commutative constraint algebras. In this case we can define a constraint version of the center.

Proposition 1.4.3 (Constraint center) *Let \mathbb{k} be a commutative ring and let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra. Then $\mathcal{Z}(\mathcal{A})$, defined by*

$$\begin{aligned} \mathcal{Z}(\mathcal{A})_{\mathbb{T}} &:= \mathcal{Z}(\mathcal{A}_{\mathbb{T}}), \\ \mathcal{Z}(\mathcal{A})_{\mathbb{N}} &:= \mathcal{Z}(\mathcal{A}_{\mathbb{N}}), \\ \mathcal{Z}(\mathcal{A})_0 &:= \mathcal{Z}(\mathcal{A}_{\mathbb{N}}) \cap \mathcal{A}_0, \end{aligned} \quad (1.4.2)$$

is a commutative constraint algebra, the center of \mathcal{A} .

From an algebraic point of view it is natural to study modules over constraint algebras. Since [Example 1.4.2 i.\)](#) shows that constraint algebras encode the algebraic structure of functions on a space allowing for reduction and vector bundles should correspond to certain modules, the next definition is also interesting from a geometric standpoint.

Definition 1.4.4 (Modules over constraint algebras) *Let $\mathcal{A}, \mathcal{B} \in \text{CAlg}$ be constraint algebras.*

- i.) *A constraint right \mathcal{A} -module is a constraint \mathbb{k} -module $\mathcal{E} = (\mathcal{E}_T, \mathcal{E}_N, \mathcal{E}_0)$ with a right \mathcal{A}_T -module structure on \mathcal{E}_T and a right \mathcal{A}_N -module structure on \mathcal{E}_N such that $\mathcal{E}_0 \subseteq \mathcal{E}_N$ is an \mathcal{A}_N -submodule, $\iota_{\mathcal{E}}: \mathcal{E}_N \rightarrow \mathcal{E}_T$ is an \mathcal{A}_N -module morphism and $\mathcal{E}_N \cdot \mathcal{A}_0 \subseteq \mathcal{E}_0$.*
- ii.) *A constraint left \mathcal{B} -module is a constraint \mathbb{k} -module $\mathcal{E} = (\mathcal{E}_T, \mathcal{E}_N, \mathcal{E}_0)$ with a left \mathcal{B}_T -module structure on \mathcal{E}_T and a left \mathcal{B}_N -module structure on \mathcal{E}_N such that $\mathcal{E}_0 \subseteq \mathcal{E}_N$ is an \mathcal{B}_N -submodule, $\iota_{\mathcal{E}}: \mathcal{E}_N \rightarrow \mathcal{E}_T$ is an \mathcal{B}_N -module morphism and $\mathcal{B}_0 \cdot \mathcal{E}_N \subseteq \mathcal{E}_0$.*
- iii.) *A constraint $(\mathcal{B}, \mathcal{A})$ -bimodule is a constraint \mathbb{k} -module $\mathcal{E} = (\mathcal{E}_T, \mathcal{E}_N, \mathcal{E}_0)$ with commuting constraint left \mathcal{B} - and right \mathcal{A} -module structures.*
- iv.) *A morphism $\Phi: \mathcal{E} \rightarrow \mathcal{F}$ between constraint left-/right-/bi-modules is a pair (Φ_T, Φ_N) of left-/right-/bi-module morphisms $\Phi_T: \mathcal{E}_T \rightarrow \mathcal{F}_T$ and $\Phi: \mathcal{E}_N \rightarrow \mathcal{F}_N$ such that $\Phi_T \circ \iota_{\mathcal{E}} = \iota_{\mathcal{F}} \circ \Phi_N$ and $\Phi_N(\mathcal{E}_0) \subseteq \mathcal{F}_0$.*
- v.) *The categories of constraint right \mathcal{A} -modules, left \mathcal{B} -modules and $(\mathcal{B}, \mathcal{A})$ -bimodules are denoted by $\text{CMod}_{\mathcal{A}}$, ${}_{\mathcal{B}}\text{CMod}$ and $\text{CBimod}(\mathcal{B}, \mathcal{A})$, respectively.*

Again, this definition can also be understood as modules internal to the monoidal category $(\text{CMod}_{\mathbb{k}}, \otimes_{\mathbb{k}})$. As we would expect, right \mathcal{A} -modules can be understood as $(\mathbb{k}, \mathcal{A})$ -bimodules, writing again $\mathbb{k} = (\mathbb{k}, \mathbb{k}, 0)$, and similarly for left modules. Moreover, constraint \mathbb{k} -modules as defined in [Section 1.2](#) are nothing but constraint (\mathbb{k}, \mathbb{k}) -bimodules.

We will not go into details of the construction of limits and colimits for modules over constraint algebras here. Suffice to say that the underlying constraint \mathbb{k} -modules are given by the corresponding construction from [Section 1.2](#) and the \mathcal{A} -module structures on the respective components are the obvious ones. Since the tensor product of constraint modules over a constraint algebra will be important later on, we spell it out in detail.

Proposition 1.4.5 (Tensor product of constraint modules) *Let $\mathcal{A}, \mathcal{B}, \mathcal{C} \in \text{CAlg}$ be given and let $\mathcal{F} \in \text{CBimod}(\mathcal{C}, \mathcal{B})$ as well as $\mathcal{E} \in \text{CBimod}(\mathcal{B}, \mathcal{A})$ be constraint bimodules. Then the constraint $(\mathcal{C}, \mathcal{A})$ -bimodule $\mathcal{F} \otimes_{\mathcal{B}} \mathcal{E}$ is given by*

$$\begin{aligned}
 (\mathcal{F} \otimes_{\mathcal{B}} \mathcal{E})_T &= \mathcal{F}_T \otimes_{\mathcal{B}_T} \mathcal{E}_T, \\
 (\mathcal{F} \otimes_{\mathcal{B}} \mathcal{E})_N &= \mathcal{F}_N \otimes_{\mathcal{B}_N} \mathcal{E}_N, \\
 (\mathcal{F} \otimes_{\mathcal{B}} \mathcal{E})_0 &= \mathcal{F}_N \otimes_{\mathcal{B}_N} \mathcal{E}_0 + \mathcal{F}_0 \otimes_{\mathcal{B}_N} \mathcal{E}_N,
 \end{aligned} \tag{1.4.3}$$

with $\iota_{\otimes} = \iota_{\mathcal{F}} \otimes \iota_{\mathcal{E}}$.

PROOF: Denote by $\lambda: \mathcal{B} \otimes_{\mathbb{k}} \mathcal{E} \rightarrow \mathcal{E}$ the left \mathcal{B} -multiplication on \mathcal{E} and by $\rho: \mathcal{F} \otimes_{\mathbb{k}} \mathcal{B} \rightarrow \mathcal{F}$ the right \mathcal{B} -multiplication on \mathcal{F} . Then $\mathcal{F} \otimes_{\mathcal{B}} \mathcal{E}$ is defined as the coequalizer of $\text{id}_{\mathcal{F}} \otimes \lambda$ and $\rho \otimes \text{id}_{\mathcal{E}}$ as constraint morphisms from $\mathcal{F} \otimes_{\otimes_{\mathbb{k}}} \mathcal{B} \otimes_{\mathbb{k}} \mathcal{E}$ to $\mathcal{F} \otimes_{\mathbb{k}} \mathcal{E}$. Applying [Proposition 1.2.22](#) and [Proposition 1.2.15 vi.\)](#) gives the desired result. \square

With this tensor product we can construct a bicategory of constraint modules analogous to the classical bicategory of bimodules, see [\[JY21\]](#) for a modern treatment of bicategories.

Proposition 1.4.6 (The bicategory CBimod) *Using constraint algebras as objects, constraint bimodules as 1-morphisms, morphisms of constraint bimodules as 2-morphisms and the tensor product of constraint modules as composition defines a bicategory CBimod.*

Remark 1.4.7 In classical algebra two algebras \mathcal{A} and \mathcal{B} are considered to be Morita equivalent if their respective categories of representations, i.e. their categories of (right-)modules, are equivalent. This can then be reformulated to the fact that \mathcal{A} and \mathcal{B} are equivalent internal to \mathbf{Bimod} , meaning that there exists an invertible 1-morphism between \mathcal{A} and \mathcal{B} . It turns out that these invertible 1-morphisms are given by finitely generated projective full $(\mathcal{B}, \mathcal{A})$ -bimodules. The bicategory \mathbf{CBimod} now opens up a way to study Morita theory of constraint algebras by defining constraint algebras to be Morita equivalent if they are equivalent internal to \mathbf{CBimod} . In order to characterize constraint Morita equivalence bimodules it seems reasonable to study finitely generated projective constraint modules first. Even though we will not be concerned with Morita theory this can be seen as a motivation for [Section 1.5](#). The Morita theory of a subcategory of constraint algebras has been studied in [[Dip18](#); [DEW19](#)] under the name of Morita equivalence for coisotropic algebras. There you can also find a more detailed construction of \mathbf{CBimod} .

The internal hom of constraint \mathbb{k} -modules carries over to a constraint module structure on the homomorphisms of constraint modules over constraint algebras.

Proposition 1.4.8 (Module structure on module morphisms) *Let \mathcal{A} and \mathcal{B} be constraint algebras and let $\mathcal{E} \in \mathbf{CBimod}(\mathcal{B}, \mathcal{A})$ as well as $\mathcal{F} \in \mathbf{CBimod}(\mathcal{C}, \mathcal{A})$. Then the right \mathcal{A} -module morphisms form a constraint $(\mathcal{C}, \mathcal{B})$ -bimodule given by*

$$\begin{aligned} \mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})_{\mathbf{T}} &:= \mathbf{Hom}_{\mathcal{A}_{\mathbf{T}}}(\mathcal{E}_{\mathbf{T}}, \mathcal{F}_{\mathbf{T}}), \\ \mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})_{\mathbf{N}} &:= \{(\Phi_{\mathbf{T}}, \Phi_{\mathbf{N}}) \in \mathbf{Hom}_{\mathcal{A}_{\mathbf{T}}}(\mathcal{E}_{\mathbf{T}}, \mathcal{F}_{\mathbf{T}}) \times \mathbf{Hom}_{\mathcal{A}_{\mathbf{N}}}(\mathcal{E}_{\mathbf{N}}, \mathcal{F}_{\mathbf{N}}) \mid \\ &\quad \Phi_{\mathbf{T}} \circ \iota_{\mathcal{E}} = \iota_{\mathcal{F}} \circ \Phi_{\mathbf{N}} \text{ and } \Phi_{\mathbf{N}}(\mathcal{E}_0) \subseteq \mathcal{F}_0\}, \\ \mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})_0 &:= \{\Phi \in \mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})_{\mathbf{N}} \mid \Phi_{\mathbf{N}}(\mathcal{E}_{\mathbf{N}}) \subseteq \mathcal{F}_0\}. \end{aligned} \tag{1.4.4}$$

With this proposition it is clear that the categories ${}_{\mathcal{B}}\mathbf{CMod}$ and $\mathbf{CMod}_{\mathcal{A}}$ of constraint left and right modules are enriched over $\mathbf{CMod}_{\mathbb{k}}$. Moreover, we can define duals for constraint modules.

Definition 1.4.9 (Dual module) *Let $\mathcal{A} \in \mathbf{CAlg}$ and $\mathcal{E} \in \mathbf{CMod}_{\mathcal{A}}$. We call the constraint left \mathcal{A} -module $\mathcal{E}^* := \mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{A})$ the dual module of \mathcal{E} .*

To give a first example of a constraint module over a constraint algebra we introduce the notion of derivations of constraint algebras.

Definition 1.4.10 (Derivation) *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra and let $\mathcal{M} \in \mathbf{CBimod}(\mathcal{A}, \mathcal{A})$ be an \mathcal{A} -bimodule. A derivation with values in \mathcal{M} is a morphism $D: \mathcal{A} \rightarrow \mathcal{M}$ of constraint \mathbb{k} -modules such that*

$$D \circ \mu = \ell \circ (\text{id} \otimes D) + r \circ (D \otimes \text{id}) \tag{1.4.5}$$

holds, where r and ℓ denote the right and left \mathcal{A} -multiplications of \mathcal{M} , respectively, and μ is the multiplication of \mathcal{A} . The set of derivations will be denoted by $\text{Der}(\mathcal{A}, \mathcal{M})$. If $\mathcal{M} = \mathcal{A}$ we write $\text{Der}(\mathcal{A})$.

Lemma 1.4.11 *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra and let $\mathcal{M} \in \mathbf{CBimod}(\mathcal{A}, \mathcal{A})$ be an \mathcal{A} -bimodule. A derivation $D = (D_{\mathbf{T}}, D_{\mathbf{N}})$ with values in \mathcal{M} is a morphism of constraint \mathbb{k} -modules such that*

$$D_{\mathbf{T}}(ab) = aD_{\mathbf{T}}(b) + D_{\mathbf{T}}(a)b \tag{1.4.6}$$

holds for all $a, b \in \mathcal{A}_T$ and

$$D_N(ab) = aD_N(b) + D_N(a)b \quad (1.4.7)$$

holds for all $a, b \in \mathcal{A}_N$.

PROOF: This is exactly the componentwise evaluation of (1.4.5) on elements. \square

We can arrange the constraint derivations as a constraint submodule of the internal homomorphism $\text{CHom}_{\mathbb{k}}(\mathcal{A}, \mathcal{M})$ as follows.

Proposition 1.4.12 (**\mathbb{k} -module of derivations**) *Let $\mathcal{A} \in \text{CAlg}$ be a constraint algebra and let $\mathcal{M} \in \text{CBimod}(\mathcal{A}, \mathcal{A})$ be a constraint \mathcal{A} -bimodule. Then*

$$\begin{aligned} \text{CDer}(\mathcal{A}, \mathcal{M})_T &:= \text{Der}(\mathcal{A}_T, \mathcal{M}_T), \\ \text{CDer}(\mathcal{A}, \mathcal{M})_N &:= \{(D_T, D_N) \in \text{Hom}_{\mathbb{k}}(\mathcal{A}, \mathcal{M}) \mid D_T \in \text{Der}(\mathcal{A}_T, \mathcal{M}_T), \\ &\quad D_N \in \text{Der}(\mathcal{A}_N, \mathcal{M}_N)\}, \\ \text{CDer}(\mathcal{A}, \mathcal{M})_0 &:= \{(D_T, D_N) \in \text{Der}(\mathcal{A}, \mathcal{M})_N \mid D_N(\mathcal{A}_N) \subseteq \mathcal{M}_0\} \end{aligned} \quad (1.4.8)$$

defines a constraint \mathbb{k} -module $\text{CDer}(\mathcal{A}, \mathcal{M})$.

One needs to be careful with the notation, since $\text{Der}(\mathcal{A})$ has different meanings depending on whether \mathcal{A} is a constraint or a classical algebra. Also note that $\text{CDer}(\mathcal{A}, \mathcal{M})_N = \text{Der}(\mathcal{A}, \mathcal{M})$ is just the set of derivations of a constraint algebra \mathcal{A} with values in the constraint module \mathcal{M} as given in Definition 1.4.10. The constraint \mathbb{k} -module of derivations on \mathcal{A} with values in \mathcal{A} is denoted by $\text{CDer}(\mathcal{A})$.

As for classical algebras the derivations turn out to be a bimodule if the algebra is commutative:

Corollary 1.4.13 (**\mathcal{A} -module of derivations**) *Let $\mathcal{A} \in \text{CAlg}$ be a commutative constraint algebra. Then $\text{CDer}(\mathcal{A})$ is a constraint \mathcal{A} -bimodule.*

1.4.1.1 Embedded Constraint Algebras and their Modules

The subcategory of constraint algebras \mathcal{A} with injective $\iota_{\mathcal{A}}: \mathcal{A}_N \rightarrow \mathcal{A}_T$ will be denoted by $\text{C}^{\text{emb}}\text{Alg}$.

Corollary 1.4.14 *Let $\mathcal{A} \in \text{C}^{\text{emb}}\text{Mod}_{\mathbb{k}}$ be a constraint module. Then a monoid structure on \mathcal{A} internal to $(\text{C}^{\text{emb}}\text{Mod}_{\mathbb{k}}, \otimes_{\mathbb{k}}^{\text{emb}})$ is equivalently given by an algebra structure on \mathcal{A}_T such that $\mathcal{A}_N \subseteq \mathcal{A}_T$ is a subalgebra and $\mathcal{A}_0 \subseteq \mathcal{A}_N$ is a two-sided ideal.*

PROOF: This is clear by the definition of $\otimes_{\mathbb{k}}^{\text{emb}}$ in Proposition 1.2.24. \square

In other words, $\text{C}^{\text{emb}}\text{Alg}$ is exactly the category of monoids internal to $\text{C}^{\text{emb}}\text{Mod}_{\mathbb{k}}$ with tensor product $\otimes_{\mathbb{k}}^{\text{emb}}$. Again by Proposition 1.2.24 it is easy to see that $\text{C}^{\text{emb}}\text{Alg}$ is a reflective subcategory of CAlg . Unsurprisingly, we will call such algebras *embedded*.

Example 1.4.15

- i.)* Let $M \in \text{CSet}$ be a constraint set. Then the constraint algebra $\text{Map}(M, \mathbb{k})$, as already considered in Example 1.4.2 *i.)*, is embedded. This can be understood as a consequence of $\mathbb{k} = (\mathbb{k}, \mathbb{k}, 0)$ being an embedded constraint algebra, see also Proposition 1.1.22.

ii.) Let $M \in \mathbf{C}_{\text{str}}\mathbf{Set}$ be a strong constraint set. Then $\mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})$ is an embedded constraint algebra given by

$$\begin{aligned} \mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})_{\text{T}} &= \mathbf{Map}(M_{\text{T}}, \mathbb{k}), \\ \mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})_{\text{N}} &= \{f \in \mathbf{Map}(M_{\text{T}}, \mathbb{k}) \mid f(x) = f(y) \text{ for all } x \sim_M^{\text{T}} y\}, \\ \mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})_0 &= \left\{f \in \mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})_{\text{N}} \mid f|_{\text{im } \iota_M} = 0\right\}. \end{aligned} \quad (1.4.9)$$

iii.) Let $M \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Set}$ be an embedded strong constraint set with inclusion $M_{\text{N}} \subseteq M_{\text{T}}$. Then $\mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})_{\text{N}}$ is the subalgebra of functions constant along the equivalence classes of \sim_M^{T} and $\mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})_0$ is the intersection of this subalgebra with the vanishing ideal of M_{N} .

Remark 1.4.16 In [DEW19] so called coisotropic triples of algebras were considered. These are embedded constraint algebras with the additional property of \mathcal{A}_0 being a left ideal in \mathcal{A}_{T} . Note, that $\mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})$ from Example 1.4.15 iii.) is not of this form, since $\mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})_0$ is not an ideal in \mathcal{A}_{T} .

Considering embedded constraint bimodules leads to the bicategory $\mathbf{C}^{\text{emb}}\mathbf{Bimod}$. Since we will not need the full bicategory, let us stick to the following situation:

Proposition 1.4.17 (The category $\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$) *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ be given.*

i.) *The category $\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$ is a reflective subcategory of $\mathbf{CBimod}(\mathcal{A})$ with reflector $\cdot^{\text{emb}}: \mathbf{CBimod}(\mathcal{A}) \rightarrow \mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$ given by*

$$\mathcal{E}^{\text{emb}} := (\mathcal{E}_{\text{T}}, \iota_{\mathcal{E}}(\mathcal{E}_{\text{N}}), \iota_{\mathcal{E}}(\mathcal{E}_0)). \quad (1.4.10)$$

ii.) *The subcategory $\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$ is closed under finite limits.*

iii.) *$\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$ is closed monoidal with respect to $\otimes_{\mathcal{A}}^{\text{emb}}$ defined by*

$$\mathcal{E} \otimes_{\mathcal{A}}^{\text{emb}} \mathcal{F} := (\mathcal{E} \otimes_{\mathcal{A}} \mathcal{F})^{\text{emb}}. \quad (1.4.11)$$

iv.) *The functor $\cdot^{\text{emb}}: (\mathbf{CBimod}(\mathcal{A}), \otimes_{\mathcal{A}}) \rightarrow (\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{A}), \otimes_{\mathcal{A}}^{\text{emb}})$ is monoidal, and the functor $\mathbf{U}: (\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{A}), \otimes_{\mathcal{A}}^{\text{emb}}) \rightarrow (\mathbf{CBimod}(\mathcal{A}), \otimes_{\mathcal{A}})$ is lax monoidal.*

PROOF: The proof is completely analogous to the one of Proposition 1.2.24. More conceptually, one could carry over the monoidal adjunction from Proposition 1.2.24 to realize $\mathbf{C}^{\text{emb}}\mathbf{Bimod}$ as a reflective sub-bicategory of \mathbf{CBimod} . Then $\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$ is automatically a reflective subcategory of $\mathbf{CBimod}(\mathcal{A})$. \square

1.4.1.2 Reduction

By the definition of constraint algebras internal to the monoidal category $(\mathbf{CMod}_{\mathbb{k}}, \otimes_{\mathbb{k}})$ together with the fact that $\text{red}: \mathbf{CMod}_{\mathbb{k}} \rightarrow \mathbf{Mod}_{\mathbb{k}}$ is monoidal it induces a reduction functor

$$\text{red}: \mathbf{CAlg} \rightarrow \mathbf{Alg} \quad (1.4.12)$$

given by $\mathcal{A}_{\text{red}} = \mathcal{A}_{\text{N}}/\mathcal{A}_0$.

Similarly, we obtain an induced reduction functor on constraint bimodules. For the sake of exposition let us spell this out.

Proposition 1.4.18 (Reduction of constraint bimodules)

- i.) Let $\mathcal{A}, \mathcal{B} \in \mathbf{CAlg}$ be constraint algebras and $\mathcal{E} \in \mathbf{CBimod}(\mathcal{B}, \mathcal{A})$. Then $\mathcal{E}_{\text{red}} = \mathcal{E}_N / \mathcal{E}_0$ is a $(\mathcal{B}_{\text{red}}, \mathcal{A}_{\text{red}})$ -bimodule.
- ii.) Reduction defines a functor of bicategories $\text{red}: \mathbf{CBimod} \rightarrow \mathbf{Bimod}$ to the bicategory of algebras and bimodules.
- iii.) Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra. The functor $\text{red}: \mathbf{CMod}_{\mathcal{A}} \rightarrow \mathbf{Mod}_{\mathcal{A}_{\text{red}}}$ is lax closed with injective natural transformation $\text{red} \circ \mathbf{CHom}_{\mathcal{A}} \Rightarrow \mathbf{Hom}_{\mathcal{A}_{\text{red}}} \circ (\text{red} \times \text{red})$.

PROOF: Since $\mathcal{B}_0 \cdot \mathcal{E}_N \subseteq \mathcal{E}_0$ and $\mathcal{E}_N \cdot \mathcal{A}_0 \subseteq \mathcal{E}_0$ hold by definition of a constraint bimodule, we get a well-defined $(\mathcal{B}_{\text{red}}, \mathcal{A}_{\text{red}})$ -bimodule structure on \mathcal{E}_{red} . The proof of the second part can be found, for the special case of embedded constraint algebras with $\mathcal{A}_0 \subseteq \mathcal{A}_T$ a left ideal, in detail in [Dip18; DEW19]. This proof directly carries over to our situation. For the last part it is easy to see that there is a morphism $\mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})_N \rightarrow \mathbf{CHom}_{\mathcal{A}_{\text{red}}}(\mathcal{E}_{\text{red}}, \mathcal{F}_{\text{red}})$ whose kernel is exactly given by $\mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})_0$, cf. Proposition 1.2.26. \square

The reduction of constraint left or right modules is then to be understood as a special case of reduction of bimodules. In particular we get from Proposition 1.4.18 also the existence of reduction functors

$$\text{red}: \mathbf{CMod}_{\mathcal{A}} \rightarrow \mathbf{Mod}_{\mathcal{A}_{\text{red}}} \quad (1.4.13)$$

and

$$\text{red}: {}_{\mathcal{B}}\mathbf{CMod} \rightarrow {}_{\mathcal{B}_{\text{red}}}\mathbf{Mod}. \quad (1.4.14)$$

Example 1.4.19 Let $\mathcal{A} \in \mathbf{CAlg}$ be given. Every $(D_T, D_N) \in \mathbf{Der}(\mathcal{A})_N$ defines a derivation on $\mathcal{A}_{\text{red}} = \mathcal{A}_N / \mathcal{A}_0$ since the condition $D_N(\mathcal{A}_0) \subseteq \mathcal{A}_0$ is automatically satisfied. Hence we have a \mathbb{k} -linear map $\mathbf{CDer}(\mathcal{A})_N \rightarrow \mathbf{Der}(\mathcal{A}_{\text{red}})$. The kernel of this linear map is exactly given by $\mathbf{CDer}(\mathcal{A})_0$, thus there exists an injective module homomorphism

$$\mathbf{CDer}(\mathcal{A})_{\text{red}} \hookrightarrow \mathbf{Der}(\mathcal{A}_{\text{red}}). \quad (1.4.15)$$

This is simply the restriction of the canonical morphism $\mathbf{CHom}_{\mathbb{k}}(\mathcal{A}, \mathcal{A})_{\text{red}} \hookrightarrow \mathbf{Hom}_{\mathbb{k}}(\mathcal{A}_{\text{red}}, \mathcal{A}_{\text{red}})$ from Proposition 1.2.26 to the submodule $\mathbf{CDer}(\mathcal{A})_{\text{red}}$.

Example 1.4.20 Our notion of a constraint algebra generalizes and unifies previous notions used in non-commutative geometry referring to features of the derivations:

- i.) A *submanifold algebra* in the sense of [Mas96] and [DAn20] can equivalently be described as a constraint algebra \mathcal{A} with $\mathcal{A}_T = \mathcal{A}_N$ such that the canonical module morphism (1.4.15) is an isomorphism.
- ii.) A *quotient manifold algebra* in the sense of [Mas96] can equivalently be described as a constraint algebra \mathcal{A} with $\mathcal{A}_N \subseteq \mathcal{A}_T$ a subalgebra and $\mathcal{A}_0 = 0$ such that $\mathcal{Z}(\mathcal{A}_{\text{red}}) \simeq \mathcal{Z}(\mathcal{A})_{\text{red}}$, $\mathbf{Der}(\mathcal{A}_{\text{red}}) \simeq \mathbf{CDer}(\mathcal{A})_{\text{red}}$ via (1.4.15) and

$$\mathcal{A}_N = \{a \in \mathcal{A}_T \mid D_T(a) = 0 \text{ for all } (D_T, D_N) \in \mathbf{CDer}(\mathcal{A})_0\} \quad (1.4.16)$$

holds. Here $\mathcal{Z}(\mathcal{A})$ denotes the constraint center of the constraint algebra \mathcal{A} , see Proposition 1.4.3.

1.4.2 Strong Constraint Algebras and their Modules

We replace now the tensor product $\otimes_{\mathbb{k}}$ on $\mathbf{CMod}_{\mathbb{k}}$ by the strong tensor product $\boxtimes_{\mathbb{k}}$. Even though in later chapters we will only need the embedded situation, let us, for conceptual reasons, quickly introduce non-embedded strong constraint algebras and modules.

Definition 1.4.21 (Strong constraint algebra)

- i.) A strong constraint algebra is a monoid object internal to the category $\mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathbb{k}}$ equipped with the strong tensor product $\boxtimes_{\mathbb{k}}$.
- ii.) The category of strong constraint algebras is denoted by $\mathbf{C}_{\text{str}}\mathbf{Alg}$.

Despite being conceptually clear, we need to unwrap the definition in order to be able to actually work with it. We expect a strong constraint algebra to resemble a constraint algebra \mathcal{A} with the additional property that \mathcal{A}_0 behaves like a two-sided ideal in \mathcal{A}_T . For embedded algebras this will be true, but if $\iota_{\mathcal{A}}: \mathcal{A}_N \rightarrow \mathcal{A}_T$ is not injective, it will turn out to be more complicated.

Proposition 1.4.22 *Let $\mathcal{A}, \mathcal{B} \in \mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathbb{k}}$ and $f: \mathcal{A} \rightarrow \mathcal{B}$ a morphism of constraint \mathbb{k} -modules.*

- i.) *The structure of a strong constraint algebra on \mathcal{A} is equivalently given by the following data:*
 - a.) *an algebra structure $(\mu_T, 1_T)$ on \mathcal{A}_T ,*
 - b.) *an algebra structure $(\mu_N^{\text{NN}}, 1_N^{\text{NN}})$ on \mathcal{A}_N ,*
 - c.) *an $(\mathcal{A}_T, \mathcal{A}_T)$ -bimodule structure $(\mu_N^{\text{T0}}, \mu_N^{\text{0T}})$ on \mathcal{A}_0 ,**such that*
 - d.) *$\iota_{\mathcal{A}}: \mathcal{A}_N \rightarrow \mathcal{A}_T$ is an algebra homomorphism,*
 - e.) *$\iota_{\mathcal{A}}|_{\mathcal{A}_0}: \mathcal{A}_0 \rightarrow \mathcal{A}_T$ is a morphism of $(\mathcal{A}_T, \mathcal{A}_T)$ -bimodules,*
 - f.) *the $(\mathcal{A}_N, \mathcal{A}_N)$ -bimodule structure on \mathcal{A}_0 defined by $(\mu_N^{\text{T0}} \circ (\iota_{\mathcal{A}} \otimes \text{id}), \mu_N^{\text{0T}} \circ (\text{id} \otimes \iota_{\mathcal{A}}))$ agrees with the one defined by the restriction of μ_N^{NN} .*
- ii.) *The morphism f being a morphism of strong constraint algebras is equivalent to the following properties:*
 - a.) *$f_T: \mathcal{A}_T \rightarrow \mathcal{B}_T$ is an algebra homomorphism.*
 - b.) *$f_N: \mathcal{A}_N \rightarrow \mathcal{B}_N$ is an algebra homomorphism.*
 - c.) *$f_N|_{\mathcal{A}_0}: \mathcal{A}_0 \rightarrow \mathcal{B}_0$ is a morphism of $(\mathcal{A}_T, \mathcal{A}_T)$ -bimodules.*

PROOF: Consider constraint \mathbb{k} -module maps $\mu = (\mu_T, \mu_N): \mathcal{A} \boxtimes_{\mathbb{k}} \mathcal{A} \rightarrow \mathcal{A}$ and $1: (\mathbb{k}, \mathbb{k}, 0) \rightarrow \mathcal{A}$. Then by [Lemma 1.2.29](#) we know that μ is given by

$$\begin{aligned} \mu_T: \mathcal{A}_T \otimes_{\mathbb{k}} \mathcal{A}_T &\rightarrow \mathcal{A}_T, & \mu_N^{\text{NN}}: \mathcal{A}_N \otimes_{\mathbb{k}} \mathcal{A}_N &\rightarrow \mathcal{A}_N, \\ \mu_N^{\text{T0}}: \mathcal{A}_T \otimes_{\mathbb{k}} \mathcal{A}_0 &\rightarrow \mathcal{A}_0, & \mu_N^{\text{0T}}: \mathcal{A}_0 \otimes_{\mathbb{k}} \mathcal{A}_T &\rightarrow \mathcal{A}_0. \end{aligned}$$

Writing out the associativity and unit diagrams of [Definition A.4.6](#) in terms of these maps we obtain a \mathbb{k} -algebra structure on \mathcal{A}_T by considering μ_T and 1_T . Similarly, μ_N^{NN} and 1_N^{NN} yield the algebra structure on \mathcal{A}_N and $\mu_N^{\text{T0}}, \mu_N^{\text{0T}}$ give the right- and left module structure on \mathcal{A}_0 , respectively. The compatibilities are then required to turn everything into morphisms of constraint modules. The second part follows directly by spelling out [Definition A.4.8](#) in terms of the different components. \square

Strong constraint algebras were defined as internal monoids with respect to $\boxtimes_{\mathbb{k}}$. Continuing, we obtain strong constraint modules internal to $(\mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathbb{k}}, \boxtimes_{\mathbb{k}})$.

Definition 1.4.23 (Modules over strong constraint algebras) *Let $\mathcal{A}, \mathcal{B} \in \mathbf{C}_{\text{str}}\text{Alg}$ be strong constraint algebras.*

- i.) *A strong constraint $(\mathcal{B}, \mathcal{A})$ -bimodule is a $(\mathcal{B}, \mathcal{A})$ -bimodule internal to the monoidal category $(\mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}, \boxtimes_{\mathbb{k}})$.*
- ii.) *A strong constraint left \mathcal{B} -module is a strong constraint $(\mathcal{B}, \mathbb{k})$ -module.*
- iii.) *A strong constraint right \mathcal{A} -module is a strong constraint $(\mathbb{k}, \mathcal{A})$ -module.*
- iv.) *The categories of strong constraint right \mathcal{A} -modules, left \mathcal{B} -modules and $(\mathcal{B}, \mathcal{A})$ -bimodules are denoted by $\mathbf{C}_{\text{str}}\text{Mod}_{\mathcal{A}}, {}_{\mathcal{B}}\mathbf{C}_{\text{str}}\text{Mod}$ and $\mathbf{C}_{\text{str}}\text{Bimod}(\mathcal{B}, \mathcal{A})$, respectively.*

We will denote the set of constraint morphisms between strong constraint right \mathcal{A} -modules \mathcal{E} and \mathcal{F} by $\text{Hom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})$.

Let us take a closer look at strong constraint right \mathcal{A} -modules for a strong constraint algebra \mathcal{A} . The structure for left- and bimodules then follows analogously.

Proposition 1.4.24 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}\text{Alg}$ and $\mathcal{E} \in \mathbf{C}_{\text{str}}\text{Mod}_{\mathbb{k}}$. Then the structure of a strong constraint right \mathcal{A} -module on \mathcal{E} is equivalently given by the following data:*

- i.) *an \mathcal{A}_{T} -module structure $\rho_{\text{T}}: \mathcal{E}_{\text{T}} \otimes_{\mathbb{k}} \mathcal{A}_{\text{T}} \rightarrow \mathcal{E}_{\text{T}}$ on \mathcal{E}_{T} ,*
- ii.) *an \mathcal{A}_{N} -module structure $\rho_{\text{N}}^{\text{NN}}: \mathcal{E}_{\text{N}} \otimes_{\mathbb{k}} \mathcal{A}_{\text{N}} \rightarrow \mathcal{E}_{\text{N}}$ on \mathcal{E}_{N} ,*
- iii.) *an \mathcal{A}_{T} -module structure $\rho_{\text{N}}^{\text{OT}}: \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{A}_{\text{T}} \rightarrow \mathcal{E}_0$ on \mathcal{E}_0 ,*
- iv.) *a morphism $\rho_{\text{N}}^{\text{T0}}: \mathcal{E}_{\text{T}} \otimes_{\mathbb{k}} \mathcal{A}_0 \rightarrow \mathcal{E}_0$ of right \mathcal{A}_{T} - and \mathcal{A}_{N} -modules,*

such that

- v.) *$\iota_{\mathcal{E}}: \mathcal{E}_{\text{N}} \rightarrow \mathcal{E}_{\text{T}}$ is a morphism of right \mathcal{A}_{N} -modules,*
- vi.) *$\mathcal{E}_0 \subseteq \mathcal{E}_{\text{N}}$ is an \mathcal{A}_{N} -submodule,*
- vii.) *$\iota_{\mathcal{E}}|_{\mathcal{E}_0}: \mathcal{E}_0 \rightarrow \mathcal{E}_{\text{T}}$ is a morphism of \mathcal{A}_{T} -modules.*

PROOF: A strong constraint right \mathcal{A} -module \mathcal{E} is given by a constraint \mathbb{k} -module \mathcal{E} together with a constraint map $\rho: \mathcal{E} \boxtimes_{\mathbb{k}} \mathcal{A} \rightarrow \mathcal{A}$ fulfilling the usual axioms for a right action, see Definition A.4.11. By Proposition 1.2.27 and the fact that the strong tensor product is given by a colimit, see (1.2.40), the map ρ_{N} is equivalently described by \mathbb{k} -module morphisms

$$\rho_{\text{N}}^{\text{NN}}: \mathcal{E}_{\text{N}} \otimes_{\mathbb{k}} \mathcal{A}_{\text{N}} \rightarrow \mathcal{E}_{\text{N}}, \quad \rho_{\text{N}}^{\text{T0}}: \mathcal{E}_{\text{T}} \otimes_{\mathbb{k}} \mathcal{A}_0 \rightarrow \mathcal{E}_0, \quad \text{and} \quad \rho_{\text{N}}^{\text{OT}}: \mathcal{E}_0 \otimes_{\mathbb{k}} \mathcal{A}_{\text{T}} \rightarrow \mathcal{E}_0,$$

fulfilling

$$\begin{aligned} \rho_{\text{N}}^{\text{T0}}(\iota_{\mathcal{E}}(x), a) &= \rho_{\text{N}}^{\text{NN}}(x, a) && \text{for all } x \in \mathcal{E}_{\text{N}}, a \in \mathcal{A}_0, \\ \rho_{\text{N}}^{\text{OT}}(x, \iota_{\mathcal{A}}(a)) &= \rho_{\text{N}}^{\text{NN}}(x, a) && \text{for all } x \in \mathcal{E}_0, a \in \mathcal{A}_{\text{N}}. \end{aligned}$$

From the fact that ρ defines a module structure on \mathcal{E} it follows directly that ρ_{T} and $\rho_{\text{N}}^{\text{T0}}$ define right \mathcal{A}_{T} -module structures on \mathcal{E}_{T} and \mathcal{E}_0 , respectively. Moreover, \mathcal{E}_{N} becomes a right \mathcal{A}_{N} -module via $\rho_{\text{N}}^{\text{NN}}$. \square

The strong tensor product of strong constraint \mathbb{k} -modules now carries over to strong constraint \mathcal{A} -modules. The following is a reformulation of the tensor product of internal modules, see Proposition A.4.18, internal to $(\mathbf{CMod}_{\mathbb{k}}, \boxtimes_{\mathbb{k}})$ and spelled out in components.

Proposition 1.4.25 (Strong tensor product of strong constraint modules) *Let \mathcal{A}, \mathcal{B} and \mathcal{C} be strong constraint algebras and let $\mathcal{F} \in \mathbf{C}_{\text{str}}\text{Bimod}(\mathcal{C}, \mathcal{B})$ and $\mathcal{E} \in \mathbf{C}_{\text{str}}\text{Bimod}(\mathcal{B}, \mathcal{A})$ be strong constraint bimodules. Then the strong constraint $(\mathcal{C}, \mathcal{A})$ -bimodule $\mathcal{F} \boxtimes_{\mathcal{B}} \mathcal{E}$ is given by*

$$\begin{aligned} (\mathcal{F} \boxtimes_{\mathcal{B}} \mathcal{E})_{\text{T}} &:= \mathcal{F}_{\text{T}} \otimes_{\mathcal{B}_{\text{T}}} \mathcal{E}_{\text{T}}, \\ (\mathcal{F} \boxtimes_{\mathcal{B}} \mathcal{E})_{\text{N}} &:= \frac{(\mathcal{F}_{\text{N}} \otimes_{\mathcal{B}_{\text{N}}} \mathcal{E}_{\text{N}}) \oplus (\mathcal{F}_0 \otimes_{\mathcal{B}_{\text{T}}} \mathcal{E}_{\text{T}}) \oplus (\mathcal{F}_{\text{T}} \otimes_{\mathcal{B}_{\text{T}}} \mathcal{E}_0)}{\mathcal{I}_{\mathcal{F}, \mathcal{E}}^{\mathcal{B}}}, \\ (\mathcal{F} \boxtimes_{\mathcal{B}} \mathcal{E})_0 &:= \frac{(\mathcal{F}_0 \otimes_{\mathcal{B}_{\text{N}}} \mathcal{E}_{\text{N}} + \mathcal{F}_{\text{N}} \otimes_{\mathcal{B}_{\text{N}}} \mathcal{E}_0) \oplus (\mathcal{F}_0 \otimes_{\mathcal{B}_{\text{T}}} \mathcal{E}_{\text{T}}) \oplus (\mathcal{F}_{\text{T}} \otimes_{\mathcal{B}_{\text{T}}} \mathcal{E}_0)}{\mathcal{I}_{\mathcal{F}, \mathcal{E}}^{\mathcal{B}}}, \end{aligned} \tag{1.4.17}$$

with

$$\begin{aligned} \mathcal{I}_{\mathcal{F}, \mathcal{E}}^{\mathcal{B}} &:= \text{span}_{\mathbb{k}}\{(x_0 \otimes y, 0, 0) - (0, x_0 \otimes \iota_{\mathcal{E}}(y), 0) \mid x_0 \in \mathcal{F}_0, y \in \mathcal{E}_{\text{N}}\} \\ &\quad + \text{span}_{\mathbb{k}}\{(x \otimes y_0, 0, 0) - (0, 0, \iota_{\mathcal{F}}(x) \otimes y_0) \mid x \in \mathcal{F}_{\text{N}}, y_0 \in \mathcal{E}_0\}. \end{aligned} \tag{1.4.18}$$

1.4.2.1 Embedded Strong Constraint Algebras and their Modules

Let $\mathcal{A} \in \mathbf{C}_{\text{str}}\text{Alg}$ be a strong constraint algebra with multiplication $\mu: \mathcal{A} \boxtimes_{\mathbb{k}} \mathcal{A} \rightarrow \mathcal{A}$. If $\iota_{\mathcal{A}}: \mathcal{A}_{\text{N}} \rightarrow \mathcal{A}_{\text{T}}$ is injective, then $\mu_{\text{N}}^{\text{NN}}, \mu_{\text{N}}^{\text{OT}}$ and $\mu_{\text{N}}^{\text{TO}}$ are completely determined by μ_{T} . Hence in this case the notion of strong constraint algebras simplifies drastically.

Corollary 1.4.26 *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\text{Mod}_{\mathbb{k}}$. Then a strong constraint algebra structure on \mathcal{A} is equivalently given by an algebra structure on \mathcal{A}_{T} such that $\mathcal{A}_{\text{N}} \subseteq \mathcal{A}_{\text{T}}$ is a subalgebra and $\mathcal{A}_0 \subseteq \mathcal{A}_{\text{T}}$ is a two-sided ideal with $\mathcal{A}_0 \subseteq \mathcal{A}_{\text{N}}$.*

Note that non-embedded strong constraint algebras carry additional structure with respect to constraint algebras, while embedded strong constraint algebras do not. They just fulfil the additional property of \mathcal{A}_0 being a two-sided ideal in \mathcal{A}_{T} .

Example 1.4.27

- i.) Let $M \in \mathbf{C}^{\text{emb}}\text{Set}$ be an embedded constraint set. Then $\mathbf{C}\text{Map}(M, \mathbb{k})$ is an embedded strong constraint algebra, cf. [Example 1.4.15 i.](#)
- ii.) Let $M \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Set}$ be an embedded strong constraint set. Then $\mathbf{C}_{\text{str}}\text{Map}(M, \mathbb{k})$ is an embedded constraint algebra which is in general *not* strong constraint, since $\mathbf{C}_{\text{str}}\text{Map}(M, \mathbb{k})_0$ is not a two-sided ideal in $\mathbf{C}_{\text{str}}\text{Map}(M, \mathbb{k})_{\text{T}}$ in general, cf. [Example 1.4.15 iii.](#)

Remark 1.4.28 Non-commutative examples of constraint algebras will rarely be strong, see e.g. the coisotropic creed in [\[Lu93\]](#). We will come back to this in [Chapter 3](#).

Let us now turn to modules. We call a strong constraint bimodule \mathcal{E} *embedded* if $\iota_{\mathcal{E}}$ is injective, and we denote the category of embedded strong constraint $(\mathcal{B}, \mathcal{A})$ -bimodules by $\mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{B}, \mathcal{A})$, etc. In this case the various left and right multiplications in [Proposition 1.4.24](#) are determined by their T-components. This gives the following characterization:

Lemma 1.4.29 *Let $\mathcal{A}, \mathcal{B} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Alg}$ and $\mathcal{E} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Mod}_{\mathbb{k}}$. Then the structure of a strong constraint $(\mathcal{B}, \mathcal{A})$ -module is equivalently given by a $(\mathcal{B}_{\text{T}}, \mathcal{A}_{\text{T}})$ -bimodule structure on \mathcal{E}_{T} such that*

- i.) $\mathcal{E}_{\text{N}} \subseteq \mathcal{E}_{\text{T}}$ is a $(\mathcal{B}_{\text{N}}, \mathcal{A}_{\text{N}})$ -submodule,
- ii.) $\mathcal{E}_0 \subseteq \mathcal{E}_{\text{T}}$ is a $(\mathcal{B}_{\text{T}}, \mathcal{A}_{\text{T}})$ -submodule,
- iii.) $\mathcal{E}_0 \subseteq \mathcal{E}_{\text{N}}$ is a $(\mathcal{B}_{\text{N}}, \mathcal{A}_{\text{N}})$ -submodule.

Similarly to embedded strong constraint algebras also embedded strong constraint modules are just constraint modules with an additional property instead of additional structure as in the non-embedded situation.

Lemma 1.4.30 *Let $\mathcal{A}, \mathcal{B} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Alg}$ and let $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{B}, \mathcal{A})$ be strong constraint bimodules. Then a bimodule morphism $\Phi: \mathcal{E} \rightarrow \mathcal{F}$ is equivalently given by a $(\mathcal{B}_{\text{T}}, \mathcal{A}_{\text{T}})$ -bimodule morphism $\Phi_{\text{T}}: \mathcal{E}_{\text{T}} \rightarrow \mathcal{F}_{\text{T}}$ such that $\Phi_{\text{T}}(\mathcal{E}_{\text{N}}) \subseteq \mathcal{F}_{\text{N}}$ and $\Phi_{\text{T}}(\mathcal{E}_0) \subseteq \mathcal{F}_0$.*

Since constraint morphisms of embedded constraint modules are determined by their behaviour on the T-components, it is clear that also $\mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})$ is embedded if \mathcal{E} and \mathcal{F} are embedded.

Proposition 1.4.31 *Let $\mathcal{A}, \mathcal{B}, \mathcal{C} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Alg}$ be embedded strong constraint algebras and let $\mathcal{E} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{B}, \mathcal{A})$ as well as $\mathcal{F} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{C}, \mathcal{A})$ be embedded strong constraint bimodules. Then the right \mathcal{A} -module morphisms $\mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})$ form an embedded strong constraint $(\mathcal{C}, \mathcal{B})$ -bimodule.*

PROOF: It is clear that $\mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})$ is an embedded constraint $(\mathcal{C}, \mathcal{B})$ -bimodule. To see that it is a strong bimodule, consider $\Phi \in \mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})_0$ and $c \in \mathcal{C}_{\text{T}}$. Then for all $x \in \mathcal{E}_{\text{N}}$ we have $(c \cdot \Phi)(x) = c \cdot \Phi(x) \in \mathcal{C}_{\text{T}} \cdot \mathcal{F}_0 \subseteq \mathcal{F}_0$ and thus $c \cdot \Phi \in \mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})_0$. Analogously, we obtain $\Phi \cdot b \in \mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})$ for all $b \in \mathcal{B}_{\text{T}}$. \square

Even though for a strong constraint module $\mathcal{E} \in \mathbf{C}_{\text{str}}\text{Bimod}(\mathcal{B}, \mathcal{A})$ the constraint endomorphisms $\mathbf{CEnd}_{\mathcal{A}}(\mathcal{E}) = \mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{E})$ form a strong constraint module, they will in general not be a strong constraint algebra, since the composition of $\Phi \in \mathbf{CEnd}_{\mathcal{A}}(\mathcal{E})_{\text{T}}$ with $\Psi \in \mathbf{CEnd}_{\mathcal{A}}(\mathcal{E})_0$ might not end up in the 0-component. Nevertheless, $\mathbf{CEnd}_{\mathcal{A}}(\mathcal{E})$ is still a constraint algebra with respect to composition.

By Proposition 1.4.31 the dual module $\mathcal{E}^* = \mathbf{C}_{\text{str}}\text{Hom}_{\mathcal{A}}(\mathcal{E}, \mathcal{A})$ in particular is an embedded strong constraint module. It is also easy to see that the direct sum $\mathcal{E} \oplus \mathcal{F}$ of two embedded strong constraint algebras is again embedded strong constraint.

Proposition 1.4.32 *Let $\mathcal{A}, \mathcal{B} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Alg}$ and $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{B}, \mathcal{A})$. Then there exists a canonical isomorphism*

$$(\mathcal{E} \oplus \mathcal{F})^* \simeq \mathcal{E}^* \oplus \mathcal{F}^* \quad (1.4.19)$$

of embedded strong constraint $(\mathcal{B}, \mathcal{A})$ -bimodules.

PROOF: The bimodule morphism $\Phi: \mathcal{E}_{\text{T}}^* \oplus \mathcal{F}_{\text{T}}^* \rightarrow (\mathcal{E} \oplus \mathcal{F})_{\text{T}}^*$ given by $\Phi(\alpha + \beta)(v + w) := \alpha(v) + \beta(w)$ for all $\alpha \in \mathcal{E}^*, \beta \in \mathcal{F}^*, v \in \mathcal{E}$ and $w \in \mathcal{F}$ is invertible, with inverse given by $\Phi^{-1}(\eta) = (\eta \circ i_{\mathcal{E}}, \eta \circ i_{\mathcal{F}})$. Here $i_{\mathcal{E}}$ and $i_{\mathcal{F}}$ denote the canonical inclusions of \mathcal{E} and \mathcal{F} in $\mathcal{E} \oplus \mathcal{F}$. It is now a straightforward proof to show that both Φ and Φ^{-1} are constraint morphisms. \square

The strong tensor product of two embedded strong constraint modules will in general not be embedded. In other words, $\mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{A})$ is not a monoidal subcategory of $(\mathbf{C}_{\text{str}}\text{Bimod}(\mathcal{A}), \boxtimes_{\mathcal{A}})$, nevertheless, it carries enough structure to transfer $\boxtimes_{\mathcal{A}}$ to a monoidal structure on $\mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{A})$, similar to Proposition 1.2.31:

Proposition 1.4.33 (The category $\mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{A})$) *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Alg}$ be given.*

i.) The category $\mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{A})$ is a reflective subcategory of $\mathbf{C}_{\text{str}}\text{Bimod}(\mathcal{A})$ with reflector $\cdot^{\text{emb}}: \mathbf{C}_{\text{str}}\text{Bimod}(\mathcal{A}) \rightarrow \mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{A})$ given by

$$\mathcal{E}^{\text{emb}} := (\mathcal{E}_{\text{T}}, \iota_{\mathcal{E}}(\mathcal{E}_{\text{N}}), \iota_{\mathcal{E}}(\mathcal{E}_0)). \quad (1.4.20)$$

ii.) The subcategory $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$ is closed under finite limits.

iii.) The category $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$ is monoidal with respect to $\boxtimes_{\mathcal{A}}^{\text{emb}}$ defined by

$$\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F} := (\mathcal{E} \boxtimes_{\mathcal{A}} \mathcal{F})^{\text{emb}}. \quad (1.4.21)$$

iv.) The functor $\cdot^{\text{emb}}: (\mathbf{C}_{\text{str}}\mathbf{Bimod}(\mathcal{A}), \boxtimes_{\mathcal{A}}) \rightarrow (\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A}), \boxtimes_{\mathcal{A}}^{\text{emb}})$ is monoidal, and the functor $\mathbf{U}: (\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A}), \boxtimes_{\mathcal{A}}^{\text{emb}}) \rightarrow (\mathbf{C}_{\text{str}}\mathbf{Bimod}(\mathcal{A}), \boxtimes_{\mathcal{A}})$ is lax monoidal.

PROOF: The properties *v.)* to *vii.)* from Proposition 1.4.24 ensure that \mathcal{E}^{emb} is an embedded strong constraint module as in Lemma 1.4.29. With this *i.)* is clear, and *ii.)* follows directly. Part *iii.)* and *iv.)* follow again from Day's reflection theorem, see Theorem A.5.3. For this we only need to see that $(\eta_{\mathcal{E}} \otimes \eta_{\mathcal{F}})^{\text{emb}}: (\mathcal{E} \boxtimes_{\mathcal{A}} \mathcal{F})^{\text{emb}} \rightarrow (\mathcal{E}^{\text{emb}} \boxtimes_{\mathcal{A}} \mathcal{F}^{\text{emb}})^{\text{emb}}$, with $\eta_{\mathcal{E}}: \mathcal{E} \rightarrow \mathcal{E}^{\text{emb}}$ given by $(\eta_{\mathcal{E}})_{\text{T}} = \text{id}_{\mathcal{E}_{\text{T}}}$ and $(\eta_{\mathcal{F}})_{\text{N}}(x) = \iota_{\mathcal{E}}(x)$, is an isomorphism for all $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}\mathbf{Bimod}(\mathcal{A})$. This is clear since

$$(\eta_{\mathcal{E}} \otimes \eta_{\mathcal{F}})^{\text{emb}}(\iota_{\mathcal{E}}(x) \otimes \iota_{\mathcal{F}}(y)) = \iota_{\mathcal{E}}(x) \otimes \iota_{\mathcal{F}}(y). \quad \square$$

This embedded strong constraint tensor product resembles the motivating formulas for the strong tensor product, see (1.2.35) and (1.2.36):

Corollary 1.4.34 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$, and let $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$ be \mathcal{A} -bimodules. Then we have*

$$\begin{aligned} (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_{\text{T}} &= \mathcal{E}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{T}}, \\ (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_{\text{N}} &= \mathcal{E}_{\text{N}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{N}} + \mathcal{E}_0 \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{T}} + \mathcal{E}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_0, \\ (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_0 &= \mathcal{E}_0 \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{T}} + \mathcal{E}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_0. \end{aligned} \quad (1.4.22)$$

Having the strong tensor product and duals at hand, we obtain a canonical morphism resembling Proposition 1.3.21 *iv.)* from constraint vector spaces.

Proposition 1.4.35 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ and let $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$ be \mathcal{A} -bimodules. Then*

$$\mathcal{F}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{E}_{\text{T}}^* \ni y \otimes \alpha \mapsto (x \mapsto y \cdot \alpha(x)) \in \text{Hom}_{\mathcal{A}_{\text{T}}}(\mathcal{E}_{\text{T}}, \mathcal{F}_{\text{T}}) \quad (1.4.23)$$

defines a constraint morphism $\mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^ \rightarrow \text{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})_{\text{T}}$.*

PROOF: The map (1.4.23) is the canonical \mathcal{A}_{T} -module morphism from classical algebra. To show that it is a constraint \mathcal{A} -module morphism consider first $y \otimes \alpha \in (\mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^*)_0 = \mathcal{F} \diamond \mathcal{E}^*$. Here we use the notation introduced in Notation 1.3.10. If $x \in \mathcal{E}_{\text{N}}$, then $y \cdot \alpha(x) \in \mathcal{F}_0 \cdot \mathcal{A}_{\text{T}} + \mathcal{F}_{\text{T}} \cdot \mathcal{A}_0 \subseteq \mathcal{F}_0$. Hence (1.4.23) maps 0-component to 0-component. Now let $y \otimes \alpha \in \mathcal{F} \diamond \mathcal{E}^* \subseteq (\mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^*)_{\text{N}}$. If $x \in \mathcal{E}_0$, then $y \cdot \alpha(x) \in \mathcal{F}_{\text{N}} \cdot \mathcal{A}_0 \subseteq \mathcal{F}_0$. If $x \in \mathcal{E}_{\text{N}}$, then $y \cdot \alpha(x) \in \mathcal{F}_{\text{N}} \cdot \mathcal{A}_{\text{N}} \subseteq \mathcal{F}_{\text{N}}$. Thus (1.4.23) is a constraint morphism. \square

1.4.2.2 Strong Hull

We obtain a forgetful functor $\mathbf{U}: \mathbf{C}_{\text{str}}\mathbf{Alg} \rightarrow \mathbf{CAlg}$ by mapping a strong constraint algebra (\mathcal{A}, μ) to the constraint algebra \mathcal{A} obtained by dismissing the \mathcal{A}_{T} -bimodule structure on \mathcal{A}_0 . This functor obviously restricts to $\mathbf{U}: \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg} \rightarrow \mathbf{C}^{\text{emb}}\mathbf{Alg}$. In this case we can easily describe its corresponding free construction.

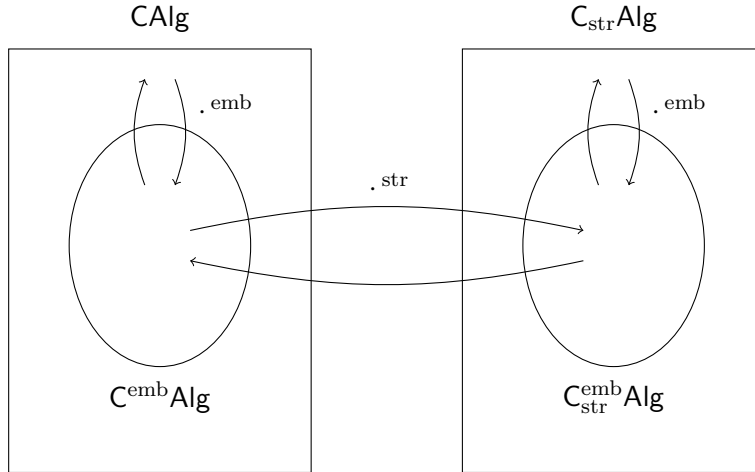


Figure 1.4.1: Overview of the different categories of constraint algebras. Unnamed arrows denote forgetful functors.

Proposition 1.4.36

i.) Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$, then

$$\begin{aligned} \mathcal{A}_{\text{T}}^{\text{str}} &= \mathcal{A}_{\text{T}}, \\ \mathcal{A}_{\text{N}}^{\text{str}} &= \mathcal{A}_{\text{N}} + \mathcal{A}_{\text{T}} \cdot \mathcal{A}_0 \cdot \mathcal{A}_{\text{T}}, \\ \mathcal{A}_0^{\text{str}} &= \mathcal{A}_{\text{T}} \cdot \mathcal{A}_0 \cdot \mathcal{A}_{\text{T}} \end{aligned} \tag{1.4.24}$$

is a strong constraint algebra $\mathcal{A}^{\text{str}} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$.

ii.) Mapping $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ to $\mathcal{A}^{\text{str}} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ and morphisms $\phi: \mathcal{A} \rightarrow \mathcal{B}$ to $\phi^{\text{str}}: \mathcal{A}^{\text{str}} \rightarrow \mathcal{B}^{\text{str}}$ given by $\phi^{\text{str}} = \phi$ defines a functor $\cdot^{\text{str}}: \mathbf{C}^{\text{emb}}\mathbf{Alg} \rightarrow \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$.

iii.) The functor \cdot^{str} is left adjoint to the forgetful functor $\mathbf{U}: \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg} \rightarrow \mathbf{C}^{\text{emb}}\mathbf{Alg}$.

iv.) $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ is a reflective subcategory of $\mathbf{C}^{\text{emb}}\mathbf{Alg}$.

PROOF: The first and second part are straightforward checks. For the third part let $\eta_{\mathcal{A}}: \mathcal{A} \rightarrow \mathbf{U}(\mathcal{A}^{\text{str}})$ be the obvious inclusion for every $\mathcal{A} \in \mathbf{CAlg}$, and let $\varepsilon_{\mathcal{B}}: (\mathbf{U}\mathcal{B})^{\text{str}} \rightarrow \mathcal{B}$ be the identity for every $\mathcal{B} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$. It is now easy to check that the maps η and ε are the unit and counit of the required adjunction. The last part is clear, since the counit ε is just the identity. \square

We will call \mathcal{A}^{str} the *strong hull* of \mathcal{A} . See Figure 1.4.1 for an overview of the various categories of constraint algebras and their relationship. For functions on embedded strong constraint sets the construction of the strong hull can be viewed as the algebraic analogue of forgetting the equivalence relation outside of the subset.

Proposition 1.4.37 Let \mathbb{K} be a field. The diagram

$$\begin{array}{ccc} \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Set} & \xrightarrow{\mathbf{C}_{\text{str}}\mathbf{Map}(\cdot, \mathbb{K})} & \mathbf{C}^{\text{emb}}\mathbf{Alg} \\ \mathbf{U} \downarrow & & \downarrow \cdot^{\text{str}} \\ \mathbf{C}^{\text{emb}}\mathbf{Set} & \xrightarrow{\mathbf{C}\mathbf{Map}(\cdot, \mathbb{K})} & \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg} \end{array} \tag{1.4.25}$$

commutes up to a natural isomorphism. Here $\mathbf{U}: \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Set} \rightarrow \mathbf{C}^{\text{emb}}\mathbf{Set}$ denotes the functor forgetting the equivalence relation outside of the N-component, see Proposition 1.1.21.

PROOF: Since \mathbb{K} is a field, [Proposition 1.4.36](#) applies. Now on the T-component the diagram commutes strictly. On the one hand we know by [Example 1.4.2 i.\)](#) that for every embedded strong constraint set M the ideal $\mathbf{CMap}(\mathbf{U}(M), \mathbb{k})_0$ is just the vanishing ideal \mathcal{I}_{M_N} of M_N . On the other hand [Proposition 1.1.21 iii.\)](#) characterizes $\mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})_0$ as those functions vanishing on M_N which are constant along the equivalence classes on M_T . Using the characteristic function $\chi_{M_N}: M_T \rightarrow \{0, 1\}$ we can write every $f \in \mathcal{I}_{M_N}$ as $f = \chi_{M_N} \cdot f$, with $\chi \in \mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})_0$ and $f \in \mathcal{A}_T$. Hence the 0-components agree. Similarly, every $g \in \mathbf{C}_{\text{str}}\mathbf{Map}(M, \mathbb{k})_N$ is constant along equivalence classes on M_N and can be written as $g = (1 - \chi_{M_N}) \cdot g + \chi_{M_N} \cdot g$, with $(1 - \chi_{M_N}) \cdot g \in \mathbf{CMap}(M, \mathbb{k})_N$ and $\chi_{M_N} \cdot g \in \mathbf{CMap}(M, \mathbb{k})_0^{\text{str}}$. \square

There is again the obvious forgetful functor $\mathbf{U}: \mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathcal{A}} \rightarrow \mathbf{CMod}_{\mathcal{A}}$ by forgetting the module structure $\rho = (\rho_T, \rho_N)$ to $(\rho_T, \rho_N^{\text{NN}})$. Analogously to the algebra case there is also a way to construct strong constraint modules out of non-strong ones if we assume the algebra and the module to be embedded.

Proposition 1.4.38 (Strong hull) *Let $\mathcal{A}, \mathcal{B} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$.*

i.) Let $\mathcal{E} \in \mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$. Then

$$\begin{aligned} \mathcal{E}_T^{\text{str}} &:= \mathcal{E}_T, \\ \mathcal{E}_N^{\text{str}} &:= \mathcal{E}_N + \mathcal{B}_T \cdot \mathcal{E}_0 \cdot \mathcal{A}_T + \mathcal{B}_0 \cdot \mathcal{E}_T + \mathcal{E}_T \cdot \mathcal{A}_0, \\ \mathcal{E}_0^{\text{str}} &:= \mathcal{B}_T \cdot \mathcal{E}_0 \cdot \mathcal{A}_T + \mathcal{B}_0 \cdot \mathcal{E}_T + \mathcal{E}_T \cdot \mathcal{A}_0 \end{aligned} \quad (1.4.26)$$

is a strong constraint $(\mathcal{B}, \mathcal{A})$ -bimodule.

ii.) Mapping $\mathcal{E} \in \mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$ to $\mathcal{E}^{\text{str}} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$ and morphisms $\Phi: \mathcal{E} \rightarrow \mathcal{F}$ to $\Phi^{\text{str}}: \mathcal{E}^{\text{str}} \rightarrow \mathcal{F}^{\text{str}}$ given by $\Phi^{\text{str}} := \Phi$ defines a functor

$$\cdot^{\text{str}}: \mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A}) \rightarrow \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A}). \quad (1.4.27)$$

iii.) $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$ is a reflective subcategory of $\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$ with reflector \cdot^{str} .

PROOF: The first and second part are clear. For the third part, note that by [Lemma 1.4.29](#) and [Lemma 1.4.30](#) the category $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$ is a full subcategory of $\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$. To show that \cdot^{str} is left adjoint to the embedding $\mathbf{U}: \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A}) \rightarrow \mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$ consider the counit ε , defined for every $\mathcal{E} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$ by $\varepsilon_{\mathcal{E}} := \text{id}_{\mathcal{E}}$, and the unit η , defined for every $\mathcal{F} \in \mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$ by the obvious inclusion $\eta_{\mathcal{F}}: \mathcal{F} \rightarrow \mathcal{F}^{\text{str}}$. The triangle identities are then easily verified, and since ε is an isomorphism, we see that $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{B}, \mathcal{A})$ is a reflective subcategory. \square

For any $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ we know that $\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$ is a monoidal category with tensor product $\otimes_{\mathcal{A}}^{\text{emb}}$ as given in [Proposition 1.4.17](#). We would now like to transport this monoidal structure to the reflective subcategory $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$, but in this generality Day's reflection theorem does not apply. Nevertheless, when we restrict ourselves to symmetric bimodules over commutative strong constraint algebras this can be achieved. We denote the category of symmetric embedded strong constraint bimodules by $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$.

Proposition 1.4.39 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ be a commutative embedded strong constraint algebra.*

i.) $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$ is a monoidal category with respect to $\otimes_{\mathcal{A}}^{\text{str}}$ defined by

$$\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F} := (\mathcal{E} \otimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})^{\text{str}}. \quad (1.4.28)$$

ii.) The functor $\cdot^{\text{str}}: (\mathbf{C}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}, \otimes_{\mathcal{A}}^{\text{emb}}) \rightarrow (\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}, \otimes_{\mathcal{A}}^{\text{str}})$ is monoidal.

PROOF: We use again Day's reflection theorem, see [Theorem A.5.3](#). For this note that [Proposition 1.4.38](#) restricts to the subcategories of symmetric bimodules. Hence $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$ is a reflective subcategory of $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})$. Furthermore, we have canonically

$$\begin{aligned}
 (\mathcal{E}^{\text{str}} \otimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^{\text{str}})_{\text{N}}^{\text{str}} &= (\mathcal{E}^{\text{str}} \otimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^{\text{str}})_{\text{N}} + \mathcal{A}_{\text{T}} \cdot (\mathcal{E}^{\text{str}} \otimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^{\text{str}})_{\text{0}} \cdot \mathcal{A}_{\text{T}} \\
 &\quad + \mathcal{A}_{\text{0}} \cdot (\mathcal{E}^{\text{str}} \otimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^{\text{str}})_{\text{T}} + (\mathcal{E}^{\text{str}} \otimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^{\text{str}})_{\text{T}} \cdot \mathcal{A}_{\text{0}} \\
 &= \mathcal{E}_{\text{N}}^{\text{str}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{N}}^{\text{str}} + \mathcal{A}_{\text{T}} \cdot (\mathcal{E}_{\text{0}}^{\text{str}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{N}}^{\text{str}}) \cdot \mathcal{A}_{\text{T}} + \mathcal{A}_{\text{T}} \cdot (\mathcal{E}_{\text{N}}^{\text{str}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{0}}^{\text{str}}) \cdot \mathcal{A}_{\text{T}} \\
 &\quad + \mathcal{A}_{\text{0}} \cdot (\mathcal{E}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{E}_{\text{T}}) + (\mathcal{E}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{E}_{\text{T}}) \cdot \mathcal{A}_{\text{0}} \\
 &= \mathcal{E}_{\text{N}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{N}} + \mathcal{A}_{\text{T}} \cdot (\mathcal{E}_{\text{0}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{N}}) \cdot \mathcal{A}_{\text{T}} + \mathcal{A}_{\text{T}} \cdot (\mathcal{E}_{\text{N}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{0}}) \cdot \mathcal{A}_{\text{T}} \\
 &\quad + \mathcal{A}_{\text{0}} \cdot (\mathcal{E}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{E}_{\text{T}}) + (\mathcal{E}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{E}_{\text{T}}) \cdot \mathcal{A}_{\text{0}} \\
 &= (\mathcal{E} \otimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})^{\text{str}}
 \end{aligned}$$

for all symmetric bimodules \mathcal{E} and \mathcal{F} . Thus by [Theorem A.5.3](#) we see that $\otimes_{\mathcal{A}}^{\text{str}}$ defines a monoidal structure on $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$ such that \cdot^{str} becomes a monoidal functor. \square

Using the definition of the strong hull and \cdot^{emb} as defined in [Proposition 1.2.24](#) directly yields the following explicit description of \otimes^{str} :

Corollary 1.4.40 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ be commutative, and let $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$ be symmetric \mathcal{A} -bimodules. Then we have*

$$\begin{aligned}
 (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_{\text{T}} &= \mathcal{E}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{T}}, \\
 (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_{\text{N}} &= \mathcal{E}_{\text{N}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{N}}, \\
 (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_{\text{0}} &= \mathcal{E}_{\text{0}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{N}} + \mathcal{E}_{\text{N}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{0}}.
 \end{aligned} \tag{1.4.29}$$

Proposition 1.4.41 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ be commutative. The category $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$ is closed monoidal with respect to $\otimes_{\mathcal{A}}^{\text{str}}$. The internal hom is given by $\mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})$.*

PROOF: In [Proposition 1.4.31](#) we showed that $\mathbf{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})$ is again an embedded strong constraint bimodule. The symmetry is clear. For the T-component we have the classical evaluation $\text{ev}_{\mathcal{F}_{\text{T}}}: \text{Hom}_{\mathcal{A}_{\text{T}}}(\mathcal{E}_{\text{T}}, \mathcal{F}_{\text{T}}) \otimes_{\mathcal{A}_{\text{T}}} \mathcal{E}_{\text{T}} \rightarrow \mathcal{E}_{\text{T}}$ and coevaluation $\text{coev}_{\mathcal{F}_{\text{T}}}: \mathcal{F}_{\text{T}} \rightarrow \text{Hom}_{\mathcal{A}_{\text{T}}}(\mathcal{E}_{\text{T}}, \mathcal{F}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{E}_{\text{T}})$. These are easily seen to be constraint morphisms. \square

Clearly, the two monoidal structures on $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$ are not unrelated. Looking at [Corollary 1.4.34](#) and [Corollary 1.4.40](#) we see that for $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$ we have a canonical inclusion

$$\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F} \hookrightarrow \mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}.$$

Moreover, dualizing turns one tensor product into another.

Proposition 1.4.42 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ be commutative, and let $\mathcal{E}, \mathcal{F}, \mathcal{G} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$ be symmetric \mathcal{A} -bimodules.*

- i.) *There is a canonical morphism $\mathbf{C}_{\text{str}}\mathbf{Hom}_{\mathcal{A}}(\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}, \mathcal{G}) \rightarrow \mathbf{C}_{\text{str}}\mathbf{Hom}_{\mathcal{A}}(\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}, \mathcal{G})$ of constraint \mathcal{A} -bimodules induced by $\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F} \rightarrow \mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}$.*
- ii.) *There is a canonical morphism $\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^* \rightarrow (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})^*$ of constraint \mathcal{A} -bimodules given by*

$$\mathcal{E}_{\text{T}}^* \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{T}}^* \ni \alpha \otimes \beta \mapsto (x \otimes y \mapsto \alpha(x) \cdot \beta(y)) \in (\mathcal{E}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{T}})^*. \tag{1.4.30}$$

- iii.) *There is a canonical morphism $\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^* \rightarrow (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})^*$ of constraint \mathcal{A} -bimodules given by*

$$\mathcal{E}_{\text{T}}^* \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{T}}^* \ni \alpha \otimes \beta \mapsto (x \otimes y \mapsto \alpha(x) \cdot \beta(y)) \in (\mathcal{E}_{\text{T}} \otimes_{\mathcal{A}_{\text{T}}} \mathcal{F}_{\text{T}})^*. \tag{1.4.31}$$

PROOF: On the T-components both maps are defined by the canonical map from classical algebra, which is clearly an \mathcal{A}_T -bimodule morphism. It remains to show that the maps preserve the substructures. For the first part let $\alpha \otimes \beta \in (\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_0 = \mathcal{E}^* \diamond \mathcal{F}^*$.

- For $x \otimes y \in \mathcal{E} \diamond \mathcal{F} = (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_0$ we have $\alpha(x) \cdot \beta(y) \in \mathcal{A}_0 \cdot \mathcal{A}_T + \mathcal{A}_T \cdot \mathcal{A}_0 = \mathcal{A}_0$.
- For $x \otimes y \in \mathcal{E} \diamond \mathcal{F} \subseteq (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_{\text{N}}$ we have $\alpha(x) \cdot \beta(y) \in \mathcal{A}_0 \cdot \mathcal{A}_{\text{N}} + \mathcal{A}_{\text{N}} \cdot \mathcal{A}_0 = \mathcal{A}_0$.

Thus (1.4.30) maps 0-component to 0-component. Next suppose $\alpha \otimes \beta \in \mathcal{E}^* \diamond \mathcal{F}^* \subseteq (\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_{\text{N}}$.

- For $x \otimes y \in \mathcal{E} \diamond \mathcal{F} = (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_0$ we have $\alpha(x) \cdot \beta(y) \in \mathcal{A}_0 \cdot \mathcal{A}_T + \mathcal{A}_T \cdot \mathcal{A}_0 = \mathcal{A}_0$.
- For $x \otimes y \in \mathcal{E} \diamond \mathcal{F} \subseteq (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_{\text{N}}$ we have $\alpha(x) \cdot \beta(y) \in \mathcal{A}_{\text{N}} \cdot \mathcal{A}_{\text{N}} = \mathcal{A}_{\text{N}}$.

This shows that (1.4.30) also maps N-component to N-component and therefore is a constraint morphism. For the second part consider at first $\alpha \otimes \beta \in (\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_0 = \mathcal{E}^* \diamond \mathcal{F}^*$.

- For $x \otimes y \in \mathcal{E} \diamond \mathcal{F} = (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_{\text{N}}$ we have $\alpha(x) \cdot \beta(y) \in \mathcal{A}_0 \cdot \mathcal{A}_T + \mathcal{A}_T \cdot \mathcal{A}_0 = \mathcal{A}_0$,

showing that (1.4.31) maps 0-component to 0-component. Next choose $\alpha \otimes \beta \in \mathcal{E}^* \diamond \mathcal{F}^* \subseteq (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_{\text{N}}$.

- For $x \otimes y \in \mathcal{E} \diamond \mathcal{F} = (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_0$ we have $\alpha(x) \cdot \beta(y) \in \mathcal{A}_0 \cdot \mathcal{A}_{\text{N}} + \mathcal{A}_{\text{N}} \cdot \mathcal{A}_0 = \mathcal{A}_0$.
- For $x \otimes y \in \mathcal{E} \diamond \mathcal{F} \subseteq (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_{\text{N}}$ we have $\alpha(x) \cdot \beta(y) \in \mathcal{A}_{\text{N}} \cdot \mathcal{A}_{\text{N}} = \mathcal{A}_{\text{N}}$.

This shows that (1.4.31) also preserves the N-components and hence is a constraint morphism. \square

We cannot expect (1.4.30) and (1.4.31) to be isomorphisms, since they do not even need to be isomorphisms on the T-component. However, in classical algebra we know that for finitely generated projective modules these indeed become isomorphisms, see also Proposition 1.3.21 for the case of finite dimensional constraint vector spaces. We will see in Section 1.5 that they also become constraint isomorphisms if the involved modules are finitely generated projective as constraint modules.

Since $\mathbf{C}_{\text{str}}^{\text{emb}} \mathbf{Bimod}(\mathcal{A})_{\text{sym}}$ is closed monoidal, we can define an insertion morphism as the composition

$$\begin{aligned} \text{i}: \mathbf{C}_{\text{str}} \mathbf{Hom}_{\mathcal{A}}(\mathcal{E} \otimes_{\mathcal{A}} \mathcal{F}, \mathcal{G}) \otimes_{\mathcal{A}} \mathcal{E} &\longrightarrow \mathbf{C}_{\text{str}} \mathbf{Hom}(\mathcal{E}, \mathbf{C}_{\text{str}} \mathbf{Hom}_{\mathcal{A}}(\mathcal{F}, \mathcal{G})) \otimes_{\mathcal{A}} \mathcal{E} \\ &\xrightarrow{\text{ev}} \mathbf{C}_{\text{str}} \mathbf{Hom}(\mathcal{F}, \mathcal{G}), \end{aligned} \quad (1.4.32)$$

and we will write $\text{i}_X(\Phi): \mathcal{F} \rightarrow \mathcal{G}$ for $X \in \mathcal{E}$ and $\Phi: \mathcal{E} \otimes_{\mathcal{A}} \mathcal{F} \rightarrow \mathcal{G}$. Using Proposition 1.4.42 i.) we can define a constraint insertion morphism as

$$\begin{aligned} \text{i}: \mathbf{C}_{\text{str}} \mathbf{Hom}_{\mathcal{A}}(\mathcal{E} \boxtimes_{\mathcal{A}} \mathcal{F}, \mathcal{G}) \otimes_{\mathcal{A}} \mathcal{E} &\longrightarrow \mathbf{C}_{\text{str}} \mathbf{Hom}_{\mathcal{A}}(\mathcal{E} \otimes_{\mathcal{A}} \mathcal{F}, \mathcal{G}) \otimes_{\mathcal{A}} \mathcal{E} \\ &\longrightarrow \mathbf{C}_{\text{str}} \mathbf{Hom}(\mathcal{E}, \mathbf{C}_{\text{str}} \mathbf{Hom}_{\mathcal{A}}(\mathcal{F}, \mathcal{G})) \otimes_{\mathcal{A}} \mathcal{E} \\ &\xrightarrow{\text{ev}} \mathbf{C}_{\text{str}} \mathbf{Hom}(\mathcal{F}, \mathcal{G}), \end{aligned} \quad (1.4.33)$$

similar to (1.4.32).

1.4.2.3 Reduction

The reduction of strong constraint modules is again given by first applying the forgetful functor $\text{U}: \mathbf{C}_{\text{str}} \mathbf{Bimod} \rightarrow \mathbf{CBimod}$ and then using the reduction functor on \mathbf{CBimod} , see Proposition 1.4.18. Similar to Proposition 1.2.34 we can show that the tensor product and strong tensor product do not differ after reduction:

Proposition 1.4.43 (Reduction on $\mathbf{C}_{\text{str}} \mathbf{Bimod}$) *Let $\mathcal{A}, \mathcal{B}, \mathcal{C} \in \mathbf{C}_{\text{str}} \mathbf{Alg}$ be given, and let $\mathcal{E} \in \mathbf{C}_{\text{str}} \mathbf{Bimod}(\mathcal{C}, \mathcal{B})$ and $\mathcal{F} \in \mathbf{C}_{\text{str}} \mathbf{Bimod}(\mathcal{B}, \mathcal{A})$. Then there is a canonical isomorphism*

$$(\mathcal{E} \boxtimes_{\mathcal{B}} \mathcal{F})_{\text{red}} \simeq \mathcal{E}_{\text{red}} \otimes_{\mathcal{B}_{\text{red}}} \mathcal{F}_{\text{red}}. \quad (1.4.34)$$

PROOF: Recall the definition of $\boxtimes_{\mathcal{B}}$ from [Proposition 1.4.25](#):

$$\begin{aligned} (\mathcal{E} \boxtimes_{\mathcal{B}} \mathcal{F})_{\mathbf{N}} &= \frac{(\mathcal{E}_{\mathbf{N}} \otimes_{\mathcal{B}_{\mathbf{N}}} \mathcal{F}_{\mathbf{N}}) \oplus (\mathcal{E}_0 \otimes_{\mathcal{B}_{\mathbf{T}}} \mathcal{F}_{\mathbf{T}}) \oplus (\mathcal{E}_{\mathbf{T}} \otimes_{\mathcal{B}_{\mathbf{T}}} \mathcal{F}_0)}{\mathcal{I}_{\mathcal{E}, \mathcal{F}}^{\mathcal{B}}}, \\ (\mathcal{E} \boxtimes_{\mathcal{B}} \mathcal{F})_0 &= \frac{(\mathcal{E}_0 \otimes_{\mathcal{B}_{\mathbf{N}}} \mathcal{F}_{\mathbf{N}} + \mathcal{E}_{\mathbf{N}} \otimes_{\mathcal{B}_{\mathbf{N}}} \mathcal{F}_0) \oplus (\mathcal{E}_0 \otimes_{\mathcal{B}_{\mathbf{T}}} \mathcal{F}_{\mathbf{T}}) \oplus (\mathcal{E}_{\mathbf{T}} \otimes_{\mathcal{B}_{\mathbf{T}}} \mathcal{F}_0)}{\mathcal{I}_{\mathcal{E}, \mathcal{F}}^{\mathcal{B}}}, \end{aligned}$$

with

$$\begin{aligned} \mathcal{I}_{\mathcal{E}, \mathcal{F}}^{\mathcal{B}} &= \text{span}_{\mathbb{k}}\{(x_0 \otimes y, 0, 0) - (0, x_0 \otimes \iota_{\mathcal{F}}(y), 0) \mid x_0 \in \mathcal{E}_0, y \in \mathcal{F}_{\mathbf{N}}\} \\ &\quad + \text{span}_{\mathbb{k}}\{(x \otimes y_0, 0, 0) - (0, 0, \iota_{\mathcal{E}}(x) \otimes y_0) \mid x \in \mathcal{E}_{\mathbf{N}}, y_0 \in \mathcal{F}_0\}. \end{aligned}$$

Note that the second and third term in $(\mathcal{F} \boxtimes_{\mathcal{B}} \mathcal{F})_{\mathbf{N}}$ directly vanish after reduction. Then the obvious map $\mathcal{E}_{\mathbf{N}} \otimes_{\mathcal{B}_{\mathbf{N}}} \mathcal{F}_{\mathbf{N}} \rightarrow (\mathcal{E} \boxtimes_{\mathcal{B}} \mathcal{F})_{\text{red}}$, obtained by mapping into the first component, yields the desired isomorphism. \square

For embedded strong constraint algebras and modules note again that reduction will not be compatible with many constructions, since the embedding of $\mathbf{C}_{\text{str}}^{\text{emb}} \mathbf{Alg}$ into $\mathbf{C}_{\text{str}} \mathbf{Alg}$ or of $\mathbf{C}_{\text{str}}^{\text{emb}} \mathbf{Bimod}$ into $\mathbf{C}_{\text{str}} \mathbf{Bimod}$ will, in general, not be monoidal and not preserve colimits.

1.5 Regular Projective Modules

In classical geometry projective modules over the algebra of functions play an important role since they can be identified with vector bundles over smooth manifolds and thus serve as the algebraic description of vector bundles. We will examine the constraint analogue of this relationship in [Section 2.3](#). From an algebraic point of view projective modules can be understood as a slight generalization of the concept of free modules. Therefore we will investigate free (strong) constraint modules in [Section 1.5.1](#) and [Section 1.5.2](#) before we focus on projective (strong) constraint modules in [Section 1.5.3](#) and [Section 1.5.4](#). It will turn out that projective (strong) constraint modules can be characterized in several different ways similarly to the classical situation: by a lifting property, as direct sums of free modules, or by a dual basis lemma.

1.5.1 Free Constraint Modules

As a first important family of constraint modules we will introduce free modules in this section. Morally, these should be constraint modules with a constraint basis. For this we need to specify a category of objects of potential bases. We start with the obvious choice of constraint sets and the forgetful functor $\mathbf{U}: \mathbf{CMod}_{\mathcal{A}} \rightarrow \mathbf{CSet}$. Then we search for a left adjoint to this. In the following we use brackets in the exponent of $\mathcal{A}^{(M)}$ to indicate the use of direct sums instead of products indexed by M .

Proposition 1.5.1 (CSet-free constraint module) *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra.*

i.) For every constraint set $M \in \mathbf{CSet}$ setting

$$\begin{aligned} (\mathcal{A}^{(M)})_{\mathbf{T}} &:= \mathcal{A}_{\mathbf{T}}^{(M_{\mathbf{T}})}, \\ (\mathcal{A}^{(M)})_{\mathbf{N}} &:= \mathcal{A}_{\mathbf{N}}^{(M_{\mathbf{N}})}, \\ (\mathcal{A}^{(M)})_0 &:= \left\{ x \in \mathcal{A}_{\mathbf{N}}^{(M_{\mathbf{N}})} \mid \forall m \in M_{\mathbf{N}}: \sum_{n \sim_M m} x^n \in \mathcal{A}_0 \right\}, \end{aligned} \tag{1.5.1}$$

together with the map $\iota_{\mathcal{A}(M)}: (\mathcal{A}(M))_{\mathbb{N}} \rightarrow (\mathcal{A}(M))_{\mathbb{T}}$ given by

$$\iota_{\mathcal{A}(M)} \left(\sum_{m \in M_{\mathbb{N}}} b_m^{\mathbb{N}} x^m \right) := \sum_{m \in M_{\mathbb{N}}} b_{\iota_M(m)}^{\mathbb{T}} x^m, \quad (1.5.2)$$

defines a constraint right \mathcal{A} -module. Here $b_m^{\mathbb{T}}$ and $b_m^{\mathbb{N}}$ denote the basis elements of the free modules $\mathcal{A}_{\mathbb{T}}^{(M_{\mathbb{T}})}$ and $\mathcal{A}_{\mathbb{N}}^{(M_{\mathbb{N}})}$, respectively, and by x^m we denote the corresponding coefficients.

ii.) For every constraint set $M \in \mathbf{CSet}$ the constraint right \mathcal{A} -module $\mathcal{A}^{(M)}$ satisfies the following universal property: For every $\mathcal{E} \in \mathbf{CMod}_{\mathcal{A}}$ and $f: M \rightarrow \mathcal{E}$ there exists a unique morphism $\Phi: \mathcal{A}^{(M)} \rightarrow \mathcal{E}$ of constraint right \mathcal{A} -modules such that

$$\begin{array}{ccc} \mathcal{A}^{(M)} & \xrightarrow{\Phi} & \mathcal{E} \\ \uparrow i & \nearrow f & \\ M & & \end{array} \quad (1.5.3)$$

commutes, where $i: M \rightarrow \mathcal{A}^{(M)}$ is given by $i_{\mathbb{T}/\mathbb{N}}(m) := b_m^{\mathbb{T}/\mathbb{N}}$.

iii.) The functor $F: \mathbf{CSet} \rightarrow \mathbf{CMod}_{\mathcal{A}}$ given by

$$F(M) := \mathcal{A}^{(M)} \quad (1.5.4)$$

on objects and

$$F(f): \mathcal{A}^{(M)} \rightarrow \mathcal{A}^{(N)}, \quad F(f)(b_m^{\mathbb{T}/\mathbb{N}}) := b_{f(m)}^{\mathbb{T}/\mathbb{N}} \quad (1.5.5)$$

on morphisms is left adjoint to the forgetful functor $U: \mathbf{CMod}_{\mathcal{A}} \rightarrow \mathbf{CSet}$.

PROOF: The first part is a simple check of [Definition 1.4.4](#). For the second part note that i is indeed a map of constraint sets, since for $x \sim_M y$ and $m \in M_{\mathbb{N}}$ arbitrary we have

$$\sum_{n \sim_M m} (i_{\mathbb{N}}(x) - i_{\mathbb{N}}(y))_n = \sum_{n \sim_M m} (b_x^{\mathbb{N}} - b_y^{\mathbb{N}})_n = \sum_{n \sim_M m} \delta_{xn} - \delta_{yn} = \begin{cases} \delta_{xx} - \delta_{yy} = 0 & \text{if } m \sim_M x, \\ 0 & \text{else.} \end{cases}$$

Since $\mathcal{A}_{\mathbb{N}}^{(M_{\mathbb{N}})}$ and $\mathcal{A}_{\mathbb{T}}^{(M_{\mathbb{T}})}$ are free modules we get by the classical universal properties module morphisms $\Phi_{\mathbb{N}}: \mathcal{A}_{\mathbb{N}}^{(M_{\mathbb{N}})} \rightarrow \mathcal{E}_{\mathbb{N}}$ and $\Phi_{\mathbb{T}}: \mathcal{A}_{\mathbb{T}}^{(M_{\mathbb{T}})} \rightarrow \mathcal{E}_{\mathbb{T}}$. Moreover, we have $\iota_{\mathcal{E}} \circ f_{\mathbb{N}} = \iota_{\mathcal{E}} \circ \Phi_{\mathbb{N}} \circ i_{\mathbb{N}}$ and $\iota_{\mathcal{E}} \circ f_{\mathbb{T}} = f_{\mathbb{T}} \circ \iota_M = \Phi_{\mathbb{T}} \circ i_{\mathbb{T}} \circ \iota_M = \Phi_{\mathbb{T}} \circ \iota_{\mathcal{A}(M)} \circ i_{\mathbb{N}}$, and hence the universal property of $\mathcal{A}_{\mathbb{N}}^{(M_{\mathbb{N}})}$ together with the injectivity of $i_{\mathbb{N}}$ ensures $\iota_{\mathcal{E}} \circ \Phi_{\mathbb{N}} = \Phi_{\mathbb{T}} \circ \iota_{\mathcal{A}(M)}$. To show that $\Phi_{\mathbb{N}}$ preserves the 0-component let $x \in (\mathcal{A}^{(M)})_0$ be given. Then

$$\begin{aligned} \Phi_{\mathbb{N}}(x) &= \sum_{m \in M_{\mathbb{N}}} \Phi_{\mathbb{N}}(b_m^{\mathbb{N}}) x^m \\ &= \sum_{[m] \in M_{\mathbb{N}}/\sim_M} \sum_{n \sim_M m} f_{\mathbb{N}}(n) x^n \\ &= \sum_{[m] \in M_{\mathbb{N}}/\sim_M} \sum_{n \sim_M m} (f_{\mathbb{N}}(n) - f_{\mathbb{N}}(m)) x^n + f_{\mathbb{N}}(m) x^n \\ &= \sum_{[m] \in M_{\mathbb{N}}/\sim_M} \sum_{n \sim_M m} \underbrace{(f_{\mathbb{N}}(n) - f_{\mathbb{N}}(m))}_{\in \mathcal{E}_0} x^n + f_{\mathbb{N}}(m) \cdot \sum_{[m] \in M_{\mathbb{N}}/\sim_M} \underbrace{\sum_{n \sim_M m} x^n}_{\in \mathcal{A}_0}. \end{aligned}$$

Thus $\Phi := (\Phi_{\mathbb{T}}, \Phi_{\mathbb{N}})$ is a constraint morphism. Finally, the uniqueness is clear since the uniqueness of $\Phi_{\mathbb{T}}$ and $\Phi_{\mathbb{N}}$ is guaranteed by the classical universal property. The third part is just the usual reformulation of universal properties via adjoint functors. \square

By (1.5.2) it is clear that an injective constraint set M yields an injective constraint algebra $\mathcal{A}^{(M)}$.

Definition 1.5.2 (CSet-free constraint module) *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra. A constraint \mathcal{A} -module $\mathcal{E} \in \mathbf{CMod}_{\mathcal{A}}$ is called CSet-free if there exists a constraint set $M \in \mathbf{CSet}$ such that $\mathcal{E} \simeq \mathcal{A}^{(M)}$.*

Though this yields a conceptually clear notion of free constraint modules, it is sort of clumsy to work with, since the 0-component is defined using an equivalence relation on M_N . To remedy this deficiency we can use constraint index sets instead:

Proposition 1.5.3 ($\mathbf{C}_{\text{indSet}}$ -free constraint module) *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra.*

i.) *For every constraint index set $M \in \mathbf{C}_{\text{indSet}}$ setting*

$$\begin{aligned} (\mathcal{A}^{(M)})_{\text{T}} &:= \mathcal{A}_{\text{T}}^{(M_{\text{T}})}, \\ (\mathcal{A}^{(M)})_{\text{N}} &:= \mathcal{A}_{\text{N}}^{(M_{\text{N}})}, \\ (\mathcal{A}^{(M)})_0 &:= \mathcal{A}_0^{(M_{\text{N}} \setminus M_0)} \oplus \mathcal{A}_{\text{N}}^{(M_0)}, \end{aligned} \tag{1.5.6}$$

together with the map $\iota_{\mathcal{A}^{(M)}}: (\mathcal{A}^{(M)})_{\text{N}} \rightarrow (\mathcal{A}^{(M)})_{\text{T}}$ given by

$$\iota_{\mathcal{A}^{(M)}} \left(\sum_{m \in M_{\text{N}}} b_m^{\text{N}} x^m \right) := \sum_{m \in M_{\text{N}}} b_{\iota_M(m)}^{\text{T}} x^m, \tag{1.5.7}$$

defines a constraint right \mathcal{A} -module. Here b_m^{T} and b_m^{N} denote the basis elements of the free modules $\mathcal{A}_{\text{T}}^{(M_{\text{T}})}$ and $\mathcal{A}_{\text{N}}^{(M_{\text{N}})}$, respectively.

ii.) *For every constraint index set $M \in \mathbf{C}_{\text{indSet}}$ the constraint right \mathcal{A} -module $\mathcal{A}^{(M)}$ satisfies the following universal property: For every $\mathcal{E} \in \mathbf{CMod}_{\mathcal{A}}$ and $f: M \rightarrow \mathcal{E}$ there exists a unique morphism $\Phi: \mathcal{A}^{(M)} \rightarrow \mathcal{E}$ of constraint right \mathcal{A} -modules such that*

$$\begin{array}{ccc} \mathcal{A}^{(M)} & \xrightarrow{\Phi} & \mathcal{E} \\ \uparrow i & \nearrow f & \\ M & & \end{array} \tag{1.5.8}$$

commutes, where $i: M \rightarrow \mathcal{A}^{(M)}$ is given by $i_{\text{T}/\text{N}}(m) := b_m^{\text{T}/\text{N}}$.

iii.) *The functor $\mathbf{F}: \mathbf{C}_{\text{indSet}} \rightarrow \mathbf{CMod}_{\mathcal{A}}$ given by*

$$\mathbf{F}(M) := \mathcal{A}^{(M)} \tag{1.5.9}$$

on objects and

$$\mathbf{F}(f): \mathcal{A}^{(M)} \rightarrow \mathcal{A}^{(N)}, \quad \mathbf{F}(f)(b_m^{\text{T}/\text{N}}) := b_{f(m)}^{\text{T}/\text{N}} \tag{1.5.10}$$

on morphisms is left adjoint to the forgetful functor $\mathbf{U}: \mathbf{CMod}_{\mathcal{A}} \rightarrow \mathbf{C}_{\text{indSet}}$.

PROOF: The proof works similar to that of Proposition 1.5.1: The first part is a simple check of the definition of constraint right \mathcal{A} -modules. For the second part note that i is indeed a map of constraint index sets. Then Φ_{T} and Φ_{N} are given by the unique morphisms that exist by the universal properties of $\mathcal{A}_{\text{T}}^{(M_{\text{T}})}$ and $\mathcal{A}_{\text{N}}^{(M_{\text{N}})}$, and Φ_{N} preserves the 0-component, since

$$\Phi_{\text{N}} \left(\sum_{m \in M_{\text{N}} \setminus M_0} b_m^{\text{N}} x^m + \sum_{m \in M_0} b_m^{\text{N}} x^m \right) = \sum_{m \in M_{\text{N}} \setminus M_0} \Phi_{\text{N}}(b_m^{\text{N}}) x^m + \sum_{m \in M_0} \Phi_{\text{N}}(b_m^{\text{N}}) x^m$$

$$= \sum_{m \in M_N \setminus M_0} f_N(m) \underbrace{x^m}_{\in \mathcal{A}_0} + \sum_{m \in M_0} \underbrace{f_N(m)}_{\in \mathcal{E}_0} x^m$$

if $x^m \in \mathcal{A}_N$ for all $m \in M_N$ and $x^m \in \mathcal{A}_0$ for all $m \in M_0$. The third part follows again by abstract nonsense. \square

As for **CSet**-free modules, we also get by (1.5.7) that an embedded constraint index set M yields an embedded constraint module $\mathcal{A}^{(M)}$.

Definition 1.5.4 (C_{ind}Set-Free constraint module) *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra. A constraint \mathcal{A} -module $\mathcal{E} \in \mathbf{CMod}_{\mathcal{A}}$ is called **C_{ind}Set-free** if there exists a constraint index set $M \in \mathbf{C}_{\text{ind}}\mathbf{Set}$ such that $\mathcal{E} \simeq \mathcal{A}^{(M)}$. Every such M is called a constraint basis of \mathcal{E} , and if M is finite we call $\mathcal{A}^{(M)}$ finitely generated free.*

Example 1.5.5 Every constraint \mathbb{K} -vector space is a free strong constraint \mathbb{K} -module by [Proposition 1.3.18](#), and the notions of bases agree.

While the categories **CSet** and **C_{ind}Set** are not equivalent, cf. [Remark 1.3.14](#), the respective free modules are closely related, as the next results show.

Lemma 1.5.6 (From CSet-free to C_{ind}Set-free modules) *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra and $M \in \mathbf{CSet}$.*

- i.) *There exist $\hat{M} \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ and a regular epimorphism $\Phi: \mathcal{A}^{(\hat{M})} \rightarrow \mathcal{A}^{(M)}$.*
- ii.) *If $M \in \mathbf{C}^{\text{emb}}\mathbf{Set}$, then Φ can be chosen to be an isomorphism.*

PROOF: Choose a splitting $s: M_{\text{red}} \rightarrow M_N$ of the projection $\text{pr}_M: M_N \rightarrow M_{\text{red}}$. Then we define $\hat{M} \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ by

$$\begin{aligned} \hat{M}_T &:= M_N \sqcup (M_T \setminus \iota_M(M_N)), \\ \hat{M}_N &:= M_N, \\ \hat{M}_0 &:= M_N \setminus \text{im } s \end{aligned}$$

and denote $q := s \circ \text{pr}_M: M_N \rightarrow \text{im } s$. Now define $\Phi: \mathcal{A}^{(\hat{M})} \rightarrow \mathcal{A}^{(M)}$ by

$$\begin{aligned} \Phi_T(x) &:= \sum_{i \in \text{im } s} b_{\iota_M(i)} x^i + \sum_{i \in M_N \setminus \text{im } s} (b_{\iota_M(i)} - b_{\iota_M(q(i))}) x^i + \sum_{i \in M_T \setminus \iota_M(M_N)} b_i x^i, \\ \Phi_N(x) &:= \sum_{i \in \text{im } s} b_i x^i + \sum_{i \in M_N \setminus \text{im } s} (b_i - b_{q(i)}) x^i. \end{aligned}$$

To see that Φ is indeed a constraint morphism we compute

$$\Phi_T(\iota_{\mathcal{A}^{(\hat{M})}}(b_i)) = \Phi_T(b_{\iota_M(i)}) = \begin{cases} b_{\iota_M(i)} & \text{if } i \in \text{im } s \\ b_{\iota_M(i)} - b_{\iota_M(q(i))} & \text{if } i \in M_N \setminus \text{im } s \end{cases}$$

and

$$\iota_{\mathcal{A}^{(M)}}(\Phi_N(b_i)) = \begin{cases} \iota_{\mathcal{A}^{(M)}}(b_i) & \text{if } i \in \text{im } s \\ \iota_{\mathcal{A}^{(M)}}(b_i - b_{q(i)}) & \text{if } i \in M_N \setminus \text{im } s \end{cases} = \begin{cases} b_{\iota_M(i)} & \text{if } i \in \text{im } s \\ b_{\iota_M(i)} - b_{\iota_M(q(i))} & \text{if } i \in M_N \setminus \text{im } s. \end{cases}$$

Moreover, for $x \in \mathcal{A}_0^{(\hat{M})}$ we know $x^i \in \mathcal{A}_0$ if $i \in \text{im } s$ and hence for fixed $j \in M_N$ we get

$$\sum_{i \sim_M j} (\Phi_N(x))^i = \sum_{i \sim_{Mj} \cap (M_N \setminus \text{im } s)} x^i + (\Phi_N(x))^{q(j)}$$

$$\begin{aligned}
 &= \sum_{i \in [j] \cap (M_N \setminus \text{im } s)} x^i + x^{q(j)} - \sum_{i \in [j] \cap (M_N \setminus \text{im } s)} x^i \\
 &= x^{q(j)} \in \mathcal{A}_0,
 \end{aligned}$$

where $[j]$ denotes the equivalence class of j . By the definition of Φ it is clear that Φ_T and Φ_N are surjective. Additionally, we have $\Phi_N(\mathcal{A}_0^{(\check{M})}) = \mathcal{A}_0^{(M)}$, because for $x \in \mathcal{A}_0^{(M)}$ we can define $y_N \in \mathcal{A}_0^{(\check{M})}$ by $y^i := \sum_{j \in [i]} x^j$ for $i \in \text{im } s$ and $y^i := x^i$ for $i \in M_N \setminus \text{im } s$. Then by construction $y \in \mathcal{A}_0^{(\check{M})}$ and $\Phi_N(y) = x$. Thus Φ is a regular epimorphism. Finally, Φ_N is always injective. In case that $M \in \mathbf{C}^{\text{emb}}\mathbf{Set}$, i.e. ι_M is injective, we also get that Φ_T is injective, and therefore Φ is an isomorphism of constraint modules. \square

We see that at least every $\mathbf{C}^{\text{emb}}\mathbf{Set}$ -free module is also $\mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ -free. However, as the next lemma shows even in the embedded situation this correspondence is not perfect.

Lemma 1.5.7 (From $\mathbf{C}_{\text{ind}}\mathbf{Set}$ -free to \mathbf{CSet} -free modules) *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra and $M \in \mathbf{C}_{\text{ind}}\mathbf{Set}$.*

- i.) There exist $\check{M} \in \mathbf{C}^{\text{emb}}\mathbf{Set}$ and a regular epimorphism $\Phi: \mathcal{A}^{(\check{M})} \rightarrow \mathcal{A}^{(M)}$.*
- ii.) If $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ and $M_N \neq M_0$, then \check{M} can be chosen in such a way that Φ is an isomorphism.*
- iii.) If $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ and $M_N = M_0$, then there exists an isomorphism between $\mathcal{A}^{(\check{M})}$ and $\mathcal{A}^{(M \sqcup \{\text{pt}\})}$.*

PROOF: First, assume that $M_N \setminus M_0$ is non-empty. Then choose an element $k \in M_N \setminus M_0$ and define $\check{M}_T := M_N \sqcup (M_T \setminus \iota_M(M_N))$, $\check{M}_N := M_N$ and $\sim_{\check{M}}$ as the equivalence relation generated by $i \sim k$ for all $i \in M_0$. Now define $\Phi: \mathcal{A}^{(\check{M})} \rightarrow \mathcal{A}^{(M)}$ by

$$\begin{aligned}
 \Phi_T(x) &:= \sum_{i \in M_0} (b_{\iota_M(i)} + b_{\iota_M(k)})x^i + \sum_{i \in M_N \setminus M_0} b_{\iota_M(i)}x^i + \sum_{i \in M_T \setminus \iota_M(M_N)} b_i x^i, \\
 \Phi_N(x) &:= \sum_{i \in M_0} (b_i + b_k)x^i + \sum_{i \in M_N \setminus M_0} b_i x^i.
 \end{aligned}$$

To see that Φ is indeed a constraint morphism we compute

$$\Phi_T(\iota_{\mathcal{A}^{(\check{M})}}(b_i)) = \Phi_T(b_i) = \begin{cases} b_{\iota_M(i)} & \text{if } i \in M_N \setminus M_0 \\ b_{\iota_M(i)} + b_{\iota_M(k)} & \text{if } i \in M_0 \end{cases}$$

and

$$\iota_{\mathcal{A}^{(M)}}(\Phi_N(b_i)) = \begin{cases} \iota_{\mathcal{A}^{(M)}}(b_i) & \text{if } i \in M_N \setminus M_0 \\ \iota_{\mathcal{A}^{(M)}}(b_i + b_k) & \text{if } i \in M_0 \end{cases} = \begin{cases} b_{\iota_M(i)} & \text{if } i \in M_N \setminus M_0 \\ b_{\iota_M(i)} + b_{\iota_M(k)} & \text{if } i \in M_0. \end{cases}$$

Moreover, for $x \in \mathcal{A}_0^{(\check{M})}$ and $i \in M_N \setminus M_0$ we have $(\Phi_N(x))^i = x^i \in \mathcal{A}_0$ if $i \neq k$, and if $i = k$ we have $(\Phi_N(x))^i = x^k + \sum_{j \in M_0} x^j \in \mathcal{A}_0$. Surjectivity of Φ_T and Φ_N follow directly. Finally, for $x \in \mathcal{A}_0^{(M)}$ we can define $y \in \mathcal{A}_0^{(\check{M})}$ by $y^k := x^k - \sum_{j \in M_0} x^j$ and $y^i := x^i$ for $i \neq k$. Then by construction $y \in \mathcal{A}_0^{(\check{M})}$. Moreover, $\Phi_N(y) = x$. This shows that Φ is a regular epimorphism. If ι_M is injective then one can check that Φ_T and Φ_N are injective too and therefore Φ is an isomorphism of constraint modules.

If $M_N = M_0$ define $M' := (M_T \sqcup \{\text{pt}\}, M_N \sqcup \{\text{pt}\}, M_0)$. Then

$$\Psi_T(x) := \sum_{i \in M_T} b_i x^i, \quad \Psi_N(x) := \sum_{i \in M_N} b_i x^i$$

defines a regular epimorphism $\Psi: \mathcal{A}^{(M')} \rightarrow \mathcal{A}^{(M)}$. Applying the first part to M' and composing the regular epimorphisms we obtain a suitable Φ in this case as well. \square

Though the notions of $\mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ - and $\mathbf{C}^{\text{emb}}\mathbf{Set}$ -free modules are not equivalent, in most cases this difference will not be crucial. Since the interpretation of the generating set is, especially in geometric situations, more intuitive we will in the following mostly consider $\mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ -free modules.

Let us now investigate how free constraint modules behave with respect to some important constructions we have introduced for general modules before.

Proposition 1.5.8 *Let $\mathcal{A} \in \mathbf{CAlg}$ and $M, N \in \mathbf{C}_{\text{ind}}\mathbf{Set}$ be given.*

i.) *We have*

$$\mathcal{A}^{(M)} \oplus \mathcal{A}^{(N)} \simeq \mathcal{A}^{(M \sqcup N)}. \quad (1.5.11)$$

ii.) *We have*

$$\mathcal{A}^{(M)} \otimes \mathcal{A}^{(N)} \simeq \mathcal{A}^{(M \otimes N)}. \quad (1.5.12)$$

PROOF: The first part follows directly from the fact that \sqcup is the coproduct in $\mathbf{C}_{\text{ind}}\mathbf{Set}$ and the free functor $\mathbf{F}: \mathbf{C}_{\text{ind}}\mathbf{Set} \rightarrow \mathbf{CMod}_{\mathcal{A}}$ is left adjoint and hence preserves colimits. For the second part the T- and N-components are clear. For the 0-component note that

$$(\mathcal{A}_0^{(M_N \setminus M_0)} \oplus \mathcal{A}_N^{(M_0)}) \otimes \mathcal{A}_N^{(N_N)} \simeq \mathcal{A}_0^{(M_N \times N_N \setminus N_0)} \oplus \mathcal{A}_N^{(M_N \times N_0)}$$

and

$$\mathcal{A}_N^{(M_N)} \otimes (\mathcal{A}_0^{(N_N \setminus N_0)} \oplus \mathcal{A}_N^{(N_0)}) \simeq \mathcal{A}_N^{(N_N \times M_0)} \oplus \mathcal{A}_0^{(N_N \times M_N \setminus M_0)}.$$

This leads to

$$(\mathcal{A}^{(M)} \otimes \mathcal{A}^{(N)})_0 = \mathcal{A}_0^{(M_N \setminus M_0) \times (N_N \setminus N_0)} \oplus \mathcal{A}_N^{(M_N \times N_0) \cup (M_0 \times M_N)}$$

as expected. \square

In classical algebra we know that duals of finitely generated free modules are again free. This is no longer true for free constraint modules.

Proposition 1.5.9 (Duals of free constraint modules) *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ be given. For finite $n \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ we have*

$$\begin{aligned} (\mathcal{A}^n)_T^* &\simeq \mathcal{A}_T^{n_T}, \\ (\mathcal{A}^n)_N^* &\simeq \mathcal{A}_0^{n_0} \oplus \mathcal{A}_N^{n_N - n_0} \oplus \mathcal{A}_T^{n_T - n_N}, \\ (\mathcal{A}^n)_0^* &\simeq \mathcal{A}_0^{n_N} \oplus \mathcal{A}_T^{n_T - n_N}. \end{aligned} \quad (1.5.13)$$

PROOF: From classical algebra we know that $(\mathcal{A}_T^{n_T})^*$ is free with dual basis $(b^i)_{i=1}^{n_T}$. Let $\alpha = \sum_{i=1}^{n_T} \alpha_i b^i \in (\mathcal{A}^n)_N^*$ be given. Then from $\alpha(\mathcal{A}_N^{n_N}) \subseteq \mathcal{A}_N$ it follows that $\alpha_i \in \mathcal{A}_N$ for all $i = 1, \dots, n_N$. Since $\alpha(\mathcal{A}_N^{n_0}) \subseteq \mathcal{A}_0$ we additionally get $\alpha_i \in \mathcal{A}_0$ for all $i = 1, \dots, n_0$. This shows the N-component. Now let $\alpha \in (\mathcal{A}^n)_0^*$ be given. Then the 0-component follows from $\alpha(\mathcal{A}_N^{n_N}) \subseteq \mathcal{A}_0$. \square

For non-embedded constraint algebras $(\mathcal{A}^n)^*$ would look more complicated, since the N-component then consists of pairs of functionals. Modules of this particular form will again show up when we look at free strong constraint modules.

1.5.1.1 Reduction

The reduction of \mathbf{CSet} - and $\mathbf{C}_{\text{ind}}\mathbf{Set}$ -free modules yields classical free modules over the reduced algebra:

Proposition 1.5.10 (Reduction of free constraint modules) *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra.*

i.) *There exists a natural isomorphism making the diagram*

$$\begin{array}{ccc} \mathbf{CSet} & \xrightarrow{\mathbf{F}} & \mathbf{CMod}_{\mathcal{A}} \\ \text{red} \downarrow & & \downarrow \text{red} \\ \mathbf{Set} & \xrightarrow{\mathbf{F}} & \mathbf{Mod}_{\mathcal{A}_{\text{red}}} \end{array} \quad (1.5.14)$$

commute, where \mathbf{F} denotes the respective free construction. In particular we have

$$(\mathcal{A}^{(M)})_{\text{red}} \simeq (\mathcal{A}_{\text{red}})^{(M_{\text{red}})} \quad (1.5.15)$$

for all $M \in \mathbf{CSet}$.

ii.) *There exists a natural isomorphism making the diagram*

$$\begin{array}{ccc} \mathbf{C}_{\text{ind}}\mathbf{Set} & \xrightarrow{\mathbf{F}} & \mathbf{CMod}_{\mathcal{A}} \\ \text{red} \downarrow & & \downarrow \text{red} \\ \mathbf{Set} & \xrightarrow{\mathbf{F}} & \mathbf{Mod}_{\mathcal{A}_{\text{red}}} \end{array} \quad (1.5.16)$$

commute, where \mathbf{F} denotes the respective free construction. In particular we have

$$(\mathcal{A}^{(M)})_{\text{red}} \simeq (\mathcal{A}_{\text{red}})^{(M_{\text{red}})} \quad (1.5.17)$$

for all $M \in \mathbf{C}_{\text{ind}}\mathbf{Set}$.

PROOF: For $M \in \mathbf{CSet}$ define $\eta_M: (\mathcal{A}^{(M)})_{\text{red}} \rightarrow (\mathcal{A}_{\text{red}})^{(M_{\text{red}})}$ by

$$\eta_M \left(\left[\sum_{m \in M_N} b_m x^m \right] \right) := \sum_{[m] \in M_{\text{red}}} b_{[m]} \left[\sum_{m' \sim_M m} x^{m'} \right],$$

where $b_{[m]}$ denotes the basis element of $(\mathcal{A}_{\text{red}})^{(M_{\text{red}})}$ corresponding to the equivalence class $[m]$. This map is clearly well-defined on $(\mathcal{A}^{(M)})_{\text{red}}$ and injective. For surjectivity let $\sum_{[m] \in M_{\text{red}}} b_{[m]} [x^{[m]}] \in (\mathcal{A}_{\text{red}})^{(M_{\text{red}})}$ be given. Using the axiom of choice, choose a splitting $i: M_{\text{red}} \rightarrow M_N$ of the quotient map $M_N \rightarrow M_{\text{red}}$. Then $\sum_{m \in \text{im}(i)} b_m x^{[m]}$ is a suitable preimage of $\sum_{[m] \in M_{\text{red}}} b_{[m]} [x^{[m]}]$. Naturality can now be checked by a direct computation: Let $f: M \rightarrow N$ be a morphism of constraint sets, then

$$\begin{aligned} (\mathbf{F}(f_{\text{red}}) \circ \eta_M) \left(\left[\sum_{m \in M_N} b_m x^m \right] \right) &= \mathbf{F}(f_{\text{red}}) \left(\sum_{[m] \in M_{\text{red}}} b_{[m]} \left[\sum_{m' \sim_M m} x^{m'} \right] \right) \\ &= \sum_{[n] \in N_{\text{red}}} b_{[n]} \left(\sum_{[m] \in f_{\text{red}}^{-1}([n]} \left[\sum_{m' \sim_M m} x^{m'} \right] \right) \\ &= \sum_{[n] \in N_{\text{red}}} b_{[n]} \left(\sum_{n' \sim_N n} \left[\sum_{m \in f^{-1}(n')} x^m \right] \right) \end{aligned}$$

$$\begin{aligned}
 &= \eta_N \left(\left[\sum_{n \in n_N} b_n \sum_{m \in f^{-1}(n)} x^m \right] \right) \\
 &= (\eta_N \circ F(f)_{\text{red}}) \left(\left[\sum_{m \in M_N} b_m x^m \right] \right).
 \end{aligned}$$

We can use the same isomorphism η for a constraint index set M . Alternatively, we can see it more directly:

$$(\mathcal{A}^{(M)})_{\text{red}} = \frac{\mathcal{A}_N^{(M_N)}}{\mathcal{A}_0^{(M_N \setminus M_0)} \oplus \mathcal{A}_N^{(M_0)}} \simeq \frac{\mathcal{A}_N^{(M_N \setminus M_0)}}{\mathcal{A}_0^{(M_N \setminus M_0)}} \simeq \mathcal{A}_{\text{red}}^{(M_{\text{red}})}. \quad \square$$

1.5.2 Free Strong Constraint Modules

For strong constraint modules we will focus on free modules generated by constraint index sets. Thus we are searching for a left adjoint functor to the forgetful functor $U: \mathbf{C}_{\text{str}}\text{Mod}_{\mathcal{A}} \rightarrow \mathbf{C}_{\text{ind}}\text{Set}$ for a fixed strong constraint algebra \mathcal{A} . Note that this functor factors through $\mathbf{CMod}_{\mathcal{A}}$ by first forgetting to constraint \mathcal{A} -modules and then to their underlying constraint index sets. For both of those forgetful functors we have already found left adjoints in [Proposition 1.4.38](#) and [Proposition 1.5.3](#).

Lemma 1.5.11 ($\mathbf{C}_{\text{ind}}\text{Set}$ -free strong constraint module) *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}\text{Alg}$ be a strong constraint algebra.*

- i.) *For every constraint index set $M \in \mathbf{C}_{\text{ind}}\text{Set}$ the strong constraint right \mathcal{A} -module $(U(\mathcal{A})^{(M)})^{\text{str}}$ satisfies the following universal property: For every $\mathcal{E} \in \mathbf{C}_{\text{str}}\text{Mod}_{\mathcal{A}}$ and $f: M \rightarrow \mathcal{E}$ there exists a unique morphism $\Phi: (U(\mathcal{A})^{(M)})^{\text{str}} \rightarrow \mathcal{E}$ of strong constraint right \mathcal{A} -modules such that*

$$\begin{array}{ccc}
 (U(\mathcal{A})^{(M)})^{\text{str}} & \xrightarrow{\Phi} & \mathcal{E} \\
 \uparrow i & \nearrow f & \\
 M & &
 \end{array} \quad (1.5.18)$$

commutes, where $i: M \rightarrow (U(\mathcal{A})^{(M)})^{\text{str}}$ is given by $i_{\text{T/N}}(m) := b_m^{\text{T/N}}$.

- ii.) *The functor $F: \mathbf{C}_{\text{ind}}\text{Set} \rightarrow \mathbf{C}_{\text{str}}\text{Mod}_{\mathcal{A}}$ given by*

$$F(M) := \left(U(\mathcal{A})^{(M)} \right)^{\text{str}} \quad (1.5.19)$$

is left adjoint to the forgetful functor $U: \mathbf{C}_{\text{str}}\text{Mod}_{\mathcal{A}} \rightarrow \mathbf{C}_{\text{ind}}\text{Set}$.

PROOF: The first and second statement are equivalent by general category theory, while the second part holds since F is defined as the composition of the left adjoints of $U: \mathbf{C}_{\text{ind}}\text{Set} \rightarrow \mathbf{CMod}_{\mathcal{A}}$ and $U: \mathbf{CMod}_{\mathcal{A}} \rightarrow \mathbf{C}_{\text{str}}\text{Mod}_{\mathcal{A}}$. \square

For a strong constraint algebra \mathcal{A} we will write $\mathcal{A}^{(M)} := U(\mathcal{A})^{(M)}$ for any $M \in \mathbf{C}_{\text{ind}}\text{Set}$. No confusion should arise, since from the context it is clear whether \mathcal{A} is a strong constraint or a plain constraint algebra.

Definition 1.5.12 ($\mathbf{C}_{\text{ind}}\text{Set}$ -free strong constraint module) *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}\text{Alg}$ be a strong constraint algebra. A strong constraint \mathcal{A} -module $\mathcal{E} \in \mathbf{C}_{\text{str}}\text{Mod}_{\mathcal{A}}$ is called $\mathbf{C}_{\text{ind}}\text{Set}$ -free if there exists a constraint index set $M \in \mathbf{C}_{\text{ind}}\text{Set}$ such that $\mathcal{E} \simeq \mathcal{A}^{(M)}$. Every such M is called a constraint basis of \mathcal{E} , and if M is finite we call $\mathcal{A}^{(M)}$ finitely generated free.*

Remark 1.5.13 Free constraint modules have been introduced in [Men20; DMW22], but the relation to free strong constraint modules had not been developed.

In the embedded case $\mathbf{C}_{\text{ind}}\mathbf{Set}$ -free strong constraint modules take on an easy form.

Lemma 1.5.14 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}\mathbf{Alg}$ be a strong constraint algebra and $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$.*

i.) We have

$$\begin{aligned} \mathcal{A}_{\mathbf{T}}^{(M)} &= \mathcal{A}_{\mathbf{T}}^{(M_{\mathbf{T}})}, \\ \mathcal{A}_{\mathbf{N}}^{(M)} &= \iota_{\mathcal{A}}(\mathcal{A}_0)^{(M_{\mathbf{T}} \setminus M_{\mathbf{N}})} \oplus \frac{\mathcal{A}_{\mathbf{N}}^{(M_{\mathbf{N}} \setminus M_0)} \oplus \iota_{\mathcal{A}}(\mathcal{A}_0)^{(M_{\mathbf{N}} \setminus M_0)}}{\text{span}_{\mathbb{K}} \left\{ (x, 0) - (0, \iota(x)) \mid x \in \mathcal{A}_0^{(M_{\mathbf{N}} \setminus M_0)} \right\}} \oplus \mathcal{A}_{\mathbf{T}}^{(M_0)}, \\ \mathcal{A}_0^{(M)} &= \iota_{\mathcal{A}}(\mathcal{A}_0)^{(M_{\mathbf{T}} \setminus M_{\mathbf{N}})} \oplus \iota_{\mathcal{A}}(\mathcal{A}_0)^{(M_{\mathbf{N}} \setminus M_0)} \oplus \mathcal{A}_{\mathbf{T}}^{(M_0)}. \end{aligned} \quad (1.5.20)$$

ii.) If additionally $\iota_{\mathcal{A}}: \mathcal{A}_{\mathbf{N}} \rightarrow \mathcal{A}_{\mathbf{T}}$ is injective it holds that

$$\begin{aligned} \mathcal{A}_{\mathbf{T}}^{(M)} &= \mathcal{A}_{\mathbf{T}}^{(M_{\mathbf{T}})}, \\ \mathcal{A}_{\mathbf{N}}^{(M)} &= \mathcal{A}_0^{(M_{\mathbf{T}} \setminus M_{\mathbf{N}})} \oplus \mathcal{A}_{\mathbf{N}}^{(M_{\mathbf{N}} \setminus M_0)} \oplus \mathcal{A}_{\mathbf{T}}^{(M_0)}, \\ \mathcal{A}_0^{(M)} &= \mathcal{A}_0^{(M_{\mathbf{T}} \setminus M_0)} \oplus \mathcal{A}_{\mathbf{T}}^{(M_0)}. \end{aligned} \quad (1.5.21)$$

PROOF: The first part follows directly from the construction of $\mathbf{U}(\mathcal{A})^{(M)}$ in Proposition 1.5.3 and the definition of the strong hull in Proposition 1.4.38. The second part then follows immediately. \square

The next result shows that, at least in the finitely generated case, $\mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ -free strong constraint modules over an embedded strong constraint algebra are closed under many operations, such as direct sums, tensor products, strong tensor products and duals.

Proposition 1.5.15 (Duals of free modules) *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ be an embedded strong constraint algebra and let $n, m \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ be finite.*

- i.) $(\mathcal{A}^n)^*$ is free and $(\mathcal{A}^n)^* \simeq \mathcal{A}^{(n^*)}$.*
- ii.) $\mathcal{A}^n \oplus \mathcal{A}^m$ is free and $\mathcal{A}^n \oplus \mathcal{A}^m \simeq \mathcal{A}^{n \sqcup m}$.*
- iii.) $\mathcal{A}^n \otimes_{\mathcal{A}}^{\text{emb}} \mathcal{A}^m$ is free and $\mathcal{A}^n \otimes_{\mathcal{A}}^{\text{emb}} \mathcal{A}^m \simeq \mathcal{A}^{n \otimes m}$.*
- iv.) $\mathcal{A}^n \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{A}^m$ is free and $\mathcal{A}^n \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{A}^m \simeq \mathcal{A}^{n \boxtimes m}$.*
- v.) If $m \subseteq n$ is a constraint index subset, then $\mathcal{A}^n / \mathcal{A}^m$ is free and $\mathcal{A}^n / \mathcal{A}^m \simeq \mathcal{A}^{n \setminus m}$.*

PROOF: For the \mathbf{T} -component all the above identities hold by the classical theory. Part *ii.)* follows from the fact that the free functor $\mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set} \rightarrow \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathcal{A}}$ is a left adjoint, and thus preserves colimits. This also explains *v.)* For *iii.)* and *iv.)* it is straightforward to check that $n \otimes m \ni (i, j) \mapsto b_i \otimes b_j \in \mathcal{A}^n \otimes \mathcal{A}^m$ and $n \boxtimes m \ni (i, j) \mapsto b_i \otimes b_j \in \mathcal{A}^n \boxtimes \mathcal{A}^m$ fulfil the universal properties of $\mathcal{A}^{n \otimes m}$ and $\mathcal{A}^{n \boxtimes m}$, respectively. \square

Recall from Proposition 1.5.9 that duals of free constraint modules are in general not free again. For a given strong constraint algebra $\mathcal{A} \in \mathbf{C}_{\text{str}}\mathbf{Alg}$ we can consider the free module $\mathbf{U}(\mathcal{A})^n$ of its underlying constraint algebra. Then it turns out that its dual will still not be free as a constraint module, but it will be free as a *strong* constraint module.

Proposition 1.5.16 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Alg}$ be an embedded strong constraint algebra and let $n \in \mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$ be finite.*

i.) *The dual $(\mathbf{U}(\mathcal{A})^n)^*$ is a free strong constraint \mathcal{A} -module with*

$$(\mathbf{U}(\mathcal{A})^n)^* \simeq \mathcal{A}^{(n^*)}. \quad (1.5.22)$$

ii.) *The strong hull $(\mathbf{U}(\mathcal{A})^n)^{\text{str}}$ is a free strong constraint \mathcal{A} -module with*

$$(\mathbf{U}(\mathcal{A})^n)^{\text{str}} \simeq \mathcal{A}^n. \quad (1.5.23)$$

PROOF: The first part follows directly from [Proposition 1.5.9](#). For the second part we have

$$\begin{aligned} ((\mathbf{U}(\mathcal{A})^n)^{\text{str}})_N &= \mathcal{A}_N^{n_N} + (\mathcal{A}_0^{n_N - n_0} \oplus \mathcal{A}_N^{n_0}) \cdot \mathcal{A}_T + \mathcal{A}_T^{n_T} \cdot \mathcal{A}_0 \\ &= \mathcal{A}_N^{n_N} + (\mathcal{A}_0^{n_N - n_0} \oplus \mathcal{A}_T^{n_0}) + \mathcal{A}_0^{n_T} \\ &= \mathcal{A}_N^{n_N - n_0} \oplus \mathcal{A}_T^{n_0} \oplus \mathcal{A}_0^{n_T - n_N} \\ &= (\mathcal{A}^n)_N. \end{aligned}$$

A similar computation yields the correct 0-component. □

1.5.2.1 Reduction

As we expect, free strong constraint modules reduce to free modules over the reduced algebra.

Proposition 1.5.17 (Reduction of free strong constraint modules) *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Alg}$ be an embedded strong constraint algebra. There exists a natural isomorphism making the diagram*

$$\begin{array}{ccc} \mathbf{C}_{\text{ind}}\text{Set} & \xrightarrow{\mathbf{F}} & \mathbf{C}_{\text{str}}^{\text{emb}}\text{Mod}_{\mathcal{A}} \\ \text{red} \downarrow & & \downarrow \text{red} \\ \text{Set} & \xrightarrow{\mathbf{F}} & \text{Mod}_{\mathcal{A}_{\text{red}}} \end{array} \quad (1.5.24)$$

commute, where \mathbf{F} denotes the respective free construction. In particular we have

$$(\mathcal{A}^{(M)})_{\text{red}} \simeq (\mathcal{A}_{\text{red}})^{(M_{\text{red}})} \quad (1.5.25)$$

for all $M \in \mathbf{C}_{\text{ind}}\text{Set}$.

PROOF: We have a canonical isomorphism

$$(\mathcal{A}^{(M)})_{\text{red}} = \frac{\mathcal{A}_0^{(M_T \setminus M_N)} \oplus \mathcal{A}_N^{(M_N \setminus M_0)} \oplus \mathcal{A}_T^{(M_0)}}{\mathcal{A}_0^{(M_T \setminus M_0)} \oplus \mathcal{A}_T^{(M_0)}} \simeq \frac{\mathcal{A}_N^{(M_N \setminus M_0)}}{\mathcal{A}_0^{(M_N \setminus M_0)}} \simeq \mathcal{A}_{\text{red}}^{(M_{\text{red}})},$$

for which it is straightforward to see that it forms a natural transformation, see also [Proposition 1.5.10](#). □

1.5.3 Projective Constraint Modules

Classical projective modules over an algebra \mathcal{A} can be described in several equivalent ways. They can be understood as projective objects in the abelian category $\mathbf{Mod}_{\mathcal{A}}$, as direct summands of free modules or as modules allowing for a dual basis (in the sense of the dual basis lemma). When defining projective constraint modules it seems most natural to start with the most abstract, categorical point of view. An object in a given category is called projective if it

satisfies a certain lifting property with respect to epimorphisms. Considering the fact, that in the category of constraint modules we have to distinguish different kinds of epimorphisms, see [Proposition 1.2.16](#), there also exist different notions of projective constraint modules. As usual we will use the stronger notion of regular epimorphisms.

Definition 1.5.18 (Projective module) *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra. A constraint \mathcal{A} -module $\mathcal{P} \in \mathbf{CMod}_{\mathcal{A}}$ is called projective if for every $\mathcal{E}, \mathcal{F} \in \mathbf{CMod}_{\mathcal{A}}$, morphism $\Psi: \mathcal{P} \rightarrow \mathcal{F}$ and regular epimorphism $\Phi: \mathcal{E} \rightarrow \mathcal{F}$ there exists a morphism $\chi: \mathcal{P} \rightarrow \mathcal{E}$ such that $\Phi \circ \chi = \Psi$. Diagrammatically:*

$$\begin{array}{ccc}
 & & \mathcal{E} \\
 & \nearrow \chi & \downarrow \Phi \\
 \mathcal{P} & \xrightarrow{\Psi} & \mathcal{F}
 \end{array} \tag{1.5.26}$$

Remark 1.5.19 Requiring the lifting property only for plain epimorphisms instead of regular ones would yield a too restrictive class of objects. To see this, assume that \mathcal{P} has the lifting property with respect to all epimorphisms. Consider now $\mathcal{E} := (\mathcal{P}_T, \mathcal{P}_N, 0)$, $\mathcal{F} := \mathcal{P}$ and $\Phi = (\text{id}_{\mathcal{P}_T}, \text{id}_{\mathcal{P}_N})$. By assumption there exists a splitting χ_N of $\text{id}_{\mathcal{P}_N}$, which is then given by $\chi_N = \text{id}_{\mathcal{P}_N}$, such that $\chi_N(\mathcal{P}_0) \subseteq 0$. Hence such projective modules would only allow for trivial 0-components.

In classical algebra every free module is projective. The following example shows that this fails in general for constraint modules.

Example 1.5.20 Consider the constraint algebra $\mathbb{R} = (\mathbb{R}, \mathbb{R}, 0)$ and the constraint index set $M = (\{0\}, \{0, 1\}, \emptyset)$. Note that the unique map $\iota_M: \{0, 1\} \rightarrow \{0\}$ is surjective but not injective. Thus we obtain a free constraint \mathbb{R} -module $\mathbb{R}^M \simeq (\mathbb{R}^1, \mathbb{R}^2, 0)$ with $\iota_{\mathbb{R}^M}(x, y) = x + y$. This constraint module is not projective, since for $\mathbb{R}^2 = (\mathbb{R}^2, \mathbb{R}^2, 0)$ the constraint morphism $\Phi = (\iota_{\mathbb{R}^M}, \text{id}_{\mathbb{R}^2}): \mathbb{R}^2 \rightarrow \mathbb{R}^M$ is a regular epimorphism, but there cannot exist a constraint splitting χ of Φ , because such a splitting would fulfil $\chi_T \circ \iota_{\mathbb{R}^M} = \text{id}_{\mathbb{R}^2} \circ \chi_N = \text{id}_{\mathbb{R}^2}$, in conflict with the fact that $\iota_{\mathbb{R}^M}$ is not injective.

In the above example the projectivity of $\mathcal{A}^{(M)}$ fails due to the non-injectivity of $\iota_{\mathcal{A}^{(M)}}$. For general $\mathcal{A} \in \mathbf{CAlg}$ and $M \in \mathbf{C}_{\text{ind}}\mathbf{Set}$ the free module $\mathcal{A}^{(M)}$ has non-injective $\iota_{\mathcal{A}^{(M)}}$. But if both \mathcal{A} and M are embedded, the corresponding free modules are indeed projective:

Lemma 1.5.21 *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ be an embedded constraint algebra. For every $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ the free constraint module $\mathcal{A}^{(M)}$ is projective.*

PROOF: Let $\mathcal{A}^{(M)}$ be a free constraint module with $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$. Suppose the following morphisms are given

$$\begin{array}{ccc}
 & & \mathcal{E} \\
 & & \downarrow \Phi \\
 \mathcal{A}^{(M)} & \xrightarrow{\Psi} & \mathcal{F}
 \end{array}$$

with Φ a regular epimorphism. Since Φ and Ψ induce morphisms $\phi: \mathcal{E} \rightarrow \mathcal{F}$ and $\psi: M \rightarrow \mathcal{F}$ of constraint index sets we know by [Proposition 1.3.16](#) that there exists $\xi: M \rightarrow \mathcal{E}$ such that $\phi \circ \xi = \psi$. Then by the freeness of $\mathcal{A}^{(M)}$ there exists $\Xi: \mathcal{A}^{(M)} \rightarrow \mathcal{E}$ such that $\Phi \circ \Xi$ restricted to M is just ψ . Hence $\Phi \circ \Xi = \Psi$. \square

In the following we will concentrate on the case $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$. With this we can show that the category $\mathbf{CMod}_{\mathcal{A}}$ has enough projectives in the following sense:

Proposition 1.5.22 *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ be a constraint algebra. For every constraint module $\mathcal{E} \in \mathbf{CMod}_{\mathcal{A}}$ there exists $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ and a regular epimorphism $\Phi: \mathcal{A}^{(M)} \rightarrow \mathcal{E}$.*

PROOF: Consider the constraint set M given by $M_N = \mathcal{E}_N$, $M_T = \mathcal{E}_N \times \mathcal{E}_T$ and $\iota_M = \text{id}_{\mathcal{E}_N} \times \iota_{\mathcal{E}}$, which is injective. Then $\phi = (\text{pr}_2, \text{id}_{\mathcal{E}_N}): M \rightarrow \mathcal{E}$ is a regular epimorphism. By the universal property of $\mathcal{A}^{(M)}$ there exists $\Phi: \mathcal{A}^{(M)} \rightarrow \mathcal{E}$ such that $\Phi \circ i = \phi$. Then Φ is a regular epimorphism since so is ϕ . \square

We can now use [Proposition 1.5.22](#) to show that projective modules over $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ are always embedded.

Lemma 1.5.23 *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ be an embedded constraint algebra and let $\mathcal{P} \in \mathbf{CMod}_{\mathcal{A}}$ be projective. Then $\iota_{\mathcal{P}}: \mathcal{P}_N \rightarrow \mathcal{P}_T$ is injective, i.e. $\mathcal{P} \in \mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathcal{A}}$.*

PROOF: By [Proposition 1.5.22](#) there exists $\mathcal{E} \in \mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathcal{A}}$ and a regular epimorphism $\Phi: \mathcal{E} \rightarrow \mathcal{P}$. Since \mathcal{P} is projective there exists $\chi: \mathcal{P} \rightarrow \mathcal{E}$ such that $\Phi \circ \chi = \text{id}_{\mathcal{P}}$. In particular, χ_N is injective and thus from $\chi_T \circ \iota_{\mathcal{P}} = \iota_{\mathcal{E}} \circ \chi_N$ it follows that $\iota_{\mathcal{P}}$ is injective. \square

Another important notion in the characterization of projective constraint modules is that of a split exact sequence. A sequence of morphisms of constraint modules

$$0 \longrightarrow \mathcal{E} \xrightarrow{\Phi} \mathcal{F} \xrightarrow{\Psi} \mathcal{G} \longrightarrow 0 \quad (1.5.27)$$

is called *short exact* if Φ is a monomorphism, $\text{im}(\Phi) = \ker(\Psi)$, and Ψ is a regular epimorphism. It is called *split exact* if in addition there exists $\chi: \mathcal{G} \rightarrow \mathcal{F}$ such that $\Psi \circ \chi = \text{id}_{\mathcal{G}}$.

Remark 1.5.24 It can be shown that $\mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathcal{A}}$ is a homological category in the sense of [BB04, Lemma 4.1.6]. The above definition of short exact sequences is in line with the definition of short exact sequences in general homological categories.

Proposition 1.5.25 *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra and let $\mathcal{P} \in \mathbf{CMod}_{\mathcal{A}}$ be a projective module. Then every short exact sequence of the form*

$$0 \longrightarrow \mathcal{E} \xleftarrow{\Phi} \mathcal{F} \xrightarrow{\Psi} \mathcal{P} \longrightarrow 0 \quad (1.5.28)$$

is split exact.

PROOF: Since \mathcal{P} is projective and Ψ is a regular epimorphism the sequence splits by the universal property of \mathcal{P} . \square

Despite $\mathbf{CMod}_{\mathcal{A}}$ not being an abelian category, the splitting lemma nevertheless holds for constraint modules.

Proposition 1.5.26 (Splitting lemma in $\mathbf{CMod}_{\mathcal{A}}$) *Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra. A short exact sequence*

$$0 \longrightarrow \mathcal{E} \xleftarrow{\Phi} \mathcal{F} \xrightarrow{\Psi} \mathcal{G} \longrightarrow 0 \quad (1.5.29)$$

in $\mathbf{CMod}_{\mathcal{A}}$ splits if and only if it is isomorphic as a sequence to

$$0 \longrightarrow \mathcal{E} \xleftarrow{i_{\mathcal{E}}} \mathcal{E} \oplus \mathcal{G} \xrightarrow{\text{pr}_{\mathcal{G}}} \mathcal{G} \longrightarrow 0 \quad (1.5.30)$$

with the canonical inclusion $i_{\mathcal{E}}$ and projection $\text{pr}_{\mathcal{G}}$.

PROOF: Suppose there exists $\chi: \mathcal{F} \rightarrow \mathcal{E}$ such that $\Psi \circ \chi = \text{id}_{\mathcal{G}}$. Then we know that $\mathcal{F}_{\mathcal{T}} \simeq \mathcal{E}_{\mathcal{T}} \oplus \mathcal{G}_{\mathcal{T}}$ and $\mathcal{F}_{\mathcal{N}} \simeq \mathcal{E}_{\mathcal{N}} \oplus \mathcal{G}_{\mathcal{N}}$ by the splitting lemma in the respective categories of modules. We denote these isomorphisms by $\theta_{\mathcal{T}}$ and $\theta_{\mathcal{N}}$, respectively. To show that these form a constraint morphism consider that $\theta = (\Phi \circ \text{pr}_1) + (\chi \circ \text{pr}_2)$ is a composition of constraint morphisms, thus so is θ itself. Moreover, for every $y \in \mathcal{F}_0$ we have $y = (y - (\chi \circ \Psi)(y)) + (\chi \circ \Psi)(y) \in \mathcal{E}_0 \oplus \mathcal{G}_0$, hence θ is an isomorphism of constraint modules. Conversely, suppose $\theta: \mathcal{E} \oplus \mathcal{G} \rightarrow \mathcal{F}$ is an isomorphism such that $\theta \circ i_{\mathcal{E}} = \Phi$ and $\Psi \circ \theta = \text{pr}_{\mathcal{G}}$. Then $\theta \circ i_{\mathcal{G}}$ is clearly a splitting for (1.5.29). \square

The following result shows that projective modules can be described as direct summands of $\mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$ -free modules. The proof is completely analogous to the usual case, see e.g. [Jac89, Prop. 3.10].

Theorem 1.5.27 (Projective modules) *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\text{Alg}$ be a constraint algebra and $\mathcal{P} \in \mathbf{CMod}_{\mathcal{A}}$ be given. The following statements are equivalent:*

- i.) *The module \mathcal{P} is projective.*
- ii.) *Every short exact sequence $0 \rightarrow \mathcal{E} \rightarrow \mathcal{F} \rightarrow \mathcal{P} \rightarrow 0$ splits.*
- iii.) *The module \mathcal{P} is a direct summand of a $\mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$ -free module, i.e. there exists $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$ and $\mathcal{E} \in \mathbf{CMod}_{\mathcal{A}}$ such that $\mathcal{A}^{(M)} \simeq \mathcal{P} \oplus \mathcal{E}$.*
- iv.) *There exist $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$ and $e = (e_{\mathcal{T}}, e_{\mathcal{N}}) \in \mathbf{CEnd}_{\mathcal{A}}(\mathcal{A}^{(M)})$ such that $e^2 = e$ and $\mathcal{P} \simeq e\mathcal{A}^{(M)} = \text{im}(e)$.*

PROOF: *i.) \Rightarrow ii.):* This is exactly Proposition 1.5.25.

ii.) \Rightarrow iii.): By Proposition 1.5.22 there exists a short exact sequence $0 \rightarrow \mathcal{E} \rightarrow \mathcal{A}^{(M)} \rightarrow \mathcal{P} \rightarrow 0$ with $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$. This sequence splits by assumption, and therefore by the splitting lemma we have $\mathcal{A}^{(M)} \simeq \mathcal{E} \oplus \mathcal{P}$.

iii.) \Rightarrow i.): We have a split exact sequence $0 \rightarrow \mathcal{E} \rightarrow \mathcal{A}^{(M)} \rightarrow \mathcal{P} \rightarrow 0$ with $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$. Let $\Psi: \mathcal{P} \rightarrow \mathcal{F}$ and $\Phi: \mathcal{G} \rightarrow \mathcal{F}$ be given with Φ a regular epimorphism. We get the following diagram:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathcal{E} & \xrightarrow{\iota} & \mathcal{A}^{(M)} & \begin{array}{c} \xrightarrow{\pi} \\ \xleftarrow{\sigma} \end{array} & \mathcal{P} & \longrightarrow & 0 \\
 & & & & & & \downarrow \Psi & & \\
 & & & & \mathcal{G} & \xrightarrow{\Phi} & \mathcal{F} & &
 \end{array}$$

Since $\mathcal{A}^{(M)}$ is projective there exists a morphism $\eta: \mathcal{A}^{(M)} \rightarrow \mathcal{G}$ such that $\Phi \circ \eta = \Psi \circ \pi$. Then $\eta \circ \sigma: \mathcal{P} \rightarrow \mathcal{G}$ yields the desired morphism making \mathcal{P} projective.

iii.) \Leftrightarrow iv.): If $\mathcal{A}^{(M)} \simeq \mathcal{P} \oplus \mathcal{E}$, then choose for $e \in \mathbf{End}_{\mathcal{A}}(\mathcal{A}^{(M)})$ the projection on \mathcal{P} . If $\mathcal{P} \simeq e\mathcal{A}^{(M)}$, then $\mathcal{E} := \ker(e)$ gives the correct direct summand. \square

Definition 1.5.28 (Finitely generated projective modules) *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\text{Alg}$ and let $\mathcal{P} \in \mathbf{C}^{\text{emb}}\text{Mod}_{\mathcal{A}}$ be a projective constraint module.*

- i.) *An embedded constraint index set M such that $\mathcal{A}^{(M)} \simeq \mathcal{P} \oplus \mathcal{E}$ is called generating set of the projective module \mathcal{P} .*
- ii.) *If M can be chosen finite we call \mathcal{P} finitely generated projective.*
- iii.) *The category of finitely generated projective constraint modules over \mathcal{A} is denoted by $\mathbf{CProj}(\mathcal{A})$.*

Remark 1.5.29 With the help of Theorem 1.5.27 iii.) it is easy to see that direct sums of projective constraint modules are again projective. This directly opens the possibility to define constraint K_0 -theory for constraint algebras.

In addition to the above characterizations of projective modules we can also use a constraint version of a dual basis.

Proposition 1.5.30 (Dual basis) *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ be a constraint algebra and $\mathcal{P} \in \mathbf{C}^{\text{emb}}\mathbf{Mod}_{\mathcal{A}}$. The following statements are equivalent:*

- i.) \mathcal{P} is projective with generating set $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$.
- ii.) There exist families $(e_n)_{n \in M_{\mathcal{T}}} \subseteq \mathcal{P}_{\mathcal{T}}$ and $(e^n)_{n \in M_{\mathcal{T}}} \subseteq (\mathcal{P}_{\mathcal{T}})^* = \text{Hom}_{\mathcal{A}_{\mathcal{T}}}(\mathcal{P}_{\mathcal{T}}, \mathcal{A}_{\mathcal{T}})$ such that

$$x = \sum_{n \in M_{\mathcal{T}}} e_n e^n(x) \quad (1.5.31)$$

for all $x \in \mathcal{P}_{\mathcal{T}}$ where for fixed x only finitely many of the $e^n(x)$ differ from 0. Moreover, the following properties need to be satisfied:

- a.) One has $e_n \in \mathcal{P}_{\mathcal{N}}$ for $n \in M_{\mathcal{N}}$.
- b.) One has $e_n \in \mathcal{P}_0$ for $n \in M_0$.
- c.) One has $e^n(\mathcal{P}_{\mathcal{N}}) \subseteq \mathcal{A}_{\mathcal{N}}$ for $n \in M_{\mathcal{T}}$.
- d.) One has $e^n \in (\mathcal{P}^*)_{\mathcal{N}}$ for $n \in M_{\mathcal{T}} \setminus M_0 = (M^*)_{\mathcal{N}}$.
- e.) One has $e^n(\mathcal{P}_{\mathcal{N}}) = 0$ for $n \in M_{\mathcal{T}} \setminus M_{\mathcal{N}} = (M^*)_0$.

PROOF: Let $\mathcal{P} \simeq e\mathcal{A}^{(M)}$ be projective with idempotent $e \in \mathbf{C}\text{End}_{\mathcal{A}}(\mathcal{A}^{(M)})$ and generating set $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$. Denote by $b_n \in \mathcal{A}_{\mathcal{T}}^{(M_{\mathcal{T}})}$ the standard basis and by b^n the canonical coordinate functionals. Defining $e_n = e_{\mathcal{T}}(b_n)$ for $n \in M_{\mathcal{T}}$ as well as $e^n = b^n|_{e\mathcal{A}^{(M)}}$ gives a usual dual basis for $\mathcal{A}_{\mathcal{T}}^{(M_{\mathcal{T}})}$. Thus we get (1.5.31). Since e is a constraint morphism and it holds $b_n \in (\mathcal{A}^{(M)})_{\mathcal{N}}$ for $n \in M_{\mathcal{N}}$ and $b_n \in (\mathcal{A}^{(M)})_0$ for $n \in M_0$ we get a.) and b.). For $x \in \mathcal{A}_{\mathcal{N}}^{(M_{\mathcal{N}})}$ it holds that $b^n(x) \in \mathcal{A}_{\mathcal{N}}$ for all $n \in M_{\mathcal{T}}$ and $b^n(x) = 0$ for all $n \in M_{\mathcal{T}} \setminus M_{\mathcal{N}}$. Moreover, if $x \in \mathcal{A}_0^{(M_{\mathcal{N}} \setminus M_0)} \oplus \mathcal{A}_{\mathcal{T}}^{(M_0)}$ we get $b^n(x) \in \mathcal{A}_0$ for all $n \in M_{\mathcal{T}} \setminus M_0$. Hence c.), d.) and e.) follow. Let now such a dual basis in the above sense be given. The map $M \rightarrow \mathcal{P}$ defined by $n \mapsto e_n$ is a morphism of constraint index sets because of a.) and b.). By the universal property of free constraint modules we thus get an induced morphism $q: \mathcal{A}^{(M)} \rightarrow \mathcal{P}$. We define $i: \mathcal{P} \rightarrow \mathcal{A}^{(M)}$ by

$$i(x) := \sum_{n \in M_{\mathcal{T}}} b_n e^n(x).$$

The map i is clearly a module morphism as the e^n are, and it is a constraint morphism by c.), d.) and e.). We now show $q \circ i = \text{id}_{\mathcal{P}}$: For $x \in \mathcal{P}_{\mathcal{T}}$ we have

$$q(i(x)) = q\left(\sum_{n \in M_{\mathcal{T}}} b_n e^n(x)\right) = \sum_{n \in M_{\mathcal{T}}} e_n e^n(x) = x$$

by assumption. Thus the constraint endomorphism $e := i \circ q \in \mathbf{C}\text{End}_{\mathcal{A}}(\mathcal{A}^{(M)})$ is an idempotent and $\mathcal{P} \simeq e\mathcal{A}^{(M)}$ via the maps i and $q|_{e\mathcal{A}^{(M)}}$. Hence \mathcal{P} is projective. \square

In Proposition 1.5.9 we have seen that duals of free constraint modules need not be free in general. One might hope that duals of free modules are at least projective. But even this fails as the next example shows. In particular we also see that duals of projective constraint modules need not be projective.

Example 1.5.31 Consider a constraint algebra $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ with $\mathcal{A}_{\mathcal{N}} \neq \mathcal{A}_{\mathcal{T}}$ and finite $n \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ with $n_{\mathcal{T}} \neq n_{\mathcal{N}}$. Then \mathcal{A}^n is projective by Lemma 1.5.21. We know

$$((\mathcal{A}^n)^*)_{\mathcal{N}} = \mathcal{A}_0^{n_0} \oplus \mathcal{A}_{\mathcal{N}}^{n_{\mathcal{N}} - n_0} \oplus \mathcal{A}_{\mathcal{T}}^{n_{\mathcal{T}} - n_{\mathcal{N}}} \quad (1.5.32)$$

from Proposition 1.5.9, which can never be a direct summand of some $\mathcal{A}_{\mathcal{N}}^m$. Thus it follows from Theorem 1.5.27 that \mathcal{A}^n cannot be projective.

1.5.3.1 Reduction

The notion of projectivity is compatible with the reduction functor of constraint modules.

Proposition 1.5.32 (Reduction of projective constraint modules) *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ be a constraint algebra and let $\mathcal{P} \in \mathbf{CMod}_{\mathcal{A}}$ be projective. Then \mathcal{P}_{red} is projective, and if $\mathcal{P} \simeq e\mathcal{A}^{(M)}$ for some $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$, then $\mathcal{P}_{\text{red}} \simeq e_{\text{red}}\mathcal{A}_{\text{red}}^{(M_{\text{red}})}$.*

PROOF: Suppose $\mathcal{P} \simeq \mathcal{P} \oplus \mathcal{E}$, with $e \in \mathbf{CEnd}_{\mathcal{A}}(\mathcal{A}^{(M)})$ the projection onto \mathcal{P} . Then $(\mathcal{A}^{(M)})_{\text{red}} \simeq \mathcal{P}_{\text{red}} \oplus \mathcal{E}_{\text{red}}$ with $e_{\text{red}} \in \mathbf{End}_{\mathcal{A}_{\text{red}}}((\mathcal{A}^{(M)})_{\text{red}})$ the corresponding projection. Since Proposition 1.5.17 yields $(\mathcal{A}^{(M)})_{\text{red}} \simeq \mathcal{A}_{\text{red}}^{(M_{\text{red}})}$ the claim holds. \square

1.5.4 Projective Strong Constraint Modules

For strong constraint modules over a strong constraint algebra \mathcal{A} the situation is quite similar to that of non-strong modules. Therefore, in this section we will omit proofs that can be carried over from Section 1.5.3 word by word.

Definition 1.5.33 (Projective strong module) *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}\mathbf{Alg}$ be a strong constraint algebra. A strong constraint \mathcal{A} -module $\mathcal{P} \in \mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathcal{A}}$ is called projective if for every $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathcal{A}}$, morphism $\Psi: \mathcal{P} \rightarrow \mathcal{F}$ and regular epimorphism $\Phi: \mathcal{E} \rightarrow \mathcal{F}$ there exists a morphism $\chi: \mathcal{P} \rightarrow \mathcal{E}$ such that $\Phi \circ \chi = \Psi$. Diagrammatically:*

$$\begin{array}{ccc}
 & & \mathcal{E} \\
 & \nearrow \chi & \downarrow \Phi \\
 \mathcal{P} & \xrightarrow{\Psi} & \mathcal{F}
 \end{array} \tag{1.5.33}$$

The category of strong constraint modules over an embedded strong constraint algebra $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ has enough projectives as the next proposition shows.

Proposition 1.5.34 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ be an embedded strong constraint algebra.*

- i.) *For every $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ the free constraint module $\mathcal{A}^{(M)}$ is projective.*
- ii.) *For every strong constraint module $\mathcal{E} \in \mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathcal{A}}$ there exists $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ and a regular epimorphism $\Phi: \mathcal{A}^{(M)} \rightarrow \mathcal{E}$.*
- iii.) *If $\mathcal{P} \in \mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathcal{A}}$ is projective, then $\mathcal{P} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathcal{A}}$.*

Note that here the free module $\mathcal{A}^{(M)}$ is the free strong constraint module in the sense of Lemma 1.5.14. With this the usual characterization of projective modules in terms of summands of free modules and projections also holds in the case of strong constraint modules.

Theorem 1.5.35 (Projective strong modules) *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ be an embedded strong constraint algebra and $\mathcal{P} \in \mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathcal{A}}$ be given. The following statements are equivalent:*

- i.) *The module \mathcal{P} is projective.*
- ii.) *Every short exact sequence $0 \rightarrow \mathcal{E} \rightarrow \mathcal{F} \rightarrow \mathcal{P} \rightarrow 0$ splits.*
- iii.) *The module \mathcal{P} is a direct summand of a $\mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ -free module, i.e. there exists $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ and $\mathcal{E} \in \mathbf{C}_{\text{str}}\mathbf{Mod}_{\mathcal{A}}$ such that $\mathcal{A}^{(M)} \simeq \mathcal{P} \oplus \mathcal{E}$.*
- iv.) *There exist $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ and $e = (e_T, e_N) \in \mathbf{CEnd}_{\mathcal{A}}(\mathcal{A}^{(M)})$ such that $e^2 = e$ and $\mathcal{P} \simeq e\mathcal{A}^{(M)} = \text{im}(e)$.*

Definition 1.5.36 (Finitely generated projective modules) Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ and let $\mathcal{P} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathcal{A}}$ be a projective strong constraint module.

- i.) An embedded constraint index set M such that $\mathcal{A}^{(M)} \simeq \mathcal{P} \oplus \mathcal{E}$ is called generating set of the projective module \mathcal{P} .
- ii.) If M can be chosen finite we call \mathcal{P} finitely generated projective.
- iii.) The category of finitely generated projective strong constraint modules over \mathcal{A} is denoted by $\mathbf{C}_{\text{str}}\mathbf{Proj}(\mathcal{A})$.

Remark 1.5.37

- i.) Projective constraint modules have been introduced in [Men20; DMW22], while the notion of projective strong constraint modules appears here for the first time.
- ii.) Similar to the situation of projective constraint modules, the direct sum of projective strong constraint modules is again projective. This allows for the introduction of K_0 -theory of strong constraint algebras, which will in general differ from the K_0 -theory of constraint algebras.

There exists again a characterization in terms of a dual basis, but it differs slightly from the dual basis for non-strong projective modules, cf. Proposition 1.5.30.

Proposition 1.5.38 (Dual basis) Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ be an embedded strong constraint algebra and $\mathcal{P} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Mod}_{\mathcal{A}}$. Then the following statements are equivalent:

- i.) \mathcal{P} is projective with generating set $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$.
- ii.) There exist families $(e_n)_{n \in M_{\mathcal{T}}} \subseteq \mathcal{P}_{\mathcal{T}}$ and $(e^n)_{n \in M_{\mathcal{T}}} \subseteq (\mathcal{P}_{\mathcal{T}})^* = \text{Hom}_{\mathcal{A}_{\mathcal{T}}}(\mathcal{P}_{\mathcal{T}}, \mathcal{A}_{\mathcal{T}})$ such that

$$x = \sum_{n \in M_{\mathcal{T}}} e_n e^n(x) \quad (1.5.34)$$

for all $x \in \mathcal{P}_{\mathcal{T}}$ where for fixed x only finitely many of the $e^n(x)$ differ from 0. Moreover, the following properties need to be satisfied:

- a.) One has $e_n \in \mathcal{P}_{\mathcal{N}}$ for $n \in M_{\mathcal{N}}$.
- b.) One has $e_n \in \mathcal{P}_{\mathcal{O}}$ for $n \in M_{\mathcal{O}}$.
- c.) One has $e^n \in (\mathcal{P}^*)_{\mathcal{N}}$ for $n \in M_{\mathcal{T}} \setminus M_{\mathcal{O}} = (M^*)_{\mathcal{N}}$.
- d.) One has $e^n \in (\mathcal{P}^*)_{\mathcal{O}}$ for $n \in M_{\mathcal{T}} \setminus M_{\mathcal{N}} = (M^*)_{\mathcal{O}}$.

PROOF: Let $\mathcal{P} \simeq e\mathcal{A}^{(M)}$ be projective with idempotent $e \in \mathbf{C}\text{End}_{\mathcal{A}}(\mathcal{A}^{(M)})$ and generating set $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$. Denote by $b_n \in \mathcal{A}_{\mathcal{T}}^{(M_{\mathcal{T}})}$ the standard basis and by b^n the canonical coordinate functionals. Defining $e_n = e_{\mathcal{T}}(b_n)$ for $n \in M_{\mathcal{T}}$ as well as $e^n = b^n|_{e\mathcal{A}^{(M)}}$ gives a usual dual basis for $\mathcal{A}_{\mathcal{T}}^{(M_{\mathcal{T}})}$. Thus we get (1.5.34). Since e is a constraint morphism and it holds $b_n \in (\mathcal{A}^{(M)})_{\mathcal{N}}$ for $n \in M_{\mathcal{N}}$ and $b_n \in (\mathcal{A}^{(M)})_{\mathcal{O}}$ for $n \in M_{\mathcal{O}}$ we get a.) and b.). For $x \in \mathcal{A}_{\mathcal{O}}^{(M_{\mathcal{T}} \setminus M_{\mathcal{N}})} \oplus \mathcal{A}_{\mathcal{N}}^{(M_{\mathcal{N}} \setminus M_{\mathcal{O}})} \oplus \mathcal{A}_{\mathcal{T}}^{(M_{\mathcal{O}})}$ it holds that $b^n(x) \in \mathcal{A}_{\mathcal{N}}$ for all $n \in M_{\mathcal{T}} \setminus M_{\mathcal{O}}$ and $b^n(x) \in \mathcal{A}_{\mathcal{O}}$ for all $n \in M_{\mathcal{T}} \setminus M_{\mathcal{N}}$. Moreover, if $x \in \mathcal{A}_{\mathcal{O}}^{(M_{\mathcal{N}} \setminus M_{\mathcal{O}})} \oplus \mathcal{A}_{\mathcal{T}}^{(M_{\mathcal{O}})}$ we get $b^n(x) \in \mathcal{A}_{\mathcal{O}}$ for all $n \in M_{\mathcal{T}} \setminus M_{\mathcal{O}}$. Hence c.) and d.). Let now such a dual basis in the above sense be given. The map $M \rightarrow \mathcal{P}$ defined by $n \mapsto e_n$ is a morphism of constraint index sets because of a.) and b.). By the universal property of free constraint modules we thus get an induced morphism $q: \mathcal{A}^{(M)} \rightarrow \mathcal{P}$. We define $i: \mathcal{P} \rightarrow \mathcal{A}^{(M)}$ by

$$i(x) := \sum_{n \in M_{\mathcal{T}}} b_n e^n(x).$$

The map i is clearly a module morphism as the e^n are, and it is a constraint morphism by *c.)* and *d.)*. We now show $q \circ i = \text{id}_{\mathcal{P}}$: For $x \in \mathcal{P}_T$ we have

$$q(i(x)) = q\left(\sum_{n \in M_T} b_n e^n(x)\right) = \sum_{n \in M_T} e_n e^n(x) = x$$

by assumption. Thus the constraint endomorphism $e := i \circ q \in \mathbf{CEnd}_{\mathcal{A}}(\mathcal{A}^{(M)})$ is an idempotent and $\mathcal{P} \simeq e\mathcal{A}^{(M)}$ via the maps i and $q|_{e\mathcal{A}^{(M)}}$. Hence \mathcal{P} is projective. \square

We can view such a constraint dual basis as a pair $(\{e_n\}_{n \in M}, \{e^n\}_{n \in M^*})$ of constraint subsets indexed by M and M^* , respectively. By a constraint indexed subset $\{x_i\}_{i \in I}$ of a constraint set X indexed by a constraint index set I we simply mean a constraint map $I \rightarrow X$.

Proposition 1.5.39 (Duals of projective modules) *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ be a strong constraint algebra and let $\mathcal{P} \in \mathbf{C}_{\text{str}}\mathbf{Proj}(\mathcal{A})$ be finitely generated projective.*

i.) \mathcal{P}^ is finitely generated projective.*

ii.) If $(\{e_i\}_{i \in M}, \{e^i\}_{i \in M^})$ is a constraint dual basis of \mathcal{P} , then $(\{e^i\}_{i \in M^*}, \{e_i\}_{i \in M})$ is a constraint dual basis for \mathcal{P}^* .*

PROOF: By [Theorem 1.5.35 iii.\)](#) we know that there exists a finite $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\mathbf{Set}$ and a \mathcal{A} -module \mathcal{E} such that $\mathcal{A}^M \simeq \mathcal{P} \oplus \mathcal{E}$. Then by [Proposition 1.5.15](#) we have $\mathcal{A}^{M^*} \simeq (\mathcal{A}^M)^* \simeq \mathcal{P}^* \oplus \mathcal{E}^*$, and therefore \mathcal{P}^* is again finitely generated projective. For the second part recall that we know from classical algebra that $(\{e^i\}, \{e_i\})_{i \in M_T}$ is a dual basis for \mathcal{P}_T^* , by identifying e_i with its insertion functional δ_{e_i} . Then using $(M^*)^* = M$ we see that properties *a.)* and *b.)* of [Proposition 1.5.38](#) for the dual basis of \mathcal{P} exactly give *c.)* and *d.)* of [Proposition 1.5.38](#) for \mathcal{P}^* , and vice versa. \square

By [Proposition 1.5.34 iii.\)](#) we know that for a commutative $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ the category $\mathbf{C}_{\text{str}}\mathbf{Proj}(\mathcal{A})$ is a full subcategory of $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$, using the identification of one-sided modules over a commutative algebra with symmetric bimodules. The category $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$ carries two distinct monoidal structures: \otimes^{str} and \boxtimes^{emb} , see [Section 1.4.2](#). We want to understand if $\mathbf{C}_{\text{str}}\mathbf{Proj}(\mathcal{A})$ is closed under taking \otimes^{str} and \boxtimes^{emb} products.

Proposition 1.5.40 (Tensor product on $\mathbf{C}_{\text{str}}\mathbf{Proj}(\mathcal{A})$) *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}$ be commutative and $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}\mathbf{Proj}(\mathcal{A})$.*

i.) $\mathbf{C}_{\text{str}}\mathbf{Proj}(\mathcal{A})$ is a monoidal subcategory of $(\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}, \otimes_{\mathcal{A}}^{\text{str}})$. In particular, $\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}$ is finitely generated projective.

ii.) If $(\{e_i\}_{i \in M}, \{e^i\}_{i \in M^})$ and $(\{f_j\}_{j \in N}, \{f^j\}_{j \in N^*})$ are dual bases of \mathcal{E} and \mathcal{F} , respectively, then $(\{e_i \otimes f_j\}_{(i,j) \in M \otimes N}, \{e^i \otimes f^j\}_{(i,j) \in (M \otimes N)^*})$ is a dual basis for $\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}$.*

PROOF: We first prove the second part. From classical algebra we know that

$$(\{e_i \otimes f_j\}_{(i,j) \in M_T \times N_T}, \{e^i \otimes f^j\}_{(i,j) \in M_T \times N_T})$$

is a dual basis for $\mathcal{E}_T \otimes_{\mathcal{A}_T} \mathcal{F}_T$. We need to check properties *a.)* to *d.)* from [Proposition 1.5.38](#): For this recall that with the notation of [Notation 1.3.10](#) we have

$$\begin{aligned} (M \otimes N)_N &= M \diamond N, & (M \otimes N)_N^* &= M \diamond N, \\ (M \otimes N)_0 &= M \diamond N, & (M \otimes N)_0^* &= M \diamond N. \end{aligned}$$

and

$$(\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_N = \mathcal{E} \diamond \mathcal{F} \quad \text{and} \quad (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_0 = \mathcal{E} \diamond \mathcal{F}.$$

With this we can go through all the different cases:

- $(i, j) \in M \diamond N$: Then $e_i \otimes f_j \in \mathcal{E} \diamond \mathcal{F} = (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_N$ holds.
- $(i, j) \in M \diamond N$: We clearly have $e_i \otimes f_j \in \mathcal{E} \diamond \mathcal{F} = (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_0$, since at least one of e_i and f_j lies in the 0-component.
- $(i, j) \in M \diamond N$: Suppose $x \otimes y \in \mathcal{E} \diamond \mathcal{F}$. Then

$$(e^i \otimes f^j)(x \otimes y) = e^i(x) \cdot f^j(y) \in \mathcal{A}_T \cdot \mathcal{A}_0 + \mathcal{A}_0 \cdot \mathcal{A}_T = \mathcal{A}_0,$$

and thus $e^i \otimes f^j \in (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_0^*$.

- $(i, j) \in M \diamond N$: For this let first $x \otimes y \in \mathcal{E} \diamond \mathcal{F}$ be given, then

$$(e^i \otimes f^j)(x \otimes y) = e^i(x) \cdot f^j(y) \in \mathcal{A}_N \cdot \mathcal{A}_N = \mathcal{A}_N.$$

Moreover, for $x \otimes y \in \mathcal{E} \diamond \mathcal{F}$ we have

$$(e^i \otimes f^j)(x \otimes y) = e^i(x) \cdot f^j(y) \in \mathcal{A}_0 \cdot \mathcal{A}_N + \mathcal{A}_N \cdot \mathcal{A}_0 = \mathcal{A}_0.$$

Thus we get $e^i \otimes f^j \in (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})_N^*$.

This shows *ii.*) Hence we have $\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F} \in \mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$, and since also $\mathcal{A} \in \mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$ holds by [Proposition 1.5.34 i.](#)), we see that $\mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$ is a monoidal subcategory of $\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}$. \square

Proposition 1.5.41 (Strong Tensor product on $\mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$) *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Alg}$ be commutative and $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$.*

- i.) $\mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$ is a monoidal subcategory of $(\mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Bimod}(\mathcal{A})_{\text{sym}}, \boxtimes_{\mathcal{A}}^{\text{emb}})$. In particular, $\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}$ is finitely generated projective.*
- ii.) If $(\{e_i\}_{i \in M}, \{e^i\}_{i \in M^*})$ and $(\{f_j\}_{j \in N}, \{f^j\}_{j \in N^*})$ are dual bases of \mathcal{E} and \mathcal{F} , respectively, then $(\{e_i \otimes f_j\}_{(i,j) \in M \boxtimes N}, \{e^i \otimes f^j\}_{(i,j) \in (M \boxtimes N)^*})$ is a dual basis for $\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}$.*

PROOF: We first prove the second part. From classical algebra we know that

$$(\{e_i \otimes f_j\}_{(i,j) \in M_T \times N_T}, \{e^i \otimes f^j\}_{(i,j) \in M_T \times N_T})$$

is a dual basis for $\mathcal{E}_T \otimes_{\mathcal{A}_T} \mathcal{F}_T$. We need to check properties *a.)* to *d.)* from [Proposition 1.5.38](#): For this recall that with the notation of [Notation 1.3.10](#) we have

$$\begin{aligned} (M \boxtimes N)_N &= M \diamond N, & (M \boxtimes N)_N^* &= M \diamond N, \\ (M \boxtimes N)_0 &= M \diamond N, & (M \boxtimes N)_0^* &= M \diamond N \end{aligned}$$

and

$$(\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_N = \mathcal{E} \diamond \mathcal{F} \quad \text{and} \quad (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_0 = \mathcal{E} \diamond \mathcal{F}.$$

With this we can go through all the different cases:

- $(i, j) \in M \diamond N = (M \boxtimes N)_0$: Then $e_i \otimes f_j \in \mathcal{E} \diamond \mathcal{F} = (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_0$ holds, since at least one of e_i and f_j lies in the 0-component.
- $(i, j) \in M \diamond N \subseteq (M \boxtimes N)_N$: We clearly have $e_i \otimes f_j \in \mathcal{E} \diamond \mathcal{F} \subseteq (\mathcal{E} \boxtimes_{\mathcal{A}_T}^{\text{emb}} \mathcal{F})_N$.
- $(i, j) \in M \diamond N = (M \boxtimes N)_0^*$: Suppose $x \otimes y \in \mathcal{E} \diamond \mathcal{F} = (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_0$, then

$$(e^i \otimes f^j)(x \otimes y) = e^i(x) \cdot f^j(y) \in \mathcal{A}_T \cdot \mathcal{A}_0 + \mathcal{A}_0 \cdot \mathcal{A}_T = \mathcal{A}_0,$$

since both e^i and f^j map 0-components to 0-components. Moreover, for $x \otimes y \in \mathcal{E} \diamond \mathcal{F} \subseteq (\mathcal{E} \boxtimes_{\mathcal{A}_T}^{\text{emb}} \mathcal{F})_N$ we have

$$(e^i \otimes f^j)(x \otimes y) = e^i(x) \cdot f^j(y) \in \mathcal{A}_N \cdot \mathcal{A}_0 + \mathcal{A}_0 \cdot \mathcal{A}_N = \mathcal{A}_0,$$

and thus $e^i \otimes f^j \in (\mathcal{E} \boxtimes_{\mathcal{A}_T}^{\text{emb}} \mathcal{F})_0^*$.

- $(i, j) \in M \diamond N \subseteq (M \boxtimes N)_N^*$: For this let first $x \otimes y \in \mathcal{E} \diamond \mathcal{F} = (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_0$ be given, then

$$(e^i \otimes f^j)(x \otimes y) = e^i(x) \cdot f^j(y) \in \mathcal{A}_T \cdot \mathcal{A}_0 + \mathcal{A}_0 \cdot \mathcal{A}_T = \mathcal{A}_0,$$

since both e^i and f^j map 0-components to 0-components. Moreover, for $x \otimes y \in \mathcal{E} \diamond \mathcal{F} \subseteq (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_N$ we have

$$(e^i \otimes f^j)(x \otimes y) = e^i(x) \cdot f^j(y) \in \mathcal{A}_N \cdot \mathcal{A}_N = \mathcal{A}_N.$$

Thus we get $e^i \otimes f^j \in (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_N^*$.

This shows *ii.*) Hence we have $\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F} \in \mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$, and since also $\mathcal{A} \in \mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$ holds by [Proposition 1.5.34 i.](#)), we see that $\mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$ is a monoidal subcategory of $\mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{A})$. \square

We are now in a position to show that the canonical morphisms from [Proposition 1.4.35](#) and [Proposition 1.4.42](#) are in fact isomorphisms, when restricting to finitely generated projective modules.

Proposition 1.5.42 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}}\text{Alg}$ and $\mathcal{E}, \mathcal{F} \in \mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$.*

- i.) The canonical morphism $\mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^* \rightarrow \mathbf{C}_{\text{str}}\text{Hom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})$ given by [\(1.4.23\)](#) is an isomorphism.*
- ii.) The canonical morphism $\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^* \rightarrow (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})^*$ given by [\(1.4.30\)](#) is an isomorphism.*
- iii.) The canonical morphism $\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^* \rightarrow (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})^*$ given by [\(1.4.31\)](#) is an isomorphism.*

PROOF: In all three cases we show that the well known inverse maps on the T-components are in fact constraint maps, and therefore yield constraint inverses. To do this we need to fix dual bases $(\{e_i\}_{i \in M}, \{e^i\}_{i \in M^*})$ and $(\{f_j\}_{j \in N}, \{f^j\}_{j \in N^*})$ of \mathcal{E} and \mathcal{F} , respectively. For the first part consider the map

$$\text{Hom}_{\mathcal{A}_T}(\mathcal{E}_T, \mathcal{F}_T) \ni \Phi \mapsto \sum_{i \in M_T} \Phi(e_i) \otimes e^i \in \mathcal{F}_T \otimes \mathcal{E}_T^*. \quad (*)$$

This is the inverse to [\(1.4.23\)](#) on the T-component. Hence we need to show that $(*)$ is a constraint morphism. For this let $\Phi \in \mathbf{C}_{\text{str}}\text{Hom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})_N$ be given.

- If $i \in M_T \setminus M_N = M_0^*$, then $\Phi(e_i) \otimes e^i \in \mathcal{F}_T \otimes \mathcal{E}_0^* \subseteq (\mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^*)_0$.
- If $i \in M_N \setminus M_0 \subseteq M_N^*$, then, since in particular $i \in M_N$ holds, we obtain $\Phi(e_i) \otimes e^i \in \mathcal{F}_N \otimes \mathcal{E}_N^* \subseteq (\mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^*)_N$.
- If $i \in M_0$, then $\Phi(e_i) \otimes e^i \in \mathcal{F}_0 \otimes \mathcal{E}_T^* \subseteq (\mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^*)_0$.

Hence $(*)$ preserves the N-component. To show that it also preserves the 0-component, we only need to reconsider the second case from above.

- If $i \in M_N \setminus M_0 \subseteq M_N^*$, then, since in particular $i \in M_N$ holds, we obtain $\Phi(e_i) \otimes e^i \in \mathcal{F}_N \otimes \mathcal{E}_0^* \subseteq (\mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^*)_0$.

This shows that $(*)$ is a constraint inverse to [\(1.4.23\)](#).

For part *ii.*) we need to show that

$$(\mathcal{E}_T \otimes_{\mathcal{A}_T} \mathcal{F}_T)^* \ni \alpha \mapsto \sum_{(i,j) \in M_T \times N_T} \alpha(e_i \otimes f_j) \cdot e^i \otimes f^j \in \mathcal{E}_T^* \otimes_{\mathcal{A}_T} \mathcal{F}_T^* \quad (**)$$

defines a constraint morphism $(\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})^* \rightarrow \mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*$. Recall that the families $(\{e_i \otimes f_j\}_{(i,j) \in M \boxtimes N}, \{e^i \otimes f^j\}_{(i,j) \in M^* \otimes N^*})$ form a dual basis of $\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}$, while the families $(\{e^i \otimes f^j\}_{(i,j) \in M^* \otimes N^*}, \{e_i \otimes f_j\}_{(i,j) \in M \boxtimes N})$ are a dual basis of $\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*$. Now suppose $\alpha \in (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F})_N^*$.

- If $(i, j) \in M \diamond N = (M^* \otimes N^*)_0$, then $\alpha(e_i \otimes f_j) \cdot e^i \otimes f^j \in \mathcal{A}_T \cdot (\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_0 = (\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_0$.
- If $(i, j) \in M \diamond N \subseteq (M^* \otimes N^*)_N$, then, since also $M \diamond N \subseteq (M \boxtimes N)_N$ holds, we get

$$\alpha(\underbrace{e_i \otimes f_j}_{\in (\mathcal{E} \boxtimes \mathcal{F})_N}) \cdot e^i \otimes f^j \in \mathcal{A}_N \cdot (\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_N = (\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_N.$$

- If $(i, j) \in M \diamond N = (M \boxtimes N)_0$, then

$$\alpha(\underbrace{e_i \otimes f_j}_{(\mathcal{E} \boxtimes \mathcal{F})_0}) \cdot e^i \otimes f^j \in \mathcal{A}_0 \cdot (\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_T \subseteq (\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_0.$$

Thus **(**)** preserves the N-component. Next take $\alpha \in (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_0^*$. Since $(\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_0^* \subseteq (\mathcal{E} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_N^*$ we only need to check one of the above cases.

- If $(i, j) \in M \diamond N \subseteq (M^* \otimes N^*)_N$, then, since also $M \diamond N \subseteq (M \boxtimes N)_N$ holds, we get

$$\alpha(\underbrace{e_i \otimes f_j}_{\in (\mathcal{E} \boxtimes \mathcal{F})_N}) \cdot e^i \otimes f^j \in \mathcal{A}_0 \cdot (\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_N = (\mathcal{E}^* \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_0.$$

Hence **(**)** also preserves the 0-component, showing that it is a constraint inverse to (1.4.30).

For part *iii.)* we proceed similarly. But this time we need to show that **(**)** defines a constraint morphism $(\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)^* \rightarrow \mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*$. Recall that $(\{e_i \otimes f_j\}_{(i,j) \in M \otimes N}, \{e^i \otimes f^j\}_{(i,j) \in M^* \otimes N^*})$ is a dual basis of $\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*$ and $(\{e^i \otimes f^j\}_{(i,j) \in M^* \otimes N^*}, \{e_i \otimes f_j\}_{(i,j) \in M \otimes N})$ is a dual basis of $\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*$. Now suppose $\alpha \in (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_N^*$.

- If $(i, j) \in M \diamond N = (M^* \boxtimes N^*)_0$, then $\alpha(e_i \otimes f_j) \cdot e^i \otimes f^j \in \mathcal{A}_T \cdot (\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_0 = (\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_0$.
- If $(i, j) \in M \diamond N \subseteq (M^* \boxtimes N^*)_N$, then, since also $M \diamond N \subseteq (M \otimes N)_N$ holds, we get

$$\alpha(\underbrace{e_i \otimes f_j}_{\in (\mathcal{E} \otimes \mathcal{F})_N}) \cdot e^i \otimes f^j \in \mathcal{A}_N \cdot (\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_N = (\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_N.$$

- If $(i, j) \in M \diamond N = (M \otimes N)_0$, then

$$\alpha(\underbrace{e_i \otimes f_j}_{(\mathcal{E} \otimes \mathcal{F})_0}) \cdot e^i \otimes f^j \in \mathcal{A}_0 \cdot (\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_T \subseteq (\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_0.$$

Thus **(**)** preserves the N-component. Next take $\alpha \in (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_0^*$. Since $(\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_0^* \subseteq (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}^*)_N^*$ we only need to check one of the above cases.

- If $(i, j) \in M \diamond N \subseteq (M^* \boxtimes N^*)_N$, then, since also $M \diamond N \subseteq (M \otimes N)_N$ holds, we get

$$\alpha(\underbrace{e_i \otimes f_j}_{\in (\mathcal{E} \otimes \mathcal{F})_N}) \cdot e^i \otimes f^j \in \mathcal{A}_0 \cdot (\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_N = (\mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^*)_0.$$

Hence **(**)** also preserves the 0-component, showing that it is a constraint inverse to (1.4.31). \square

Proposition 1.5.42 i.) shows that $\mathbf{C}_{\text{str}} \text{Hom}(\mathcal{E}, \mathcal{F})$ is again finitely generated projective.

Corollary 1.5.43 *Let $\mathcal{A} \in \mathbf{C}_{\text{str}}^{\text{emb}} \text{Alg}$ and $\mathcal{E}, \mathcal{F}, \mathcal{G}, \mathcal{H} \in \mathbf{C}_{\text{str}} \text{Proj}(\mathcal{A})$.*

i.) There exists a canonical isomorphism

$$\mathbf{C}_{\text{str}} \text{Hom}(\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}, \mathcal{G}) \simeq \mathbf{C}_{\text{str}} \text{Hom}(\mathcal{F}, \mathcal{G} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^*). \quad (1.5.35)$$

ii.) *There exists a canonical isomorphism*

$$\mathbf{C}_{\text{str}}\text{Hom}(\mathcal{E}, \mathcal{F}) \boxtimes_{\mathcal{A}}^{\text{emb}} \mathbf{C}_{\text{str}}\text{Hom}(\mathcal{G}, \mathcal{H}) \simeq \mathbf{C}_{\text{str}}\text{Hom}(\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{G}, \mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{H}). \quad (1.5.36)$$

PROOF: By [Proposition 1.5.42](#) we have canonical isomorphisms

$$\begin{aligned} \mathbf{C}_{\text{str}}\text{Hom}(\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F}, \mathcal{G}) &\simeq \mathcal{G} \boxtimes_{\mathcal{A}}^{\text{emb}} (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{F})^* \\ &\simeq \mathcal{G} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F}^* \\ &\simeq \mathbf{C}_{\text{str}}\text{Hom}(\mathcal{F}, \mathcal{G} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{E}^*), \end{aligned}$$

and

$$\begin{aligned} \mathbf{C}_{\text{str}}\text{Hom}(\mathcal{E}, \mathcal{F}) \boxtimes_{\mathcal{A}}^{\text{emb}} \mathbf{C}_{\text{str}}\text{Hom}(\mathcal{G}, \mathcal{H}) &\simeq \mathcal{E}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{G}^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{H} \\ &\simeq (\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{G})^* \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{H} \\ &\simeq \mathbf{C}_{\text{str}}\text{Hom}(\mathcal{E} \otimes_{\mathcal{A}}^{\text{str}} \mathcal{G}, \mathcal{F} \boxtimes_{\mathcal{A}}^{\text{emb}} \mathcal{H}). \quad \square \end{aligned}$$

Remark 1.5.44 With [Corollary 1.5.43](#) it is easy to show that $\mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$ forms a $*$ -autonomous category, see [\[Bar79\]](#). Moreover, $\mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$ can be understood as the category of linear adjoints in the linear distributive category $\mathbf{C}_{\text{str}}^{\text{emb}}\text{Bimod}(\mathcal{A})_{\text{sym}}$, analogous to the classical fact that finitely generated projective modules can be considered as the dualizable objects in the monoidal category of modules, cf. [\[Egg10; CS99\]](#). This suggests that most of the structure on $\mathbf{C}_{\text{str}}\text{Proj}(\mathcal{A})$ can actually be derived in the more abstract setting of linear distributive categories. But, at the moment, there seems to exist no fleshed out theory of monoids, modules and their linear duals internal to linear distributive categories.

1.5.4.1 Reduction

The notion of projectivity is compatible with the reduction functor of strong constraint modules.

Proposition 1.5.45 (Reduction of projective strong constraint modules) *Let \mathcal{A} be an embedded strong constraint algebra and $\mathcal{P} \in \mathbf{C}_{\text{str}}\text{Mod}_{\mathcal{A}}$. Then \mathcal{P}_{red} is projective, and if $\mathcal{P} \simeq e\mathcal{A}^{(M)}$ for some $M \in \mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$, then $\mathcal{P}_{\text{red}} \simeq e_{\text{red}}\mathcal{A}_{\text{red}}^{(M_{\text{red}})}$.*

1.6 More Constraint Structures

In this short, last section of the first part, we collect some more constraint algebraic notions which will be needed in [Chapter 2](#) and [Chapter 3](#). The definitions and properties should not be surprising at this point. Thus we will restrict ourselves to what is needed later on, instead of giving the full-fledged theories. In particular, we introduce constraint cochain complexes and their cohomology in [Section 1.6.1](#), while [Section 1.6.2](#) is concerned with non-associative constraint algebraic structures, such as (differential graded) Lie algebras and Poisson algebras.

1.6.1 Constraint Cochain Complexes

Let us start to introduce \mathbb{Z} -graded constraint modules. Even though we could also allow for a grading by a more general constraint set or constraint group, this is not necessary at this point.

Definition 1.6.1 (Graded constraint module)

i.) *A (\mathbb{Z} -)graded constraint \mathbb{k} -module is a \mathbb{Z} -indexed family $\{\mathcal{M}^i\}_{i \in \mathbb{Z}}$ of constraint \mathbb{k} -modules $\mathcal{M}^i \in \mathbf{CMod}_{\mathbb{k}}$.*

- ii.) A morphism $\{\mathcal{M}^i\}_{i \in \mathbb{Z}} \longrightarrow \{\mathcal{N}^i\}_{i \in \mathbb{Z}}$ of graded constraint \mathbb{k} -modules is given by a \mathbb{Z} -indexed family $\{\Phi^i\}_{i \in \mathbb{Z}}$ of morphisms $\Phi^i: \mathcal{M}^i \longrightarrow \mathcal{N}^i$.
- iii.) We denote the category of graded constraint \mathbb{k} -modules by $\mathbf{CMod}_{\mathbb{k}}^{\bullet}$.

We can always combine the indexed family of a graded constraint module into a single constraint module $\mathcal{M}^{\bullet} = \bigoplus_{i \in \mathbb{Z}} \mathcal{M}^i$. Conversely, if a given constraint module \mathcal{M} decomposes into a direct sum indexed by \mathbb{Z} we write \mathcal{M}^{\bullet} if we want to emphasize the graded structure. This way, every constraint module can be viewed as a graded constraint module by placing it at $i = 0$ with all other degrees being trivial.

A more flexible notion of morphism between graded constraint modules is given by a *morphism of degree k* , i.e. a family $\Phi^i: \mathcal{M}^i \longrightarrow \mathcal{N}^{i+k}$.

We will use the usual induced tensor products

$$\mathcal{M} \otimes_{\mathbb{k}} \mathcal{N} = \bigoplus_{n \in \mathbb{Z}} \left(\bigoplus_{k+\ell=n} \mathcal{M}^k \otimes_{\mathbb{k}} \mathcal{N}^{\ell} \right) \quad (1.6.1)$$

and

$$\mathcal{M} \boxtimes_{\mathbb{k}} \mathcal{N} = \bigoplus_{n \in \mathbb{Z}} \left(\bigoplus_{k+\ell=n} \mathcal{M}^k \boxtimes_{\mathbb{k}} \mathcal{N}^{\ell} \right) \quad (1.6.2)$$

and the symmetry with the usual Koszul signs. This turns $\mathbf{CMod}_{\mathbb{k}}^{\bullet}$ into a monoidal category, which is symmetric when considering symmetric modules.

We can now introduce constraint complexes as graded constraint modules together with a constraint differential.

Definition 1.6.2 (Constraint complex)

- i.) A constraint complex is a graded constraint module \mathcal{M}^{\bullet} together with a constraint degree +1 morphism $\delta^{\bullet}: \mathcal{M}^{\bullet} \longrightarrow \mathcal{M}^{\bullet+1}$ such that $\delta \circ \delta = 0$.
- ii.) A morphism of constraint complexes is a morphism $\Phi: \mathcal{M}^{\bullet} \longrightarrow \mathcal{N}^{\bullet}$ of graded constraint modules, such that $\Phi \circ \delta_{\mathcal{M}} = \delta_{\mathcal{N}} \circ \Phi$.
- iii.) The category of constraint complexes is denoted by $\mathbf{Ch}(\mathbf{CMod}_{\mathbb{k}})$.

Since morphisms of complexes commute with the differential δ , it is easy to see that we obtain a functor by constructing the cohomology of the constraint complex.

Proposition 1.6.3 (Constraint cohomology) Let $\mathcal{M}^{\bullet} \in \mathbf{Ch}(\mathbf{CMod}_{\mathbb{k}})$ be a constraint cochain complex with differential δ . The maps

$$\mathcal{M}^i \longmapsto \mathbf{H}^i(\mathcal{M}, \delta) = \ker \delta^i / \operatorname{im} \delta^{i-1} \quad (1.6.3)$$

for $i \in \mathbb{Z}$ define a functor $\mathbf{H}: \mathbf{Ch}(\mathbf{CMod}_{\mathbb{k}}) \longrightarrow \mathbf{CMod}_{\mathbb{k}}^{\bullet}$.

Remark 1.6.4 ((Regular) image) Note that constraint cohomology is defined by using the *image* of morphisms of constraint modules and not the *regular image*, see [Proposition 1.2.19](#). However, choosing the regular image instead would not make a difference since the 0-component of the denominator is not used in the quotient of constraint modules, see [Definition 1.2.21](#). Moreover, note that this means that in general we cannot decide whether $\ker \delta = \operatorname{im} \delta$ by computing cohomology, but we can decide if $\ker \delta = \operatorname{regim} \delta$ holds.

1.6.1.1 Reduction

Since graded constraint modules and constraint complexes are given by \mathbb{Z} -indexed families of constraint modules it should be clear that applying the reduction functor in every degree yields functors $\text{red}: \text{CMod}_{\mathbb{k}}^{\bullet} \rightarrow \text{Mod}_{\mathbb{k}}^{\bullet}$ and $\text{red}: \text{Ch}(\text{CMod}_{\mathbb{k}}) \rightarrow \text{Ch}(\text{Mod}_{\mathbb{k}})$.

Proposition 1.6.5 (Cohomology vs. reduction) *There exists a natural isomorphism such that*

$$\begin{array}{ccc} \text{Ch}(\text{CMod}_{\mathbb{k}}) & \xrightarrow{\text{H}} & \text{CMod}_{\mathbb{k}} \\ \text{red} \downarrow & & \downarrow \text{red} \\ \text{Ch}(\text{Mod}_{\mathbb{k}}) & \xrightarrow{\text{H}} & \text{Mod}_{\mathbb{k}} \end{array} \quad (1.6.4)$$

commutes.

PROOF: Define η for every $\mathcal{M} \in \text{Ch}(\text{CMod}_{\mathbb{k}})$ by

$$\eta(\mathcal{M}): \text{H}(\mathcal{M})_{\text{red}} \ni [[x]_{\text{H}}]_{\text{red}} \mapsto [[x]_{\text{red}}]_{\text{H}} \in \text{H}(\mathcal{M}_{\text{red}}).$$

For $\delta_{\mathbb{N}}^{i-1}y \in \text{im } \delta_{\mathbb{N}}^{i-1}$ we have $[\delta_{\mathbb{N}}^{i-1}y]_{\text{red}} = \delta_{\text{red}}^{i-1}[y]_{\text{red}}$ and hence $[[\delta_{\mathbb{N}}^{i-1}y]_{\text{red}}]_{\text{H}} = 0$. Moreover, for $[x_0]_{\text{H}} \in \text{H}(\mathcal{M})_0$ we have $x_0 \in \mathcal{M}_0^i$ and hence $[[x_0]_{\text{red}}]_{\text{H}} = 0$. Thus η is well-defined. Similarly, it can be shown that the inverse $\eta^{-1}(\mathcal{M}): \text{H}(\mathcal{M}_{\text{red}}) \rightarrow \text{H}(\mathcal{M})_{\text{red}}$ given by $[[x]_{\text{red}}]_{\text{H}} \mapsto [[x]_{\text{H}}]_{\text{red}}$ is well-defined. Finally, for $\Phi: \mathcal{M}^{\bullet} \rightarrow \mathcal{N}^{\bullet}$ we have

$$\begin{aligned} \left(\eta(\mathcal{N}) \circ [[\Phi^i]_{\text{H}}]_{\text{red}} \right) \left([[x]_{\text{H}}]_{\text{red}} \right) &= \left(\eta(\mathcal{N}) \right) \left([[\Phi^i(x)]_{\text{H}}]_{\text{red}} \right) \\ &= [[\Phi^i(x)]_{\text{red}}]_{\text{H}} \\ &= \left([[\Phi^i]_{\text{red}}]_{\text{H}} \circ \eta(\mathcal{M}) \right) \left([[x]_{\text{H}}]_{\text{red}} \right), \end{aligned}$$

showing that $\eta: \text{red} \circ \text{H} \Rightarrow \text{H} \circ \text{red}$ is indeed a natural isomorphism. \square

A morphism $\Phi: \mathcal{M}^{\bullet} \rightarrow \mathcal{N}^{\bullet}$ of constraint cochain complexes is called a *quasi-isomorphism* if the induced map $\text{H}(\Phi)$ is an isomorphism of constraint modules. We remark that the reduction functor $\text{red}: \text{Ch}(\text{CMod}_{\mathbb{k}}) \rightarrow \text{Ch}(\text{Mod}_{\mathbb{k}})$ maps quasi-isomorphisms of constraint complexes to quasi-isomorphisms of cochain complexes.

1.6.2 Constraint Lie Algebras

Let us collect some constraint notions involving brackets instead of associative compositions. These notions will be important for our notions of constraint vector fields as introduced in [Section 2.4.2](#) and constraint deformation theory, see [Section 3.2](#).

Definition 1.6.6 (Constraint Lie algebra) *A constraint Lie algebra is a constraint \mathbb{k} -module \mathfrak{g} together with a bracket*

$$[\cdot, \cdot]: \mathfrak{g} \otimes_{\mathbb{k}} \mathfrak{g} \rightarrow \mathfrak{g}, \quad (1.6.5)$$

with $[\cdot, \cdot] \circ \Delta = 0$ and fulfilling the usual Jacobi identity in every component. Here $\Delta(\xi) = \xi \otimes \xi$ denotes the usual diagonal.

Equivalently, a constraint Lie algebra is given by a Lie algebra morphism $\iota_{\mathfrak{g}}: \mathfrak{g}_{\mathbb{N}} \rightarrow \mathfrak{g}_{\mathbb{T}}$ between two Lie algebras $\mathfrak{g}_{\mathbb{N}}$ and $\mathfrak{g}_{\mathbb{T}}$ together with a Lie ideal $\mathfrak{g}_0 \subseteq \mathfrak{g}_{\mathbb{N}}$. Then a morphism of constraint Lie algebras is simply a morphism of constraint \mathbb{k} -modules such that it is a Lie algebra morphism on both T- and N-component. We denote the category of constraint Lie algebras by CLieAlg .

Example 1.6.7

- i.) Let \mathcal{E} be a constraint \mathbb{k} -module. The internal endomorphisms $\mathbf{C}\text{End}_{\mathbb{k}}(\mathcal{E})$ are a constraint Lie algebra given by the usual commutator $[\cdot, \cdot]^{\mathcal{E}_T}$ on $\mathbf{C}\text{End}_{\mathbb{k}}(\mathcal{E})_T$ and the pair $([\cdot, \cdot]^{\mathcal{E}_T}, [\cdot, \cdot]^{\mathcal{E}_N})$ on $\mathbf{C}\text{End}_{\mathbb{k}}(\mathcal{E})_N$.
- ii.) Let $\mathcal{A} \in \mathbf{CAlg}$ be a constraint algebra. The constraint derivations $\mathbf{C}\text{Der}(\mathcal{A})$ as introduced in Proposition 1.4.12 forms a constraint Lie algebra which can be seen as a constraint Lie subalgebra of $\mathbf{C}\text{End}_{\mathbb{k}}(\mathcal{A})$.

Note that even for a strong constraint algebra, we only obtain a constraint Lie algebra $\mathbf{C}\text{Der}(\mathcal{A})$, and not a strong constraint Lie algebra, i.e. a constraint Lie algebra with bracket defined on $\mathfrak{g} \boxtimes_{\mathbb{k}} \mathfrak{g}$.

We can now state the definition of a constraint Lie-Rinehart algebra, cf. [Rin63; Hue03] for the classical notion.

Definition 1.6.8 (Constraint Lie-Rinehart algebra) A constraint Lie-Rinehart algebra consists of the following data:

- i.) A commutative constraint algebra \mathcal{A} .
- ii.) A constraint \mathcal{A} -module \mathfrak{g} together with a Lie algebra structure $[\cdot, \cdot]$.
- iii.) A constraint morphism $\rho: \mathfrak{g} \rightarrow \mathbf{C}\text{Der}(\mathcal{A})$ of constraint Lie algebras and constraint \mathcal{A} -modules.

such that

$$[\xi, a \cdot \eta] = \rho(\xi)(a) \cdot \eta + a[\xi, \eta] \quad (1.6.6)$$

holds for all $\xi, \eta \in \mathfrak{g}_{T/N}$ and $a \in \mathcal{A}_{T/N}$.

Let us continue to combine constraint Lie algebras with constraint complexes. We state the definition directly as pairs of differential graded Lie algebras (DGLAs).

Definition 1.6.9 (Constraint differential graded Lie algebra)

- i.) A constraint DGLA \mathfrak{g} over \mathbb{k} is a pair of DGLAs $(\mathfrak{g}_T^\bullet, [\cdot, \cdot]_T, d_T)$ and $(\mathfrak{g}_N^\bullet, [\cdot, \cdot]_N, d_N)$ over \mathbb{k} together with a degree 0 morphism $\iota_{\mathfrak{g}}: \mathfrak{g}_N^\bullet \rightarrow \mathfrak{g}_T^\bullet$ of DGLAs and a graded Lie ideal $\mathfrak{g}_0^\bullet \subset \mathfrak{g}_N^\bullet$ such that $d_N(\mathfrak{g}_0^\bullet) \subseteq \mathfrak{g}_0^{\bullet+1}$.
- ii.) For two constraint DGLAs \mathfrak{g} and \mathfrak{h} , a morphism $\Phi: \mathfrak{g}^\bullet \rightarrow \mathfrak{h}^\bullet$ of constraint DGLAs is a pair of DGLA morphisms $\Phi_T: \mathfrak{g}_T^\bullet \rightarrow \mathfrak{h}_T^\bullet$ and $\Phi_N: \mathfrak{g}_N^\bullet \rightarrow \mathfrak{h}_N^\bullet$ such that $\Phi_T \circ \iota_{\mathfrak{g}} = \iota_{\mathfrak{h}} \circ \Phi_N$ and $\Phi_N(\mathfrak{g}_0^\bullet) \subseteq \mathfrak{h}_0^\bullet$.
- iii.) The category of constraint DGLAs will be denoted by \mathbf{CDGLA} .

Note that a morphism of constraint DGLAs can equivalently be understood as a morphism of constraint modules such that its components are DGLA morphisms. A constraint Lie algebra is a constraint DGLA with trivial differential and concentrated in degree 0. Similarly, a constraint graded Lie algebra can be defined as a constraint DGLA with trivial differential.

Since every constraint DGLA \mathfrak{g} is, in particular, a constraint complex we can always construct its corresponding cohomology $\mathbf{H}(\mathfrak{g})$. Moreover, every morphism $\Phi: \mathfrak{g}^\bullet \rightarrow \mathfrak{h}^\bullet$ of constraint DGLAs is a morphism of constraint complexes and therefore it induces a morphism $\mathbf{H}(\Phi): \mathbf{H}^\bullet(\mathfrak{g}) \rightarrow \mathbf{H}^\bullet(\mathfrak{h})$ on cohomology. Clearly, $\mathbf{H}(\mathfrak{g})$ is a constraint graded Lie algebra and every induced morphism $\mathbf{H}(\Phi)$ is a morphism of constraint graded Lie algebras. If $\mathbf{H}(\Phi)$ is an isomorphism we call Φ a *quasi-isomorphism*.

A special case of a constraint Lie algebra which will be important in reformulating coisotropic reduction in constraint terms is that of a constraint Poisson algebra.

Definition 1.6.10 (Constraint Poisson algebra) *A constraint Poisson algebra is a constraint algebra \mathcal{A} together with a constraint Lie bracket $\{\cdot, \cdot\}$ such that $\{a, \cdot\} \in \text{CDer}(\mathcal{A})_{\mathcal{T}/\mathcal{N}/\mathcal{O}}$ for every $a \in \mathcal{A}_{\mathcal{T}/\mathcal{N}/\mathcal{O}}$.*

In other words, a constraint Poisson algebra consists of a morphism $\iota_{\mathcal{A}}: \mathcal{A}_{\mathcal{N}} \rightarrow \mathcal{A}_{\mathcal{T}}$ of Poisson algebras together with a Poisson ideal $\mathcal{A}_0 \subseteq \mathcal{A}_{\mathcal{N}}$.

Example 1.6.11 In the study of singular Riemannian foliation in [NS22] so-called \mathcal{J} -Poisson manifolds are introduced. An \mathcal{J} -Poisson manifold is a Poisson manifold together with a locally finitely generated subsheaf \mathcal{J} of Poisson subalgebras of $\mathcal{C}^\infty(M)$. Every such \mathcal{J} -Poisson manifold induces a constraint Poisson algebra $(\mathcal{C}^\infty(M), \mathcal{N}(\mathcal{J}(M)), \mathcal{J}(M))$.

1.6.2.1 Reduction

For a constraint DGLA (\mathfrak{g}, d) the reduction $\mathfrak{g}_{\text{red}} := \mathfrak{g}_{\mathcal{N}}/\mathfrak{g}_0$ gives a well-defined functor

$$\text{red}: \text{CDGLA} \rightarrow \text{DGLA} \tag{1.6.7}$$

since by definition \mathfrak{g}_0 is a differential graded Lie ideal in $\mathfrak{g}_{\mathcal{N}}$. It is then clear that reduction of constraint DGLAs preserves quasi-isomorphisms. This functor clearly restricts to a reduction functor

$$\text{red}: \text{CLieAlg} \rightarrow \text{LieAlg}. \tag{1.6.8}$$

for constraint Lie algebras. Together with the reduction of constraint derivations, see [Example 1.4.19](#), this also shows that a constraint Lie-Rinehart algebra $(\mathcal{A}, \mathfrak{g})$ can be reduced to a classical Lie-Rinehart algebra

$$(\mathcal{A}, \mathfrak{g})_{\text{red}} := (\mathcal{A}_{\text{red}}, \mathfrak{g}_{\text{red}}). \tag{1.6.9}$$

Similarly, we obtain for a constraint Poisson algebra $(\mathcal{A}, \{\cdot, \cdot\})$ a reduced Poisson algebra

$$(\mathcal{A}, \{\cdot, \cdot\})_{\text{red}} := (\mathcal{A}_{\text{red}}, \{\cdot, \cdot\}_{\text{red}}). \tag{1.6.10}$$

Chapter 2

Constraint Geometric Structures

Recall the situation of coisotropic reduction in Poisson geometry: There we consider a coisotropic submanifold C of a Poisson manifold M . Then the reduced manifold M_{red} is given by the quotient of C by its characteristic distribution $D \subseteq TC$, which is spanned by the Hamiltonian vector fields X_f of functions f vanishing on C , and M_{red} carries a canonical Poisson structure. If we forget about the Poisson structures, but keep the underlying geometric information needed to construct the reduced manifold, we end up with a smooth version of constraint sets: A manifold M , together with a submanifold C and an equivalence relation on C defined by the distribution D . These so called constraint manifolds are the main object of study in this chapter.

In principle, more general notions of constraint manifolds would be possible: The equivalence relation on C need not be induced by a distribution D , we could as well study equivalence relations coming from discrete group actions, or even more general equivalence relations which may or may not allow for a smooth quotient space. Nevertheless, since we are mainly interested in the coisotropic setting and there already non-trivial effects appear, we will stick with distributions in this thesis.

In [Section 2.1](#) we give a precise definition of constraint manifolds and study some first properties. In particular, we will see that smooth functions $C\mathcal{C}^\infty(\mathcal{M})$ on a constraint manifold \mathcal{M} carry the structure of an embedded strong constraint algebra, giving a first link to the constraint algebraic objects from [Chapter 1](#). After introducing vector bundles over constraint manifolds in [Section 2.2](#) we will see in [Section 2.3](#) that sections of constraint vector bundles form embedded strong constraint $C\mathcal{C}^\infty(\mathcal{M})$ -modules. Moreover, in [Theorem 2.3.18](#) we will give a constraint version of the Serre-Swan Theorem, showing that the category $\mathbf{CVect}(\mathcal{M})$ of constraint vector bundles is equivalent to the category $\mathbf{Proj}(C\mathcal{C}^\infty(\mathcal{M}))$ of projective strong constraint modules. Having established the strong relationship of constraint geometric structures with constraint algebras and modules we can proceed to study differential forms and multivector fields on constraint manifolds in [Section 2.4](#), which will again carry rich algebraic structures. Finally, in [Section 2.5](#) we will consider differential operators on constraint manifolds and use constraint covariant derivatives to establish a symbol calculus on constraint manifolds, allowing to identify constraint (multi-)differential operators with certain sections of constraint vector fields.

2.1 Constraint Manifolds

Following our philosophy from [Chapter 1](#) we would like to define constraint manifolds as some kind of manifold object internal to a category of constraint objects replacing a classical category which is suitable for defining manifolds. In the geometric situation it is not so clear how this can be achieved. Looking at the classical situation there are various possibilities to generalize the definition of a smooth manifold to the constraint setting: We could use the classical definition by

charts to define constraint manifolds. For this we first need to introduce constraint topological spaces (which can be done, since we have a good notion of constraint subsets), and establish an extensive theory to make sense of notions like constraint Hausdorff space, second countability etc. Another approach could be to consider manifolds as sheaves, or more precisely, locally ringed spaces, which locally look like smooth functions on \mathbb{R}^n . Then constraint manifolds should be understood as sheaves taking values in $\mathbf{C}_{\text{str}}^{\text{emb}} \mathbf{Alg}$ which locally look like constraint functions on $\mathbb{R}^{(n_T, n_N, n_0)}$. All these strategies would need a considerable amount of theory building before we could even state the definition of a constraint manifold. At one point it might be useful to develop such a theory in detail, but for our purposes it will be enough to simply define constraint manifolds as constraint objects internal to the category **Manifold** of smooth manifolds, i.e. as a manifold M together with a smooth embedded submanifold C and a distribution $D \subseteq TC$ allowing for a smooth quotient. Such distributions will in particular be regular and integrable, and will be called *simple*.

Definition 2.1.1 (Constraint manifold)

- i.)* A constraint manifold $\mathcal{M} = (M_T, M_N, D_{\mathcal{M}})$ consists of a smooth manifold M_T , a closed embedded submanifold $\iota_{\mathcal{M}}: M_N \rightarrow M_T$ and a simple distribution $D_{\mathcal{M}} \subseteq TM_N$ on M_N .
- ii.)* A smooth map $\phi: \mathcal{M} \rightarrow \mathcal{N}$ (or constraint map) between constraint manifolds is given by a smooth map $\phi: M_T \rightarrow N_T$ such that $\phi(M_N) \subseteq N_N$ and $T\phi(D_{\mathcal{M}}) \subseteq D_{\mathcal{N}}$.
- iii.)* The category of constraint manifolds and smooth maps is denoted by **CManifold**.

If we consider only a single constraint manifold we will often write $\mathcal{M} = (M, C, D)$, with $D \subseteq TC$ the distribution on the closed submanifold $C \subseteq M$, instead of using subscripts. Additionally, we will sometimes denote the inclusion of C in M by $\iota: C \rightarrow M$.

Remark 2.1.2

- i.)* So far constraint objects were also allowed to have non-injective maps from N- to T-components. Thus it would be natural to replace the submanifold $C \subseteq M$ by a smooth map $\iota: C \rightarrow M$. Nevertheless, we will stick to the simpler notion with C being an embedded submanifold.
- ii.)* There exist more equivalence relations on C allowing for a smooth quotient than are given by simple distributions. For example, actions of discrete groups are not included in this setting. See [Ser06] for Godement’s theorem, which shows that the quotient by an equivalence relation $R \subseteq C \times C$ is smooth if and only if R is a closed embedded submanifold and $\text{pr}_1: R \rightarrow C$ is a surjective submersion. We chose to stick to our more special definition, since this is the situation dictated by coisotropic reduction in Poisson geometry. Implementing these more general features would, on one hand, lead to a more involved theory. On the other hand, it should be clear for most of the following results how these can be transferred to the general situation.
- iii.)* From a geometric point of view it would be desirable to allow also for non-smooth quotients. In particular, one might be interested in integrable and regular distributions which are not simple. Many of the following results hold in this more general situation, and we will indicate whenever a result actually uses the simplicity of the distribution.

In Vinogradov’s secondary calculus [Vin98], see also [Vit14], one treats the geometry of a possibly singular quotient by cohomological methods. This can be another way to enlarge the class of constraint manifolds.

- iv.)* Another way to include non-smooth quotients would be to enlarge the category of smooth manifolds, e.g. to the category of diffeological spaces or differentiable stacks, such that quotients exists in more general situations.

Then constraint objects in these categories can be studied instead.

We will call the finite constraint index set

$$\dim(\mathcal{M}) := (\dim(M), \dim(C), \text{rank}(D)) \quad (2.1.1)$$

the *constraint dimension* of the constraint manifold $\mathcal{M} = (M, C, D)$.

Example 2.1.3

- i.)* Let G be a Lie group acting via $\Phi: G \times C \rightarrow C$ in a free and proper way on a closed submanifold $C \subseteq M$. Then the images of the infinitesimal action $T_e\Phi_p: \mathfrak{g} \rightarrow TC$, for all $p \in C$, define a simple distribution on C , inducing the structure of a constraint manifold.
- ii.)* Let $C \subseteq M$ be a coisotropic submanifold of a Poisson manifold (M, π) . Then if the characteristic distribution D is simple $\mathcal{M} = (M, C, D)$ defines a constraint manifold.
- iii.)* Every b -manifold [GMP14], i.e. an oriented manifold M together with an oriented codimension 1 submanifold Z , is a constraint manifold $(M, Z, 0)$. Morphisms between b -manifolds, so-called b -maps, are constraint maps with an additional transversality condition.
- iv.)* Let $n = (n_T, n_N, n_0)$ be a finite constraint index set. Then $\mathbb{R}^{n_N} \subseteq \mathbb{R}^{n_T}$ together with the distribution $T\mathbb{R}^{n_0} \subseteq T\mathbb{R}^{n_N}$ defines a constraint manifold. Note that by identifying $T\mathbb{R}^{n_0}$ with \mathbb{R}^{n_0} and $T\mathbb{R}^{n_N}$ with \mathbb{R}^{n_N} this is simply a constraint vector space, see Section 1.3.2.

As classical manifolds locally look like a patch of euclidean space, so do constraint manifolds locally look like a patch of “constraint euclidean space” $\mathbb{R}^n = (\mathbb{R}^{n_T}, \mathbb{R}^{n_N}, \mathbb{R}^{n_0})$, as in Example 2.1.3 *iv.*). While for $p \in M \setminus C$ there is locally no additional information to that of the manifold M , so we can find a neighbourhood homeomorphic to $(\mathbb{R}^{\dim(M)}, \mathbb{R}^{\dim(M)}, 0)$, this changes for $p \in C$. In this case we can identify a neighbourhood isomorphic to $\mathbb{R}^{\dim(\mathcal{M})} = (\mathbb{R}^{\dim(M)}, \mathbb{R}^{\dim(C)}, \mathbb{R}^{\text{rank}(D)})$, as the next result shows.

Lemma 2.1.4 (Local structure of constraint manifolds) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold.*

- i.)* *If $U \subseteq M$ is open, then $\mathcal{M}|_U := (U, U \cap C, D|_U)$ is a constraint manifold.*
- ii.)* *For every $p \in C$ there exists a coordinate chart (U, x) around p such that*

$$x(U \cap L_p) = (\mathbb{R}^{n_0} \times \{0\}) \cap x(U) \quad (2.1.2)$$

and

$$x(U \cap C) = (\mathbb{R}^{n_N} \times \{0\}) \cap x(U), \quad (2.1.3)$$

where L_p denotes the leaf of the distribution D through p and $n = (n_T, n_N, n_0) = \dim(\mathcal{M})$ is the dimension of \mathcal{M} .

PROOF: The first part is clear. For the second part choose a foliation chart on $C \cap U$ and extend it as a submanifold chart to U . \square

We will call charts of the above form *adapted charts* for a given constraint manifold.

2.1.1 Functions on Constraint Manifold

Let $\mathcal{M} = (M, C, D)$ be a constraint manifold with distribution $D \subseteq TC$. Forgetting the smooth structure on M and C and equipping C with the equivalence relation induced by the foliation of D gives a constraint set. Obviously, this construction is functorial, giving the forgetful functor

$$\mathbf{U}: \mathbf{CManifold} \rightarrow \mathbf{C}^{\text{emb}}\mathbf{Set}.$$

By [Example 1.4.27 i.\)](#) the \mathbb{R} -valued constraint functions on $\mathbf{U}(\mathcal{M})$ constitute an embedded strong constraint algebra. When we equip $(\mathbb{R}, \mathbb{R}, 0)$ with its canonical smooth structure we can consider the constraint subalgebra of smooth functions on \mathcal{M} .

Proposition 2.1.5 (Functions on constraint manifolds) *Mapping every constraint manifold $\mathcal{M} = (M, C, D)$ to*

$$\begin{aligned} \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_{\mathbf{T}} &:= \mathcal{C}^\infty(M, \mathbb{R}), \\ \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_{\mathbf{N}} &:= \{f \in \mathcal{C}^\infty(M, \mathbb{R}) \mid \mathcal{L}_X f|_C = 0 \text{ for all } X \in \Gamma^\infty(D)\}, \\ \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0 &:= \{f \in \mathcal{C}^\infty(M, \mathbb{R}) \mid f|_C = 0\}, \end{aligned} \quad (2.1.4)$$

and every constraint morphism $\phi: \mathcal{M} \rightarrow \mathcal{N}$ between constraint manifolds to

$$\phi^*: \mathbf{C}\mathcal{C}^\infty(\mathcal{N}) \rightarrow \mathbf{C}\mathcal{C}^\infty(\mathcal{M}), \quad \phi^*(f) := f \circ \phi \quad (2.1.5)$$

defines a functor $\mathbf{C}\mathcal{C}^\infty: \mathbf{CManifold} \rightarrow \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}^{\text{opp}}$.

PROOF: Note that $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})_{\mathbf{N}}$ is a subalgebra of $\mathcal{C}^\infty(M, \mathbb{R})$ by the fact that \mathcal{L}_X is \mathbb{R} -linear and satisfies a Leibniz rule. The 0-component is obviously contained in the N-component and, since it is just the vanishing ideal of C , it is a two-sided ideal in $\mathcal{C}^\infty(M, \mathbb{R})$. This shows that $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ is indeed an embedded strong constraint algebra. Now given a smooth constraint map $\phi: \mathcal{M} \rightarrow \mathcal{N}$ we have $(\phi^*f)(p) = f(\phi(p)) = 0$ for $f \in \mathbf{C}\mathcal{C}^\infty(\mathcal{N})_0$ and all $p \in M_{\mathbf{N}}$. Thus $\phi^*(\mathbf{C}\mathcal{C}^\infty(\mathcal{N})_0) \subseteq \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0$. To show that ϕ^* also preserves the N-component let $f \in \mathbf{C}\mathcal{C}^\infty(\mathcal{N})_{\mathbf{N}}$ be given. Then for $X_p \in D_{\mathcal{M}}|_p$, $p \in M_{\mathbf{N}}$ we have $X_p(\phi^*f) = T_p\phi(X_p)f = 0$ since $T_p\phi(X_p) \in D_{\mathcal{N}}|_{\phi(p)}$ by assumption. This shows $\phi^*f \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_{\mathbf{N}}$. \square

Example 2.1.6

i.) Let $\mathcal{M} = (M, C, D)$ be a constraint manifold of dimension $n = (n_{\mathbf{T}}, n_{\mathbf{N}}, n_0)$, $p \in C$ and (U, x) an adapted chart around p as in [Lemma 2.1.4](#). Then

$$\begin{aligned} x^i &\in \mathcal{C}^\infty(\mathcal{M}|_U)_0 \text{ if } i \in \{n_{\mathbf{N}} + 1, \dots, n_{\mathbf{T}}\} = (n^*)_0, \\ x^i &\in \mathcal{C}^\infty(\mathcal{M}|_U)_{\mathbf{N}} \text{ if } i \in \{n_0 + 1, \dots, n_{\mathbf{T}}\} = (n^*)_{\mathbf{N}}, \\ x^i &\in \mathcal{C}^\infty(\mathcal{M}|_U)_{\mathbf{T}} \text{ if } i \in \{1, \dots, n_{\mathbf{T}}\} = (n^*)_{\mathbf{T}}, \end{aligned} \quad (2.1.6)$$

ii.) Let $C \subseteq M$ be a coisotropic submanifold of a Poisson manifold (M, π) and denote by $\mathcal{M} = (M, C, D)$ the corresponding constraint manifold. Then, as for any constraint manifold, $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0 = \mathcal{I}_C$ is the vanishing ideal of C , and additionally

$$\mathbf{C}\mathcal{C}^\infty(\mathcal{M})_{\mathbf{N}} = \mathcal{B}_C = \{f \in \mathcal{C}^\infty(M) \mid \{f, g\} \in \mathcal{I}_C \text{ for all } g \in \mathcal{I}_C\} \quad (2.1.7)$$

is the Poisson normalizer of \mathcal{I}_C .

Example 2.1.6 *i.)* hints at the fact that $\mathcal{C}\mathcal{L}^\infty(\mathcal{M})$ can also be understood as a sheaf of embedded strong constraint algebras on the topological space M . Let us denote the stalk of the sheaf of smooth functions on M at the point p by $\mathcal{C}_p^\infty(M) = \mathcal{C}\mathcal{L}_p^\infty(\mathcal{M})_{\mathcal{T}}$. The subsets of $\mathcal{C}\mathcal{L}_p^\infty(\mathcal{M})_{\mathcal{T}}$ given by germs of functions in $\mathcal{C}\mathcal{L}^\infty(\mathcal{M})_{\mathcal{N}}$ and $\mathcal{C}\mathcal{L}^\infty(\mathcal{M})_0$ will be denoted by $\mathcal{C}\mathcal{L}_p^\infty(\mathcal{M})_{\mathcal{N}}$ and $\mathcal{C}\mathcal{L}_p^\infty(\mathcal{M})_0$, respectively. Then it is easy to see that

$$\mathcal{C}\mathcal{L}_p^\infty(\mathcal{M}) := (\mathcal{C}\mathcal{L}_p^\infty(\mathcal{M})_{\mathcal{T}}, \mathcal{C}\mathcal{L}_p^\infty(\mathcal{M})_{\mathcal{N}}, \mathcal{C}\mathcal{L}_p^\infty(\mathcal{M})_0) \quad (2.1.8)$$

is the stalk of the sheaf $\mathcal{C}\mathcal{L}^\infty$ of constraint functions on \mathcal{M} , and thus in particular an embedded strong constraint algebra.

Remark 2.1.7 For any open cover $\{U_\alpha\}_{\alpha \in I}$ of a classical manifold M there exists a subordinate partition of unity given by compactly supported functions $\chi_\alpha \in \mathcal{C}_0^\infty(M)$. This often allows to glue locally defined objects together by first extending every locally defined objects to a global one by multiplying with some χ_α . For a constraint manifold not every open cover admits a partition of unity consisting of functions $\chi_\alpha \in \mathcal{C}\mathcal{L}^\infty(\mathcal{M})_{\mathcal{N}}$. In particular, every $U_\alpha \subseteq M$ with $U \cap C \neq \emptyset$ needs to be saturated.

Remark 2.1.8 Recall that for algebraic objects we always considered the strong constraint notions alongside the constraint ones. The same can be done for manifolds by defining strong constraint manifolds as constraint manifolds with a globally defined equivalence relation, i.e. with $D \subseteq TM$. Functions on such a strong constraint manifold \mathcal{M} would then be given by $\mathbf{C}_{\text{str}}\mathcal{L}^\infty(\mathcal{M}) \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ with $\mathbf{C}_{\text{str}}\mathcal{L}^\infty(\mathcal{M})_{\mathcal{N}}$ given by functions globally constant along the leaves of D and $\mathbf{C}_{\text{str}}\mathcal{L}^\infty(\mathcal{M})_0$ given by those globally invariant functions vanishing on C . Note that in general $\mathbf{C}_{\text{str}}\mathcal{L}^\infty(\mathcal{M})$ will be a non-strong constraint algebra. Such strong constraint manifolds appear for example in the Marsden-Weinstein reduction with a Lie group G acting on the manifold M .

Obviously, any strong constraint manifold \mathcal{M} can be turned into a constraint manifold by forgetting the equivalence relation, i.e. the distribution D , outside of C . This yields a forgetful functor $\mathbf{U}: \mathbf{C}_{\text{str}}\mathbf{Manifold} \rightarrow \mathbf{C}\mathbf{Manifold}$. On the algebraic side this corresponds to the strong hull of $\mathbf{C}_{\text{str}}\mathcal{L}^\infty(\mathcal{M})$, making the diagram

$$\begin{array}{ccc} \mathbf{C}_{\text{str}}\mathbf{Manifold} & \xrightarrow{\mathbf{C}_{\text{str}}\mathcal{L}^\infty} & \mathbf{C}^{\text{emb}}\mathbf{Alg}^{\text{opp}} \\ \downarrow \mathbf{U} & & \downarrow \cdot_{\text{str}} \\ \mathbf{C}\mathbf{Manifold} & \xrightarrow{\mathcal{C}\mathcal{L}^\infty} & \mathbf{C}_{\text{str}}^{\text{emb}}\mathbf{Alg}^{\text{opp}} \end{array} \quad (2.1.9)$$

commute, see also [Proposition 1.4.37](#).

2.1.2 Reduction

On every constraint manifold $\mathcal{M} = (M, C, D)$ we have an equivalence relation $\sim_{\mathcal{M}}$ on C for which equivalence classes coincide with the leaves of D . Requiring a simple distribution simply means that $C/D = C/\sim_{\mathcal{M}}$ is a smooth manifold and $\text{pr}: C \rightarrow \mathcal{M}_{\text{red}}$ is a surjective submersion. Hence by the definition of constraint manifolds the quotient $M_{\mathcal{N}}/D_{\mathcal{M}}$ is a smooth manifold, and smooth maps of constraint manifolds drop to smooth maps on the quotients:

Definition 2.1.9 (Reduced manifold) *The functor $\text{red}: \mathbf{C}\mathbf{Manifold} \rightarrow \mathbf{Manifold}$ given by mapping a constraint manifold $\mathcal{M} = (M, C, D)$ to $\mathcal{M}_{\text{red}} := C/D$ and a constraint morphism $\phi: \mathcal{M} \rightarrow \mathcal{N}$ to*

$$\phi_{\text{red}}: \mathcal{M}_{\text{red}} \rightarrow \mathcal{N}_{\text{red}}, \quad \phi_{\text{red}}([p]) := [\phi(p)] \quad (2.1.10)$$

is called reduction functor.

Constructing the embedded strong constraint algebra of smooth functions on a constraint manifold then commutes with reduction:

Proposition 2.1.10 (Constraint functions vs. reduction) *There exists a natural isomorphism such that the following diagram commutes:*

$$\begin{array}{ccc}
 \mathbf{CManifold} & \xrightarrow{\mathcal{C}^\infty} & \mathbf{C}_{\text{str}}^{\text{emb}} \mathbf{Alg}^{\text{opp}} \\
 \text{red} \downarrow & & \downarrow \text{red} \\
 \mathbf{Manifold} & \xrightarrow{\mathcal{C}^\infty} & \mathbf{Alg}^{\text{opp}}
 \end{array} \tag{2.1.11}$$

PROOF: Observe that every $f \in \mathcal{C}^\infty(\mathcal{M})_{\text{N}}$ drops to a function $f_{\text{red}} \in \mathcal{C}^\infty(\mathcal{M}_{\text{red}})$, and the kernel of this map is exactly given by the vanishing ideal $\mathcal{C}^\infty(\mathcal{M})_0$. Hence we obtain an inclusion $\mathcal{C}^\infty(\mathcal{M})_{\text{red}} \subseteq \mathcal{C}^\infty(\mathcal{M}_{\text{red}})$. To show surjectivity of this map, choose a tubular neighbourhood V of C with projection $\text{pr}_V: V \rightarrow C$ and a bump function $\chi \in \mathcal{C}^\infty(M, \mathbb{R})$ with $\chi|_C = 1$ and $\chi|_{M \setminus V} = 0$. Note that the closedness of C is needed for the existence of such a χ . Then every function $f \in \mathcal{C}^\infty(\mathcal{M}_{\text{red}})$ can first be pulled back to a function $\pi_{\text{red}}^* f$ on C and afterwards pulled back to V via $\text{pr}_V^*(\pi_{\text{red}}^* f)$, where $\pi_{\text{red}}: C \rightarrow \mathcal{M}_{\text{red}}$ denotes the projection to the quotient. Finally, we can extend it to all of M using χ obtaining $\hat{f} := \chi \cdot (\text{pr}_V^*(\pi_{\text{red}}^* f))$. Since $\hat{f}|_C = \pi_{\text{red}}^* f$ we clearly get $\hat{f} \in \mathcal{C}^\infty(\mathcal{M})_{\text{N}}$ and $(\hat{f})_{\text{red}} = f$. Hence we get $\mathcal{C}^\infty(\mathcal{M})_{\text{red}} = \mathcal{C}^\infty(\mathcal{M}_{\text{red}})$. For the naturality consider a smooth constraint map $\phi: \mathcal{M} \rightarrow \mathcal{N}$. Then for every $f \in \mathcal{C}^\infty(\mathcal{N})_{\text{red}}$ we have

$$(\phi^*)_{\text{red}}(f_{\text{red}}) = (\phi^*(f))_{\text{red}} = (f \circ \phi)_{\text{red}} = f_{\text{red}} \circ \phi_{\text{red}} = (\phi_{\text{red}})^*(f_{\text{red}}).$$

This shows that (2.1.11) commutes up to a natural isomorphism. \square

Proposition 2.1.11 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. For every $p \in C$ there is a canonical isomorphism $\mathcal{C}^\infty(\mathcal{M})_{\text{red}} \simeq \mathcal{C}_{[p]}^\infty(\mathcal{M}_{\text{red}})$.*

PROOF: Define $\eta: \mathcal{C}^\infty(\mathcal{M})_{\text{red}} \rightarrow \mathcal{C}_{[p]}^\infty(\mathcal{M}_{\text{red}})$ by

$$\eta([\text{germ}_p f]) := \text{germ}_{[p]} f_{\text{red}}.$$

It is obviously an algebra morphism. To show that it is an isomorphism, we first assume that $\eta([\text{germ}_p f]) = 0$. Thus there exists an open neighbourhood $U \subseteq \mathcal{M}_{\text{red}}$ of $[p]$ such that $f_{\text{red}}|_U = 0$. Then $\pi_{\mathcal{M}}^{-1}(U) \subseteq C$ is an open neighbourhood of p such that $\pi_{\mathcal{M}}^* f_{\text{red}}|_{\pi_{\mathcal{M}}^{-1}(U)} = 0$. Since $\pi_{\mathcal{M}}^{-1}(U)$ is open in C and C is an embedded submanifold, there exists an open neighbourhood V of p in M such that $V \cap C = \pi_{\mathcal{M}}^{-1}(U)$ and $f|_{V \cap C} = \pi_{\mathcal{M}}^* f_{\text{red}}|_{\pi_{\mathcal{M}}^{-1}(U)} = 0$. Therefore, we have $\text{germ}_p f \in \mathcal{C}_p^\infty(\mathcal{M})_0$, leading to $[\text{germ}_p f] = 0$. This shows that η is injective. For the surjectivity of η recall that by Proposition 2.1.10 we have $\mathcal{C}^\infty(\mathcal{M})_{\text{red}} \simeq \mathcal{C}^\infty(\mathcal{M}_{\text{red}})$ and thus every $\text{germ}_{[p]} g \in \mathcal{C}_{[p]}^\infty(\mathcal{M}_{\text{red}})$ is of the form $\text{germ}_{[p]} f_{\text{red}}$ for some $f \in \mathcal{C}^\infty(\mathcal{M})_{\text{N}}$. \square

2.2 Constraint Vector Bundles

Fix a constraint manifold $\mathcal{M} = (M, C, D)$. A vector bundle E over \mathcal{M} should now consist of a vector bundle $E_{\text{T}} \rightarrow M_{\text{T}}$ which is compatible with reduction. By our general philosophy for constructing constraint objects we expect a subbundle $E_{\text{N}} \rightarrow C$ of $\iota^\# E_{\text{T}} \rightarrow C$ together with an equivalence relation on E_{N} such that the quotient space defines a vector bundle over \mathcal{M}_{red} . This equivalence relation should be compatible with the geometry in two ways: First, it

should identify points in a common fibre in a linear way, so that we obtain a linear fibre in the quotient. And, second, it should identify different fibres over the same leaf, to give a well-defined vector bundle over the leaf space \mathcal{M}_{red} at all. The first part can be implemented by requiring a subbundle $E_0 \rightarrow C$ of E_N . For the second part we need the notion of a partial connection (or partial covariant derivative), cf. [Bot72].

Definition 2.2.1 (Partial connection) *Let $E \rightarrow C$ be a vector bundle over a manifold C , and let $D \subseteq TC$ be regular involutive distribution on C . A partial D -connection on E is given by a bilinear map*

$$\nabla: \Gamma^\infty(D) \otimes \Gamma^\infty(E) \rightarrow \Gamma^\infty(E) \quad (2.2.1)$$

such that

$$\nabla_{fX}s = f\nabla_Xs \quad (2.2.2)$$

and

$$\nabla_X(fs) = (\mathcal{L}_Xf)s + f\nabla_Xs \quad (2.2.3)$$

for all $f \in \mathcal{C}^\infty(C)$, $X \in \Gamma^\infty(D)$ and $s \in \Gamma^\infty(E)$.

Note that partial D -connections always exist by restricting a connection on E to D . Moreover, every partial D -connection can be extended to a connection on E by choosing a complement D^\perp of D inside TC and a partial D^\perp connection, then taking the sum of those. Given a curve $\gamma: I \rightarrow C$ inside a fixed leaf of D connecting $p, q \in C$ we obtain corresponding parallel transport $P_\gamma: E_p \rightarrow E_q$. Let us show that this parallel transport is actually independent of the chosen extension of ∇ .

Lemma 2.2.2 *Let $E \rightarrow C$ be a vector bundle over a manifold C and let $D, D^\perp \subseteq TC$ be subbundles such that $TC = D \oplus D^\perp$. Moreover, let ∇ be a partial D -connection and ∇^\perp be a partial D^\perp -connection on E . For every smooth path $\gamma: I \rightarrow C$ such that $\dot{\gamma}(t) \in D_{\gamma(t)}$ for all $t \in I$ the parallel transport along γ of $\nabla + \nabla^\perp$ is independent of ∇^\perp .*

PROOF: Let $\gamma: I \rightarrow C$ be a smooth curve with $\gamma(0) = p$, $\gamma(1) = q$ and $\dot{\gamma}(t) \in D_{\gamma(t)}$ for all $t \in I$. For every $s_p \in E_p$ the parallel transport along γ is given by the unique $s \in \Gamma^\infty(\gamma^\#E)$ with $s(p) = s_p$ and $(\gamma^\#\nabla') \frac{\partial}{\partial t} s = 0$ with $\nabla' := \nabla + \nabla^\perp$. The pullback covariant derivative is the unique covariant derivative on $\gamma^\#E$ such that

$$\gamma^\#((\gamma^\#\nabla') \frac{\partial}{\partial t} \gamma^\#u) = \nabla'_{\dot{\gamma}(t)}u \quad (*)$$

for all $u \in \Gamma^\infty(E)$. Since $\dot{\gamma}(t) \in D_{\gamma(t)}$ we have $\nabla'_{\dot{\gamma}(t)}u = \nabla_{\dot{\gamma}(t)}u$, thus the right hand side of $(*)$ and therefore the parallel transport does not depend on ∇^\perp . \square

Thus every partial D -connection has a well-defined notion of parallel transport. If this parallel transport is independent of the chosen (leafwise) path, we will call the D -connection ∇ *holonomy-free*. Note that every holonomy free partial connection is flat, but the converse does not hold in general. With this we are now ready to define constraint vector bundles.

Definition 2.2.3 (Constraint vector bundle) *Let constraint manifolds $\mathcal{M} = (M_T, M_N, D_M)$ and $\mathcal{N} = (N_T, N_N, D_N)$ be given.*

- i.) A constraint vector bundle $E = (E_T, E_N, E_0, \nabla)$ over \mathcal{M} consists of a vector bundle $E_T \rightarrow M_T$, a subbundle $E_N \rightarrow M_N$ of $\iota^\#E_T$, a subbundle $E_0 \rightarrow M_N$ of E_N and a holonomy-free partial D_M -connection on E_N/E_0 .*

ii.) Let $E = (E_T, E_N, E_0, \nabla^E)$ and $F = (F_T, F_N, F_0, \nabla^F)$ be constraint vector bundles over constraint manifolds \mathcal{M} and \mathcal{N} , respectively. A morphism $\Phi: E \rightarrow F$ of constraint vector bundles over a smooth map $\phi: \mathcal{M} \rightarrow \mathcal{N}$ is given by a vector bundle morphism $\Phi_T: E_T \rightarrow F_T$ such that

- a.) $\iota^\# \Phi_T$ restricts to a vector bundle morphism $\Phi_N: E_N \rightarrow F_N$,
- b.) $\Phi_N(E_0) \subseteq F_0$ and
- c.) Φ_N is compatible with the connections, i.e.

$$\Phi_N^* \left(\nabla_{T_p \phi(v_p)}^{F^*} \alpha \right) = \nabla_{v_p}^{E^*} (\Phi_N^* \alpha) \quad (2.2.4)$$

for all $p \in M_N$, $v_p \in D_{\mathcal{M}}|_p$ and $\alpha \in \Gamma^\infty(F_N/F_0)^*$, with the pullback of forms $\Phi_N^*: \Gamma^\infty(E_N/E_0)^* \rightarrow \Gamma^\infty(F_N/F_0)^*$ induced by Φ_N .

iii.) The category of constraint vector bundles is denoted by \mathbf{CVect} . For a fixed constraint manifold \mathcal{M} we denote by $\mathbf{CVect}(\mathcal{M})$ the category of constraint vector bundles over \mathcal{M} with vector bundle morphisms over $\text{id}_{\mathcal{M}}$.

Remark 2.2.4 If we refrain from requiring simplicity of the distribution in the definition of constraint manifolds, it would be more natural to drop the holonomy-freeness in the definition of constraint vector bundles. Instead, it seems reasonable to require a flat partial covariant derivative. This would also bring us closer to the situation of infinitesimal ideal systems considered in [JO14].

For every constraint vector bundle E over a constraint manifold $\mathcal{M} = (M, C, D)$ and $p \in M$ we can consider the fibre $E_T|_p$. If $p \in C$ is a point in the submanifold, we have subspaces defined by the subbundles E_N and E_0 , leading to a constraint vector space

$$E|_p := (E_T|_p, E_N|_p, E_0|_p). \quad (2.2.5)$$

For $p \in M \setminus C$ we define $E|_p := (E_T|_p, 0, 0)$. Since M and C are supposed to be connected the dimension of this constraint vector space is independent of the base point $p \in C$. Thus we call the constraint index set

$$\text{rank}(E) := (\text{rank}(E_T), \text{rank}(E_N), \text{rank}(E_0)) \quad (2.2.6)$$

the *rank* of E .

Note that for a morphism $\Phi: E \rightarrow F$ of constraint vector bundles over the identity the requirement (2.2.4) simplifies to

$$\nabla_{v_p} \Phi(s) = \Phi(\nabla_{v_p} s) \quad (2.2.7)$$

for all $s \in \Gamma^\infty(E_N/E_0)$ and $v_p \in D|_p$. The following simple observation will be useful later on.

Lemma 2.2.5 Let $\Phi: E \rightarrow F$ be a morphism of constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$ covering the identity. Then Φ is an isomorphism of constraint vector bundles if and only if it is a fiberwise isomorphism, i.e. $\Phi|_p: E|_p \rightarrow F|_p$ is an isomorphism of constraint vector spaces for all $p \in M$.

PROOF: Since Φ_T is a vector bundle morphism over the identity we know that it is an isomorphism if and only if it is a fiberwise isomorphism by classical differential geometry. The same holds for the restrictions to the subbundles E_N and E_0 . The compatibility of Φ^{-1} with the covariant derivative is automatic, since using (2.2.7) we have $\Phi(\nabla_{v_p} \Phi^{-1}(t)) = \nabla_{v_p} t$, from which $\nabla_{v_p} \Phi^{-1}(t) = \Phi^{-1}(\nabla_{v_p} t)$ follows. \square

Example 2.2.6 Instances of constraint vector bundles have, under different names, appeared in the literature before.

- i.) In [CO22, Def 2.2] the notion of quotient data (q_M, K, Δ) for a vector bundle $E \rightarrow M$ is introduced, see also [Mac05, §2.1]. Here $q_M: M \rightarrow \tilde{M}$ denotes a surjective submersion with connected fibres, $K \subseteq E$ is a subbundle and δ is a smooth assignment taking a pair of points $x, y \in M$ on the same q_M -fibre to a linear isomorphism $\nabla_{x,y}: E_y/K_y \rightarrow E_x/K_x$. This directly gives a constraint vector bundle (E, E, K) over $(M, M, \ker(Ty_M))$ with $\bar{\nabla}$ the partial connection induced by Δ .
- ii.) By Batchelor's Theorem [Bat80; BP13] graded manifolds of degree one correspond to vector bundles over manifolds. Under this identification a graded submanifold of a degree one graded manifold corresponds to a constraint vector bundle $(E, \iota^\# E, F)$ over $(M, C, 0)$, see [Cue19].

Example 2.2.7 (Trivial constraint vector bundle) Let $\mathcal{M} = (M, C, D)$ be a constraint manifold and $k = (k_T, k_N, k_0)$ a finite constraint index set. Then

$$\mathcal{M} \times \mathbb{R}^k := (M \times \mathbb{R}^{k_T}, C \times \mathbb{R}^{k_N}, C \times \mathbb{R}^{k_0}, \mathcal{L}) \quad (2.2.8)$$

defines a constraint vector bundle. Here \mathcal{L} denotes the component-wise Lie derivative.

We will call a constraint vector bundle of that form *trivial*. Constraint vector bundles isomorphic to trivial vector bundles will be called *trivializable*.

As an important tool we need the existence of local frames adapted to the structure of a constraint vector bundle. For this observe that every constraint vector bundle $E = (E_T, E_N, E_0)$ over a constraint manifold $\mathcal{M} = (M, C, D)$ can be restricted to an open subset $U \subset M$ giving a constraint vector bundle $E|_U = (E_T|_U, E_N|_{U \cap C}, E_0|_{U \cap C})$ over $\mathcal{M}|_U$.

Lemma 2.2.8 *Let $E = (E_T, E_N, E_0, \nabla)$ be a constraint vector bundle of rank $k = (k_T, k_N, k_0)$ over a constraint manifold $\mathcal{M} = (M, C, D)$. Let furthermore $E_0^\perp \rightarrow C$ and $E_N^\perp \rightarrow C$ be subbundles of $\iota^\# E_T$ such that $E_N = E_0 \oplus E_0^\perp$ and $\iota^\# E_T = E_N \oplus E_N^\perp$. Then for every $p \in C$ there exists a local frame $e_1, \dots, e_{k_T} \in \Gamma^\infty(E_T|_U)$ on an open neighbourhood $U \subseteq M$ around p such that*

- i.) $\iota^\# e_i \in \Gamma^\infty(E_0|_{U \cap C})$ for all $i = 1, \dots, k_0$,
- ii.) $\iota^\# e_i \in \Gamma^\infty(E_0^\perp|_{U \cap C})$ and $\nabla_X \iota^\# e_i = 0$ for all $X \in \Gamma^\infty(D)$ and $i = k_0 + 1, \dots, k_N$,
- iii.) $\iota^\# e_i \in \Gamma^\infty(E_N^\perp|_{U \cap C})$ for all $i = k_N + 1, \dots, k_T$.

PROOF: Take a local frame $g_1, \dots, g_{k_N - k_0}$ of E_{red} on an open neighbourhood $\check{V} \subseteq \mathcal{M}_{\text{red}}$ of $\pi_{\mathcal{M}}(p)$. Using Proposition 2.2.16 ii.) we obtain a local frame $g_1, \dots, g_{k_N - k_0}$ of $E_0^\perp \simeq E_N/E_0$ on the open neighbourhood $\pi_{\mathcal{M}}^{-1}(\check{V})$ with $\nabla_X g_i = 0$ for all $X \in \Gamma^\infty(D)$ and $i = 1, \dots, k_N - k_0$. Choose additionally local frames f_1, \dots, f_{k_0} of E_0 and $h_1, \dots, h_{k_T - k_N}$ of E_N^\perp on a possibly smaller open neighbourhood V . Using a tubular neighbourhood $\text{pr}_U: U \rightarrow C \cap V$ of $C \cap V$ inside V we can pull back those local frames to a local frame

$$e_i := \begin{cases} \text{pr}_U^\# f_i & \text{if } i = 1, \dots, k_0 \\ \text{pr}_U^\# g_{i - k_0} & \text{if } i = k_0 + 1, \dots, k_N \\ \text{pr}_U^\# h_{i - k_N} & \text{if } i = k_N + 1, \dots, k_T \end{cases}$$

of E_T fulfilling the required properties. \square

Remark 2.2.9 Even though the existence of a smooth reduced vector bundle was used in the proof of Lemma 2.2.8 this result actually only depends on local considerations, and therefore could also be obtained for regular and integrable distributions D on C and flat partial connections on $E_N/E_{N \text{ null}}$ by using foliation charts.

Recall from [Bot72] that for a given manifold M with a regular involutive distribution $D \subseteq TM$ there exists a canonical partial D -connection on the normal bundle TM/D , the so-called *Bott connection*, given by

$$\nabla_X^{\text{Bott}} \bar{Y} = \overline{[X, Y]} \quad (2.2.9)$$

for $X \in \Gamma^\infty(D)$ and $\bar{Y} \in \Gamma^\infty(TM/D)$. Here \bar{Y} denotes the equivalence class of $Y \in \Gamma^\infty(TM)$. With this we can now construct a constraint tangent bundle out of a constraint manifold.

Proposition 2.2.10 (Constraint tangent bundle) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. Then $T\mathcal{M} := (TM, TC, D, \nabla^{\text{Bott}})$ is a constraint vector bundle over \mathcal{M} .*

PROOF: We clearly have $TC \subseteq TM$, and since D is regular it is a subbundle of TC . It only remains to show that ∇^{Bott} is holonomy-free. For this let $p, q \in C$ in the same leaf be given. Moreover, let $\gamma, \tilde{\gamma}: I \rightarrow C$ be paths in the leaf of p and q such that $\gamma(0) = p = \tilde{\gamma}(0)$, $\gamma(1) = q = \tilde{\gamma}(1)$. In particular, we have $\pi_{\mathcal{M}} \circ \gamma = \pi_{\mathcal{M}} \circ \tilde{\gamma}$, with $\pi_{\mathcal{M}}: C \rightarrow \mathcal{M}_{\text{red}}$ the projection onto the leaf space. We need to show that the parallel transport of $\bar{v}_p \in T_p C/D_p$ along γ agrees with the parallel transport along $\tilde{\gamma}$. We have $P_{\gamma, p \rightarrow q}(\bar{v}_p) = \gamma^\#(s(1))$, where $\gamma^\#: \gamma^\#(TC/D) \rightarrow TC/D$ is the canonical vector bundle morphism given by $\gamma^\#(t, \overline{v_\gamma(t)}) = \overline{v_\gamma(t)}$ and $s \in \Gamma^\infty(\gamma^\#(TC/D))$ is the unique section with $\nabla_{\frac{\partial}{\partial t}}^\# s = 0$ and $\gamma^\#(s(0)) = \bar{v}_p$. Similarly, we have $P_{\tilde{\gamma}, p \rightarrow q}(\bar{v}_p) = \tilde{\gamma}^\#(\tilde{s}(1))$. Since $D = \ker T\pi_{\mathcal{M}}$ we know that $T\pi_{\mathcal{M}}: TC/D \rightarrow T\mathcal{M}_{\text{red}}$ is well-defined and induces an isomorphism $TC/D \simeq \pi_{\mathcal{M}}^\# T\mathcal{M}_{\text{red}}$. With this we get a canonical isomorphism

$$\begin{aligned} \gamma^\#(TC/D) &\simeq \gamma^\# \pi_{\mathcal{M}}^\# T\mathcal{M}_{\text{red}} \simeq (\pi_{\mathcal{M}} \circ \gamma)^\# T\mathcal{M}_{\text{red}} \\ &\simeq (\pi_{\mathcal{M}} \circ \tilde{\gamma})^\# T\mathcal{M}_{\text{red}} \simeq \tilde{\gamma}^\# \pi_{\mathcal{M}}^\# T\mathcal{M}_{\text{red}} \simeq \tilde{\gamma}^\#(TC/D) \end{aligned}$$

which is compatible with the pullback covariant derivatives on $\gamma^\#(TC/D)$ and $\tilde{\gamma}^\#(TC/D)$, respectively. Hence s and \tilde{s} solve the same initial value problem and therefore have to agree. Then $P_{\gamma, p \rightarrow q}(\bar{v}_p) = P_{\tilde{\gamma}, p \rightarrow q}(\bar{v}_p)$, showing that ∇^{Bott} is holonomy-free. \square

We will call $T\mathcal{M} = (TM, TC, D, \nabla^{\text{Bott}})$ the (*constraint*) *tangent bundle* of \mathcal{M} and write $T_p\mathcal{M}$ for the constraint tangent space $TM|_p$ as usual.

Proposition 2.2.11 (Constraint tangent bundle functor) *Mapping constraint manifolds to their constraint tangent bundles and smooth maps $\phi: \mathcal{M} \rightarrow \mathcal{N}$ between constraint manifolds \mathcal{M} and \mathcal{N} to the tangent map $T\phi: T\mathcal{M} \rightarrow T\mathcal{N}$ defines a functor*

$$T: \text{CManifold} \rightarrow \text{CVect}. \quad (2.2.10)$$

PROOF: For the T-components the statement is clear, and since $T\phi$ is completely determined by $T\phi: TM_{\mathcal{T}} \rightarrow TN_{\mathcal{T}}$ the only thing left to show is that $T\phi$ is actually a constraint morphism. Since ϕ maps $M_{\mathcal{N}}$ to $N_{\mathcal{N}}$ we immediately get that $\iota^\# T\phi$ restricts to $T\phi: TM_{\mathcal{N}} \rightarrow TN_{\mathcal{N}}$. Moreover, by Definition 2.1.1 we have $T\phi(D_{\mathcal{M}}) \subseteq D_{\mathcal{N}}$. It remains to show that $T\phi$ is compatible with the Bott connections. We check (2.2.4) locally. For this let (\tilde{U}, x) and (\tilde{V}, y) be adapted coordinates around p and $\phi(p)$, respectively. Since ϕ restricts to a smooth map $\phi: \mathcal{M}_{\mathcal{N}} \rightarrow \mathcal{N}_{\mathcal{N}}$ it is enough to consider $U := \tilde{U} \cap \mathcal{M}_{\mathcal{N}}$ and $V := \tilde{V} \cap \mathcal{N}_{\mathcal{N}}$. Then $D_{\mathcal{M}}|_U$ is spanned by $\frac{\partial}{\partial x^1}, \dots, \frac{\partial}{\partial x^{n_0}}$ and $D_{\mathcal{N}}|_V$ is spanned by $\frac{\partial}{\partial y^1}, \dots, \frac{\partial}{\partial y^{m_0}}$. Thus we can identify $TM_{\mathcal{N}}/D_{\mathcal{M}}$ with the subbundle spanned by $\frac{\partial}{\partial x^{n_0+1}}, \dots, \frac{\partial}{\partial x^{n_{\mathcal{N}}}}$, and similarly $T\mathcal{N}_{\mathcal{N}}/D_{\mathcal{N}}$ with the subbundle spanned by $\frac{\partial}{\partial y^{m_0+1}}, \dots, \frac{\partial}{\partial y^{m_{\mathcal{N}}}}$.

Note that the projection $\bar{\cdot}$ to $T\mathcal{M}_N/D\mathcal{M}$ and to $T\mathcal{N}_N/D\mathcal{N}$ is then given by projection on the corresponding subbundles. We will denote these by pr_0 . For $\phi_i^j := \frac{\partial(y^j \circ \phi \circ x^{-1})}{\partial x^i}$ we have

$$T_p\phi\left(\frac{\partial}{\partial x^i}\Big|_p\right) = \sum_{j=1}^{m_N} \phi_i^j(p) \frac{\partial}{\partial y^j}\Big|_{\phi(p)}$$

with ϕ_i^j constant along \mathbb{R}^{n_0} for all $j > n_0$. Then for any

$$v_p = \sum_{k=1}^{n_0} v_p^k \frac{\partial}{\partial x^k} \in D\mathcal{M}\Big|_p$$

we have

$$\begin{aligned} \left(\nabla_{T_p\phi(v_p)}^* dy^j\right)\left(\frac{\partial}{\partial y^i}\Big|_{\phi(p)}\right) &= T_p\phi(v_p)\left(dy^j\left(\frac{\partial}{\partial y^i}\Big|_{\phi(p)}\right)\right) - dy^j\Big|_{\phi(p)}\left(\nabla_{T_p\phi(v_p)} \frac{\partial}{\partial y^i}\right) \\ &= - \sum_{k=1}^{n_0} \sum_{\ell=1}^{m_N} v_p^k \phi_k^\ell(p) dy^j\Big|_{\phi(p)}\left(\left[\frac{\partial}{\partial y^\ell}, \frac{\partial}{\partial y^i}\right]\Big|_{\phi(p)}\right) = 0 \end{aligned}$$

for all $n_0 < i \leq n_N$ and $m_0 < j \leq m_N$. Thus the left hand side of (2.2.4) vanishes. For the right hand side we compute

$$\left(\nabla_{v_p}(T\phi)^* dy^j\right)\left(\frac{\partial}{\partial x^i}\Big|_p\right) = v_p\left(\left((T\phi)^* dy^j\right)\left(\frac{\partial}{\partial x^i}\Big|_p\right)\right) - \left((T\phi)^* dy^j\right)\left(\nabla_{v_p} \frac{\partial}{\partial x^i}\Big|_p\right)$$

with

$$\left((T\phi)^* dy^j\right)\left(\nabla_{v_p} \frac{\partial}{\partial x^i}\Big|_p\right) = \sum_{k=1}^{n_0} v_p^k \left((T\phi)^* dy^j\right)\left(\left[\frac{\partial}{\partial x^k}, \frac{\partial}{\partial x^i}\right]\Big|_p\right) = 0$$

and

$$\begin{aligned} \left((T\phi)^* dy^j\right)\left(\frac{\partial}{\partial x^i}\Big|_p\right) &= dy^j\Big|_{\phi(p)}\left(T_p\phi\left(\frac{\partial}{\partial x^i}\Big|_p\right)\right) \\ &= \sum_{\ell=1}^{m_N} \phi_i^\ell(p) dy^j\Big|_{\phi(p)}\left(\frac{\partial}{\partial y^\ell}\Big|_{\phi(p)}\right) = \phi_i^j(p). \end{aligned}$$

Since $v_p(\phi_i^j) = 0$ we see that also the right hand side of (2.2.4) vanishes. Thus, $T\phi$ is indeed a morphism of constraint manifolds. \square

As in classical differential geometry, we can lift the usual constructions known for constraint vector spaces, see Section 1.3.2, to constraint vector bundles. Even though we did not introduce constraint vector bundles using vector bundle charts, the following constructions correspond at least morally to what we expect from a fiberwise definition. For the construction of the constraint homomorphism bundle we need the following lemma:

Lemma 2.2.12 *Let E, F be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$. Define vector bundles*

$$\text{CHom}(E, F)_N := \left\{ \Phi_p \in \text{Hom}(\iota^\# E_T, \iota^\# F_T) \mid \Phi_p(E_N|_p) \subseteq F_N|_p \text{ and } \Phi_p(E_0|_p) \subseteq F_0|_p \right\} \quad (2.2.11)$$

and

$$\text{CHom}(E, F)_0 := \left\{ \Phi_p \in \text{Hom}(\iota^\# E_T, \iota^\# F_T) \mid \Phi_p(E_N|_p) \subseteq F_0|_p \right\} \quad (2.2.12)$$

over C . Then

$$\Theta: \text{CHom}(E, F)_{\text{N}}/\text{CHom}(E, F)_0 \rightarrow \text{Hom}(E_{\text{N}}/E_0, F_{\text{N}}/F_0) \quad (2.2.13)$$

defined by

$$\Theta(\overline{\Phi_p})(\overline{v_p}) := \overline{\Phi_p(v_p)} \quad (2.2.14)$$

is an isomorphism of vector bundles. Here $\overline{\cdot}$ denotes the projection to the quotient.

PROOF: It is clear that Θ is a well-defined map. Moreover, it is a vector bundle morphism since it is essentially given by evaluation. The fiberwise injectivity is again clear by definition, while for the fiberwise surjectivity we need to choose complements E_0^\perp and E_{N}^\perp of E_0 inside E_{N} and E_{N} inside $\iota^\# E_{\text{T}}$. Thus $\iota^\# E_{\text{T}} = E_0 \oplus E_0^\perp \oplus E_{\text{N}}^\perp$ and $E_{\text{N}}/E_0 \simeq E_0^\perp$. Then for every $\Psi_p \in \text{Hom}(E_{\text{N}}/E_0, F_{\text{N}}/F_0)$ set $\Phi(v_p) = \Psi(v_p)$ for all $v_p \in E_0^\perp$ and $\Phi(v_p) = 0$ for all $v_p \in E_0$ or $v_p \in E_{\text{N}}^\perp$. With this we have $\Theta(\Phi_p) = \Psi_p$. Thus we have an isomorphism of vector bundles as claimed. \square

Proposition 2.2.13 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold and $E = (E_{\text{T}}, E_{\text{N}}, E_0, \nabla^E)$ and $F = (F_{\text{T}}, F_{\text{N}}, F_0, \nabla^F)$ constraint vector bundles over \mathcal{M} with $\text{rank}(E) = (n_{\text{T}}, n_{\text{N}}, n_0)$ and $\text{rank}(F) = (m_{\text{T}}, m_{\text{N}}, m_0)$.*

i.) *Defining $E \oplus F$ by*

$$\begin{aligned} (E \oplus F)_{\text{T}} &:= E_{\text{T}} \oplus F_{\text{T}}, \\ (E \oplus F)_{\text{N}} &:= E_{\text{N}} \oplus F_{\text{N}}, \\ (E \oplus F)_0 &:= E_0 \oplus F_0, \\ \nabla^{E \oplus F} &:= \nabla^E \oplus \nabla^F \end{aligned} \quad (2.2.15)$$

yields a constraint vector bundle over \mathcal{M} , called the direct sum. For $p \in C$ it holds

$$(E \oplus F)|_p = E|_p \oplus F|_p, \quad (2.2.16)$$

and it follows

$$\text{rank}(E \oplus F) = \text{rank}(E) + \text{rank}(F). \quad (2.2.17)$$

ii.) *Defining $E \otimes F$ by*

$$\begin{aligned} (E \otimes F)_{\text{T}} &:= E_{\text{T}} \otimes F_{\text{T}}, \\ (E \otimes F)_{\text{N}} &:= E_{\text{N}} \otimes F_{\text{N}}, \\ (E \otimes F)_0 &:= E_0 \otimes F_{\text{N}} + E_{\text{N}} \otimes F_0, \\ \nabla^{E \otimes F} &:= \nabla^E \otimes \text{id} + \text{id} \otimes \nabla^F \end{aligned} \quad (2.2.18)$$

yields a constraint vector bundle over \mathcal{M} , called the tensor product. For $p \in C$ it holds

$$(E \otimes F)|_p = E|_p \otimes F|_p, \quad (2.2.19)$$

and therefore

$$\text{rank}(E \otimes F) = \text{rank}(E) \otimes \text{rank}(F). \quad (2.2.20)$$

iii.) *Defining $E \boxtimes F$ by*

$$\begin{aligned} (E \boxtimes F)_{\text{T}} &:= E_{\text{T}} \otimes F_{\text{T}}, \\ (E \boxtimes F)_{\text{N}} &:= E_{\text{N}} \otimes F_{\text{N}} + E_0 \otimes \iota^\# F_{\text{T}} + \iota^\# E_{\text{T}} \otimes F_0, \\ (E \boxtimes F)_0 &:= E_0 \otimes \iota^\# F_{\text{T}} + \iota^\# E_{\text{T}} \otimes F_0, \\ \nabla^{E \boxtimes F} &:= \nabla^E \otimes \text{id} + \text{id} \otimes \nabla^F \end{aligned} \quad (2.2.21)$$

yields a constraint vector bundle over \mathcal{M} , called the strong tensor product. For $p \in C$ it holds

$$(E \boxtimes F)|_p = E|_p \boxtimes F|_p, \quad (2.2.22)$$

and thus

$$\text{rank}(E \boxtimes F) = \text{rank}(E) \boxtimes \text{rank}(F). \quad (2.2.23)$$

iv.) Defining E^* by

$$\begin{aligned} (E^*)_{\text{T}} &= (E_{\text{T}})^*, \\ (E^*)_{\text{N}} &= \text{Ann}_{\iota^{\#}E_{\text{T}}}(E_0), \\ (E^*)_0 &= \text{Ann}_{\iota^{\#}E_{\text{T}}}(E_{\text{N}}), \end{aligned} \quad (2.2.24)$$

with $\text{Ann}_{\iota^{\#}E_{\text{T}}}(E_0)$ and $\text{Ann}_{\iota^{\#}E_{\text{T}}}(E_{\text{N}})$ the annihilator subbundles of E_0 and E_{N} with respect to $\iota^{\#}E_{\text{T}}$ and ∇^{E^*} the dual covariant derivative, yields a constraint vector bundle over \mathcal{M} , called the dual vector bundle. For $p \in C$ it holds

$$E^*|_p = (E|_p)^*, \quad (2.2.25)$$

and it follows

$$\text{rank}(E^*) = \text{rank}(E)^*. \quad (2.2.26)$$

v.) Defining $\text{CHom}(E, F)$ by

$$\begin{aligned} \text{CHom}(E, F)_{\text{T}} &:= \text{Hom}(E_{\text{T}}, F_{\text{T}}), \\ \text{CHom}(E, F)_{\text{N}} &:= \left\{ \Phi_p \in \text{Hom}(\iota^{\#}E_{\text{T}}, \iota^{\#}F_{\text{T}}) \mid \Phi_p(E_{\text{N}}|_p) \subseteq F_{\text{N}}|_p \right. \\ &\quad \left. \text{and } \Phi_p(E_0|_p) \subseteq F_0|_p \right\}, \\ \text{CHom}(E, F)_0 &:= \left\{ \Phi_p \in \text{Hom}(\iota^{\#}E_{\text{T}}, \iota^{\#}F_{\text{T}}) \mid \Phi_p(E_{\text{N}}|_p) \subseteq F_0|_p \right\}, \\ \nabla_X^{\text{Hom}} A &:= \nabla_X^F \circ A - A \circ \nabla_X^E, \end{aligned} \quad (2.2.27)$$

where $A \in \Gamma^{\infty}(\text{CHom}(E, F)_{\text{N}}/\text{CHom}(E, F)_0)$ is identified with the module morphism $A: \Gamma^{\infty}(E_{\text{N}}/E_0) \rightarrow \Gamma^{\infty}(F_{\text{N}}/F_0)$ using [Lemma 2.2.12](#) and $X \in \Gamma^{\infty}(D)$, yields a constraint vector bundle, called the homomorphism bundle. For $p \in C$ it holds

$$\text{CHom}(E, F)|_p = \text{CHom}(E|_p, F|_p), \quad (2.2.28)$$

and thus

$$\text{rank}(\text{CHom}(E, F)) = \text{rank}(E^*) \boxtimes \text{rank}(F). \quad (2.2.29)$$

PROOF: *i.*): Note that the direct sum of subbundles is a subbundle of the direct sum and $(E \oplus F)_{\text{N}}/(E \oplus F)_0 \simeq (E_{\text{N}}/E_0) \oplus (F_{\text{N}}/F_0)$. Moreover, the parallel transport of $\nabla^{E \oplus F}$ is given by the direct sum of the parallel transports of ∇^E and ∇^F , and thus it is holonomy-free. By definition we have $(E \oplus F)|_p = ((E_{\text{T}} \oplus F_{\text{T}})|_p, (E_{\text{N}} \oplus F_{\text{N}})|_p, (E_0 \oplus F_0)|_p) = E|_p \oplus F|_p$. And from this $\text{rank}(E \oplus F) = \text{rank}(E) + \text{rank}(F)$ directly follows.

ii.): We need to show that $(E \otimes F)_0$ actually forms a subbundle of $E_{\text{N}} \otimes F_{\text{N}}$. Let $p \in C$ be given, then the dimension of $(E_0 \otimes F_{\text{N}})|_p \cap (E_{\text{N}} \otimes F_0)|_p = (E_0 \otimes F_0)|_p$ is independent of p , and thus $(E \otimes F)_0$ has constant rank and therefore defines a subbundle of $E_{\text{N}} \otimes F_{\text{N}}$. The parallel transport of $\nabla^{E \otimes F}$ on $(E \otimes F)_{\text{N}}/(E \otimes F)_0 \simeq (E_{\text{N}}/E_0) \otimes (F_{\text{N}}/F_0)$ is given by the tensor product of the parallel transports, and hence is holonomy-free.

iii.): With an analogous argument we see that $(E \boxtimes F)_N$ and $(E \boxtimes F)_0$ are well-defined subbundles with $(E \boxtimes F)_N / (E \boxtimes F)_0 \simeq (E_N / E_0) \otimes (F_N / F_0)$ and holonomy-free covariant derivative.

iv.): For the dual bundle we have by definition subbundles

$$\text{Ann}_{\iota^\# E_T}(E_N) \subseteq \text{Ann}_{\iota^\# E_T}(E_0) \subseteq \iota^\#(E_T)^*$$

holds. Moreover, $\text{Ann}_{\iota^\# E_T}(E_0) / \text{Ann}_{\iota^\# E_T}(E_N) \simeq (E_N / E_0)^*$ holds and since ∇^E is holonomy-free so is the dual covariant derivative ∇^{E^*} .

v.): Finally, for the homomorphism bundle note that $\iota^\# \text{Hom}(E_T, F_T) \simeq \text{Hom}(\iota^\# E_T, \iota^\# F_T)$. By using adapted local frames as in Lemma 2.2.8 it is then easy to see that $\text{CHom}(E, F)_N$ and $\text{CHom}(E, F)_0$ form subbundles of $\iota^\# \text{CHom}(E, F)_T$. Moreover, since ∇^{Hom} is the covariant derivative obtained from the isomorphism $\text{Hom}(E_N / E_0, F_N / F_0) \simeq (E_N / E_0)^* \otimes (F_N / F_0)$ and duals as well as tensor products of holonomy-free covariant derivatives are again holonomy-free, so is ∇^{Hom} . All statements about the rank of the involved constructions follow from Proposition 1.3.20. \square

Recall from (1.3.41) that the order of \otimes and \boxtimes can in general not be changed arbitrarily. We always have a constraint vector bundle morphism

$$E \otimes (F \boxtimes G) \rightarrow (E \otimes F) \boxtimes G, \quad (2.2.30)$$

for constraint vector bundles E, F and G over \mathcal{M} , but it will in general not be an isomorphism.

Example 2.2.14 Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. Then the constraint cotangent bundle is given by

$$\begin{aligned} (T^*\mathcal{M})_T &= T^*M \\ (T^*\mathcal{M})_N &= \text{Ann}_{\iota^\# T^*M}(D) \\ (T^*\mathcal{M})_0 &= \text{Ann}_{\iota^\# T^*M}(TC). \end{aligned} \quad (2.2.31)$$

Note that we can canonically identify $\text{Ann}_{\iota^\# T^*M}(D) / \text{Ann}_{\iota^\# T^*M}(TC) \simeq \text{Ann}_{TC}(D)$. Under this identification $\overline{\iota^\# \alpha}$ becomes just the pullback (or restriction) $\iota^* \alpha \in \text{Ann}_{TC}(D)$ of the form α to C . Then the dual Bott connection is given by

$$\nabla_X^{\text{Bott}} \iota^* \alpha = \mathcal{L}_X \iota^* \alpha. \quad (2.2.32)$$

Having two different notions of tensor products also leads to two separate notions of symmetric and antisymmetric powers. We denote by $S_{\otimes}^k E$ and $\Lambda_{\otimes}^k E$ the symmetric and antisymmetric tensor powers with respect to \otimes and by $S_{\boxtimes}^k E$ and $\Lambda_{\boxtimes}^k E$ the respective tensor powers with respect to \boxtimes . By definition of the tensor products we have

$$\begin{aligned} (S_{\otimes}^k E)_T &= S^k E_T, & (\Lambda_{\otimes}^k E)_T &= \Lambda^k E_T, \\ (S_{\otimes}^k E)_N &= S^k E_N, & (\Lambda_{\otimes}^k E)_N &= \Lambda^k E_N, \\ (S_{\otimes}^k E)_0 &= S^{k-1} E_N \vee E_0, & (\Lambda_{\otimes}^k E)_0 &= \Lambda^{k-1} E_N \wedge E_0, \end{aligned} \quad (2.2.33)$$

with \vee denoting the symmetric tensor product. Similarly, we have

$$\begin{aligned} (S_{\boxtimes}^k E)_T &= S^k E_T, & (\Lambda_{\boxtimes}^k E)_T &= \Lambda^k E_T, \\ (S_{\boxtimes}^k E)_N &= S^k E_N + S^{k-1} E_T \vee E_0, & (\Lambda_{\boxtimes}^k E)_T &= \Lambda^k E_N + \Lambda^{k-1} E_T \wedge E_0, \\ (S_{\boxtimes}^k E)_0 &= S^{k-1} E_T \vee E_0, & (\Lambda_{\boxtimes}^k E)_T &= \Lambda^{k-1} E_T \wedge E_0 \end{aligned} \quad (2.2.34)$$

for the strong constraint tensor product. Here we suppressed the pullback $\iota^\#$ for the T-bundles, since from the context it is clear that we only can take tensor products of vector bundles over the submanifold.

We can now determine how these constructions interact. To show these, we essentially apply the results from [Proposition 1.3.20](#) fiberwise.

Proposition 2.2.15 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold and let E and F be constraint vector bundles over \mathcal{M} .*

i.) We have $(E \oplus F)^ \simeq E^* \oplus F^*$.*

ii.) We have $(E \otimes F)^ \simeq E^* \boxtimes F^*$.*

iii.) We have $(E \boxtimes F)^ \simeq E^* \otimes F^*$.*

iv.) We have $\text{CHom}(E, F) \simeq E^ \boxtimes F$.*

PROOF: *i.)*: We know from classical differential geometry, that $\Phi(\alpha, \beta)(x, y) := \alpha(x) + \beta(y)$ defines an isomorphism $\Phi: E_T^* \oplus F_T^* \rightarrow (E_T \oplus F_T)^*$. It preserves the N-component, since for $p \in C$ and $\alpha_p \in (E^*|_p)_N = \text{Ann}(E_0|_p)$, $\beta_p \in (F^*|_p)_N = \text{Ann}(F_0|_p)$ we have $\Phi(\alpha_p, \beta_p)(v_p, w_p) = 0$ for all $v_p \in E_0|_p$ and $w_p \in F_0|_p$. This shows $\Phi((E^* \oplus F^*)_N) \subseteq (E \oplus F)_N^*$. Similarly, we see that $\Phi((E^* \oplus F^*)_0) \subseteq (E \oplus F)_0^*$. Moreover, this clearly gives isomorphisms $\Phi|_p: (E^* \oplus F^*)|_p \rightarrow (E \oplus F)^*|_p$ for all $p \in C$. To show that Φ is compatible with the partial derivatives, we compute

$$\begin{aligned} \left(\nabla_X^{(E \oplus F)^*} \Phi(\alpha, \beta) \right)(v, w) &= \mathcal{L}_X(\Phi(\alpha, \beta)(v, w)) - \Phi(\alpha, \beta) \left(\nabla_X^{E \oplus F}(v, w) \right) \\ &= \mathcal{L}_X(\alpha(v)) + \mathcal{L}_X(\beta(w)) - \alpha(\nabla_X^E v) - \beta(\nabla_X^F w) \\ &= (\nabla_X^{E^*} \alpha)(v) + (\nabla_X^{F^*} \beta)(w) \\ &= \Phi \left(\nabla_X^{E^* \oplus F^*} (\alpha, \beta) \right)(v, w). \end{aligned}$$

Thus Φ is a morphism of constraint vector bundles. Since Φ is injective and we know by [Proposition 2.2.13](#) that $\text{rank}(E^* \oplus F^*) = \text{rank}(E)^* + \text{rank}(F)^* = \text{rank}((E \oplus F)^*)$, showing that Φ is a fiberwise isomorphism, and therefore by [Lemma 2.2.5](#) an isomorphism of constraint vector bundles.

ii.): The map $\Phi: E_T^* \otimes F_T^* \rightarrow (E_T \otimes F_T)^*$ defined by $\Phi(\alpha_p \otimes \beta_p)(v_p \otimes w_p) := \alpha_p(v_p) \cdot \beta_p(w_p)$ is an isomorphism of vector bundles. Let $\alpha_p \otimes \beta_p \in (E^* \boxtimes F^*)_0 = \text{Ann}_{\iota^\# E_T}(E_N) \otimes \iota^\# F_T + \iota^\# E_T \otimes \text{Ann}_{\iota^\# F_T}(F_N)$. Then for all $v_p \otimes w_p \in E_N \otimes F_N$ it holds

$$\Phi(\alpha_p \otimes \beta_p)(v_p \otimes w_p) := \alpha_p(v_p) \cdot \beta_p(w_p) = 0.$$

Thus Φ preserves the 0-subbundle. For $\alpha_p \otimes \beta_p \in E_N^* \boxtimes F_N^* = \text{Ann}_{\iota^\# E_T}(E_0) \otimes \text{Ann}_{\iota^\# F_T}(F_0)$ we have

$$\Phi(\alpha_p \otimes \beta_p)(v_p \otimes w_p) := \alpha_p(v_p) \cdot \beta_p(w_p) = 0$$

for all $v_p \otimes w_p \in E_0 \otimes \iota^\# F_T + \iota^\# E_T \otimes F_0$. Thus Φ also preserves the N-component. It remains to show that Φ is compatible with the partial derivatives:

$$\begin{aligned} \left(\nabla_X^{(E \otimes F)^*} \Phi(\alpha \otimes \beta) \right)(v \otimes w) &= \mathcal{L}_X(\Phi(\alpha \otimes \beta)(v \otimes w)) - \Phi(\alpha \otimes \beta) \left(\nabla_X^{E \otimes F}(v \otimes w) \right) \\ &= \mathcal{L}_X(\alpha(v))\beta(w) + \alpha(v)\mathcal{L}_X(\beta(w)) \\ &\quad - \alpha(\nabla_X^E v) \otimes \beta(w) - \alpha(v) \otimes \beta(\nabla_X^F w) \\ &= (\nabla_X^{E^*} \alpha)(v) \otimes \beta(w) + \alpha(v) \otimes (\nabla_X^{F^*} \beta)(w) \\ &= \Phi \left(\nabla_X^{E^* \otimes F^*} (\alpha \otimes \beta) \right)(v \otimes w). \end{aligned}$$

It is now straightforward to show that Φ is a morphism of constraint vector bundles and an isomorphism in every fibre, and therefore an isomorphism of constraint vector bundles.

iii.): Here we can use the same map $\Phi: E_T^* \otimes F_T^* \rightarrow (E_T \otimes F_T)^*$ as before. Which is an isomorphism by the same arguments.

iv.): Consider the isomorphism $\Phi: E_T^* \otimes F_T \rightarrow \text{Hom}(E_T, F_T)$ given by $\Phi(\alpha_p \otimes w_p)(v_p) := \alpha_p(v_p) \cdot w_p$. Again, Φ becomes a constraint morphism and a fiberwise isomorphism for reasons of rank, and therefore an isomorphism. \square

2.2.1 Reduction

On every constraint vector bundle E the subbundle E_0 together with the partial D -connection ∇^E defines an equivalence relation on E_N by $v_p \sim_E w_p$ if and only if $p \sim_{\mathcal{M}} q$ and there exists a path $\gamma: I \rightarrow C$ in the leaf of p such that $\overline{w}_q = P_{\gamma, p \rightarrow q}(\overline{v}_p)$ is the parallel transport of \overline{v}_p along γ . Here $\overline{\cdot}$ denotes the equivalence class in E_N/E_0 . Since ∇^E is holonomy-free this is independent of the chosen leafwise path, and thus indeed gives a well-defined equivalence relation.

Proposition 2.2.16 (Reduction of constraint vector bundles) *Let $E = (E_T, E_N, E_0, \nabla^E)$ be a constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$.*

i.) There exists a unique vector bundle structure on

$$\text{pr}_{E_{\text{red}}}: E_N/\sim_E \rightarrow \mathcal{M}_{\text{red}}, \quad \text{pr}_E([v_p]) = \pi_{\mathcal{M}}(p), \quad (2.2.35)$$

with $\pi_{\mathcal{M}}: C \rightarrow \mathcal{M}_{\text{red}}$, such that the quotient map

$$\pi_E: E_N \rightarrow E_N/\sim_E, \quad \pi_E(v_p) = [v_p] \quad (2.2.36)$$

is a submersion and a vector bundle morphism over $\pi_{\mathcal{M}}$.

ii.) There exists an isomorphism

$$\Theta: (E_N/E_0) \rightarrow \pi_{\mathcal{M}}^{\#}(E_N/\sim_E), \quad \Theta(\overline{v}_p) = (p, [v_p]) \quad (2.2.37)$$

of vector bundles fulfilling

$$\Theta^{-1}(q, [v_p]) = P_{\gamma, p \rightarrow q}(\overline{v}_p) \quad (2.2.38)$$

for $v_p \in E_N|_p$ and $p \sim_{\mathcal{M}} q$.

PROOF: We can split the quotient procedure into two steps. First we consider the quotient vector bundle $E_N/E_0 \rightarrow C$ with quotient map $\pi_{E_0}: E_N \rightarrow E_N/E_0$ being a submersion and vector bundle morphism. Now the partial D -connection ∇^E induces an equivalence relation on E_N/E_0 by $\overline{v}_p \sim_{\nabla^E} \overline{w}_p$ if and only if $p \sim_{\mathcal{M}} q$ and $\overline{w}_p = P_{\gamma, p \rightarrow q}(\overline{v}_p)$. In the language of Lie groupoids it is easy to see that the parallel transport of ∇^E defines a linear action of the Lie groupoid $R(\pi_{\mathcal{M}}) = C \times_{\pi_{\mathcal{M}}} C$ on (E_N/E_0) . Then [HM90, Lemma 4.1] gives the existence of a unique vector bundle structure on $\text{pr}_{\nabla}: (E_N/E_0)/\sim_{\nabla^E} \rightarrow \mathcal{M}_{\text{red}}$ such that the quotient map $\pi_{\nabla}: (E_N/E_0) \rightarrow (E_N/E_0)/\sim_{\nabla^E}$ is a submersion and a vector bundle morphism over $\pi_{\mathcal{M}}$. Combining these we obtain a unique vector bundle structure on $E_N/\sim_E \simeq (E_N/E_0)/\sim_{\nabla^E}$ such that $\pi_E = \pi_{\nabla} \circ \pi_{E_0}$ is a submersion and vector bundle morphism over $\pi_{\mathcal{M}}$. The second part is again directly given by [HM90, Lemma 4.1]. \square

We will mostly write $(E_N/E_0)/\nabla^E$ instead of $(E_N/E_0)/\sim_{\nabla^E} = E_N/\sim_E$.

Definition 2.2.17 (Reduced vector bundle) *Let $E = (E_T, E_N, E_0, \nabla^E)$ be a constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$. Then the vector bundle $E_{\text{red}} := (E_N/E_0)/\nabla^E$ over \mathcal{M}_{red} is called the reduced vector bundle of E .*

Morphisms of constraint vector bundles are designed to yield well-defined morphisms between the reduced vector bundles, allowing for a reduction functor, as expected.

Proposition 2.2.18 (Reduction functor) *Mapping constraint vector bundles to their reduced bundles defines a functor $\text{red}: \text{CVect} \rightarrow \text{Vect}$.*

PROOF: We need to show that morphisms of constraint vector bundles induce morphisms between the respective reduced bundles. For this let $\Phi: E \rightarrow F$ be a morphism of constraint vector bundles $E \rightarrow \mathcal{M}$ and $F \rightarrow \mathcal{N}$ over a smooth map $\phi: \mathcal{M} \rightarrow \mathcal{N}$. Since Φ restricts to a vector bundle morphism $\Phi_N: E_N \rightarrow F_N$ which maps the subbundle E_0 to F_0 we obtain a well-defined vector bundle morphism $\Phi_N: E_N/E_0 \rightarrow F_N/F_0$, which is compatible with the covariant derivatives in the sense of (2.2.4). Now suppose that $v_p \sim_E w_q$. Then, by definition of the equivalence relation, we have $\overline{w}_q = P_{\gamma, p \rightarrow q}(\overline{v}_p)$, which means there exists a leafwise path $\gamma: I \rightarrow M$ with $\gamma(a) = p$, $\gamma(b) = q$ for some $a, b \in I$ and $s \in \Gamma^\infty(\gamma^\#(E_N/E_0))$ with $s(a) = \overline{v}_p$, $s(b) = \overline{w}_q$ such that $\gamma^\# \nabla_{\frac{\partial}{\partial t}} s = 0$. Define now

$$\hat{\gamma} := \phi \circ \gamma: I \rightarrow N \quad \text{and} \quad \hat{s} := \Phi \circ s: I \rightarrow \gamma^\# \phi^\# F = \hat{\gamma}^\# F.$$

Then it holds

$$\hat{\gamma}^\# \nabla_{\frac{\partial}{\partial t}} \hat{s} = \gamma^\# \phi^\# \nabla_{\frac{\partial}{\partial t}} (\Phi \circ s) = \Phi(\gamma^\# \nabla_{\frac{\partial}{\partial t}} s) = 0,$$

where we used the fact that the pullback covariant derivative satisfies the universal property of the pullback in the fibred category of vector bundles with covariant derivatives. Thus we get $\Phi(\overline{w}_q) = P_{\hat{\gamma}, \phi(p) \rightarrow \phi(q)} \Phi(\overline{v}_p)$, showing that Φ preserves the equivalence relation and thus drops to a map $\Phi_{\text{red}}: E_{\text{red}} \rightarrow F_{\text{red}}$. It is smooth since locally there exist sections of the projection map $\pi_{\text{red}}: E_N \rightarrow E_{\text{red}}$. Moreover, it is clearly fiberwise linear, hence defining a vector bundle morphism $\Phi_{\text{red}}: E_{\text{red}} \rightarrow F_{\text{red}}$. \square

Example 2.2.19 Consider a trivial bundle $\mathcal{M} \times \mathbb{R}^k$ as in Example 2.2.7. Then

$$(C \times \mathbb{R}^{kN}) / (C \times \mathbb{R}^{k_0}) \simeq C \times \mathbb{R}^{kN - k_0} \quad (2.2.39)$$

and since the D -connection is just given by the Lie derivative we get $(\mathcal{M} \times \mathbb{R}^k)_{\text{red}} \simeq \mathcal{M}_{\text{red}} \times \mathbb{R}^{k_{\text{red}}}$.

Proposition 2.2.20 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold, and let $E, F \in \text{Vect}(\mathcal{M})$ be constraint vector bundles over \mathcal{M} .*

- i.) *There exists a canonical isomorphism $(E \oplus F)_{\text{red}} \simeq E_{\text{red}} \oplus F_{\text{red}}$.*
- ii.) *There exists a canonical isomorphism $(E \otimes F)_{\text{red}} \simeq E_{\text{red}} \otimes F_{\text{red}}$.*
- iii.) *There exists a canonical isomorphism $(E \boxtimes F)_{\text{red}} \simeq E_{\text{red}} \boxtimes F_{\text{red}}$.*
- iv.) *There exists a canonical isomorphism $\text{CHom}(E, F)_{\text{red}} \simeq \text{Hom}(E_{\text{red}}, F_{\text{red}})$.*
- v.) *There exists a canonical isomorphism $(E^*)_{\text{red}} \simeq (E_{\text{red}})^*$.*

PROOF: The idea is the same for all parts: We pull all involved vector bundles back to C along $\pi_{\mathcal{M}}: C \rightarrow \mathcal{M}_{\text{red}}$ and then use Proposition 2.2.16 ii.) to compare them. Since $\pi_{\mathcal{M}}$ is a surjective submersion this will be enough to infer isomorphy on \mathcal{M}_{red} . For the first part we use the following sequence of isomorphisms:

$$\pi_{\mathcal{M}}^\#(E \oplus F)_{\text{red}} \simeq \frac{(E \oplus F)_N}{(E \oplus F)_0} = \frac{E_N \oplus F_N}{E_0 \oplus F_0} \simeq \frac{E_N}{E_0} \oplus \frac{F_N}{F_0} \simeq \pi_{\mathcal{M}}^\#(E_{\text{red}} \oplus F_{\text{red}}).$$

Similarly, we have

$$\pi_{\mathcal{M}}^{\#}(E \otimes F)_{\text{red}} \simeq \frac{(E \otimes F)_N}{(E \otimes F)_0} = \frac{E_N \otimes F_N}{E_N \otimes F_0 + E_0 \otimes F_N} \simeq \frac{E_N}{E_0} \otimes \frac{F_N}{F_0} \simeq \pi_{\mathcal{M}}^{\#}(E_{\text{red}} \otimes F_{\text{red}})$$

and

$$\begin{aligned} \pi_{\mathcal{M}}^{\#}(E \boxtimes F)_{\text{red}} &\simeq \frac{(E \boxtimes F)_N}{(E \boxtimes F)_0} = \frac{E_N \otimes F_N + E_T \otimes F_0 + E_0 \otimes F_T}{E_T \otimes F_0 + E_0 \otimes F_T} \simeq \frac{E_N \otimes F_N}{E_T \otimes F_0 + E_0 \otimes F_T} \\ &\simeq \frac{E_N}{E_0} \otimes \frac{F_N}{F_0} \\ &\simeq \pi_{\mathcal{M}}^{\#}(E_{\text{red}} \otimes F_{\text{red}}), \end{aligned}$$

as well as

$$\begin{aligned} \pi_{\mathcal{M}}^{\#}\text{CHom}(E, F)_{\text{red}} &\simeq \frac{\text{CHom}(E, F)_N}{\text{CHom}(E, F)_0} \simeq \text{Hom}\left(\frac{E_N}{E_0}, \frac{F_N}{F_0}\right) \\ &\simeq \text{Hom}(\pi_{\mathcal{M}}^{\#}E_{\text{red}}, \pi_{\mathcal{M}}^{\#}F_{\text{red}}) \\ &\simeq \pi_{\mathcal{M}}^{\#}\text{Hom}(E_{\text{red}}, F_{\text{red}}). \end{aligned}$$

The last part follows by choosing for F the trivial constraint line bundle in *iv.* \square

The above isomorphisms can be shown to be part of natural isomorphisms, turning the functor $\text{red}: \text{CVect}(\mathcal{M}) \rightarrow \text{Vect}(\mathcal{M}_{\text{red}})$ into an additive, closed and monoidal functor with respect to both tensor products.

Proposition 2.2.21 *There exists a natural isomorphism making the following diagram commute:*

$$\begin{array}{ccc} \text{CManifold} & \xrightarrow{T} & \text{CVect} \\ \text{red} \downarrow & & \downarrow \text{red} \\ \text{Manifold} & \xrightarrow{T} & \text{Vect} \end{array} \quad (2.2.40)$$

PROOF: We construct an isomorphism $\Psi: \pi_{\mathcal{M}}^{\#}(T\mathcal{M})_{\text{red}} \rightarrow \pi_{\mathcal{M}}^{\#}T(\mathcal{M}_{\text{red}})$. From [Proposition 2.2.16](#) we know that $\pi_{\mathcal{M}}^{\#}(T\mathcal{M})_{\text{red}} \simeq TC/D$. Moreover, recall that we can pull back every germ $f_{[p]} \in \mathcal{C}_{[p]}^{\infty}(\mathcal{M}_{\text{red}})$ to $\pi_{\mathcal{M}}^*f_{[p]} \in \mathcal{C}_p^{\infty}(C)$. Thus we can define

$$\Psi: TC/D \ni [v_p] \mapsto (p, v_p \circ \pi_{\mathcal{M}}^*) \in \pi_{\mathcal{M}}^{\#}T(\mathcal{M}_{\text{red}}),$$

giving a fiberwise injective vector bundle morphism since D is obviously the kernel. To show surjectivity let $(p, w_{[p]}) \in \pi_{\mathcal{M}}^{\#}T(\mathcal{M}_{\text{red}})$ be given. Since $\pi_{\mathcal{M}}$ is a surjective submersion, there exists a local section $\sigma: V \rightarrow C$ on an open neighbourhood $V \subseteq \mathcal{M}_{\text{red}}$ around $[p]$. With this we can set $v_p(f_p) := w_{[p]}((\sigma^*f)_{[p]})$ for any $f \in \mathcal{C}^{\infty}(\pi_{\mathcal{M}}^{-1}(V))$, and thus $\Psi([v_p]) = (p, w_{[p]})$. This shows that Ψ is a fiberwise isomorphism and hence an isomorphism of vector bundles. Then Ψ induces the isomorphism $(T\mathcal{M})_{\text{red}} \simeq T(\mathcal{M}_{\text{red}})$ as required. \square

2.3 Sections of Constraint Vector Bundles

In order to motivate the definition of sections of constraint vector bundles consider the total space of a constraint vector bundle $E = (E_T, E_N, E_0)$ over a constraint manifold $\mathcal{M} = (M, C, D)$ in the following way: The vector bundle E_T is clearly a smooth manifold, and since $C \subseteq M$

is a closed submanifold so is $E_N \subseteq E_T$. Additionally, by identifying E_N/E_0 with E_0^\perp such that $E_N \simeq E_0 \oplus E_0^\perp$, there is a distribution D_E on E_N which is given by $D_E := TE_0 \oplus \text{Hor}(E_0^\perp)$, with $\text{Hor}(E_0^\perp) \subseteq TE_N$ denoting the horizontal bundle constructed out of ∇^E . Thus we can understand the total space of a constraint vector bundle as a constraint manifold. The vector bundle projection $\text{pr}: E \rightarrow \mathcal{M}$ turns out to be a smooth map of constraint manifolds. Thus a constraint section of E should be a constraint map $s: \mathcal{M} \rightarrow E$ such that $\text{pr} \circ s = \text{id}_{\mathcal{M}}$. This means in particular that s restricted to C yields a section $\iota^\# s$ of E_N . Moreover, $\iota^\# s$ should map equivalent points in C to equivalent vectors in E_N . In other words, $\iota^\# s$ should either map to E_0 or be covariantly constant along the leaves of D . These considerations motivate the following definition of the constraint module of sections.

Proposition 2.3.1 (Functor of constraint sections) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. Mapping a constraint vector bundle $E = (E_T, E_N, E_0, \nabla)$ to*

$$\begin{aligned} \mathbf{C}\Gamma^\infty(E)_T &= \Gamma^\infty(E_T) \\ \mathbf{C}\Gamma^\infty(E)_N &= \left\{ s \in \Gamma^\infty(E_T) \mid \iota^\# s \in \Gamma^\infty(E_N), \nabla_X \overline{\iota^\# s} = 0 \text{ for all } X \in \Gamma^\infty(D) \right\} \\ \mathbf{C}\Gamma^\infty(E)_0 &= \left\{ s \in \Gamma^\infty(E_T) \mid \iota^\# s \in \Gamma^\infty(E_0) \right\}, \end{aligned} \quad (2.3.1)$$

and a constraint vector bundle morphism $\Phi: E \rightarrow F$ over the identity to

$$\Phi: \mathbf{C}\Gamma^\infty(E) \rightarrow \mathbf{C}\Gamma^\infty(F), \quad \Phi(s)(p) := \Phi(s(p)) \quad (2.3.2)$$

defines a functor $\mathbf{C}\Gamma^\infty: \mathbf{C}\text{Vect}(\mathcal{M}) \rightarrow \mathbf{C}_{\text{str}}^{\text{emb}} \text{Mod}_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})}$.

PROOF: First note that $\mathbf{C}\Gamma^\infty(E)_T$ is clearly a $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})_T$ -module. In general we have $\iota^\#(f \cdot s) = \iota^* f \cdot \iota^\# s$ for $s \in \Gamma^\infty(E_T)$ and $f \in \mathcal{C}^\infty(M)$. Thus for $f \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_N$ and $s \in \mathbf{C}\Gamma^\infty(E)_N$ we have $\iota^\#(f \cdot s) \in \Gamma^\infty(E_N)$ and

$$\nabla_X^E(\overline{\iota^\#(f \cdot s)}) = \nabla_X^E(\iota^* f \cdot \overline{\iota^\# s}) = \mathcal{L}_X \iota^* f \cdot \overline{\iota^\# s} + \iota^* f \cdot \nabla_X^E \overline{\iota^\# s} = \iota^* f \cdot \nabla_X^E \overline{\iota^\# s} = 0$$

for all $X \in \Gamma^\infty(D)$, where we used $\mathcal{L}_X \iota^* f = 0$. Now let $s \in \Gamma^\infty(E_T)$ and $f \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0$ be given, then $\iota^\#(f \cdot s) = \iota^* f \cdot \iota^\# s = 0 \in \Gamma^\infty(D)$. If $s \in \Gamma^\infty(E)_0$ and $f \in \mathcal{C}^\infty(M)$, we get again $\iota^\#(f \cdot s) \in \Gamma^\infty(E_0)$. Hence we see that $\mathbf{C}\Gamma^\infty(E)$ is indeed a strong $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -module. Let now $\Phi: E \rightarrow F$ be a constraint morphism of constraint vector bundles. Then Φ can be restricted to a morphism between the N- or 0-components, meaning that Φ commutes with $\iota^\#$. Moreover, since Φ is by definition compatible with the partial connections, it maps flat sections to flat sections. Hence Φ induces a constraint module morphism between the modules of sections. \square

It should be stressed that $\mathbf{C}\Gamma^\infty(E)_N$ and $\mathbf{C}\Gamma^\infty(E)_0$ consist of globally defined sections, with additional properties on C . In particular, $\mathbf{C}\Gamma^\infty(E)_0$ consists of those sections of E_T which on C are sections of the subbundle E_0 , while $\mathbf{C}\Gamma^\infty(E)_N$ consists of sections of E_T such that on C it is a section of the subbundle E_N whose E_0 component can be arbitrary, but everything complementary to E_0 needs to be covariantly constant along the leaves.

Example 2.3.2 ((Co-)Tangent bundle) Let $\mathcal{M} = (M, C, D)$ be a constraint manifold.

i.) For the constraint tangent bundle $T\mathcal{M}$ we get

$$\begin{aligned} \mathbf{C}\Gamma^\infty(T\mathcal{M})_T &= \Gamma^\infty(TM), \\ \mathbf{C}\Gamma^\infty(T\mathcal{M})_N &= \left\{ X \in \Gamma^\infty(TM) \mid X|_C \in \Gamma^\infty(TC) \text{ and} \right. \\ &\quad \left. [X, Y] \in \Gamma^\infty(D) \text{ for all } Y \in \Gamma^\infty(D) \right\}, \\ \mathbf{C}\Gamma^\infty(T\mathcal{M})_0 &= \left\{ X \in \Gamma^\infty(TM) \mid X|_C \in \Gamma^\infty(D) \right\}, \end{aligned} \quad (2.3.3)$$

by the definition of the Bott connection, see (2.2.9).

ii.) For the constraint cotangent bundle $T^*\mathcal{M}$ we get

$$\begin{aligned}
 \mathbf{C}\Gamma^\infty(T^*\mathcal{M})_{\mathbf{T}} &= \Gamma^\infty(T^*M), \\
 \mathbf{C}\Gamma^\infty(T^*\mathcal{M})_{\mathbf{N}} &= \{\alpha \in \Gamma^\infty(T^*M) \mid i_X \iota^* \alpha = 0 \text{ and} \\
 &\quad \mathcal{L}_X \iota^* \alpha = 0 \text{ for all } X \in \Gamma^\infty(D)\}, \\
 \mathbf{C}\Gamma^\infty(T^*\mathcal{M})_0 &= \{\alpha \in \Gamma^\infty(T^*M) \mid \iota^* \alpha = 0\},
 \end{aligned} \tag{2.3.4}$$

by the definition of the dual vector bundle in (2.2.24). In other words $\mathbf{C}\Gamma^\infty(T^*\mathcal{M})_{\mathbf{N}}$ are exactly those one-forms on M which are basic when restricted to C , and $\mathbf{C}\Gamma^\infty(T^*\mathcal{M})_0$ are those which vanish on C . Here we have to carefully distinguish between the pullback $\iota^\# \alpha$ as a section of the pullback bundle $\iota^\# T^*M$ and the pullback (or restriction) $\iota^* \alpha \in \Gamma^\infty(T^*C)$ of the form α along ι .

Example 2.3.3 Given a b -manifold M with codimension 1 submanifold $Z \subseteq M$ the constraint vector fields $\mathbf{C}\Gamma^\infty(TM)$ are given by those vector fields on M which are tangent to Z , hence they agree with the b -vector fields, see [GMP14]. Note that the b -vector fields are always sections of the so called b -tangent bundle. In contrast, we will later see that $\mathbf{C}\Gamma^\infty(\mathcal{M})_{\mathbf{N}}$ is in general not given by all sections of a vector bundle on M , since it will in general not be projective. Thus we can also interpret constraint manifolds as generalization of b -manifolds to higher codimensions.

Example 2.3.4 (Constraint Lie algebroid) We can now define a constraint Lie algebroid as a morphism $\rho: E \rightarrow T\mathcal{M}$ of constraint vector bundles together with a constraint Lie bracket $[\cdot, \cdot]$ on $\mathbf{C}\Gamma^\infty(E)$ such that $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ together with $\mathbf{C}\Gamma^\infty(E)$ becomes a constraint Lie-Rinehart algebra, see Definition 1.6.8. Particular instances of constraint Lie algebroids have been introduced in [JO14] as *infinitesimal ideal systems*. These are equivalent to constraint Lie algebroids of the form $\mathcal{M} = (M, M, D)$ and $E = (A, A, K, \nabla)$. Note that even though the \mathbf{T} - and \mathbf{N} -components of \mathcal{M} and E agree, this is not the case for $\mathbf{C}\Gamma^\infty(T\mathcal{M})$ and $\mathbf{C}\Gamma^\infty(E)$. It is then clear that the reduction of constraint Lie algebroids, and hence also infinitesimal ideal systems, yields classical Lie algebroids over \mathcal{M}_{red} . Such constraint Lie algebroids will be studied in [DK].

Another example of constraint Lie algebroids is given by so-called *Lie pairs*, i.e. pairs (A, L) of Lie algebroids with $L \subseteq A$ a Lie subalgebroid over a common manifold M . Multivector fields and differential operators on Lie pairs have been studied in [BSX21; SVX22] using methods from the theory of L_∞ - and A_∞ -algebras.

Example 2.3.5 Let $\mathcal{M} = (M, C, D)$ be a constraint manifold of dimension $n = (n_{\mathbf{T}}, n_{\mathbf{N}}, n_0)$, $p \in C$ and (U, x) an adapted chart around p as in Lemma 2.1.4. Then

$$\begin{aligned}
 \frac{\partial}{\partial x^i} &\in \mathbf{C}\Gamma^\infty(\mathcal{M}|_U)_{\mathbf{T}} & \text{if } i &\in \{1, \dots, n_{\mathbf{T}}\}, \\
 \frac{\partial}{\partial x^i} &\in \mathbf{C}\Gamma^\infty(\mathcal{M}|_U)_{\mathbf{N}} & \text{if } i &\in \{1, \dots, n_{\mathbf{N}}\}, \\
 \frac{\partial}{\partial x^i} &\in \mathbf{C}\Gamma^\infty(\mathcal{M}|_U)_0 & \text{if } i &\in \{1, \dots, n_0\}.
 \end{aligned} \tag{2.3.5}$$

This example motivates the definition of a constraint local frame.

Definition 2.3.6 (Constraint local frame) Let $E = (E_{\mathbf{T}}, E_{\mathbf{N}}, E_0)$ be a constraint vector bundle of rank $k = \text{rank}(E)$ over a constraint manifold $\mathcal{M} = (M, C, D)$. A local frame of E on an open $U \subseteq M$, is a local frame $e_1, \dots, e_{k_{\mathbf{T}}}$ of $E_{\mathbf{T}}$ on U , such that

- i.) $e_1, \dots, e_{k_{\mathbf{N}}} \in \mathbf{C}\Gamma^\infty(E|_U)_{\mathbf{N}}$ and $\iota^\# e_1, \dots, \iota^\# e_{k_{\mathbf{N}}}$ is a local frame for $E_{\mathbf{N}}$ on $U \cap C$, and
- ii.) $e_1, \dots, e_{k_0} \in \mathbf{C}\Gamma^\infty(E|_U)_0$ and $\iota^\# e_1, \dots, \iota^\# e_{k_0}$ is a local frame for E_0 on $U \cap C$.

The existence of local frames for constraint vector bundles is guaranteed by [Lemma 2.2.8](#). To show that every $v_p \in E_T|_p$ is the value of some section $s \in \Gamma^\infty(E_T)$ one can simply extend a local frame for E_T to all of M by means of a cut-off function. Now for $v_p \in E_N|_p$ this is not so easy any more, since a cut-off function would now need to be an element of $\mathcal{C}\mathcal{L}^\infty(\mathcal{M})_N$ itself, to end up with a section in $\mathbf{C}\Gamma^\infty(E)_N$. Recall from [Remark 2.1.7](#) that the existence of such cut-off functions for arbitrary open subsets can not be guaranteed in general. Nevertheless, we can use the reduced manifold to construct such constraint sections as follows:

Corollary 2.3.7 *Let $E = (E_T, E_N, E_0, \nabla^E)$ be a constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$.*

- i.) For each $p \in C$ and $v_p \in E_0|_p$ there exists an $s \in \mathbf{C}\Gamma^\infty(E)_0$ such that $s(p) = v_p$.*
- ii.) For each $p \in C$ and $v_p \in E_N|_p$ there exists an $s \in \mathbf{C}\Gamma^\infty(E)_N$ such that $s(p) = v_p$.*

PROOF: For the first part choose a local frame e_1, \dots, e_{n_0} of E_0 around p with $n_0 = \text{rank}(E_0)$. Then using $v_p = \sum_{k=1}^{n_0} v_p^k e_k(p)$ we can define a local section $\sum_{k=1}^{n_0} v_p^k e_k$ which we extend to a section $\tilde{s} \in \Gamma^\infty(E_0) \subseteq \Gamma^\infty(\iota^\# E_T)$ by means of a bump function. In order to extend \tilde{s} to a section of E_T choose a tubular neighbourhood $V \subseteq M$ of C with bundle projection $\pi_V: V \rightarrow C$. Then pulling back \tilde{s} to V via π_V and afterwards extending to all of M using a suitable bump function gives a globally defined section $s \in \Gamma^\infty(E_T)$ with $\iota^\# s = \tilde{s} \in \Gamma^\infty(E_0)$ and $s(p) = \tilde{s}(p) = v_p$. Note that the existence of such a bump function requires the closedness of C . For *ii.)* choose a complementary vector bundle $E_0^\perp \rightarrow C$ to E_0 inside of E_N , i.e. $E_N = E_0 \oplus E_0^\perp$ and hence $E_0^\perp \simeq E_N/E_0$. Then $v_p = v_p^0 + v_p^\perp$ with $v_p^0 \in E_0|_p$ and $v_p^\perp \in E_0^\perp|_p$. By *i.)* we find a section $s_0 \in \Gamma^\infty(E)_0$ such that $s_0(p) = v_p^0$. Now choose $\check{s} \in \Gamma^\infty(E_{\text{red}})$ such that $\check{s}(\pi_{\mathcal{M}}(p)) = [v_p^\perp]$. Then by [Proposition 2.2.16 ii.\)](#) we can identify $\pi_{\mathcal{M}}^\# \check{s}$ with a section $s^\perp \in \Gamma^\infty(E_N/E_0)$ such that $\nabla_X s^\perp = 0$ for all $X \in \Gamma^\infty(D)$. Then using a tubular neighbourhood as before to extend $s_0 + s^\perp$ to all of M we obtain the desired section. \square

Remark 2.3.8 Note that the proof of [Corollary 2.3.7 i.\)](#) still works if we refrain from D being simple. In the proof of the second part, however, we crucially used the smooth structure on \mathcal{M}_{red} . In particular, the holonomy-freeness of ∇ is needed in order to extend s to a section in $\mathbf{C}\Gamma^\infty(E)_N$. Thus it is not clear if this statement still holds for non-simple distributions.

As a first important property of the sections functor we show that it is compatible with direct sums.

Proposition 2.3.9 *Let $E = (E_T, E_N, E_0, \nabla^E)$ and $F = (F_T, F_N, F_0, \nabla^F)$ be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$. Then*

$$\mathbf{C}\Gamma^\infty(E \oplus F) \simeq \mathbf{C}\Gamma^\infty(E) \oplus \mathbf{C}\Gamma^\infty(F) \quad (2.3.6)$$

as strong constraint $\mathcal{C}\mathcal{L}^\infty(\mathcal{M})$ -modules.

PROOF: From classical differential geometry we know that $\Phi: \Gamma^\infty(E_T) \oplus \Gamma^\infty(F)_T \rightarrow \Gamma^\infty(E \oplus F)$ given by $\Phi(s, s')(p) := s(p) \oplus s'(p)$ is an isomorphism of $\mathcal{C}^\infty(M)$ -modules. Now let $s \in \mathbf{C}\Gamma^\infty(E)_N$ and $s' \in \mathbf{C}\Gamma^\infty(F)_N$ be given. Then clearly $\Phi(s, s')(p) = s(p) \oplus s'(p) \in E_N|_p \oplus F_N|_p$ for all $p \in C$. Moreover, it holds

$$\nabla_X^\oplus \overline{\Phi(s, s')} = \nabla_X^E \bar{s} \oplus \nabla_X^F \bar{s}' = 0 \quad (2.3.7)$$

by the definition of ∇^\oplus in [Proposition 2.2.13](#). Thus Φ preserves the N-component. Next, let $s \in \mathbf{C}\Gamma^\infty(E)_0$ and $s' \in \mathbf{C}\Gamma^\infty(F)_0$ be given. Then $\Phi(s, s')(p) = s(p) \oplus s'(p) \in E_0|_p \oplus F_0|_p$ for all

$p \in C$ shows that Φ also preserves the 0-components. For Φ to be a constraint isomorphism it remains to show that $\Phi^{-1}(\mathbf{C}\Gamma^\infty(E \oplus F)_0) = \mathbf{C}\Gamma^\infty(E)_0 \oplus \mathbf{C}\Gamma^\infty(F)_0$, cf. [Lemma 1.3.5](#). For this let $t \in \mathbf{C}\Gamma^\infty(E \oplus F)_0$ be given. Then we know that $t = s \oplus s'$ for some $s \in \Gamma^\infty(E_T)$ and $t \in \Gamma^\infty(F_T)$. For all $p \in C$ we have

$$s(p) \oplus s'(p) = (s \oplus s')(p) = t(p) \in (E \oplus F)_0|_p = E_0|_p \oplus F_0|_p,$$

and thus $s \in \mathbf{C}\Gamma^\infty(E)_0$ and $s' \in \mathbf{C}\Gamma^\infty(F)_0$. Therefore, Φ is a constraint isomorphism. \square

Similarly, sections of constraint vector bundles are compatible with internal homs:

Proposition 2.3.10 *Let $E = (E_T, E_N, E_0, \nabla^E)$ and $F = (F_T, F_N, F_0, \nabla^F)$ be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$. Then*

$$\mathbf{C}\Gamma^\infty(\mathbf{C}\text{Hom}(E, F)) \simeq \mathbf{C}\text{Hom}_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})}(\mathbf{C}\Gamma^\infty(E), \mathbf{C}\Gamma^\infty(F)) \quad (2.3.8)$$

as strong constraint $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

PROOF: On the T-component we have the isomorphism

$$\eta: \Gamma^\infty(\text{Hom}(E_T, F_T)) \rightarrow \text{Hom}_{\mathcal{C}^\infty(M)}(\Gamma^\infty(E_T), \Gamma^\infty(F_T))$$

given by

$$\eta(A)(s)|_p := A|_p(s|_p)$$

for all $p \in M$ and $s \in \Gamma^\infty(E_T)$. We first show that η is indeed a constraint morphism: If $A \in \mathbf{C}\Gamma^\infty(\mathbf{C}\text{Hom}(E, F))_0$, then for every $p \in C$ and $s \in \mathbf{C}\Gamma^\infty(E)_0$ we have $\eta(A)(s)|_p = A|_p(s|_p) \in F_0|_p$ since $s|_p \in E_0|_p$. Thus η preserves the 0-component. Consider now $A \in \mathbf{C}\Gamma^\infty(\mathbf{C}\text{Hom}(E, F))_N$. For all $p \in C$ and $s \in \mathbf{C}\Gamma^\infty(E)_0$ we have $\eta(A)(s)|_p = A|_p(s|_p) \in F_0|_p$ since $s|_p \in E_0|_p$. Moreover, if $s \in \mathbf{C}\Gamma^\infty(E)_N$, then $\eta(A)(s)|_p = A|_p(s|_p) \in F_N|_p$ and

$$\nabla_X^F \overline{\eta(A)(s)|_C} = \underbrace{\bar{\eta}(\nabla_X^{\mathbf{C}\text{Hom}} \overline{A|_C})}_{=0}(\overline{s|_C}) + \underbrace{\bar{\eta}(A)(\nabla_X^E \overline{s|_C})}_{=0} = 0.$$

Thus $\eta(A)(s) \in \mathbf{C}\Gamma^\infty(F)_N$. Summarizing, this shows that η is a constraint morphism.

It remains to show that η is regular surjective. For this recall from classical differential geometry that for every $A \in \text{Hom}_{\mathcal{C}^\infty(M)}(\Gamma^\infty(E_T), \Gamma^\infty(F_T))$ the corresponding preimage is given by $A(p)(s_p) := A(s)(p)$ for all $s_p \in E_T|_p$ and $s \in \Gamma^\infty(E_T)$ such that $s(p) = s_p$. Note that this does not depend on the choice of the section s . Here we use the usual abuse of notation.

Now let $A \in \mathbf{C}\text{Hom}_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})}(\mathbf{C}\Gamma^\infty(E), \mathbf{C}\Gamma^\infty(F))_N$ be given. Then for every $p \in C$ and $s_p \in E_N|_p$ there exists a section $s \in \mathbf{C}\Gamma^\infty(E)_N$ with $s(p) = s_p$ by [Corollary 2.3.7](#). Then we have $A(p)(s_p) = A(s)(p) \in F_N|_p$ since $A(s) \in \mathbf{C}\Gamma^\infty(F)_N$. Similarly, if $s_p \in E_0|_p$, then there exists $s \in \mathbf{C}\Gamma^\infty(E)_0$ with $s(p) = s_p$ and thus $A(p)(s_p) = A(s)(p) \in F_0|_p$. We also need to show that $\nabla_X^{\mathbf{C}\text{Hom}} \overline{A|_C} = 0$ for all $X \in \Gamma^\infty(D)$. For this let $p \in C$ and $\overline{s_p} \in (E_N/E_0)|_p$ be given. Again by [Corollary 2.3.7](#) we find a section $s \in \mathbf{C}\Gamma^\infty(E)_N$ such that $\overline{s(p)} = \overline{s_p}$. Then

$$\begin{aligned} (\nabla_X^{\mathbf{C}\text{Hom}} \overline{A|_C})(p)(\overline{s_p}) &= (\nabla_X^{\mathbf{C}\text{Hom}} \overline{A|_C})(\overline{s|_C})(p) \\ &= \nabla_X^F(\overline{A|_C}(\overline{s|_C}))(p) - \overline{A|_C}(\nabla_X^E \overline{s|_C}) \\ &= \nabla_X^F(\overline{A(s)|_C})(p) = 0, \end{aligned}$$

since $\nabla_X^E \overline{s|_C} = 0$ and $A(s)|_C \in \mathbf{C}\Gamma^\infty(F)_N$. This shows $A \in \mathbf{C}\Gamma^\infty(\mathbf{C}\text{Hom}(E, F))_N$, and hence η is surjective on the N-component.

Recall from [Lemma 1.3.5](#) that we additionally have to check that η is also surjective on the 0-component. Thus let $A \in \mathbf{C}\text{Hom}_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})}(\mathbf{C}\Gamma^\infty(E), \mathbf{C}\Gamma^\infty(F))_0$ be given. Then for $s \in C$ and $s_p \in E_N|_p$ we find again by [Corollary 2.3.7](#) a section $s \in \mathbf{C}\Gamma^\infty(E)_N$ with $s(p) = s_p$. Then $A(p)(s_p) = A(s)(p) \in F_0|_p$ since $A(s) \in \mathbf{C}\Gamma^\infty(F)_0$. This finally shows that η is a regular epimorphism and hence a constraint isomorphism. \square

Remark 2.3.11 Note that we used [Corollary 2.3.7](#) to prove [Proposition 2.3.10](#). Hence by [Remark 2.3.8](#) it is not clear if the [Proposition 2.3.10](#) remains valid for non-simple distributions.

Corollary 2.3.12 *Let $E = (E_T, E_N, E_0, \nabla^E)$ be a constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$. Then*

$$\mathbf{C}\Gamma^\infty(E^*) \simeq \mathbf{C}\Gamma^\infty(E)^* \quad (2.3.9)$$

as strong constraint $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

PROOF: Choose $F = \mathcal{M} \times \mathbb{R}$ in [Proposition 2.3.10](#). \square

In classical differential geometry the famous Serre-Swan Theorem states that the category $\mathbf{Vect}(M)$ of vector bundles over a fixed manifold M is equivalent to the category $\mathbf{Proj}(\mathcal{C}^\infty(M))$ of finitely generated projective $\mathcal{C}^\infty(M)$ -modules. By [Proposition 2.3.1](#) we know that sections of constraint vector bundles form strong constraint modules over the strong constraint algebra $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ of functions on the constraint manifold \mathcal{M} . Thus for a constraint analogue of the Serre-Swan Theorem we expect projective strong constraint modules to be the correct algebraic notion.

Before tackling the full Serre-Swan Theorem, let us take a look at the case of free strong constraint $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -modules. As in classical differential geometry these relate to trivial vector bundles, now in the sense of [Example 2.2.7](#). Recall from [Lemma 2.2.8](#) that every constraint vector bundle admits local frames adapted to the constraint structure.

Proposition 2.3.13 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold and let $E = (E_T, E_N, E_0, \nabla)$ be a constraint vector bundle over \mathcal{M} of rank $k = (k_T, k_N, k_0)$. Then the following statements are equivalent:*

- i.) *The constraint vector bundle E is trivializable.*
- ii.) *There exists a global frame of E .*
- iii.) *The strong constraint module $\mathbf{C}\Gamma^\infty(E)$ is free and $\mathbf{C}\Gamma^\infty(E) \simeq \mathbf{C}\mathcal{C}^\infty(\mathcal{M})^k$.*

PROOF: *i.) \Rightarrow ii.):* If E is trivializable there exists a constraint vector bundle isomorphism $\Phi: E \rightarrow \mathcal{M} \times \mathbb{R}^k$ inducing an isomorphism

$$\Phi: \mathbf{C}\Gamma^\infty(E) \rightarrow \mathbf{C}\Gamma^\infty(\mathcal{M} \times \mathbb{R}^k)$$

on sections. Let $f_1, \dots, f_{k_T} \in \Gamma^\infty(M \times \mathbb{R}^{k_T})$ be the canonical global frame. Then $e_i := \Phi^{-1}(f_i)$ is a global frame for E_T , such that $e_1, \dots, e_{k_N} \in \mathbf{C}\Gamma^\infty(E)_N$ and $e_1, \dots, e_{k_0} \in \mathbf{C}\Gamma^\infty(E)_0$. Moreover, since $\iota^\# f_1, \dots, \iota^\# f_{k_N}$ and $\iota^\# f_1, \dots, \iota^\# f_{k_0}$ form global frames for the trivial vector bundles $C \times \mathbb{R}^{k_N}$ and $C \times \mathbb{R}^{k_0}$, respectively, and since Φ induces isomorphisms on E_N and E_0 , we see that $\iota^\# e_1, \dots, \iota^\# e_{k_N}$ and $\iota^\# e_1, \dots, \iota^\# e_{k_0}$ form global frames for E_N and E_0 , respectively.

ii.) \Rightarrow iii.): Every $s \in \mathbf{C}\Gamma^\infty(E)_T$ can be written as $s = \sum_{i=1}^{k_T} s_i e_i$ with $s_i \in \mathcal{C}^\infty(M)$. If $s \in \mathbf{C}\Gamma^\infty(E)_N$, then from

$$\iota^\# s = \sum_{i=1}^{k_T} \iota^* s_i \cdot \iota^\# e_i \in \Gamma^\infty(E_N)$$

it follows that $s_{k_N+1}, \dots, s_{k_T} \in \mathcal{C}\mathcal{L}^\infty(\mathcal{M})_0$. Moreover, since

$$0 = \mathcal{L}_X \bar{s} = \sum_{i=k_0+1}^{k_N} (\mathcal{L}_X \iota^* s_i) \cdot e_i$$

for all $X \in \Gamma^\infty(D)$ we get $s_{k_0+1}, \dots, s_{k_N} \in \mathcal{C}\mathcal{L}^\infty(\mathcal{M})_N$. And thus $\mathbf{C}\Gamma^\infty(E)_N \simeq (\mathcal{C}\mathcal{L}^\infty(\mathcal{M})^k)_N$. If $s \in \mathbf{C}\Gamma^\infty(E)_0$ we have

$$\iota^\# s = \sum_{i=1}^{k_T} \iota^* s_i \cdot \iota^\# e_i \in \Gamma^\infty(E_0)$$

and thus $s_{k_0+1}, \dots, s_{k_T} \in \mathcal{C}\mathcal{L}^\infty(\mathcal{M})_0$, giving $\mathbf{C}\Gamma^\infty(E)_0 \simeq (\mathcal{C}\mathcal{L}^\infty(\mathcal{M})^k)_0$. Together this yields $\mathbf{C}\Gamma^\infty(E) \simeq \mathcal{C}\mathcal{L}^\infty(\mathcal{M})^k$.

iii.) \Rightarrow i.): Suppose we have an isomorphism $\Phi: \mathbf{C}\Gamma^\infty(E) \rightarrow \mathcal{C}\mathcal{L}^\infty(\mathcal{M})^k$. From classical differential geometry we know that $E_T \simeq M \times \mathbb{R}^{k_T}$ by mapping $v_p \in E|_p$ to $\Psi(v_p) := \Phi(s)(p)$ for any $s \in \Gamma^\infty(E_T)$ with $s(p) = v_p$, and Ψ does not depend on the choice of s . We need to check that Ψ is an isomorphism of constraint vector bundles. For this let $p \in C$ and $v_p \in E_N|_p$ be given. By [Corollary 2.3.7 ii.\)](#) there exists $s \in \mathbf{C}\Gamma^\infty(E)_N$ with $s(p) = v_p$. Hence $\Psi(v_p) \in \Phi(s)(p) \in (C \times \mathbb{R}^{k_N})|_p$ since $\Phi(s) \in \mathbf{C}\Gamma^\infty(M \times \mathbb{R}^k)_N$. Similarly, if $v_p \in E_0|_p$ then by [Corollary 2.3.7 i.\)](#) there exists $s \in \mathbf{C}\Gamma^\infty(E)_0$ such that $s(p) = v_p$. Then $\Psi(v_p) = \Phi(s)(p) \in (C \times \mathbb{R}^{k_0})|_p$, since $\Phi(s) \in \mathbf{C}\Gamma^\infty(M \times \mathbb{R}^{k_0})_0$. The same arguments show that $\Psi^{-1}: (M \times \mathbb{R}^{k_T}) \rightarrow E_T$ preserves the N- and 0-components, hence inducing isomorphisms $\Psi_N: E_N \rightarrow (C \times \mathbb{R}^{k_N})$ and $\Psi_0: E_0 \rightarrow (C \times \mathbb{R}^{k_0})$. To show that Ψ is compatible with the covariant derivatives note that it induces also an isomorphism $\Psi_{N/0}: (E_N/E_0) \rightarrow (C \times \mathbb{R}^{k_N-k_0})$. Then for $s \in \Gamma^\infty(E_N/E_0)$ we have

$$\Psi(\nabla_{v_p}^E s) = \Psi\left(\sum_{i=n_0+1}^{k_N-k_0} \nabla_{v_p}^E (s^i e_i)\right) = \Psi\left(\sum_{i=n_0+1}^{k_N-k_0} (\mathcal{L}_X s^i) e_i\right) = \sum_{i=n_0+1}^{k_N-k_0} (\mathcal{L}_X s^i) \Psi(e_i)$$

for all $X \in \Gamma^\infty(D)$, showing that Ψ is indeed an isomorphism of constraint vector bundles. \square

Remark 2.3.14 We again used [Corollary 2.3.7](#) in the above proof. Hence by [Remark 2.3.8](#) it is not clear if the the above equivalences still hold for non-simple distributions.

The existence of local frames for constraint vector bundles can therefore be understood as local freeness of $\mathbf{C}\Gamma^\infty(E)$.

As a first step towards the constraint Serre-Swan Theorem we show that every finitely generated projective strong constraint module over the constraint algebra of functions can be realized as sections of a constraint vector bundle.

Proposition 2.3.15 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold and $\mathcal{P} \in \mathbf{C}_{\text{str}}\text{Proj}(\mathcal{C}\mathcal{L}^\infty(\mathcal{M}))$ a finitely generated projective strong constraint $\mathcal{C}\mathcal{L}^\infty(\mathcal{M})$ -module. Then there exists a constraint vector bundle $E = (E_T, E_N, E_0, \nabla)$ over \mathcal{M} such that $\mathbf{C}\Gamma^\infty(E) \simeq \mathcal{P}$.*

PROOF: Since \mathcal{P} is finitely generated projective there exists a finite constraint index set $n \in \mathbf{C}_{\text{ind}}^{\text{emb}}\text{Set}$ and a projection $e \in \mathbf{C}\text{End}_{\mathcal{A}}(\mathcal{A}^{(M)})$ with $e^2 = e$ such that $\mathcal{P} \simeq e\mathcal{C}\mathcal{L}^\infty(\mathcal{M})^n$. By [Proposition 2.3.10](#) the projection e can be viewed as a constraint section of $\mathbf{C}\text{End}(\mathcal{M} \times \mathbb{R}^n)$. Moreover, since e is completely determined by its T-component we can identify it with a matrix $e \in M_{n_T}(\mathcal{C}^\infty(M))$. This leads to a vector bundle morphism

$$\Phi_T: M \times \mathbb{R}^{n_T} \rightarrow M \times \mathbb{R}^{n_T}, \quad (p, v) \mapsto (p, e(p)v)$$

of constant rank. And therefore we can define $E_T := \text{im}(e_T)$ as a subbundle of $M \times \mathbb{R}^{n_T}$. Since $e \in \text{C}\Gamma^\infty(\text{End}(\mathcal{M} \times \mathbb{R}^n))_N$ we know $\iota^\# e \in \Gamma^\infty(\text{End}(C \times \mathbb{R}^{n_N}))$ leading to a constant rank vector bundle morphism

$$\Phi_N: C \times \mathbb{R}^{n_N} \rightarrow C \times \mathbb{R}^{n_N}, \quad (p, v) \mapsto (p, \iota^\# e(p)v).$$

This allows us to define $E_N := \text{im}(e|_C)$ as a subbundle of $\iota^\# E_T$. Moreover, since $\iota^\# e_p$ preserves also the 0-component of the fibre we can restrict Φ_N to $C \times \mathbb{R}^{n_0}$, giving a subbundle $E_0 := \text{im}(\Phi_N|_{C \times \mathbb{R}^{n_0}})$ of E_N . Finally, we can define a partial D -connection on E_N/E_0 by

$$\nabla_X \bar{s} := \sum_{i=n_0+1}^{n_N} \Phi_N(b_i) \cdot \mathcal{L}_X s^i$$

for all $s \in \Gamma^\infty(E)_N$ with $s = \sum_{i=1}^{n_N} \Phi_N(b_i) s^i$ and $X \in \Gamma^\infty(D)$. Here the b_i denote the canonical basis sections of $C \times \mathbb{R}^{n_N}$. This clearly gives a well-defined covariant derivative. To show that ∇ is path-independent consider $s_p = \sum_{i=n_0+1}^{n_N} \Phi_N(b_i)(p) s_p^i \in (E_N/E_0)|_p$. Then the section $s = \sum_{i=n_0+1}^{n_N} \Phi_N(b_i) s_p^i$ is clearly covariantly constant and thus induces the parallel transport along any leafwise curve $\gamma: I \rightarrow C$. It remains to show that $\text{C}\Gamma^\infty(E)$ is isomorphic to \mathcal{P} as a strong constraint $\text{C}\mathcal{L}^\infty(\mathcal{M})$ -module. It is straightforward to check that $\Psi: \text{im } e \rightarrow \text{C}\Gamma^\infty(E)$ defined by

$$\Psi_T(s) := (p \mapsto (p, s(p)))$$

is an isomorphism of constraint modules. And hence $\text{C}\Gamma^\infty(E) \simeq \text{im } e \simeq \mathcal{P}$ follows. \square

To show that sections of constraint vector bundles are always finitely generated projective we actually need the requirement of a simple distribution:

Proposition 2.3.16 *Let $E = (E_T, E_N, E_0, \nabla)$ be a constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$. Then $\text{C}\Gamma^\infty(E)$ is a finitely generated projective strong constraint $\text{C}\mathcal{L}^\infty(\mathcal{M})$ -module.*

PROOF: We construct a dual basis in the sense of [Proposition 1.5.38](#). For this we first choose a complement E_0^\perp of E_0 inside E_N , hence we get $E_N = E_0 \oplus E_0^\perp$ with $E_0^\perp \simeq E_N/E_0$, and additionally a complement E_N^\perp of E_N inside $\iota^\# E_T$. This yields $\iota^\# E_T = E_0 \oplus E_0^\perp \oplus E_N^\perp$. Now choose a finite dual basis of $\Gamma^\infty(E_{\text{red}})$ given by $g_j \in \Gamma^\infty(E_{\text{red}})$ and $g^j \in \Gamma^\infty(E_{\text{red}}^*)$, for $j \in J_0^\perp$. By [Proposition 2.2.16](#) we can pull back the dual basis to a dual basis of E_0^\perp , which we still denote by $g_j \in \Gamma^\infty(E_0^\perp)$ and $g^j \in \Gamma^\infty((E_0^\perp)^*)$. Note that these sections fulfil $\nabla_X g_j = 0$ and $\nabla_X^* g^j = 0$ for $X \in \Gamma^\infty(D)$. Additionally, choose a dual basis $\{f_j, f^j\}_{j \in J_0}$ of E_0 and a dual basis $\{h_j, h^j\}_{j \in J_N^\perp}$ of E_N^\perp . This way we obtain a dual basis $\{c_j, c^j\}_{i \in J_C}$ of $\iota^\# E_T$ with $J_C = J_0 \sqcup J_0^\perp \sqcup J_N^\perp$

$$c_j = \begin{cases} f_j & \text{if } j \in J_0 \\ g_j & \text{if } j \in J_0^\perp \\ h_j & \text{if } j \in J_N^\perp \end{cases} \quad \text{and} \quad c^j = \begin{cases} f^j & \text{if } j \in J_0 \\ g^j & \text{if } j \in J_0^\perp \\ h^j & \text{if } j \in J_N^\perp \end{cases}.$$

To extend the dual basis to all of M we choose a tubular neighbourhood $\text{pr}_V: V \rightarrow C$, with $\iota_V: V \hookrightarrow M$ an open neighbourhood of C . Then we can pull back the c_j and c^j to obtain a dual basis of $\iota_V^\# E_T$, which we again denote by $\{c_j, c^j\}_{j \in J_C}$. On the open subset $\iota_{M \setminus C}: M \setminus C \hookrightarrow M$ choose another dual basis $\{d_k, d^k\}_{k \in K}$ of $\iota_{M \setminus C}^\# E_T$. We now need to patch these dual bases

together. For this choose a quadratic partition of unity $\chi_1, \chi_2 \in \mathcal{C}^\infty(M)$ with $\chi_1^2 + \chi_2^2 = 1$ and $\text{supp } \chi_1 \subseteq V$ and $\text{supp } \chi_2 \subseteq M \setminus C$. Then $\{e_i, e^i\}_{i \in I_T}$ with $I_T = J_C \sqcup K$ defined by

$$e_i = \begin{cases} \chi_1 \cdot c_i & \text{if } i \in J_C \\ \chi_2 \cdot d_i & \text{if } i \in K \end{cases} \quad \text{and} \quad e^i = \begin{cases} \chi_1 \cdot c^i & \text{if } i \in J_C \\ \chi_2 \cdot d^i & \text{if } i \in K \end{cases}$$

forms a dual basis for E_T . It remains to show that this dual basis fulfils the properties of [Proposition 1.5.38](#). For this consider the constraint set I with I_T as above, $I_N = J_0 \sqcup J_0^\perp \sqcup K$ and $I_0 = J_0 \sqcup K$. By construction we have $e_i \in \text{C}\Gamma^\infty(E)_N$ for $i \in I_N$ and $e_i \in \text{C}\Gamma^\infty(E)_0$ for $i \in I_0$. From the fact that $g^j \in \Gamma^\infty(\text{Ann } E_0)$ and $h^j \in \Gamma^\infty(\text{Ann } E_N)$ it follows that $e^i \in \text{C}\Gamma^\infty(E^*)_N$ for $i \in I_T \setminus I_0$ and $e^i \in \text{C}\Gamma^\infty(E^*)_0$ for $i \in I_T \setminus I_N$. \square

Remark 2.3.17 In the above proof we heavily used the existence of a reduced vector bundle on a smooth reduced manifold. Thus it is not clear if the above statement still holds for non-simple distributions. Nevertheless, this situation is of great interest for its geometric applications, hence the question if all modules of sections are projective, even for non-simply distributions, deserves further attention.

The above results lead us now to a constraint version of the Serre-Swan Theorem:

Theorem 2.3.18 (Constraint Serre-Swan) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. The functor $\text{C}\Gamma^\infty: \text{CVect}(\mathcal{M}) \rightarrow \text{C}_{\text{str}}\text{Proj}(\text{C}\mathcal{C}^\infty(\mathcal{M}))$ is an equivalence of categories.*

PROOF: [Proposition 2.3.16](#) shows that $\text{C}\mathcal{C}^\infty$ actually maps to $\text{C}_{\text{str}}\text{Proj}(\text{C}\mathcal{C}^\infty(\mathcal{M}))$, while [Proposition 2.3.10](#) proves that $\text{C}\mathcal{C}^\infty$ is fully faithful. Finally, by [Proposition 2.3.15](#) it is essentially surjective, and therefore an equivalence of categories. \square

Remark 2.3.19 In [[DMW22](#); [Men20](#)] a similar result for non-strong projective constraint modules over $\text{C}\mathcal{C}^\infty(\mathcal{M})$ as a non-strong constraint algebra was found. The geometric objects used there are similar but not identical to the notion of constraint vector bundles, in particular the vector bundle E_N is a subbundle of E_T defined on all of M , and ∇ is a partial connection on $\iota^\# E_N$ instead of E_N/E_0 .

The constraint Serre-Swan Theorem finally justifies the study of projective strong constraint modules, and their predecessors in [Section 1.5](#). This important result allows us now to examine the compatibility of the sections functor with the different notions of tensor products. Consider vector bundles E and F over a constraint manifold \mathcal{M} . By the Serre-Swan Theorem we know that $\text{C}\Gamma^\infty(E)$ and $\text{C}\Gamma^\infty(F)$ are finitely generated projective strong constraint modules, moreover, [Proposition 1.5.40](#) and [Proposition 1.5.41](#) tell us that also $\text{C}\Gamma^\infty(E) \boxtimes_{\text{C}\mathcal{C}^\infty(\mathcal{M})}^{\text{emb}} \text{C}\Gamma^\infty(F)$ and $\text{C}\Gamma^\infty(E) \otimes_{\text{C}\mathcal{C}^\infty(\mathcal{M})}^{\text{str}} \text{C}\Gamma^\infty(F)$ are finitely generated projective and hence embedded. This is something we cannot expect for finitely generated projective modules over arbitrary embedded strong constraint algebras, since their (strong) tensor products need in general not be embedded. From now on we will write $\text{C}\Gamma^\infty(E) \boxtimes_{\text{C}\mathcal{C}^\infty(\mathcal{M})} \text{C}\Gamma^\infty(F)$ and $\text{C}\Gamma^\infty(E) \otimes_{\text{C}\mathcal{C}^\infty(\mathcal{M})} \text{C}\Gamma^\infty(F)$ instead, since on $\text{C}_{\text{str}}\text{Proj}(\text{C}\mathcal{C}^\infty(\mathcal{M}))$ there will be no risk of confusion.

Lemma 2.3.20 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold and let $E, F \in \text{CVect}(\mathcal{M})$ be constraint vector bundles over \mathcal{M} .*

i.) Setting

$$I_{E,F}(s \otimes t)(p) := s(p) \otimes t(p) \tag{2.3.10}$$

defines a constraint morphism $I_{E,F}: \text{C}\Gamma^\infty(E) \otimes_{\text{C}\mathcal{C}^\infty(\mathcal{M})} \text{C}\Gamma^\infty(F) \rightarrow \text{C}\Gamma^\infty(E \otimes F)$. These morphisms constitute a natural transformation $I: \otimes_{\text{C}\mathcal{C}^\infty(\mathcal{M})} \circ (\text{C}\Gamma^\infty \times \text{C}\Gamma^\infty) \Rightarrow \text{C}\Gamma^\infty \circ \otimes$.

ii.) *Setting*

$$J_{E,F}(s \otimes t)(p) := s(p) \otimes t(p) \quad (2.3.11)$$

defines a constraint morphism $J_{E,F}: \mathbf{C}\Gamma^\infty(E) \boxtimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})} \mathbf{C}\Gamma^\infty(F) \rightarrow \mathbf{C}\Gamma^\infty(E \boxtimes F)$. These morphisms constitute a natural transformation $J: \boxtimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})} \circ (\mathbf{C}\Gamma^\infty \times \mathbf{C}\Gamma^\infty) \Rightarrow \mathbf{C}\Gamma^\infty \circ \boxtimes$.

PROOF: In both cases we know from classical differential geometry that the $I_{E,F}$ are a morphism of \mathcal{A}_T -modules on the T -components, forming natural transformations. It remains to show that I and J are constraint morphisms, meaning that they preserve the N - and 0 -components.

For the first part let $s \otimes t \in \mathbf{C}\Gamma^\infty(E) \diamond \mathbf{C}\Gamma^\infty(F) = (\mathbf{C}\Gamma^\infty(E) \otimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})} \mathbf{C}\Gamma^\infty(F))_0$. Then

$$I(s \otimes t)(p) = s(p) \otimes t(p) \in E_0|_p \otimes F_N|_p + E_N|_p \otimes F_0|_p$$

for all $p \in C$, and therefore $I(s \otimes t) \in \mathbf{C}\Gamma^\infty(E \otimes F)_0$. Now consider $s \otimes t \in \mathbf{C}\Gamma^\infty(E) \diamond \mathbf{C}\Gamma^\infty(F) \subseteq (\mathbf{C}\Gamma^\infty(E) \otimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})} \mathbf{C}\Gamma^\infty(F))_N$, then

$$I(s \otimes t)(p) = s(p) \otimes t(p) \in E_N|_p \otimes F_N|_p$$

for all $p \in C$, hence $I(s \otimes t)|_C \in \Gamma^\infty((E \otimes F)_N)$. Moreover, for $X \in \Gamma^\infty(D)$ we have

$$\nabla_X \overline{I(s \otimes t)}|_C = \nabla_X \overline{s \otimes t}|_C = \nabla_X \overline{s}|_C \otimes \overline{t}|_C + \overline{s}|_C \otimes \nabla_X \overline{t}|_C = 0,$$

showing that $I(s \otimes t) \in \mathbf{C}\Gamma^\infty(E \otimes F)_N$.

For the second part we start with $s \otimes t \in \mathbf{C}\Gamma^\infty(E) \diamond \mathbf{C}\Gamma^\infty(F) = (\mathbf{C}\Gamma^\infty(E) \boxtimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})} \mathbf{C}\Gamma^\infty(F))_0$. Then

$$J(s \otimes t)(p) = s(p) \otimes t(p) \in E_0|_p \otimes \iota^\# F_T|_p + \iota^\# E_T|_p \otimes F_0|_p$$

for all $p \in C$, and therefore $J(s \otimes t) \in \mathbf{C}\Gamma^\infty(E \boxtimes F)_0$. Now consider $s \otimes t \in \mathbf{C}\Gamma^\infty(E) \diamond \mathbf{C}\Gamma^\infty(F) \subseteq (\mathbf{C}\Gamma^\infty(E) \boxtimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})} \mathbf{C}\Gamma^\infty(F))_N$, then

$$J(s \otimes t)(p) = s(p) \otimes t(p) \in E_N|_p \otimes F_N|_p$$

for all $p \in C$, hence $J(s \otimes t)|_C \in \Gamma^\infty((E \boxtimes F)_N)$. Moreover, for $X \in \Gamma^\infty(D)$ we have

$$\nabla_X \overline{J(s \otimes t)}|_C = \nabla_X \overline{s \otimes t}|_C = \nabla_X \overline{s}|_C \otimes \overline{t}|_C + \overline{s}|_C \otimes \nabla_X \overline{t}|_C = 0,$$

showing that $J(s \otimes t) \in \mathbf{C}\Gamma^\infty(E \boxtimes F)_N$. \square

The canonical morphisms 2.3.10 and 2.3.11 can be constructed without using the Serre-Swan Theorem. But to see that these are in fact isomorphisms we need constraint dual bases.

Proposition 2.3.21 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold.*

- i.) *The sections functor $\mathbf{C}\Gamma^\infty: (\mathbf{C}\mathbf{Vect}(\mathcal{M}), \otimes) \rightarrow (\mathbf{C}_{\text{str}}\mathbf{Proj}(\mathbf{C}\mathcal{C}^\infty(\mathcal{M})), \otimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})})$ is monoidal.*
- ii.) *The sections functor $\mathbf{C}\Gamma^\infty: (\mathbf{C}\mathbf{Vect}(\mathcal{M}), \boxtimes) \rightarrow (\mathbf{C}_{\text{str}}\mathbf{Proj}(\mathbf{C}\mathcal{C}^\infty(\mathcal{M})), \boxtimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})})$ is monoidal.*

PROOF: We first show that the natural transformations I and J from Lemma 2.3.20 are in fact natural isomorphisms. For this we construct inverses. Let $E, F \in \mathbf{C}\mathbf{Vect}(\mathcal{M})$ and let $(\{e_i\}_{i \in M}, \{e^i\}_{i \in M^*})$ as well as $(\{f_j\}_{j \in N}, \{f^j\}_{j \in N^*})$ be finite dual bases of E and F , respectively. From classical differential geometry we know that $(\{e_i \otimes f_j\}_{(i,j) \in M_T \times N_T}, \{e^i \otimes f^j\}_{(i,j) \in M_T \times N_T})$ is a dual basis of $\Gamma^\infty(E_T \otimes F_T)$ and that

$$K(X) = \sum_{i \in M_T} \sum_{j \in N_T} (e^i \otimes f^j)(X) \cdot e_i \otimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})} f_j,$$

for $X \in \Gamma^\infty(E_T \otimes F_T)$, defines an inverse $K: \Gamma^\infty(E \otimes F) \rightarrow \Gamma^\infty(E) \otimes_{\mathcal{C}^\infty(M)} \Gamma^\infty(F)$ to I . Here we use $\otimes_{\mathcal{C}^\infty(M)}$ as the algebraic tensor product to separate it from the geometric tensor product \otimes of sections. To show that K is a constraint morphism we prove that the families $(\{e_i \otimes f_j\}_{(i,j) \in M \otimes N}, \{e^i \otimes f^j\}_{(i,j) \in (M \otimes N)^*})$ form a dual basis for $\mathbf{C}\Gamma^\infty(E \otimes F)$:

- $(i, j) \in (M \otimes N)_N = M \boxtimes N$: Then by [Lemma 2.3.20](#) we know that

$$e_i \otimes f_j = I_{E,F}(e_i \otimes_{\mathcal{C}^\infty(M)} f_j) \in \mathbf{C}\Gamma^\infty(E \otimes F)_N.$$

- $(i, j) \in (M \otimes N)_0 = M \boxtimes N$: Then we know that $e_i \otimes f_j = I_{E,F}(e_i \otimes_{\mathcal{C}^\infty(M)} f_j) \in \mathbf{C}\Gamma^\infty(E \otimes F)_0$.
- $(i, j) \in (M \otimes N)_N^* = M \boxtimes N = (M^* \boxtimes N^*)_N$: Then we know that

$$e^i \otimes f^j = J_{E^*,F^*}(e^i \otimes_{\mathcal{C}^\infty(M)} f^j) \in \mathbf{C}\Gamma^\infty(E^* \boxtimes F^*)_N \simeq \mathbf{C}\Gamma^\infty(E \otimes F)_N^*.$$

- $(i, j) \in (M \otimes N)_0^* = M \boxtimes N$: Then we know that

$$e^i \otimes f^j = J_{E^*,F^*}(e^i \otimes_{\mathcal{C}^\infty(M)} f^j) \in \mathbf{C}\Gamma^\infty(E^* \boxtimes F^*)_0 \simeq \mathbf{C}\Gamma^\infty(E \otimes F)_0^*.$$

This shows that K is a constraint morphism, and therefore I is an isomorphism. With completely analogous arguments, one can show that J is an isomorphism as well. The unit object in $\mathbf{C}\mathbf{Vect}(\mathcal{M})$ is for both products given by $\mathcal{M} \times \mathbb{R}$. Since $\mathbf{C}\Gamma^\infty(\mathcal{M} \times \mathbb{R}) \simeq \mathcal{C}^\infty(\mathcal{M})$ the section functor preserves the monoidal units, and hence gives a monoidal functor in both cases. \square

Since $\mathbf{C}\Gamma^\infty$ is monoidal and compatible with direct sums, we also get

$$\mathbf{S}_\otimes^\bullet \mathbf{C}\Gamma^\infty(E) \simeq \mathbf{C}\Gamma^\infty(\mathbf{S}_\otimes^\bullet E), \quad \Lambda_\otimes^\bullet \mathbf{C}\Gamma^\infty(E) \simeq \mathbf{C}\Gamma^\infty(\Lambda_\otimes^\bullet E), \quad (2.3.12)$$

as well as

$$\mathbf{S}_\boxtimes^\bullet \mathbf{C}\Gamma^\infty(E) \simeq \mathbf{C}\Gamma^\infty(\mathbf{S}_\boxtimes^\bullet E), \quad \Lambda_\boxtimes^\bullet \mathbf{C}\Gamma^\infty(E) \simeq \mathbf{C}\Gamma^\infty(\Lambda_\boxtimes^\bullet E) \quad (2.3.13)$$

for any constraint vector bundle E . For sections of constraint vector bundles we can make the relation of the strong and non-strong tensor products precise. In particular, their difference will be located on the submanifold C only.

Proposition 2.3.22 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold and let $E, F \in \mathbf{C}\mathbf{Vect}(\mathcal{M})$ be constraint vector bundles over \mathcal{M} . Then there exists an isomorphism of constraint $\mathcal{C}^\infty(\mathcal{M})$ -modules such that*

$$\begin{aligned} (\mathbf{C}\Gamma^\infty(E) \boxtimes_{\mathcal{C}^\infty(\mathcal{M})} \mathbf{C}\Gamma^\infty(F))_T &\simeq (\mathbf{C}\Gamma^\infty(E) \otimes_{\mathcal{C}^\infty(M)} \mathbf{C}\Gamma^\infty(F))_T, \\ (\mathbf{C}\Gamma^\infty(E) \boxtimes_{\mathcal{C}^\infty(\mathcal{M})} \mathbf{C}\Gamma^\infty(F))_N &\simeq (\mathbf{C}\Gamma^\infty(E) \otimes_{\mathcal{C}^\infty(M)} \mathbf{C}\Gamma^\infty(F))_N \\ &\quad \oplus \Gamma^\infty(E_0) \otimes_{\mathcal{C}^\infty(C)} \Gamma^\infty(\iota^\# F_T/F_N) \\ &\quad \oplus \Gamma^\infty(\iota^\# E_T/E_N) \otimes_{\mathcal{C}^\infty(C)} \Gamma^\infty(F_0), \\ (\mathbf{C}\Gamma^\infty(E) \boxtimes_{\mathcal{C}^\infty(\mathcal{M})} \mathbf{C}\Gamma^\infty(F))_0 &\simeq (\mathbf{C}\Gamma^\infty(E) \otimes_{\mathcal{C}^\infty(M)} \mathbf{C}\Gamma^\infty(F))_0 \\ &\quad \oplus \Gamma^\infty(E_0) \otimes_{\mathcal{C}^\infty(C)} \Gamma^\infty(\iota^\# F_T/F_N) \\ &\quad \oplus \Gamma^\infty(\iota^\# E_T/E_N) \otimes_{\mathcal{C}^\infty(C)} \Gamma^\infty(F_0). \end{aligned} \quad (2.3.14)$$

PROOF: Choose complementary vector bundles E_0^\perp and E_N^\perp over C such that $\iota^\# E_T = E_N \oplus E_N^\perp = E_0 \oplus E_0^\perp \oplus E_N^\perp$. In particular we have $E_N^\perp \simeq E_N/E_0$ and $E_T^\perp \simeq \iota^\# E_T/E_N$. Similarly, choose complementary vector bundles F_0^\perp and F_N^\perp . Additionally, we need a tubular neighbourhood $\text{pr}_V: V \rightarrow C$, with $\iota_V: V \hookrightarrow M$ an open neighbourhood of C . Using this we can extend the vector bundles E_0, E_T^\perp, F_0 and F_T^\perp to V by pulling them back along pr_V . Finally, we need

a bump function χ such that $\chi|_C = 1$ and $\chi|_{M \setminus V} = 0$. With this we can turn the right hand side of (2.3.14) into a constraint module by defining ι on $\Gamma^\infty(E_0) \otimes_{\mathcal{C}^\infty(C)} \Gamma^\infty(\iota^\# F_T/F_N) \oplus \Gamma^\infty(\iota^\# E_T/E_N) \otimes_{\mathcal{C}^\infty(C)} \Gamma^\infty(F_0)$ as

$$\iota(t_1 \otimes t_2) := \chi \cdot \text{pr}_V^\#(t_1 \otimes t_2).$$

Now let $s = \sum_{i \in I} s_1^i \otimes s_2^i \in (\mathbf{C}\Gamma^\infty(E) \boxtimes_{\mathbf{C}\mathcal{C}^\infty(M)} \mathbf{C}\Gamma^\infty(F))_N = \mathbf{C}\Gamma^\infty(E \boxtimes F)_N$ be given. Since $(E \boxtimes F)_N \simeq (E_N \otimes F_N) \oplus (E_0 \otimes F_T^\perp) \oplus (E_T^\perp \otimes F_0)$ we can write I as $I = I_{NN} \sqcup I_{0T} \sqcup I_{T0}$ with

$$\begin{aligned} i \in I_{NN} &\iff s_1^i|_C \otimes s_2^i|_C \in \Gamma^\infty(E_N) \otimes_{\mathcal{C}^\infty(C)} \Gamma^\infty(F_N), \\ i \in I_{0T} &\iff s_1^i|_C \otimes s_2^i|_C \in \Gamma^\infty(E_0) \otimes_{\mathcal{C}^\infty(C)} \Gamma^\infty(F_T^\perp), \\ i \in I_{T0} &\iff s_1^i|_C \otimes s_2^i|_C \in \Gamma^\infty(E_T^\perp) \otimes_{\mathcal{C}^\infty(C)} \Gamma^\infty(F_0). \end{aligned}$$

Extending the sections first to V by pullback and then to M by use of χ yields

$$s - \sum_{i \in I_{0T} \sqcup I_{T0}} \iota(s_1^i|_C \otimes s_2^i|_C) \in (\mathbf{C}\Gamma^\infty(E) \otimes_{\mathbf{C}\mathcal{C}^\infty(M)} \mathbf{C}\Gamma^\infty(F))_N.$$

Thus we can define

$$\Psi_N(s) := \left(s - \sum_{i \in I_{0T} \sqcup I_{T0}} \iota(s_1^i|_C \otimes s_2^i|_C), \sum_{i \in I_{0T}} s_1^i|_C \otimes s_2^i|_C, \sum_{i \in I_{T0}} s_1^i|_C \otimes s_2^i|_C \right).$$

It is then easy to see that Ψ_N preserves the 0-component and together with the canonical isomorphism Ψ_T on the T-component defines a constraint module morphism. Moreover, the inverse of Ψ_N is given by

$$\Psi_N^{-1}(s, t, u) = s + \iota(t) + \iota(u).$$

Thus we get indeed an isomorphism as required. \square

With (2.3.14) it becomes obvious that the tensor products \otimes and \boxtimes indeed differ the moment that E_0 is non-trivial and $F_N \subsetneq \iota^\# F_N$ is an honest subbundle, since then

$$\Gamma^\infty(E_0) \otimes_{\mathcal{C}^\infty(C)} \Gamma^\infty(\iota^\# F_T/F_N) \simeq \Gamma^\infty(E_0 \otimes \iota^\# F_T/F_N) \quad (2.3.15)$$

does not vanish.

2.3.1 Reduction

As closure of this section we can show that the constraint Serre-Swan Theorem reduces to the classical Serre-Swan Theorem. More precisely, taking sections commutes with reduction as shown in the following:

Proposition 2.3.23 (Constraint sections vs. reduction) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. There exists a natural isomorphism making the following diagram commute:*

$$\begin{array}{ccc} \mathbf{C}\text{Vect}(\mathcal{M}) & \xrightarrow{\mathbf{C}\Gamma^\infty} & \mathbf{C}_{\text{str}}\text{Proj}(\mathbf{C}\mathcal{C}^\infty(\mathcal{M})) \\ \text{red} \downarrow & & \downarrow \text{red} \\ \text{Vect}(\mathcal{M}_{\text{red}}) & \xrightarrow{\Gamma^\infty} & \text{Proj}(\mathcal{C}^\infty(\mathcal{M}_{\text{red}})) \end{array} \quad (2.3.16)$$

PROOF: Our goal is to construct an isomorphism $\eta_E: \mathbf{C}\Gamma^\infty(E)_{\text{red}} \rightarrow \Gamma^\infty(E_{\text{red}})$ for every constraint vector bundle E over \mathcal{M} . Thus let $s \in \mathbf{C}\Gamma^\infty(E)_N$ be given. For any (possibly non-smooth) section $\sigma: \mathcal{M}_{\text{red}} \rightarrow C$ of the quotient map $\pi_{\mathcal{M}}$ we can define a map $\eta_E(s): \mathcal{M}_{\text{red}} \rightarrow E_{\text{red}}$ by $\eta_E(s)(p) := [s(\sigma(p))]$, which is a section of the vector bundle projection $\text{pr}_{E_{\text{red}}}$. Note that this map is independent of the choice of the section σ , since $s \in \mathbf{C}\Gamma^\infty(E)_N$. Thus $\eta_E(s)$ is also smooth, since locally we can choose σ to be smooth. So we end up with $\eta_E(s) \in \Gamma^\infty(E_{\text{red}})$. Note also that η_E is clearly $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})_N$ -linear along the projection $\pi_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})}: \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_N \rightarrow \mathcal{C}^\infty(M_{\text{red}})$. Now suppose $\eta_E(s) = 0$. Then $[s(\sigma(p))] = 0$ for all $p \in \mathcal{M}_{\text{red}}$ and every section σ . Thus $\iota^\#s \in \Gamma^\infty(E_0)$. This means that $\ker \eta_E = \mathbf{C}\Gamma^\infty(E)_0$ and therefore it induces an injective morphism $\eta_E: \mathbf{C}\Gamma^\infty(E)_{\text{red}} \rightarrow \Gamma^\infty(E_{\text{red}})$ of $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})_{\text{red}} \simeq \mathcal{C}^\infty(\mathcal{M}_{\text{red}})$ -modules. It remains to show that η_E is also surjective. For this let $t \in \Gamma^\infty(E_{\text{red}})$ be given. Now choose a splitting $E_N \simeq E_0 \oplus \iota^\#E_{\text{red}}$ using [Proposition 2.2.16 ii.\)](#) and define $s(q) := \Theta^{-1}(t(\pi_{\mathcal{M}}(q)))$ for all $q \in C$ and extend it to a section of E_T by use of a tubular neighbourhood. By [\(2.2.38\)](#) s is covariantly constant as a section of E_N/E_0 , and therefore we have $s \in \mathbf{C}\Gamma^\infty(E)_N$. Finally, we have $\eta_E(s) = t$, showing that η_E is surjective, and thus an isomorphism. \square

2.4 Constraint Cartan Calculus

The close relationship between constraint vector bundles and constraint modules as established by the constraint Serre-Swan Theorem allows us to introduce further analogues of classical geometric structures on constraint manifolds, such as differential forms and multivector fields. For both differential forms and multivector fields we can choose between the strong and non-strong tensor product, leading to two different graded constraint modules each. In [Section 2.4.1](#) we will see that the classical de Rham differential is only well-defined on $\mathbf{C}\Omega_{\boxtimes}(\mathcal{M})$, but not on $\mathbf{C}\Omega_{\otimes}(\mathcal{M})$. In fact, the classical Cartan calculus, including the insertion of vector fields and Lie derivative of forms, is canonically given on $\mathbf{C}\Omega_{\boxtimes}(\mathcal{M})$, thus singeling out $\mathbf{C}\Omega_{\boxtimes}$ as the correct constraint analogue of differential forms. When we study constraint multivector fields in [Section 2.4.2](#) we find that here the situation is quite different, since both $\mathbf{C}\mathfrak{X}_{\boxtimes}(\mathcal{M})$ and $\mathbf{C}\mathfrak{X}_{\otimes}(\mathcal{M})$ carry the structure of a constraint Gerstenhaber algebra. Moreover, while $\mathbf{C}\mathfrak{X}_{\otimes}(\mathcal{M})$ seems to be the reasonable choice for constraint multivector fields, since these are dual to the constraint forms $\mathbf{C}\Omega_{\boxtimes}(\mathcal{M})$, in the study of coisotropic reduction we will need $\mathbf{C}\mathfrak{X}_{\boxtimes}(\mathcal{M})$. Thus for constraint multivector fields there does not seem to be a preferred choice.

To ease notation we will, when considering constraint modules given by sections of constraint vector bundles, drop the subscript $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ from the tensor products and simply write \otimes and \boxtimes instead of $\otimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})}$ or $\boxtimes_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})}$. Only when taking tensor products over other algebras or the base ring, we will use the usual subscripts.

2.4.1 Differential Forms

Before studying constraint differential forms we need to better understand constraint vector fields. The following lemma shows how constraint vector fields can locally be characterized by their coefficient functions.

Lemma 2.4.1 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold of dimension $n = (n_T, n_N, n_0)$ and consider $X \in \Gamma^\infty(TM)$.*

i.) We have $X \in \mathbf{C}\Gamma^\infty(TM)_N$ if and only if for every adapted chart (U, x) around $p \in C$ it holds

$$X^i \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M}|_U)_N \text{ if } i \in (n^*)_N, \quad (2.4.1)$$

$$X^i \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M}|_U)_0 \text{ if } i \in (n^*)_0, \quad (2.4.2)$$

where $X|_U = \sum_{i=1}^{n_T} X^i \frac{\partial}{\partial x^i}$.

ii.) We have $X \in \mathbf{C}\Gamma^\infty(TM)_0$ if and only if for every adapted chart around $p \in C$ it holds

$$X^i \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M}|_U)_0 \text{ if } i \in (n^*)_N, \quad (2.4.3)$$

where $X|_U = \sum_{i=1}^{n_T} X^i \frac{\partial}{\partial x^i}$.

PROOF: By [Example 2.3.5](#) locally we always find adapted coordinates such that

$$\iota^\# \left(\frac{\partial}{\partial x^i} \right) \in \Gamma^\infty(D|_U), \text{ if } i \in \{1, \dots, n_0\},$$

and

$$\iota^\# \left(\frac{\partial}{\partial x^i} \right) \in \Gamma^\infty(TC|_U), \text{ if } i \in \{n_0 + 1, \dots, n_N\}.$$

We have $X \in \mathbf{C}\Gamma^\infty(TM)_N$ if and only if $\iota^\# X \in \Gamma^\infty(TC)$ and $[Y, \iota^\# X] \in \Gamma^\infty(D)$ hold for all $Y \in \Gamma^\infty(D)$. The first condition exactly means that locally we have $X^i \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M}|_U)_0$ for all $i \in \{n_N + 1, \dots, n_T\} = (n^*)_0$. Moreover, since D is locally spanned by $\frac{\partial}{\partial x^1}, \dots, \frac{\partial}{\partial x^{n_0}}$ the second condition shows $X^i \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_N$ for $i \in \{n_0 + 1, \dots, n_T\} = (n^*)_N$. This shows the first part. The second part follows since $X \in \mathbf{C}\Gamma^\infty(TM)_0$ if and only if $\iota^\# X \in \Gamma^\infty(D)$. \square

With the help of this local characterization we can now identify constraint vector fields with constraint derivations, see [Proposition 1.4.12](#), using the Lie derivative:

Proposition 2.4.2 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. Then*

$$\mathcal{L}: \mathbf{C}\Gamma^\infty(TM) \rightarrow \mathbf{C}\text{Der}(\mathbf{C}\mathcal{C}^\infty(\mathcal{M})) \quad (2.4.4)$$

given by the Lie derivative is an isomorphism of constraint $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

PROOF: From classical differential geometry we know that \mathcal{L} is an isomorphism on the T-components. To show that \mathcal{L} is a constraint morphism consider $X \in \mathbf{C}\Gamma^\infty(TM)_0$ and $f \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_N$. Then

$$(\mathcal{L}_X f)|_C = \mathcal{L}_{\iota^\# X} f|_C = 0,$$

since $X|_C \in \Gamma^\infty(D)$. Thus \mathcal{L} maps the 0-component to the 0-component. Now let $X \in \mathbf{C}\Gamma^\infty(TM)_N$ be given. Then for $f \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_N$ we get

$$\mathcal{L}_Y(\mathcal{L}_X f)|_C = \mathcal{L}_{[Y, \iota^\# X]} f|_C + \underbrace{\mathcal{L}_{\iota^\# X} \mathcal{L}_Y f|_C}_{=0} = \mathcal{L}_{[Y, \iota^\# X]} f|_C = 0,$$

for all $Y \in \Gamma^\infty(D)$, since $[Y, \iota^\# X] \in \Gamma^\infty(TC)$. Finally, for $f \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0$ we have $f|_C = 0$ and therefore

$$(\mathcal{L}_X f)|_C = \mathcal{L}_{\iota^\# X} f|_C = 0,$$

which shows that \mathcal{L} is a constraint morphism. Since the T-component of \mathcal{L} is just the classical Lie derivative, which is an isomorphism, \mathcal{L} is a constraint monomorphism. To show that \mathcal{L} is also a regular epimorphism let $D \in \mathbf{C}\text{Der}(\mathbf{C}\mathcal{C}^\infty(\mathcal{M}))_N$ be given. Since D is in particular a derivation of $\mathcal{C}^\infty(M)$ we know that there exists $X \in \Gamma^\infty(TM)$ such that $\mathcal{L}_X = D$. Choose an adapted chart (U, x) around $p \in C$, then $X|_U = \sum_{i=1}^{n_T} X^i \frac{\partial}{\partial x^i}$. Since \mathcal{L}_X is a constraint derivation we get $X^i = \mathcal{L}_X(x^i) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M}|_U)_0$ for all $i \in \{n_N + 1, \dots, n_T\} = (n^*)_0$ and $X^i = \mathcal{L}_X(x^i) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M}|_U)_N$ for all $i \in \{n_0 + 1, \dots, n_T\} = (n^*)_N$, by [Example 2.1.6 i.](#) And thus $X \in \mathbf{C}\Gamma^\infty(TM)_N$ using [Lemma 2.4.1 i.](#) With the same line of reasoning we obtain $X \in \mathbf{C}\Gamma^\infty(TM)_0$ if $D \in \mathbf{C}\text{Der}(\mathbf{C}\mathcal{C}^\infty(\mathcal{M}))_0$, showing that \mathcal{L} is a regular epimorphism, and therefore an isomorphism. \square

With this we can transport the constraint Lie algebra structure from $\text{CDer}(\mathcal{C}\mathcal{L}^\infty(\mathcal{M}))$ to $\text{C}\Gamma^\infty(T\mathcal{M})$. This is just the usual Lie bracket of vector fields, but now we see that it is actually compatible with the constraint structure. Alternatively, one could directly check that the classical Lie bracket of vector fields yields a constraint Lie algebra structure.

With this at hand let us introduce constraint differential forms. Since there are two tensor products available we can define constraint differential forms in two ways.

Definition 2.4.3 (Constraint Differential Forms) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. We denote by*

$$\mathbf{C}\Omega_{\otimes}^\bullet(\mathcal{M}) := \Lambda_{\otimes}^\bullet \text{C}\Gamma^\infty(T^*\mathcal{M}) = \bigoplus_{k=0}^{\infty} \Lambda_{\otimes}^k \text{C}\Gamma^\infty(T^*\mathcal{M}) \quad (2.4.5)$$

and

$$\mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M}) := \Lambda_{\boxtimes}^\bullet \text{C}\Gamma^\infty(T^*\mathcal{M}) = \bigoplus_{k=0}^{\infty} \Lambda_{\boxtimes}^k \text{C}\Gamma^\infty(T^*\mathcal{M}) \quad (2.4.6)$$

the graded strong constraint modules of constraint differential forms on \mathcal{M} .

Note that $\mathbf{C}\Omega_{\otimes}^\bullet(\mathcal{M}) \simeq (\Lambda_{\otimes}^\bullet \text{C}\Gamma^\infty(T\mathcal{M}))^*$ and $\mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M}) \simeq (\Lambda_{\boxtimes}^\bullet \text{C}\Gamma^\infty(T\mathcal{M}))^*$. Thus $\alpha \in \mathbf{C}\Omega_{\otimes}^k(\mathcal{M})$ can be evaluated at $X_1 \otimes \dots \otimes X_k \in \Lambda_{\otimes}^k \text{C}\Gamma^\infty(T\mathcal{M})$, while $\alpha \in \mathbf{C}\Omega_{\boxtimes}^k(\mathcal{M})$ can be evaluated at $X_1 \otimes \dots \otimes X_k \in \Lambda_{\boxtimes}^k \text{C}\Gamma^\infty(T\mathcal{M})$. For $\mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M})$ there is a good constraint Cartan calculus as we see in the following.

Proposition 2.4.4 (Cartan calculus) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold.*

- i.) $\mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M})$ is an embedded graded commutative strong constraint algebra with respect to the wedge product \wedge .
- ii.) The insertion of vector fields into forms defines a constraint $\mathcal{C}\mathcal{L}^\infty(\mathcal{M})$ -module morphism

$$i: \text{C}\Gamma^\infty(T\mathcal{M}) \rightarrow \text{CDer}^{-1}(\mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M})), \quad (2.4.7)$$

with $\text{CDer}^{-1}(\mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M}))$ denoting the graded constraint derivations of degree -1 .

- iii.) The Lie derivative defines a \mathbb{R} -linear constraint morphism

$$\mathcal{L}: \text{C}\Gamma^\infty(T\mathcal{M}) \rightarrow \text{CDer}^0(\mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M})) \quad (2.4.8)$$

into the graded constraint derivations of degree 0 of $\mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M})$.

- iv.) The de Rham differential defines a graded constraint derivation

$$d: \mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M}) \rightarrow \mathbf{C}\Omega_{\boxtimes}^{\bullet+1}(\mathcal{M}) \quad (2.4.9)$$

of degree $+1$.

PROOF: In all cases we only need to show that the involved maps are actually constraint maps. For the first part this is clear by the definition of $\mathbf{C}\Omega^\bullet(\mathcal{M})$. For the insertion consider $X \in \text{C}\Gamma^\infty(T\mathcal{M})_0$. Then $i_X \alpha \in \text{C}\Gamma^\infty(T\mathcal{M})_0$ for all $\alpha \in \text{C}\Gamma^\infty(T^*\mathcal{M})_N$. Since i_X is a derivation of the wedge product it maps $\mathbf{C}\Omega^\bullet(\mathcal{M})_N$ to $\mathbf{C}\Omega^\bullet(\mathcal{M})_0$. Now consider $X \in \text{C}\Gamma^\infty(T\mathcal{M})_N$. Then again by the derivation property it is easy to see that $i_X(\mathbf{C}\Omega^\bullet(\mathcal{M})_N) \subseteq \mathbf{C}\Omega^\bullet(\mathcal{M})_N$ and $i_X(\mathbf{C}\Omega^\bullet(\mathcal{M})_0) \subseteq \mathbf{C}\Omega^\bullet(\mathcal{M})_0$. Thus i is a constraint morphism. Since the Lie derivative is again a derivation and we know, by [Proposition 2.4.2](#) and from the fact that $\mathcal{L}_X Y = [X, Y]$, that \mathcal{L}_X is a constraint

endomorphism of $\mathbf{C}\Gamma^\infty(T\mathcal{M})$, it follows that \mathcal{L} is a constraint morphism. For the de Rham differential we can argue with the formula

$$\begin{aligned} (d\alpha)(X_0 \otimes \cdots \otimes X_k) &= \sum_{i=0}^k (-1)^k \mathcal{L}_{X_i}(\alpha(X_0, \dots, \overset{i}{\wedge}, \dots, X_k)) \\ &\quad + \sum_{i < j} (-1)^{i+j} \alpha([X_i, X_j], X_0, \dots, \overset{i}{\wedge}, \dots, \overset{j}{\wedge}, \dots, X_k), \end{aligned}$$

for $X_0 \otimes \cdots \otimes X_k \in (\Lambda_{\boxtimes}^\bullet \mathbf{C}\Gamma^\infty(T\mathcal{M}))_{\mathbf{T}}$ to see that d is a constraint morphism. For example, if $\alpha \in \mathbf{C}\Omega_{\boxtimes}^k(\mathcal{M})_0$ is given, we have

$$(d\alpha)(X_0 \otimes \cdots \otimes X_k) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0$$

for all $X_0, \dots, X_k \in \mathbf{C}\Gamma^\infty(T\mathcal{M})_{\mathbf{N}}$, since from

$$\alpha(X_0, \dots, \overset{i}{\wedge}, \dots, X_k) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0$$

it follows that

$$\mathcal{L}_{X_i} \alpha(X_0, \dots, \overset{i}{\wedge}, \dots, X_k) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0$$

and from $[X_i, X_j] \in \mathbf{C}\Gamma^\infty(T\mathcal{M})_0$ it follows

$$\alpha([X_i, X_j], X_0, \dots, \overset{i}{\wedge}, \dots, \overset{j}{\wedge}, \dots, X_k) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0.$$

Thus we have $d\alpha \in \mathbf{C}\Omega_{\boxtimes}^{k+1}(\mathcal{M})_0$. In a similar way we can argue for $\alpha \in \mathbf{C}\Omega_{\boxtimes}^k(\mathcal{M})_{\mathbf{N}}$. \square

Since i , \mathcal{L} and d are completely determined by their \mathbf{T} -components, we immediately get all the usual formulas from the classical Cartan calculus, such as e.g. Cartan's magic formula

$$\mathcal{L}_X = [i_X, d]. \quad (2.4.10)$$

We cannot expect a similarly well behaved Cartan calculus on $\mathbf{C}\Omega_{\otimes}^\bullet(\mathcal{M})$, since in this case the de Rham differential is not well-defined, as the next example shows.

Example 2.4.5 Consider $\mathcal{M} = (\mathbb{R}^{n_{\mathbf{T}}}, \mathbb{R}^{n_{\mathbf{N}}}, \mathbb{R}^{n_0})$ with $n_0 \geq 1$ and let $\alpha = x^1 dx^{n_{\mathbf{T}}} \in \mathbf{C}\Gamma^\infty(T^*\mathcal{M})_0$. Then we have

$$d\alpha = dx^1 \wedge dx^{n_{\mathbf{T}}} \in \mathbf{C}\Gamma^\infty(T^*\mathcal{M})_{\mathbf{T}} \wedge \mathbf{C}\Gamma^\infty(T^*\mathcal{M})_0 \not\subseteq \mathbf{C}\Omega_{\otimes}^2(\mathcal{M})_0. \quad (2.4.11)$$

Remark 2.4.6 The constraint de Rham differential can also be understood as the constraint Lie algebroid differential [Mac05, Chapter 7] for the constraint Lie algebroid $T\mathcal{M}$, see [Example 2.3.4](#).

Even though $\mathbf{C}\Omega_{\otimes}^\bullet(\mathcal{M})$ does not carry as rich an algebraic structure as $\mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M})$ it will nevertheless play an important role as those constraint forms which are dual to constraint multivector fields of the form $\Lambda_{\boxtimes}^\bullet \mathbf{C}\Gamma^\infty(T\mathcal{M})$.

Definition 2.4.7 (Constraint de Rham cohomology) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. We call the constraint complex $(\mathbf{C}\Omega_{\boxtimes}^\bullet(\mathcal{M}), d)$ the (constraint) de Rham complex of \mathcal{M} . Its cohomology is called the constraint de Rham cohomology of \mathcal{M} and will be denoted by $\mathbf{H}_{\text{dR}}^\bullet(\mathcal{M})$.*

Recall from [Proposition 1.6.3](#) and [Definition 1.2.21](#) that $\mathbf{H}_{\mathrm{dR}}^k(\mathcal{M})_{\mathbb{N}} = \frac{\ker d_{\mathbb{N}}^k}{\mathrm{im} d_{\mathbb{N}}^{k-1}}$ with

$$\mathrm{im} d_{\mathbb{N}}^{k-1} = \left\{ \omega \in \Omega^k(M) \mid \exists \eta \in \mathbf{C}\Omega_{\boxtimes}^{k-1}(\mathcal{M})_{\mathbb{N}} : d\eta = \omega \right\}. \quad (2.4.12)$$

Thus there might exist $\omega \in \mathbf{C}\Omega_{\boxtimes}^k(\mathcal{M})_{\mathbb{N}}$ which is exact in the classical sense, but not exact as a constraint form. This means that even if $\mathbf{H}_{\mathrm{dR}}(M)$ is trivial, $\mathbf{H}_{\mathrm{dR}}(\mathcal{M})$ might be non-trivial. Here the fact that the category $\mathbf{C}^{\mathrm{emb}}\mathbf{Mod}_{\mathbb{k}}$ is not closed under colimits enters crucially, since this allows for a non-embedded cohomology, cf. [Example 1.2.25](#). Nevertheless, there is a constraint Poincaré Lemma:

Proposition 2.4.8 (Constraint Poincaré Lemma) *For $\mathbb{R}^n = \mathbb{R}^{(n_{\mathrm{T}}, n_{\mathbb{N}}, n_0)}$ the constraint de Rham cohomology is given by*

$$\mathbf{H}_{\mathrm{dR}}^k(\mathbb{R}^n) = \begin{cases} (\mathbb{R}, \mathbb{R}, 0) & \text{for } k = 0 \\ (0, 0, 0) & \text{for } k \geq 1 \end{cases}. \quad (2.4.13)$$

PROOF: The T-component is exactly the classical Poincaré Lemma. For $k = 0$ forms are just functions and hence there do not exist exact ones. A function is closed if and only if it is constant. Thus $\mathbf{H}_{\mathrm{dR}}^0(\mathbb{R}^n)_{\mathbb{N}}$ consists of the constant functions, which are on $\mathbb{R}^{n_{\mathbb{N}}}$ constant along \mathbb{R}^{n_0} . But this is fulfilled by every constant function, hence $\mathbf{H}_{\mathrm{dR}}^0(\mathbb{R}^n)_{\mathbb{N}} = \mathbb{R}$. The only constant function that vanishes on \mathbb{R}^{n_0} is the zero function, hence $\mathbf{H}_{\mathrm{dR}}^0(\mathbb{R}^n)_0 = 0$. Now let $k \geq 1$ be given and consider a closed $\omega \in \mathbf{C}\Omega_{\boxtimes}^k(\mathbb{R}^n)_{\mathrm{T}}$. From the classical Poincaré Lemma we know that $\omega = d\eta$ is exact with

$$\eta|_x(v_1, \dots, v_{k-1}) = \int_0^1 t^{k-1} \omega|_{tx}(x, v_1, \dots, v_{k-1}) dt. \quad (*)$$

Now if $\omega \in \mathbf{C}\Omega_{\boxtimes}^k(\mathbb{R}^n)_0$, then $\iota^* \eta$ vanishes, since ω vanishes on \mathbb{R}^{n_0} . If $\omega \in \mathbf{C}\Omega_{\boxtimes}^k(\mathbb{R}^n)_{\mathbb{N}}$ we know $\iota^* \omega(w_1, \dots, w_k) = 0$ for all $w_1 \otimes \dots \otimes w_k \in (\mathbb{R}^n)_0^{\otimes k}$. Then clearly $\iota^* \eta(v_1, \dots, v_{k-1}) = 0$ for all $v_1 \otimes \dots \otimes v_{k-1} \in ((\mathbb{R}^n)^{\otimes k-1})_0$. Moreover, $\iota^* \omega$ is constant along \mathbb{R}^{n_0} , thus

$$\omega|_{t(x+y)}(x+y, v_1, \dots, v_{k-1}) = \omega|_{tx}(x, v_1, \dots, v_{k-1})$$

for all $x, v_1, \dots, v_{k-1} \in \mathbb{R}^{n_{\mathbb{N}}}$ and $y \in \mathbb{R}^{n_0}$. Then $\iota^* \eta$ is constant along \mathbb{R}^{n_0} by (*). \square

2.4.1.1 Reduction

Both types of constraint forms reduce to the classical forms on the reduced manifolds:

Proposition 2.4.9 (Constraint forms vs. reduction) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold.*

- i.) *There exists a canonical isomorphism $\mathbf{C}\Omega_{\boxtimes}^{\bullet}(\mathcal{M})_{\mathrm{red}} \simeq \Omega^{\bullet}(\mathcal{M}_{\mathrm{red}})$ of graded $\mathbf{C}\mathcal{C}^{\infty}(\mathcal{M})_{\mathrm{red}}$ -modules.*
- ii.) *There exists a canonical isomorphism $\mathbf{C}\Omega_{\boxtimes}^{\bullet}(\mathcal{M})_{\mathrm{red}} \simeq \Omega^{\bullet}(\mathcal{M}_{\mathrm{red}})$ of complexes.*

PROOF: We combine established results to the following chain of canonical isomorphisms:

$$\begin{aligned} \mathbf{C}\Omega_{\boxtimes}^{\bullet}(\mathcal{M})_{\mathrm{red}} &= \left(\bigoplus_{k=0}^{\infty} \Lambda_{\boxtimes}^k \mathbf{C}\Gamma^{\infty}(T^*\mathcal{M}) \right)_{\mathrm{red}} \simeq \bigoplus_{k=0}^{\infty} (\Lambda_{\boxtimes}^k \mathbf{C}\Gamma^{\infty}(T^*\mathcal{M}))_{\mathrm{red}} \\ &\simeq \bigoplus_{k=0}^{\infty} \Lambda^k \mathbf{C}\Gamma^{\infty}(T^*\mathcal{M})_{\mathrm{red}} \simeq \bigoplus_{k=0}^{\infty} \Lambda^k \Gamma^{\infty}(T^*\mathcal{M}_{\mathrm{red}}) = \Omega^k(\mathcal{M}_{\mathrm{red}}). \end{aligned}$$

Since we know that the reduction of \boxtimes and \otimes agree and the reduced de Rham differential d_{red} fulfils the same local characterization as the de Rham differential on \mathcal{M}_{red} the second part follows. \square

Since by [Proposition 1.6.5](#) cohomology commutes with reduction in general, we obtain as a special case

$$H_{\text{dR}}(\mathcal{M})_{\text{red}} \simeq H_{\text{dR}}(\mathcal{M}_{\text{red}}). \quad (2.4.14)$$

2.4.2 Multivector Fields and Poisson Manifolds

Let us now turn our attention to constraint multivector fields. As for constraint differential forms we can define multivector fields using both tensor products available.

Definition 2.4.10 (Constraint multivector fields) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. Then we denote by*

$$\mathbf{C}\mathfrak{X}_{\boxtimes}^{\bullet}(\mathcal{M}) := \Lambda_{\boxtimes}^{\bullet} \mathbf{C}\Gamma^{\infty}(T\mathcal{M}) \simeq \mathbf{C}\Gamma^{\infty}(\Lambda_{\boxtimes}^{\bullet} T\mathcal{M}) \quad (2.4.15)$$

and

$$\mathbf{C}\mathfrak{X}_{\boxtimes}^{\bullet}(\mathcal{M}) := \Lambda_{\boxtimes}^{\bullet} \mathbf{C}\Gamma^{\infty}(T\mathcal{M}) \simeq \mathbf{C}\Gamma^{\infty}(\Lambda_{\boxtimes}^{\bullet} T\mathcal{M}) \quad (2.4.16)$$

the graded strong constraint modules of constraint multivector fields on \mathcal{M} .

In low degrees we can easily characterize constraint multivector fields in local charts.

Lemma 2.4.11 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold of dimension $n = (n_{\text{T}}, n_{\text{N}}, n_0)$ and consider $\pi \in \mathfrak{X}^2(M)$.*

i.) *We have $\pi \in \mathbf{C}\mathfrak{X}_{\boxtimes}^2(\mathcal{M})_{\text{N}}$ if and only if for every $p \in C$ there exists a local chart (U, x) around p such that $\pi|_U = \sum_{i,j=1}^{n_{\text{T}}} \pi^{ij} \frac{\partial}{\partial x^i} \wedge \frac{\partial}{\partial x^j}$ with*

$$\begin{aligned} \pi^{ij} &\in \mathbf{C}\mathcal{E}^{\infty}(\mathcal{M}|_U)_0 \text{ if } (i, j) \in (n^* \boxtimes n^*)_0 = n \blacklozenge n, \\ \pi^{ij} &\in \mathbf{C}\mathcal{E}^{\infty}(\mathcal{M}|_U)_{\text{N}} \text{ if } (i, j) \in (n^* \boxtimes n^*)_{\text{N}} = n \blacklozenge n. \end{aligned} \quad (2.4.17)$$

ii.) *We have $\pi \in \mathbf{C}\mathfrak{X}_{\boxtimes}^2(\mathcal{M})_0$ if and only if for every $p \in C$ there exists a local chart (U, x) around p such that $\pi|_U = \sum_{i,j=1}^{n_{\text{T}}} \pi^{ij} \frac{\partial}{\partial x^i} \wedge \frac{\partial}{\partial x^j}$ with*

$$\pi^{ij} \in \mathbf{C}\mathcal{E}^{\infty}(\mathcal{M}|_U)_0 \text{ if } (i, j) \in (n^* \boxtimes n^*)_{\text{N}} = n \blacklozenge n. \quad (2.4.18)$$

iii.) *We have $\pi \in \mathbf{C}\mathfrak{X}_{\otimes}^2(\mathcal{M})_{\text{N}}$ if and only if for every $p \in C$ there exists a local chart (U, x) around p such that $\pi|_U = \sum_{i,j=1}^{n_{\text{T}}} \pi^{ij} \frac{\partial}{\partial x^i} \wedge \frac{\partial}{\partial x^j}$ with*

$$\begin{aligned} \pi^{ij} &\in \mathbf{C}\mathcal{E}^{\infty}(\mathcal{M}|_U)_0 \text{ if } (i, j) \in (n^* \otimes n^*)_0 = n \blacklozenge n, \\ \pi^{ij} &\in \mathbf{C}\mathcal{E}^{\infty}(\mathcal{M}|_U)_{\text{N}} \text{ if } (i, j) \in (n^* \otimes n^*)_{\text{N}} = n \blacklozenge n. \end{aligned} \quad (2.4.19)$$

iv.) *We have $\pi \in \mathbf{C}\mathfrak{X}_{\otimes}^2(\mathcal{M})_0$ if and only if for every $p \in C$ there exists a local chart (U, x) around p such that $\pi|_U = \sum_{i,j=1}^{n_{\text{T}}} \pi^{ij} \frac{\partial}{\partial x^i} \wedge \frac{\partial}{\partial x^j}$ with*

$$\pi^{ij} \in \mathbf{C}\mathcal{E}^{\infty}(\mathcal{M}|_U)_0 \text{ if } (i, j) \in (n^* \otimes n^*)_{\text{N}} = n \blacklozenge n. \quad (2.4.20)$$

PROOF: Let us proof *i.*), the other statements follow analogously: For every $p \in C$ we find by [Example 2.3.5](#) an adapted chart (U, x) such that

$$\frac{\partial}{\partial x^i} \in \mathbf{C}\Gamma^\infty(TU)_0 \text{ if } i \in n_0 \quad \text{and} \quad \frac{\partial}{\partial x^i} \in \mathbf{C}\Gamma^\infty(TU)_N \text{ if } i \in n_N.$$

By definition we have $\pi \in \mathbf{C}\mathfrak{X}_\otimes^2(\mathcal{M})$ if and only if for every $p \in C$ it holds that $\pi(p) \in T_p C \wedge T_p C$ and $\mathcal{L}_X \overline{\pi|_C} = 0$ for all $X \in \Gamma^\infty(D)$. Thus, using $\pi|_U = \sum_{i,j=1}^{n_T} \pi^{ij} \frac{\partial}{\partial x^i} \wedge \frac{\partial}{\partial x^j}$ we see that $\pi^{ij} = 0$ whenever $i < n_N$ or $j > n_N$, i.e. whenever $(i, j) \in n \diamond n$. Moreover, we have

$$\mathcal{L}_{\frac{\partial}{\partial x^k}} \overline{\pi|_{U \cap C}} = \sum_{i,j=n_0+1}^{n_N} \frac{\partial}{\partial x^k} \pi^{ij} \frac{\partial}{\partial x^i} \wedge \frac{\partial}{\partial x^j}$$

for all $k = 1, \dots, n_0$, which vanishes if and only if $\pi^{ij} \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M}|_U)_N$ for all $i, j = n_0 + 1, \dots, n_N$, i.e. if $(i, j) \in n \diamond n$. Together this yields *i.*) \square

The following example shows that every constraint manifold constructed from a coisotropic submanifold of a Poisson manifold carries a constraint bivector field in $\mathbf{C}\mathfrak{X}_\boxtimes^2(\mathcal{M})$, while a Poisson submanifold yields a constraint bivector field in $\mathbf{C}\mathfrak{X}_\otimes^2(\mathcal{M})$.

Example 2.4.12 Let (M, π) be a Poisson manifold.

- i.*) If $C \subseteq M$ is a closed coisotropic submanifold allowing for a smooth reduction we denote by $\mathcal{M} = (M, C, D)$ the constraint manifold with D the characteristic distribution of the coisotropic submanifold C . Let $n = (n_T, n_N, n_0)$ be its constraint dimension. Then $\pi \in \Lambda^2 \Gamma^\infty(TM)$ is a bivector field, fulfilling $\iota^\# \pi \in \Gamma^\infty(TC \wedge TC + \iota^\# TM \wedge D) = \Gamma^\infty((\Lambda_\boxtimes TM)_N)$. In an adapted coordinate chart (U, x) around $p \in C$, cf. [Lemma 2.2.8](#), we have

$$\overline{\iota^\# \pi|_{U \cup C}} = \sum_{i,j=n_0+1}^{n_N} \pi^{ij} \frac{\partial}{\partial x^i} \wedge \frac{\partial}{\partial x^j}, \quad (2.4.21)$$

and thus for all $\ell = 1, \dots, n_0$

$$\nabla_{\frac{\partial}{\partial x^\ell}} \overline{\iota^\# \pi|_{U \cup C}} = \sum_{i,j=n_0+1}^{n_N} \pi^{ij} \left[\frac{\partial}{\partial x^\ell}, \frac{\partial}{\partial x^i} \right] \wedge \frac{\partial}{\partial x^j} + \sum_{i,j=n_0+1}^{n_N} \pi^{ij} \frac{\partial}{\partial x^i} \wedge \left[\frac{\partial}{\partial x^\ell}, \frac{\partial}{\partial x^j} \right] = 0$$

holds. Here we crucially use that $\pi^{ij} \in \mathbf{C}\mathcal{C}^\infty(U)_N$ for all $i, j = n_0, \dots, n_N$. Since D is locally spanned by $\frac{\partial}{\partial x^1}, \dots, \frac{\partial}{\partial x^{n_0}}$ we have $\pi \in \mathbf{C}\mathfrak{X}_\boxtimes^2(\mathcal{M})_N$.

- ii.*) Since every Poisson submanifold is in particular coisotropic, every closed Poisson submanifold gives a constraint manifold $\mathcal{M} = (M, C, 0)$ the constraint manifold with trivial distribution. Let $n = (n_T, n_N, 0)$ be its constraint dimension. Then $\pi \in \Lambda^2 \Gamma^\infty(TM)$ restricts to a bivector field $\pi|_C \in \Lambda^2 \Gamma^\infty(TC) = \Gamma^\infty((\Lambda^2 TM)_N)$. Since D is trivial we thus get $\pi \in \mathbf{C}\mathfrak{X}_\otimes^2(\mathcal{M})_N$.
- iii.*) Every closed Poisson submanifold C of a Poisson manifold M can also be equipped with another distribution D given by the symplectic leaves of C . In general, the leaf space will not be symplectic, but e.g. for certain types of Poisson manifolds of compact type at least an orbifold structure on the leaf space can be achieved, see [\[CFM19b; CFM19a\]](#). Note that in the case of a smooth leaf space we obtain a constraint manifold $\mathcal{M} = (M, C, D)$ with a constraint Poisson structure $\pi \in \Lambda^2 \mathbf{C}\mathfrak{X}_\otimes^2(\mathcal{M})_N$. The reduced space then describes the transversal structure.

This suggests that a constraint manifold equipped with a constraint bivector field $\pi \in \mathbf{C}\mathfrak{X}_{\boxtimes}^2(\mathcal{M})_{\mathbf{N}}$ fulfilling the Jacobi identity induces a coisotropic structure on its submanifold. On the other hand $\pi \in \mathbf{C}\mathfrak{X}_{\otimes}^2(\mathcal{M})_{\mathbf{N}}$ fulfilling the Jacobi identity seems to induce a Poisson structure on C , which drops to \mathcal{M}_{red} . To make this precise we first introduce the Schouten bracket for constraint multivector fields.

Proposition 2.4.13 (Constraint Schouten bracket) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. The classical Schouten bracket defines constraint graded Lie algebra structures*

$$[\cdot, \cdot]: \mathbf{C}\mathfrak{X}_{\otimes}^{k+1}(\mathcal{M}) \otimes_{\mathbf{k}} \mathbf{C}\mathfrak{X}_{\otimes}^{\ell+1}(\mathcal{M}) \rightarrow \mathbf{C}\mathfrak{X}_{\otimes}^{k+\ell+1}(\mathcal{M}) \quad (2.4.22)$$

and

$$[\cdot, \cdot]: \mathbf{C}\mathfrak{X}_{\boxtimes}^{k+1}(\mathcal{M}) \otimes_{\mathbf{k}} \mathbf{C}\mathfrak{X}_{\boxtimes}^{\ell+1}(\mathcal{M}) \rightarrow \mathbf{C}\mathfrak{X}_{\boxtimes}^{k+\ell+1}(\mathcal{M}) \quad (2.4.23)$$

with respect to the degree shifted by 1.

PROOF: This follows directly from the formula

$$[[X_0 \wedge \cdots \wedge X_k, Y_0 \wedge \cdots \wedge Y_\ell]] = \sum_{i=0}^k \sum_{j=0}^{\ell} (-1)^{i+j} [X_i, Y_j] \wedge X_1 \cdots \hat{\wedge}^i \cdots X_k \wedge Y_0 \wedge \cdots \hat{\wedge}^j \cdots \wedge Y_\ell$$

and the fact that $[\cdot, \cdot]$ is a constraint Lie bracket on $\mathbf{C}\mathfrak{X}^1(\mathcal{M})$. \square

It is important to note that even for $\mathbf{C}\mathfrak{X}_{\boxtimes}^{\bullet}(\mathcal{M})$ we do *not* obtain a strong constraint Lie algebra structure. One way to see this is to note that $\mathbf{C}\text{Der}(\mathbf{C}\mathcal{C}^{\infty}(\mathcal{M}))$ is only a constraint Lie algebra, even though $\mathbf{C}\mathcal{C}^{\infty}(\mathcal{M})$ is a strong constraint algebra. Ultimately, this comes from the fact that $\mathbf{C}\text{Hom}$ is adjoint to \otimes and not \boxtimes .

Corollary 2.4.14 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. Then*

- i.) $(\mathbf{C}\mathfrak{X}_{\otimes}^{\bullet+1}(\mathcal{M}), d = 0, [\cdot, \cdot])$ is a constraint DGLA.
- ii.) $(\mathbf{C}\mathfrak{X}_{\boxtimes}^{\bullet+1}(\mathcal{M}), d = 0, [\cdot, \cdot])$ is a constraint DGLA.

In contrast to constraint differential forms there is no preferred choice of the tensor products, at least from the point of view of available algebraic structure. Nevertheless, [Example 2.4.12 i.\)](#) shows that if we are interested in coisotropic submanifolds we are forced to consider $\mathbf{C}\mathfrak{X}_{\boxtimes}^2(\mathcal{M})$ instead of $\mathbf{C}\mathfrak{X}_{\otimes}^2(\mathcal{M})$. Thus we define the constraint analogue of a Poisson manifold as follows.

Definition 2.4.15 (Constraint Poisson manifold) *A constraint Poisson manifold consists of a constraint manifold $\mathcal{M} = (M, C, D)$ together with a constraint bivector field $\pi \in \mathbf{C}\mathfrak{X}_{\boxtimes}^2(\mathcal{M})_{\mathbf{N}}$ such that $[[\pi, \pi]] = 0$.*

We can characterize constraint Poisson manifolds exactly as Poisson manifolds with coisotropic submanifolds.

Proposition 2.4.16 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold and $\pi \in \Gamma^{\infty}(\Lambda^2 TM)$. Then the following statements are equivalent:*

- i.) (\mathcal{M}, π) is a constraint Poisson manifold.
- ii.) $\{f, g\} := \pi(df, dg)$ defines a constraint Poisson bracket on $\mathbf{C}\mathcal{C}^{\infty}(\mathcal{M})$.
- iii.) (M, π) is a Poisson manifold and $C \subseteq M$ is a coisotropic submanifold with characteristic distribution D .

PROOF: We first show the equivalence of *i.)* and *ii.)*. Thus assume (\mathcal{M}, π) is a constraint Poisson manifold. Then $\{\cdot, \cdot\}$ is a Poisson bracket on $C\mathcal{C}^\infty(\mathcal{M})_T$ by classical results. It remains to show that it is a constraint map. For this recall that $\pi \in C\mathfrak{X}_{\boxtimes}^2(\mathcal{M})_N$ and

$$d \otimes d: C\mathcal{C}^\infty(\mathcal{M}) \otimes C\mathcal{C}^\infty(\mathcal{M}) \rightarrow C\Gamma^\infty(T^*\mathcal{M}) \otimes C\Gamma^\infty(T^*\mathcal{M}) = C\Gamma^\infty(T\mathcal{M} \boxtimes T\mathcal{M})^*.$$

Since the evaluation is a constraint map we see that $\{\cdot, \cdot\}$ is constraint. On the other hand, if π induces a constraint Poisson bracket, then π is a classical Poisson structure on M . It remains to show that $\pi \in C\mathfrak{X}^2(\mathcal{M})_N$. For this consider local adapted coordinates, such that

$$\pi|_U = \sum_{i,j=1}^{n_T} \pi^{ij} \frac{\partial}{\partial x^i} \wedge \frac{\partial}{\partial x^j}.$$

Then we have $\{x^i, x^j\} = \pi^{ij}$ showing that (2.4.19) holds, and therefore π is a constraint Poisson bivector.

Next we show the equivalence of *ii.)* and *iii.)*. Assume (\mathcal{M}, π) is a constraint Poisson manifold. Since $\pi \in \Lambda^2\Gamma^\infty(TM)$ is a bivector field on M with $[[\pi, \pi]] = 0$ it is a Poisson structure on M . Moreover, for $f \in \mathcal{I}_C$ we have

$$X_f = \pi(\cdot, df) = -i_{df} \pi \in C\Gamma^\infty(TM)_0$$

by Proposition 2.4.4. This shows $X_f(p) \in T_p C$ for $p \in C$, and thus $C \subseteq M$ is a coisotropic submanifold. To show that D is the corresponding characteristic distribution consider $p \in C$ and let (U, x) be an adapted chart around p as in Lemma 2.1.4. Since $C\mathcal{C}^\infty(\mathcal{M}|_U)_0$ is generated by $x^{n_N+1}, \dots, x^{n_T}$ the characteristic distribution is spanned by

$$X_{x^i} = -i_{dx^j} \pi|_U = \sum_{j=1}^{n_T} \pi^{ij} \Big|_U \frac{\partial}{\partial x^j} \in C\Gamma^\infty(TM|_U)_0.$$

From Lemma 2.4.11 *iv.)* it follows that $X_{x^i}(p) = \sum_{j=1}^{n_0} \pi^{ij}(p) \frac{\partial}{\partial x^j} \Big|_p$ and therefore the characteristic distribution is given by D . The reverse implication is exactly given by Example 2.4.12 *i.)*. \square

Remark 2.4.17 This result will have far reaching consequences for the deformation quantization of Poisson manifolds equipped with coisotropic submanifolds as considered in Section 3.1. This will be discussed in more detail later on, but let us mention here that requiring $\pi \in C\mathfrak{X}_{\otimes}^2(\mathcal{M})_N$ instead of $\pi \in C\mathfrak{X}_{\boxtimes}^2(\mathcal{M})_N$ would correspond to $C \subseteq M$ being a Poisson submanifold, or equivalently $C\mathcal{C}^\infty(\mathcal{M})$ being a strong constraint Poisson algebra. Thus the choice of the tensor product, \otimes or \boxtimes amounts to the choice between a Poisson and a coisotropic submanifold.

2.4.2.1 Reduction

Both types of constraint multivector fields are well behaved under reduction:

Proposition 2.4.18 (Multivector fields vs. reduction) *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold.*

- i.) There exists a canonical isomorphism $C\mathfrak{X}_{\otimes}^\bullet(\mathcal{M})_{\text{red}} \simeq \mathfrak{X}^\bullet(\mathcal{M}_{\text{red}})$ of DGLAs.*
- ii.) There exists a canonical isomorphism $C\mathfrak{X}_{\boxtimes}^\bullet(\mathcal{M})_{\text{red}} \simeq \mathfrak{X}^\bullet(\mathcal{M}_{\text{red}})$ of DGLAs.*

PROOF: Similar to the proof of [Proposition 2.4.9](#) this is a chain of canonical isomorphisms introduced before:

$$\begin{aligned} \mathbf{C}\mathfrak{X}_{\otimes}^{\bullet}(\mathcal{M})_{\text{red}} &= \left(\bigoplus_{k=0}^{\infty} \Lambda_{\otimes}^k \mathbf{C}\Gamma^{\infty}(T\mathcal{M}) \right)_{\text{red}} \simeq \bigoplus_{k=0}^{\infty} (\Lambda_{\otimes}^k \mathbf{C}\Gamma^{\infty}(T\mathcal{M}))_{\text{red}} \\ &\simeq \bigoplus_{k=0}^{\infty} \Lambda^k \mathbf{C}\Gamma^{\infty}(T\mathcal{M})_{\text{red}} \simeq \bigoplus_{k=0}^{\infty} \Lambda^k \Gamma^{\infty}(T\mathcal{M}_{\text{red}}) = \mathfrak{X}^k(\mathcal{M}_{\text{red}}). \end{aligned}$$

Since the defining equation of the Schouten bracket holds for the reduced Schouten bracket we get an isomorphism of (differential) graded Lie algebras. The second part follows since \boxtimes and \otimes agree after reduction, and the Schouten bracket is given by the same formula. \square

Since the reduced Schouten bracket is defined on representatives we can infer that constraint Poisson manifolds reduce to classical Poisson manifolds.

Corollary 2.4.19 *Let (\mathcal{M}, π) be a constraint Poisson manifold. Then $(\mathcal{M}_{\text{red}}, \pi_{\text{red}})$ is a Poisson manifold.*

Example 2.4.20 Let us revisit the examples of [Example 2.4.12](#). For this let (M, π) be a Poisson manifold.

- i.)* For every closed Poisson submanifold $C \subseteq M$ the reduction of the constraint Poisson manifold $((M, C, 0), \pi)$ is given by $(C, \pi|_C)$.
- ii.)* For every closed coisotropic submanifold $C \subseteq M$ the reduction of the constraint Poisson manifold $((M, C, D), \pi)$ agrees with the classical coisotropic reduction.
- iii.)* Since every Poisson submanifold is in particular coisotropic we also get for every closed Poisson submanifold $C \subseteq M$ a constraint Poisson manifold $\mathcal{M} = (M, C, D)$ with $\pi \in \mathbf{C}\mathfrak{X}_{\boxtimes}^2(\mathcal{M})$.

Even though we can always reduce Poisson structures, it is not clear that, in general, all Poisson structures on \mathcal{M}_{red} come from a constraint Poisson structure on \mathcal{M} , since, even though we can always lift a bivector field to \mathcal{M} , it is not obvious how it can be extended from C to M such that it still fulfils $[[\pi, \pi]] = 0$, see also [Remark 1.1.19 ii.\)](#).

2.5 Constraint Symbol Calculus

In this last section about constraint geometry we want to study (multi-)differential operators on a manifold which are compatible with reduction, i.e. constraint (multi-)differential operators on constraint manifolds. We start in [Section 2.5.1](#) by introducing algebraic constraint differential operators and study the particular case of constraint differential operators on sections of constraint vector bundles. This will lead to a constraint leading symbol. In order to find a full constraint symbol we define constraint covariant derivatives in [Section 2.5.2](#), which we use in [Section 2.5.3](#) to establish a constraint symbol calculus. Finally, [Section 2.5.4](#) is concerned with the generalization of the constraint symbol calculus to constraint multidifferential operators.

2.5.1 Differential Operators

By an approach of Grothendieck, first introduced in [\[Gro67\]](#), for a classical commutative algebra \mathcal{A} differential operators can be defined recursively as

$$\text{DiffOp}^k(\mathcal{A}) := \left\{ D \in \text{End}_{\mathbb{k}}(\mathcal{A}) \mid [L_a, D] \in \text{DiffOp}^{k-1}(\mathcal{A}) \text{ for all } a \in \mathcal{A} \right\}, \quad (2.5.1)$$

for $k \geq 0$ and

$$\text{DiffOp}^{-1}(\mathcal{A}) := \{0\}, \quad (2.5.2)$$

where L_a denotes the left multiplication with the fixed element $a \in \mathcal{A}$.

Instead of repeating the classical definitions internal to our categories of constraint algebras and modules, let us directly give the following definition.

Definition 2.5.1 (Constraint differential operators) *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ be a commutative embedded constraint algebra, and let \mathcal{E}, \mathcal{F} be embedded constraint \mathcal{A} -modules. For $k \in \mathbb{Z}$ we define the constraint differential operators as*

$$\begin{aligned} \text{CDiffOp}^k(\mathcal{E}; \mathcal{F})_{\mathbb{T}} &:= \text{DiffOp}^k(\mathcal{E}_{\mathbb{T}}; \mathcal{F}_{\mathbb{T}}) \\ \text{CDiffOp}^k(\mathcal{E}; \mathcal{F})_{\mathbb{N}} &:= \left\{ D \in \text{DiffOp}^k(\mathcal{E}_{\mathbb{T}}; \mathcal{F}_{\mathbb{T}}) \mid D \in \text{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})_{\mathbb{N}} \right\}, \\ \text{CDiffOp}^k(\mathcal{E}; \mathcal{F})_{\mathbb{0}} &:= \left\{ D \in \text{DiffOp}^k(\mathcal{E}_{\mathbb{T}}; \mathcal{F}_{\mathbb{T}}) \mid D \in \text{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})_{\mathbb{0}} \right\}, \end{aligned} \quad (2.5.3)$$

and write

$$\text{CDiffOp}^{\bullet}(\mathcal{E}; \mathcal{F}) := \bigoplus_{k \in \mathbb{Z}} \text{CDiffOp}^k(\mathcal{E}; \mathcal{F}). \quad (2.5.4)$$

Note that $\text{CDiffOp}^k(\mathcal{E}; \mathcal{F})$, and also $\text{CDiffOp}^{\bullet}(\mathcal{E}; \mathcal{F})$, become strong constraint \mathcal{A} -bimodules with respect to the classical $\mathcal{A}_{\mathbb{T}}$ -bimodule structure given by $(a \cdot D)(b) = a \cdot D(b)$ and $(D \cdot a)(b) = D(a \cdot b)$.

Let us now focus on the case of differential operators on the sections of constraint vector bundles. We will write $\text{CDiffOp}^{\bullet}(E; F)$ instead of $\text{CDiffOp}^{\bullet}(\mathbf{C}\Gamma^{\infty}(E); \mathbf{C}\Gamma^{\infty}(F))$ and $\text{CDiffOp}^{\bullet}(\mathcal{M})$ for $\text{CDiffOp}^{\bullet}(\mathbf{C}\mathcal{C}^{\infty}(\mathcal{M}); \mathbf{C}\mathcal{C}^{\infty}(\mathcal{M}))$.

Example 2.5.2 Consider $\mathcal{M} = \mathbb{R}^n = (\mathbb{R}^{n_{\mathbb{T}}}, \mathbb{R}^{n_{\mathbb{N}}}, \mathbb{R}^{n_{\mathbb{0}}})$. Then for any multi index $I = (i_1, \dots, i_r) \in \mathbb{N}_0^r$ we write

$$\partial_I = \frac{\partial^r}{\partial x^{i_1} \dots \partial x^{i_r}} \in \text{CDiffOp}^r(\mathbb{R}^n)_{\mathbb{T}}. \quad (2.5.5)$$

We have $\partial_I \in \text{CDiffOp}^r(\mathbb{R}^n)_{\mathbb{N}}$ if and only if it only differentiates in direction of the subspace $\mathbb{R}^{n_{\mathbb{N}}}$, since then it preserves $\mathbf{C}\mathcal{C}^{\infty}(\mathbb{R}^n)_{\mathbb{N}}$ and $\mathbf{C}\mathcal{C}^{\infty}(\mathbb{R}^n)_{\mathbb{0}}$. Similarly, we have $\partial_I \in \text{CDiffOp}^r(\mathbb{R}^n)_{\mathbb{0}}$ if and only if it only differentiates in direction of $\mathbb{R}^{n_{\mathbb{N}}}$ and at least once in direction of the distribution $\mathbb{R}^{n_{\mathbb{0}}}$. In other words

$$n^{\otimes r} \ni I \mapsto \partial_I \in \text{CDiffOp}^r(\mathbb{R}^n), \quad (2.5.6)$$

with $n^{\otimes r}$ as defined in [Definition 1.3.8](#), is a constraint map.

This example leads to the following useful observation.

Lemma 2.5.3 *Let E be a constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$ of dimension $n = (n_{\mathbb{T}}, n_{\mathbb{N}}, n_{\mathbb{0}})$ and let $e_1, \dots, e_{\text{rank } E} \in \mathbf{C}\Gamma^{\infty}(E)_{\mathbb{T}}$ be a constraint local frame. For all $r \in \mathbb{N}$ the following statements hold:*

i.) *If $s \in \mathbf{C}\Gamma^{\infty}(E)_{\mathbb{N}}$, then the map*

$$\varphi: n^{\otimes r} \otimes (\text{rank } E)^* \ni (I, \alpha) \mapsto \partial_I s^{\alpha} \in \mathbf{C}\mathcal{C}^{\infty}(\mathcal{M}), \quad (2.5.7)$$

with $s^{\alpha} = e^{\alpha}(s)$, is constraint, i.e. $\varphi \in \mathbf{CMap}(n^{\otimes r} \otimes (\text{rank } E)^, \mathbf{C}\mathcal{C}^{\infty}(\mathcal{M}))_{\mathbb{N}}$.*

ii.) *If $s \in \mathbf{C}\Gamma^{\infty}(E)_{\mathbb{0}}$, then it holds $\varphi \in \mathbf{CMap}(n^{\otimes r} \otimes (\text{rank } E)^*, \mathbf{C}\mathcal{C}^{\infty}(\mathcal{M}))_{\mathbb{0}}$.*

In this case we can locally characterize differential operators as follows.

Proposition 2.5.4 (Local form of constraint differential operators) *Let E and F be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$ of dimension $n = (n_T, n_N, n_0)$ and let $D \in \text{CDiffOp}^k(E; F)_T$, for $k \in \mathbb{N}_0$. Consider local adapted coordinates (U, x) on \mathcal{M} and let $e_1, \dots, e_{\text{rank}(E_T)} \in \Gamma^\infty(E_T)$ be a constraint local frame. Then*

$$D|_U(s) = \sum_{r=0}^k \sum_{\alpha=1}^{\text{rank}(E_T)} \frac{1}{r!} D_{U,\alpha}^I \cdot \partial_I s^\alpha \quad (2.5.8)$$

with $D_{U,\alpha}^I \in \text{C}\Gamma^\infty(F_T|_U)$ and $s^\alpha = e^\alpha(s)$.

i.) *It holds $D \in \text{CDiffOp}^k(E; F)_N$ if and only if*

$$\begin{aligned} D_{U,\alpha}^I &\in \text{C}\Gamma^\infty(F)_N && \text{if } (I, \alpha) \in ((n^*)^{\boxtimes r} \boxtimes \text{rank } E)_N \\ D_{U,\alpha}^I &\in \text{C}\Gamma^\infty(F)_0 && \text{if } (I, \alpha) \in ((n^*)^{\boxtimes r} \boxtimes \text{rank } E)_0, \end{aligned} \quad (2.5.9)$$

ii.) *It holds $D \in \text{CDiffOp}^k(E; F)_0$ if and only if*

$$D_{U,\alpha}^I \in \text{C}\Gamma^\infty(F)_0 \quad \text{if } (I, \alpha) \in ((n^*)^{\boxtimes r} \boxtimes \text{rank } E)_N. \quad (2.5.10)$$

PROOF: Evaluating $D|_U$ on $x^{i_1} \dots x^{i_r} \cdot e_\alpha$ yields $D_{U,\alpha}^I$. Now from [Example 2.1.6 i.\)](#) it follows that $x^{i_1} \dots x^{i_r} \in \text{C}\mathcal{C}^\infty(\mathcal{M})_N$ if $I \in ((n^*)^{\boxtimes r})_N$ and that $x^{i_1} \dots x^{i_r} \in \text{C}\mathcal{C}^\infty(\mathcal{M})_0$ if $I \in ((n^*)^{\boxtimes r})_0$. Moreover, since we use a constraint local frame we know $e_\alpha \in \text{C}\Gamma^\infty(E)_N$ if and only if $\alpha \in \text{rank}(E)_N$ and $e_\alpha \in \text{C}\Gamma^\infty(E)_0$ if and only if $\alpha \in \text{rank}(E)_0$. Then for $D \in \text{CDiffOp}^k(E; F)_N$ we immediately get [\(2.5.9\)](#). And similarly we obtain for $D \in \text{CDiffOp}^k(E; F)_0$ directly [\(2.5.10\)](#). For the other implication assume [\(2.5.9\)](#) holds. Let $s \in \text{C}\Gamma^\infty(E)_0$. Then all terms of [\(2.5.8\)](#) end up in $\text{C}\Gamma^\infty(F)_0$: By [Lemma 2.5.3](#) we have either $(I, \alpha) \in (n^{\otimes r} \otimes \text{rank } E)_0$ and thus $\partial_I s^\alpha \in \text{C}\mathcal{C}^\infty(\mathcal{M})_0$, or $(I, \alpha) \in (n^{\otimes r} \otimes (\text{rank } E)^*)_N = ((n^*)^{\boxtimes r} \boxtimes \text{rank } E)_N$ and thus $D_{U,\alpha}^I \in \text{C}\mathcal{C}^\infty(F)_0$. For $s \in \text{C}\Gamma^\infty(E)_N$ we have $\partial_I s^\alpha \in \text{C}\mathcal{C}^\infty(\mathcal{M})_0$ if $(I, \alpha) \in (n^{\otimes r} \otimes (\text{rank } E)^*)_0$ and $\partial_I s^\alpha \in \text{C}\mathcal{C}^\infty(\mathcal{M})_N$ if $(I, \alpha) \in (n^{\otimes r} \otimes (\text{rank } E)^*)_N$. Thus if $(I, \alpha) \in (n^{\otimes r} \otimes (\text{rank } E)^*)_T \setminus (n^{\otimes r} \otimes (\text{rank } E)^*)_N = ((n^*)^{\boxtimes r} \boxtimes \text{rank } E)_0$, then $D_{U,\alpha}^I \in \text{C}\Gamma^\infty(F)_0$, and if $(I, \alpha) \in (n^{\otimes r} \otimes (\text{rank } E)^*)_N \setminus (n^{\otimes r} \otimes (\text{rank } E)^*)_0 \subseteq ((n^*)^{\boxtimes r} \boxtimes \text{rank } E)_N$, then $D_{U,\alpha}^I \in \text{C}\Gamma^\infty(F)_N$. This gives the first part. The second part follows by completely analogous considerations. \square

If $E = F = \mathcal{M} \times \mathbb{R}$ the local formula simplifies as follows.

Corollary 2.5.5 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold of dimension $n = (n_T, n_N, n_0)$ and let $D \in \text{CDiffOp}^k(\mathcal{M})_T$, for $k \in \mathbb{N}_0$. Locally we can write*

$$D|_U = \sum_{r=0}^k \frac{1}{r!} D_U^I \partial_I \quad (2.5.11)$$

with $D_U^I \in \text{C}\mathcal{C}^\infty(\mathcal{M})_T$.

i.) *It holds $D \in \text{CDiffOp}^k(\mathcal{M})_N$ if and only if*

$$\begin{aligned} D_U^I &\in \text{C}\mathcal{C}^\infty(\mathcal{M})_N && \text{if } I \in ((n^*)^{\boxtimes r})_N \\ D_U^I &\in \text{C}\mathcal{C}^\infty(\mathcal{M})_0 && \text{if } I \in ((n^*)^{\boxtimes r})_0. \end{aligned} \quad (2.5.12)$$

ii.) *It holds $D \in \text{CDiffOp}^k(\mathcal{M})_0$ if and only if*

$$D_U^I \in \text{C}\mathcal{C}^\infty(\mathcal{M})_0 \quad \text{if } I \in ((n^*)^{\boxtimes r})_N \quad (2.5.13)$$

For every differential operator $D \in \text{DiffOp}^k(E; F)$ the classical leading symbol

$$\sigma_k(D) \in \Gamma^\infty(S^k TM) \otimes E^* \otimes F \quad (2.5.14)$$

is locally given by

$$\sigma_k(D)|_U = \sum_{i_1, \dots, i_k=1}^{n_T} \sum_{\alpha=1}^{\text{rank } E} \frac{1}{k!} \frac{\partial}{\partial x^{i_1}} \vee \dots \vee \frac{\partial}{\partial x^{i_k}} \otimes e^\alpha \otimes D_{U, \alpha}^{(i_1, \dots, i_k)}. \quad (2.5.15)$$

For constraint differential operators this becomes a constraint section.

Proposition 2.5.6 (Constraint leading symbol) *Let E and F be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$.*

i.) The leading symbol defines a constraint morphism

$$\sigma_k: \text{CDiffOp}^k(E; F) \rightarrow \text{C}\Gamma^\infty((S_{\otimes}^k TM \otimes E^*) \boxtimes F) \quad (2.5.16)$$

of strong constraint $\text{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

ii.) If $E = F = \mathcal{M} \times \mathbb{R}$ the leading symbol becomes a constraint morphism

$$\sigma_k: \text{CDiffOp}^k(\mathcal{M}) \rightarrow \text{C}\Gamma^\infty(S_{\otimes}^k T\mathcal{M}) \quad (2.5.17)$$

of strong constraint $\text{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

PROOF: The T-component of σ_k is just the classical leading symbol. So it only remains to show that σ_k is a constraint morphism. For this let $D \in \text{CDiffOp}^k(E; F)_N$ be given. If $(I, \alpha) \in ((n^*)^{\boxtimes k} \boxtimes \text{rank } E)_0$ we have $D_{U, \alpha}^{(i_1, \dots, i_k)} \in \text{C}\Gamma^\infty(F)_0$ by [Proposition 2.5.4](#). If

$$(I, \alpha) \in ((n^*)^{\boxtimes k} \boxtimes \text{rank } E)_N \setminus ((n^*)^{\boxtimes k} \boxtimes \text{rank } E)_0 \subseteq (n^{\otimes k} \otimes (\text{rank } E)^*)_N$$

we have $\frac{\partial}{\partial x^{i_1}} \vee \dots \vee \frac{\partial}{\partial x^{i_k}} \otimes e^\alpha \in \text{C}\Gamma^\infty(S_{\otimes}^k TM \otimes E^*)_N$ and $D_{U, \alpha}^{(i_1, \dots, i_k)} \in \text{C}\Gamma^\infty(F)_N$. Moreover, for

$$(I, \alpha) \in ((n^*)^{\boxtimes k} \boxtimes \text{rank } E)_T \setminus ((n^*)^{\boxtimes k} \boxtimes \text{rank } E)_N = (n^{\otimes k} \otimes (\text{rank } E)^*)_0$$

we obtain $\frac{\partial}{\partial x^{i_1}} \vee \dots \vee \frac{\partial}{\partial x^{i_k}} \otimes e^\alpha \in \text{C}\Gamma^\infty(S_{\otimes}^k TM \otimes E^*)_0$. Thus σ_k preserves the N-component. Let now $D \in \text{CDiffOp}^k(E; F)_0$ be given. Then for $(I, \alpha) \in ((n^*)^{\boxtimes k} \boxtimes \text{rank } E)_N$ we have $D_{U, \alpha}^{(i_1, \dots, i_k)} \in \text{C}\Gamma^\infty(F)_0$ by [Proposition 2.5.4](#), and for

$$(I, \alpha) \in ((n^*)^{\boxtimes k} \boxtimes \text{rank } E)_T \setminus ((n^*)^{\boxtimes k} \boxtimes \text{rank } E)_N = (n^{\otimes k} \otimes (\text{rank } E)^*)_0$$

we get $\frac{\partial}{\partial x^{i_1}} \vee \dots \vee \frac{\partial}{\partial x^{i_k}} \otimes e^\alpha \in \text{C}\Gamma^\infty(S_{\otimes}^k TM \otimes E^*)_0$ as before. The second part is just a special case of the first. \square

Restricting the leading symbol to $\text{CDer}(\text{C}\mathcal{C}^\infty(\mathcal{M}))$ gives the inverse

$$\sigma_1|_{\text{CDer}(\text{C}\mathcal{C}^\infty(\mathcal{M}))}: \text{CDer}(\text{C}\mathcal{C}^\infty(\mathcal{M})) \rightarrow \text{C}\Gamma^\infty(T\mathcal{M}) \quad (2.5.18)$$

of the Lie derivative, see [Proposition 2.4.2](#). Observe, that the local formula for constraint differential operators recovers the local formulas of constraint vector fields from [Lemma 2.4.1](#).

2.5.1.1 Reduction

Let us first consider the reduction of constraint differential operators on general constraint modules.

Proposition 2.5.7 (Constraint differential operators vs. reduction) *Let $\mathcal{A} \in \mathbf{C}^{\text{emb}}\mathbf{Alg}$ be a commutative embedded constraint algebra, and let \mathcal{E}, \mathcal{F} be embedded constraint \mathcal{A} -modules. For each $k \in \mathbb{N}_0$ there is a natural injective morphism*

$$\mathbf{CDiffOp}^k(\mathcal{E}; \mathcal{F})_{\text{red}} \rightarrow \mathbf{DiffOp}^k(\mathcal{E}_{\text{red}}; \mathcal{F}_{\text{red}}) \quad (2.5.19)$$

of \mathcal{A}_{red} -modules.

PROOF: Since by definition we have $\mathbf{CDiffOp}^k(\mathcal{E}; \mathcal{F}) \subseteq \mathbf{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})$ and $\mathbf{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})_{\text{red}} \simeq \mathbf{Hom}_{\mathbb{k}}(\mathcal{E}_{\text{red}}, \mathcal{F}_{\text{red}})$ by [Proposition 1.2.26](#) we obtain an injective morphism $\mathbf{CDiffOp}^k(\mathcal{E}; \mathcal{F})_{\text{red}} \rightarrow \mathbf{Hom}_{\mathbb{k}}(\mathcal{E}_{\text{red}}, \mathcal{F}_{\text{red}})$. The recursive condition in [\(2.5.1\)](#) still holds after reduction, cf. [Remark 1.1.19 ii.](#)), which shows that we obtain the required morphism. \square

Note again that we can in general not expect the morphism [\(2.5.19\)](#) to be an isomorphism, cf. [Remark 1.1.19 ii.](#)). Let us now take a look at reduction of constraint differential operators of sections:

Proposition 2.5.8 (Constraint differential operators of sections vs. reduction)

Let E and F be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$.

i.) Let $D \in \mathbf{CDiffOp}^k(E; F)_{\mathbb{N}}$, then locally

$$(D_{\text{red}}|_U)(s) = \sum_{r=0}^k \sum_{n_0 < I \leq n_{\mathbb{N}}} \frac{1}{r!} (D_{U, \alpha}^I)_{\text{red}} \cdot \partial_I s^\alpha, \quad (2.5.20)$$

for $s \in \Gamma^\infty(E_{\text{red}})$.

ii.) The constraint leading symbol σ_k induces the classical leading symbol

$$(\sigma_k)_{\text{red}}: \mathbf{DiffOp}^k(E_{\text{red}}; F_{\text{red}}) \rightarrow \Gamma^\infty(\mathbf{S}^k T\mathcal{M}_{\text{red}} \otimes E_{\text{red}}^* \otimes F_{\text{red}}) \quad (2.5.21)$$

on the reduced manifold \mathcal{M}_{red} .

PROOF: Let $\check{e}_1, \dots, \check{e}_{\text{rank } E_{\text{red}}} \in \mathbf{C}\Gamma^\infty(E_{\text{red}}|_U)$ be a local frame. Then by the same construction as in the proof of [Lemma 2.2.8](#) we obtain a constraint local frame $e_1, \dots, e_{\text{rank } E_{\text{red}}} \in \mathbf{C}\Gamma^\infty(E_{\text{red}}|_{\pi_{\text{red}}^{-1}(U)})$. Moreover, from [Proposition 2.3.23](#) we know that there exists $\hat{s} \in \mathbf{C}\Gamma^\infty(E)_{\mathbb{N}}$ such that $s = [\hat{s}]$. Then it follows from [Proposition 2.5.4](#) that

$$(D_{\text{red}}|_U)(s) = (D|_{\pi_{\text{red}}^{-1}(U)}(\hat{s}))_{\text{red}} = \sum_{r=0}^k \sum_{\alpha=1}^{\text{rank}(E_{\text{red}})} \frac{1}{r!} (D_{U, \alpha}^I)_{\text{red}} \cdot [\partial_I \hat{s}^\alpha]$$

holds. From [Proposition 2.5.4](#) we also know that $(D_{U, \alpha}^I)_{\text{red}} = 0$ if $(I, \alpha) \in ((n^*)^{\boxtimes r} \boxtimes \text{rank } E)_{\mathbb{N}}$. If $(I, \alpha) \in (n^{\otimes r} \otimes (\text{rank } E)^*)_{\mathbb{N}}$ then $[\partial_I \hat{s}^\alpha] = 0$ by [Lemma 2.5.3](#). The remaining summands give [\(2.5.20\)](#). Since the leading symbol is characterized by the highest order terms of the local expression it follows from [\(2.5.20\)](#) that $(\sigma_k)_{\text{red}}$ is indeed the leading symbol for the reduced differential operators. \square

2.5.2 Covariant Derivatives

We introduce covariant derivatives by copying the classical definition.

Definition 2.5.9 (Constraint covariant derivative) *Let $E = (E_T, E_N, E_0)$ be a constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$. A constraint covariant derivative is a morphism*

$$\nabla: \mathbf{C}\Gamma^\infty(T\mathcal{M}) \otimes_{\mathbb{R}} \mathbf{C}\Gamma^\infty(E) \rightarrow \mathbf{C}\Gamma^\infty(E) \quad (2.5.22)$$

of constraint \mathbb{R} -modules such that

$$\nabla_{fX}s = f\nabla_Xs \quad (2.5.23)$$

and

$$\nabla_Xfs = (\mathcal{L}_Xf)s + f\nabla_Xs \quad (2.5.24)$$

for all $X \in \mathbf{C}\Gamma^\infty(T\mathcal{M})_T$, $s \in \mathbf{C}\Gamma^\infty(E)_T$ and $f \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_T$.

Condition (2.5.23) could be rephrased as saying that ∇ is a left $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -module morphism.

Remark 2.5.10 The question arises why we use $\otimes_{\mathbb{R}}$ and not $\boxtimes_{\mathbb{R}}$ in the definition of constraint covariant derivatives. One way to answer this is by observing that the Lie derivative $\mathcal{L}: \mathbf{C}\Gamma^\infty(T\mathcal{M}) \otimes_{\mathbb{R}} \mathbf{C}\mathcal{C}^\infty(\mathcal{M}) \rightarrow \mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ is not well-defined if we would use $\boxtimes_{\mathbb{R}}$ instead of $\otimes_{\mathbb{R}}$, and hence (2.5.24) would cause problems. Another justification comes from the fact that we can rephrase a classical covariant derivative as a map $\nabla: \Gamma^\infty(E) \rightarrow \text{Hom}_{\mathbb{R}}(\Gamma^\infty(TM), \Gamma^\infty(E))$. Using this as a starting point, we could define a constraint covariant derivative by a constraint map $\nabla: \mathbf{C}\Gamma^\infty(E) \rightarrow \mathbf{C}\text{Hom}_{\mathbb{R}}(\mathbf{C}\Gamma^\infty(T\mathcal{M}), \mathbf{C}\Gamma^\infty(E))$. Using Proposition 1.5.42 this translates to

$$\nabla: \mathbf{C}\Gamma^\infty(E) \rightarrow \mathbf{C}\Gamma^\infty(T^*\mathcal{M}) \boxtimes_{\mathbb{R}} \mathbf{C}\Gamma^\infty(E) \quad (2.5.25)$$

and applying Corollary 1.5.43 yields our definition of constraint covariant derivative using $\otimes_{\mathbb{R}}$.

Corollary 2.5.11 *Let $E = (E_T, E_N, E_0)$ be a constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$. Let ∇ be a covariant derivative on E_T . Then ∇ is a constraint covariant derivative on E if and only if the following properties hold:*

- i.) $\nabla_Xs \in \mathbf{C}\Gamma^\infty(E)_N$ for all $X \in \mathbf{C}\Gamma^\infty(T\mathcal{M})_N$ and $s \in \mathbf{C}\Gamma^\infty(E)_N$.
- ii.) $\nabla_Xs \in \mathbf{C}\Gamma^\infty(E)_0$ for all $X \in \mathbf{C}\Gamma^\infty(T\mathcal{M})_N$ and $s \in \mathbf{C}\Gamma^\infty(E)_0$.
- iii.) $\nabla_Xs \in \mathbf{C}\Gamma^\infty(E)_0$ for all $X \in \mathbf{C}\Gamma^\infty(T\mathcal{M})_0$ and $s \in \mathbf{C}\Gamma^\infty(E)_N$.

Example 2.5.12 Let $E = \mathcal{M} \times \mathbb{R}^k$ be a trivial constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$ as in Example 2.2.7. By Proposition 2.3.13 we know that $\mathbf{C}\Gamma^\infty(E) \simeq \mathbf{C}\mathcal{C}^\infty(\mathcal{M})^k$ is a free strong constraint module. The componentwise Lie derivative then defines a constraint covariant derivative on E .

This example also shows the local existence of constraint covariant derivatives. Global existence can be shown using the constraint Serre-Swan Theorem:

Proposition 2.5.13 (Existence of constraint covariant derivatives) *On any constraint vector bundle $E = (E_T, E_N, E_0, \nabla^E)$ over a constraint manifold $\mathcal{M} = (M, C, D)$ exists a constraint covariant derivative.*

PROOF: By [Theorem 2.3.18](#) we know that $\mathbf{C}\Gamma^\infty(E)$ is finitely generated projective. Let $\{e_i, e^i\}_{i \in n}$ be a constraint dual basis of $\mathbf{C}\Gamma^\infty(E)$ as in [Proposition 1.5.38](#), then every $s \in \mathbf{C}\Gamma^\infty(E)_T$ can be written as $s = \sum_{i=1}^{n_T} e^i(s)e_i$. We define

$$\nabla_X s := \sum_{i=1}^{n_T} \mathcal{L}_X(e^i(s))e_i$$

for every $X \in \Gamma^\infty(TM)$ and $s \in \Gamma^\infty(E_T)$. An easy computation shows that ∇ defines indeed a covariant derivative on E_T . We still need to show that ∇ is compatible with the constraint structure. By [Proposition 1.5.38](#) we know that

$$\nabla_X s = \sum_{i=1}^{n_0} \mathcal{L}_X(e^i(s)) \cdot \underbrace{e_i}_{\in \mathbf{C}\Gamma^\infty(E)_0} + \sum_{i=n_0+1}^{n_N} \mathcal{L}_X(e^i(s)) \cdot \underbrace{e_i}_{\in \mathbf{C}\Gamma^\infty(E)_N} + \sum_{i=n_N+1}^{n_T} \mathcal{L}_X(e^i(s)) \cdot \underbrace{e_i}_{\in \mathbf{C}\Gamma^\infty(E)_T} \quad (*)$$

Thus the first term in $(*)$ is always in $\mathbf{C}\Gamma^\infty(E)_0$. Now let $X \in \mathbf{C}\Gamma^\infty(TM)_N$ be given. Again by [Proposition 1.5.38](#) we get the following case by case study:

- For $s \in \mathbf{C}\Gamma^\infty(E)_N$ we have $e^i(s) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_N$ and hence $\mathcal{L}_X(e^i(s)) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_N$ for all $i = n_0 + 1, \dots, n_N$. Hence the second term of $(*)$ is in $\mathbf{C}\Gamma^\infty(E)_N$. Moreover, for $i = n_N + 1, \dots, n_T$ we have $e^i(s) \in \mathbf{C}\mathcal{C}^\infty(0)$ and hence $\mathcal{L}_X(e^i(s)) \in \mathbf{C}\mathcal{C}^\infty(0)$. Therefore also the third term is in $\mathbf{C}\Gamma^\infty(E)_N$.
- If $s \in \mathbf{C}\Gamma^\infty(E)_0$, then $e^i(s) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0$ for all $i = n_0 + 1, \dots, n_T$. Thus both the second and third term of $(*)$ are elements in $\mathbf{C}\Gamma^\infty(E)_N$.

Suppose $X \in \mathbf{C}\Gamma^\infty(TM)_0$.

- For all $s \in \mathbf{C}\Gamma^\infty(E)_N$ we have $e^i(s) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0$ and hence $\mathcal{L}_X(e^i(s)) \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0$, showing that $(*)$ ends up in $\mathbf{C}\Gamma^\infty(E)_0$.

Thus ∇ is a constraint covariant derivative. \square

Proposition 2.5.14 *Let $E = (E_T, E_N, E_0, \nabla^E)$ be a constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$.*

- If ∇ and $\tilde{\nabla}$ are constraint covariant derivatives for E then, $\nabla - \tilde{\nabla}$ is $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -bilinear, hence $\nabla - \tilde{\nabla} \in \mathbf{C}\Gamma^\infty(T^*\mathcal{M} \boxtimes \mathbf{C}\text{End}(E))_N$.*
- If ∇ is a constraint covariant derivative on E and $A \in \mathbf{C}\Gamma^\infty(T^*\mathcal{M} \boxtimes \mathbf{C}\text{End}(E))_N$, then*

$$\tilde{\nabla}_X s := \nabla_X s + A(X \otimes s), \quad (2.5.26)$$

with $X \in \mathbf{C}\Gamma^\infty(TM)_T$, $s \in \mathbf{C}\Gamma^\infty(E)_T$, defines another constraint covariant derivative on E .

PROOF: For the first part, a quick check or the well-known classical statement shows that $\nabla - \tilde{\nabla}$ is bilinear, i.e. $\nabla - \tilde{\nabla} \in \mathbf{C}\Gamma^\infty(TM) \otimes \mathbf{C}\Gamma^\infty(E) \rightarrow \mathbf{C}\Gamma^\infty(E)$. By [Corollary 1.5.43](#) this is equivalently given by an element in $\mathbf{C}\Gamma^\infty(T^*\mathcal{M} \boxtimes \mathbf{C}\text{End}(E))$. For the second part note that

$$\mathbf{C}\Gamma^\infty(T^*\mathcal{M} \boxtimes \mathbf{C}\text{End}(E)) \simeq \mathbf{C}\Gamma^\infty(TM)^* \boxtimes \mathbf{C}\text{End}_{\mathbf{C}\mathcal{C}^\infty(\mathcal{M})}(\mathbf{C}\Gamma^\infty(E)),$$

thus the evaluation of A on $X \otimes s$ is indeed a constraint morphism by [\(1.2.29\)](#), showing that $\tilde{\nabla}$ is a constraint covariant derivative. \square

The above shows that the set of constraint covariant derivatives forms an affine space over $\mathbf{C}\Gamma^\infty(T^*\mathcal{M} \boxtimes \mathbf{C}\text{End}(E))_N$.

Remark 2.5.15 Even though we have not formally introduced constraint affine spaces, it becomes clear that the constraint set of covariant derivatives on E is a constraint affine space over $\text{C}\Gamma^\infty(T^*\mathcal{M} \boxtimes \text{C}\text{End}(E))$. In particular ∇ and $\tilde{\nabla}$ are equivalent, if and only if $\nabla - \tilde{\nabla} \in \text{C}\Gamma^\infty(T^*\mathcal{M} \boxtimes \text{C}\text{End}(E))_0$.

Proposition 2.5.16 *Let $E = (E_T, E_N, E_0)$ and $F = (F_T, F_N, F_0)$ be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$.*

i.) Suppose ∇ is a constraint covariant derivative on E , then ∇^ defined by*

$$(\nabla_X^* \alpha)(s) := \mathcal{L}_X(\alpha(s)) - \alpha(\nabla_X s), \quad (2.5.27)$$

for $X \in \text{C}\Gamma^\infty(T\mathcal{M})_T$, $\alpha \in \text{C}\Gamma^\infty(E^)$ and $s \in \text{C}\Gamma^\infty(E)_T$, defines a constraint covariant derivative on E^* .*

ii.) Suppose ∇^E and ∇^F are constraint covariant derivative on E and F , respectively. Then $\nabla^{E \otimes F}$ defined by

$$\nabla_X^{E \otimes F}(s \otimes t) := (\nabla_X^E s) \otimes t + s \otimes (\nabla_X^F t) \quad (2.5.28)$$

for $X \in \text{C}\Gamma^\infty(T\mathcal{M})_T$, $s \in \text{C}\Gamma^\infty(E)_T$ and $t \in \text{C}\Gamma^\infty(F)_T$ defines a constraint covariant derivative on $E \otimes F$.

iii.) Suppose ∇^E and ∇^F are constraint covariant derivative on E and F , respectively. Then $\nabla^{E \boxtimes F}$ defined by

$$\nabla_X^{E \boxtimes F}(s \otimes t) := (\nabla_X^E s) \otimes t + s \otimes (\nabla_X^F t) \quad (2.5.29)$$

for $X \in \text{C}\Gamma^\infty(T\mathcal{M})_T$, $s \in \text{C}\Gamma^\infty(E)_T$ and $t \in \text{C}\Gamma^\infty(F)_T$ defines a constraint covariant derivative on $E \boxtimes F$.

PROOF: On the T-components these constructions are just given by the usual canonical constructions for covariant derivatives on E_T^* and $E_T \otimes F_T$. Thus a straightforward check of the three properties from [Corollary 2.5.11](#) shows that these are indeed constraint covariant derivatives. \square

By [Remark 2.5.10](#) a constraint covariant derivative ∇^E on a constraint vector bundle E can be understood as a constraint map $\nabla: \text{C}\Gamma^\infty(E) \rightarrow \text{C}\Gamma^\infty(T^*\mathcal{M}) \boxtimes_{\mathbb{k}} \text{C}\Gamma^\infty(E)$. If we additionally choose a constraint covariant derivative on $T\mathcal{M}$, then by [Proposition 2.5.16 iii.\)](#) we obtain a constraint covariant derivative on $T^*\mathcal{M} \boxtimes E$. Thus we obtain an iterated covariant derivative

$$\underbrace{\nabla \circ \dots \circ \nabla}_{k\text{-times}}: \text{C}\Gamma^\infty(E) \rightarrow \text{C}\Gamma^\infty(T^*\mathcal{M})^{\boxtimes k} \boxtimes_{\mathbb{k}} \text{C}\Gamma^\infty(E). \quad (2.5.30)$$

Symmetrizing yields the following notion of symmetrized covariant derivative.

Definition 2.5.17 (Symmetrized constraint covariant derivative) *Let $E = (E_T, E_N, E_0)$ be a constraint vector bundle over a constraint manifold $\mathcal{M} = (M, C, D)$. Moreover, let ∇^E and ∇ be constraint covariant derivatives on E and $T\mathcal{M}$, respectively. The constraint morphism*

$$D^E: \text{C}\Gamma^\infty(\mathbb{S}_{\boxtimes}^k T^*\mathcal{M} \boxtimes E) \rightarrow \text{C}\Gamma^\infty(\mathbb{S}_{\boxtimes}^{k+1} T^*\mathcal{M} \boxtimes E) \quad (2.5.31)$$

defined by

$$\begin{aligned} (D^E \alpha)(X_0, \dots, X_k) &:= \sum_{i=0}^k \nabla_{X_i}^E(\alpha(X_0, \dots, \overset{i}{\wedge}, \dots, X_k)) \\ &\quad - \sum_{i \neq j} \alpha(\nabla_{X_i} X_j, X_0, \dots, \overset{i}{\wedge}, \dots, \overset{j}{\wedge}, \dots, X_k), \end{aligned} \quad (2.5.32)$$

for $\alpha \in \text{C}\Gamma^\infty(\mathbb{S}_{\boxtimes}^k T^\mathcal{M} \boxtimes E)_T$ and $X_0, \dots, X_k \in \text{C}\Gamma^\infty(T\mathcal{M})_T$, is called symmetrized constraint covariant derivative.*

Since D^E is defined as a composition of constraint maps, it is itself a constraint morphism.

If we consider the trivial bundle $E = \mathcal{M} \times \mathbb{R}$ with its canonical constraint covariant derivative from [Example 2.5.12](#), then we denote the symmetrized covariant derivative corresponding to a constraint covariant derivative ∇ for $T\mathcal{M}$ simply by

$$D: \text{C}\Gamma^\infty(\mathbb{S}_{\boxtimes}^\bullet T^*\mathcal{M}) \rightarrow \text{C}\Gamma^\infty(\mathbb{S}_{\boxtimes}^{\bullet+1} T^*\mathcal{M}). \quad (2.5.33)$$

2.5.2.1 Reduction

As expected, the reduction of a constraint covariant derivative yields a covariant derivative on the reduced bundle.

Proposition 2.5.18 (Covariant derivative vs. Reduction) *Let E be a constraint vector bundle over $\mathcal{M} = (M, C, D)$. Moreover, let ∇ be a constraint covariant derivative on E .*

- i.) The reduction $\nabla_{\text{red}}: \Gamma^\infty(T\mathcal{M}_{\text{red}}) \otimes_{\mathbb{k}} \Gamma^\infty(E_{\text{red}}) \rightarrow \Gamma^\infty(E_{\text{red}})$ defines a covariant derivative on E_{red} .*
- ii.) For the dual covariant derivative it holds $(\nabla^*)_{\text{red}} = (\nabla_{\text{red}})^*$.*
- iii.) If F is another constraint vector bundle over \mathcal{M} with covariant derivative $\tilde{\nabla}$, we get*

$$(\nabla^{E \otimes F})_{\text{red}} = \nabla^{E_{\text{red}} \otimes F_{\text{red}}} = (\nabla^{E \boxtimes F})_{\text{red}}. \quad (2.5.34)$$

- iv.) For the symmetrized constraint covariant derivative it holds $(D^E)_{\text{red}} = D^{E_{\text{red}}}$.*

PROOF: Since taking sections commutes with reduction by [Proposition 2.3.23](#), all reduced maps are defined for the correct domains and codomains. The defining equations for all involved morphism carry over to the reduction by [Remark 1.1.19 ii.](#) \square

2.5.3 Symbol Calculus

We define

$$i_s: \text{C}\Gamma^\infty((\mathbb{S}_{\otimes}^k T\mathcal{M} \otimes E^*) \boxtimes F) \otimes \text{C}\Gamma^\infty(\mathbb{S}_{\boxtimes}^\ell T^*\mathcal{M} \boxtimes E) \rightarrow \text{C}\Gamma^\infty(\mathbb{S}_{\otimes}^{k-\ell} T\mathcal{M} \boxtimes F) \quad (2.5.35)$$

by using $\text{C}\Gamma^\infty(\mathbb{S}_{\boxtimes}^\ell T^*\mathcal{M} \boxtimes E) \simeq (\text{C}\Gamma^\infty(\mathbb{S}_{\otimes}^k T\mathcal{M} \otimes E^*))^*$. More precisely, on factorizing tensors we have

$$i_s(X \otimes \alpha \otimes t)(\omega \otimes s) = \alpha(s) \cdot i_s(X)(\omega) \otimes t, \quad (2.5.36)$$

where $X \in \text{C}\Gamma^\infty(\mathbb{S}_{\otimes}^k T\mathcal{M})_{\text{T}}$, $\alpha \in \text{C}\Gamma^\infty(E^*)_{\text{T}}$, $t \in \text{C}\Gamma^\infty(F)_{\text{T}}$, $\omega \in \text{C}\Gamma^\infty(\mathbb{S}_{\boxtimes}^\ell T^*\mathcal{M})_{\text{T}}$ and $s \in \text{C}\Gamma^\infty(E)_{\text{T}}$. This can then be extended to a constraint morphism

$$i_s: \text{C}\Gamma^\infty((\mathbb{S}_{\otimes}^\bullet T\mathcal{M} \otimes E^*) \boxtimes F) \otimes \text{C}\Gamma^\infty(\mathbb{S}_{\boxtimes}^\bullet T^*\mathcal{M} \boxtimes E) \rightarrow \text{C}\Gamma^\infty(\mathbb{S}_{\otimes}^\bullet T\mathcal{M} \boxtimes F), \quad (2.5.37)$$

see also [\(1.2.29\)](#) for the evaluation morphism for constraint modules. With this, and with the help of the symmetrized constraint covariant derivative we can now introduce the full constraint symbol:

Theorem 2.5.19 (Constraint symbol calculus) *Let E and F be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$. Moreover, let ∇^E be a constraint covariant derivative on E and ∇ a constraint covariant derivative on $T\mathcal{M}$.*

i.) Then

$$\text{Op}: \text{C}\Gamma^\infty((S_\otimes^\bullet T\mathcal{M} \otimes E^*) \boxtimes F) \rightarrow \text{CDiffOp}^\bullet(E; F) \quad (2.5.38)$$

defined by

$$\text{Op}(X)_s := \frac{1}{k!} i_s(X)(D^E)^k s, \quad (2.5.39)$$

for $X \in \text{C}\Gamma^\infty((S_\otimes^k T\mathcal{M} \otimes E^*) \boxtimes F)$ and $s \in \text{C}\Gamma^\infty(E)$, is a morphism of strong constraint $\text{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

ii.) For $X \in \text{C}\Gamma^\infty((S_\otimes^k T\mathcal{M} \otimes E^*) \boxtimes F)_\text{T}$ we have

$$\sigma_k(\text{Op}(X)) = X, \quad (2.5.40)$$

where σ_k denotes the leading symbol as usual.

iii.) Op is an isomorphism of strong constraint $\text{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

PROOF: By the classical theory we know that Op fulfils all the above properties on the T -component. For the first part note that Op is defined as a composition of constraint morphism, and thus defines itself a constraint morphism to $\text{CHom}_\mathbb{k}(\text{C}\Gamma^\infty(E), \text{C}\Gamma^\infty(F))$. Since we know that $\text{Op}(X)$ is a differential operator it follows that Op actually maps to the constraint submodule $\text{CDiffOp}^\bullet(E; F)$ of $\text{CHom}_\mathbb{k}(\text{C}\Gamma^\infty(E), \text{C}\Gamma^\infty(F))$. The second part is just the classical statement. Nevertheless, from this follows directly that Op is a monomorphism. To show that it is also a regular epimorphism we repeat the classical argument for constructing preimages. Let $D \in \text{CDiffOp}^k(\text{C}\Gamma^\infty(E); \text{C}\Gamma^\infty(F))_\text{T}$ be given. Then $D - \text{Op}(\sigma_k(D))$ has order $k - 1$. We write $X_k := \sigma_k(D)$, then by induction we obtain $D = \text{Op}(X_k + \dots + X_0)$, and thus Op is surjective. If $D \in \text{CDiffOp}^k(E; F)_\text{N}$, then we have $X_k \in \text{C}\Gamma^\infty((S_\otimes^k T\mathcal{M} \otimes E^*) \boxtimes F)_\text{N}$ and therefore Op is also surjective on the N -component. Similarly, using $D \in \text{CDiffOp}^k(E; F)_\text{o}$ we get that Op is indeed a regular epimorphism. Hence Op is a regular epimorphism and monomorphism, and therefore a constraint isomorphism. \square

For $E = F = \mathcal{M} \times \mathbb{R}$ we immediately get the following isomorphism for differential operators of $\text{C}\mathcal{C}^\infty(\mathcal{M})$.

Corollary 2.5.20 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold and let ∇ be a constraint covariant derivative for $T\mathcal{M}$. Then*

$$\text{Op}: \text{C}\Gamma^\infty(S_\otimes^\bullet T\mathcal{M}) \rightarrow \text{CDiffOp}^\bullet(\mathcal{M}), \quad (2.5.41)$$

with

$$\text{Op}(X)(f) = \frac{1}{k!} i_s(X)D^k f \quad \text{for } X \in \text{C}\Gamma^\infty(S_\otimes^k T\mathcal{M}) \quad (2.5.42)$$

is an isomorphism of constraint $\text{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

2.5.3.1 Reduction

It turns out that the reduction of the constraint full symbol map yields the full symbol on the reduced vector bundles:

Proposition 2.5.21 *Let E and F be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$. Moreover, let ∇^E be a constraint covariant derivative on E and ∇ a constraint covariant derivative on $T\mathcal{M}$. Then*

$$\text{Op}_{\text{red}}: \Gamma^\infty(S^\bullet T\mathcal{M}_{\text{red}} \otimes E_{\text{red}}^* \otimes F_{\text{red}}) \rightarrow \text{DiffOp}^\bullet(E_{\text{red}}; F_{\text{red}}) \quad (2.5.43)$$

is the symbol calculus associated to the vector bundles E_{red} and F_{red} equipped with the covariant derivatives $(\nabla^E)_{\text{red}}$ and ∇_{red} .

PROOF: Since reduction commutes with tensor products and taking sections we have

$$\mathrm{C}\Gamma^\infty((\mathbf{S}^\bullet TM \otimes E^*) \boxtimes F)_{\mathrm{red}} \simeq \Gamma^\infty(\mathbf{S}^\bullet TM_{\mathrm{red}} \otimes E_{\mathrm{red}}^* \otimes F_{\mathrm{red}}).$$

Together with [Proposition 2.5.7](#) this shows that $\mathrm{Op}_{\mathrm{red}}$ is indeed of the form [\(2.5.43\)](#). The reduced map is given by

$$\mathrm{Op}_{\mathrm{red}}([X]) = \frac{1}{k!} i_s([X])(D^E)_{\mathrm{red}}^k = \frac{1}{k!} i_s([X])(D^{E_{\mathrm{red}}})^k$$

due to [Proposition 2.5.18 iv.](#)). This shows that $\mathrm{Op}_{\mathrm{red}}$ is the associated symbol calculus on the reduced manifold. \square

The full symbol map allows us to improve the canonical morphism [\(2.5.43\)](#) for the reduced differential operators to an isomorphism:

Corollary 2.5.22 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold.*

i.) If E and F are constraint vector bundles, then

$$\mathrm{CDiffOp}^k(E; F)_{\mathrm{red}} \simeq \mathrm{DiffOp}^k(E_{\mathrm{red}}; F_{\mathrm{red}}) \quad (2.5.44)$$

for all $k \in \mathbb{N}_0$.

ii.) It holds

$$\mathrm{CDiffOp}^k(\mathcal{M})_{\mathrm{red}} \simeq \mathrm{DiffOp}^k(\mathcal{M}_{\mathrm{red}}) \quad (2.5.45)$$

for all $k \in \mathbb{N}_0$.

2.5.4 Multidifferential Operators

Grothendieck's definition of differential operators can be extended to define multidifferential operators $\mathrm{DiffOp}^K(\mathcal{E}_1, \dots, \mathcal{E}_\ell; \mathcal{F})$ of order $K = (k_1, \dots, k_\ell)$ on \mathcal{A} -modules $\mathcal{E}_1, \dots, \mathcal{E}_\ell$ with values in an \mathcal{A} -module \mathcal{F} . We write $I \leq K$ for $I = (i_1, \dots, i_r)$ and $K = (k_1, \dots, k_r)$ if $i_\ell \leq k_\ell$ for all $\ell \in \{1, \dots, r\}$. Moreover, we write $\mathrm{len}(I) = r$ for the length of the multi index.

Note that $\mathrm{DiffOp}^K(\mathcal{E}_1, \dots, \mathcal{E}_\ell; \mathcal{F}) \subseteq \mathrm{Hom}_{\mathbb{k}}(\mathcal{E}_1 \otimes_{\mathbb{k}} \dots \otimes_{\mathbb{k}} \mathcal{E}_\ell; \mathcal{F})$. With this we can define constraint multidifferential operators as those classical multidifferential operators compatible with the constraint structure.

Definition 2.5.23 (Constraint multidifferential operators) *Let $\mathcal{A} \in \mathbf{C}^{\mathrm{emb}}\mathbf{Alg}$ be a commutative embedded constraint algebra, and let $\mathcal{E}_1, \dots, \mathcal{E}_\ell, \mathcal{F}$ be embedded constraint \mathcal{A} -modules. For a multi index $K = (k_1, \dots, k_\ell) \geq 0$ we define the constraint multidifferential operators as*

$$\begin{aligned} \mathrm{CDiffOp}^K(\mathcal{E}_1, \dots, \mathcal{E}_\ell; \mathcal{F})_{\mathrm{T}} &:= \mathrm{DiffOp}^K((\mathcal{E}_1)_{\mathrm{T}}, \dots, (\mathcal{E}_\ell)_{\mathrm{T}}; \mathcal{F}_{\mathrm{T}}) \\ \mathrm{CDiffOp}^K(\mathcal{E}_1, \dots, \mathcal{E}_\ell; \mathcal{F})_{\mathrm{N}} &:= \{D \in \mathrm{DiffOp}^K((\mathcal{E}_1)_{\mathrm{T}}, \dots, (\mathcal{E}_\ell)_{\mathrm{T}}; \mathcal{F}_{\mathrm{T}}) \mid \\ &\quad D \in \mathrm{CHom}_{\mathbb{k}}(\mathcal{E}_1 \otimes_{\mathbb{k}} \dots \otimes_{\mathbb{k}} \mathcal{E}_\ell, \mathcal{F})_{\mathrm{N}}\}, \\ \mathrm{CDiffOp}^K(\mathcal{E}_1, \dots, \mathcal{E}_\ell; \mathcal{F})_0 &:= \{D \in \mathrm{DiffOp}^K((\mathcal{E}_1)_{\mathrm{T}}, \dots, (\mathcal{E}_\ell)_{\mathrm{T}}; \mathcal{F}_{\mathrm{T}}) \mid \\ &\quad D \in \mathrm{CHom}_{\mathbb{k}}(\mathcal{E}_1 \otimes_{\mathbb{k}} \dots \otimes_{\mathbb{k}} \mathcal{E}_\ell, \mathcal{F})_0\}. \end{aligned} \quad (2.5.46)$$

Note that $\mathrm{CDiffOp}^K(\mathcal{E}_1, \dots, \mathcal{E}_\ell; \mathcal{F})$ becomes a strong constraint \mathcal{A} -bimodule with respect to the classical left \mathcal{A}_{T} -module structure given by $(a \cdot D)(b) = a \cdot D(b)$. Moreover, the constraint module of all multidifferential operators $\mathrm{CDiffOp}^\bullet(\mathcal{E}_1, \dots, \mathcal{E}_\ell; \mathcal{F})$ is filtered, in the sense that for multi indices $0 \leq L \leq K$ we have

$$\mathrm{CDiffOp}^L(\mathcal{E}_1, \dots, \mathcal{E}_\ell; \mathcal{F}) \subseteq \mathrm{CDiffOp}^K(\mathcal{E}_1, \dots, \mathcal{E}_\ell; \mathcal{F}). \quad (2.5.47)$$

We now want to find a symbol calculus for constraint multidifferential operators taking as arguments sections of constraint vector bundles. For this we first need to study the local form of constraint multidifferential operators.

Proposition 2.5.24 (Local form of constraint multidifferential operators)

Let E_1, \dots, E_ℓ and F be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$ of dimension $n = (n_T, n_N, n_0)$ and let $D \in \text{CDiffOp}^K(E_1, \dots, E_\ell; F)_T$ with $K = (k_1, \dots, k_\ell)$. Consider local adapted coordinates (U, x) on \mathcal{M} and let $e_1^{(i)}, \dots, e_{n_T}^{(i)} \in \text{C}\Gamma^\infty(E_i)_T$ be constraint local frames with $n^i = \text{rank } E_i$. Then

$$D|_U(s_1, \dots, s_\ell) = \sum_{0 \leq R \leq K} \frac{1}{R!} D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} \partial_{I_1} s_1^{\alpha_1} \cdots \partial_{I_\ell} s_\ell^{\alpha_\ell} \quad (2.5.48)$$

for all $s_i \in \text{C}\Gamma^\infty(E_i)_T$, with $D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} \in \text{C}\Gamma^\infty(F_T|_U)$, $I_j = (i_1^{(j)}, \dots, i_{r_j}^{(j)})$, and $s_j^{\alpha_j} = e_{\alpha_j}^{(j)}(s_j)$.

i.) It holds $D \in \text{CDiffOp}^K(E_1, \dots, E_\ell; F)_N$ if and only if

$$D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} \in \text{C}\Gamma^\infty(F)_N \quad (2.5.49)$$

for $(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in (((n^*)^{\boxtimes r_1} \boxtimes \text{rank } E_1) \otimes \cdots \otimes ((n^*)^{\boxtimes r_\ell} \boxtimes \text{rank } E_\ell))_N$, and

$$D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} \in \text{C}\Gamma^\infty(F)_0 \quad (2.5.50)$$

for $(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in (((n^*)^{\boxtimes r_1} \boxtimes \text{rank } E_1) \otimes \cdots \otimes ((n^*)^{\boxtimes r_\ell} \boxtimes \text{rank } E_\ell))_0$.

ii.) It holds $D \in \text{CDiffOp}^K(E_1, \dots, E_\ell; F)_0$ if and only if

$$D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} \in \text{C}\Gamma^\infty(F)_0 \quad (2.5.51)$$

for $(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in (((n^*)^{\boxtimes r_1} \boxtimes \text{rank } E_1) \otimes \cdots \otimes ((n^*)^{\boxtimes r_\ell} \boxtimes \text{rank } E_\ell))_N$

PROOF: We have

$$D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} = D|_U(x^{i_1^{(1)}} \cdots x^{i_{r_1}^{(1)}} \cdot e_{\alpha_1}^{(1)}, \dots, x^{i_1^{(\ell)}} \cdots x^{i_{r_\ell}^{(\ell)}} \cdot e_{\alpha_\ell}^{(\ell)}).$$

Now from [Example 2.1.6 i.\)](#) it follows that

$$x^{i_1^{(j)}} \cdots x^{i_{r_j}^{(j)}} \cdot e_{\alpha_j}^{(j)} \in \text{C}\Gamma^\infty(E_j)_N \quad \text{if} \quad (I_j \boxtimes \alpha_j) \in ((n^*)^{\boxtimes r_j} \boxtimes \text{rank } E_j)_N$$

and that

$$x^{i_1^{(j)}} \cdots x^{i_{r_j}^{(j)}} \cdot e_{\alpha_j}^{(j)} \in \text{C}\Gamma^\infty(E_j)_0 \quad \text{if} \quad (I_j \boxtimes \alpha_j) \in ((n^*)^{\boxtimes r_j} \boxtimes \text{rank } E_j)_0.$$

Then for $D \in \text{CDiffOp}^K(E_1, \dots, E_\ell; F)_N$ we immediately get [\(2.5.49\)](#). And similarly we obtain for $D \in \text{CDiffOp}^K(E_1, \dots, E_\ell; F)_0$ directly [\(2.5.51\)](#). For the other implication assume [\(2.5.49\)](#) holds. Let $s_1 \otimes \cdots \otimes s_\ell \in (\text{C}\Gamma^\infty(E_1) \otimes \cdots \otimes \text{C}\Gamma^\infty(E_\ell))_0$. Then all terms of [\(2.5.48\)](#) end up in $\text{C}\Gamma^\infty(F)_0$: We write

$$S := ((n^{\otimes r_1} \otimes (\text{rank } E_1)^*) \boxtimes \cdots \boxtimes (n^{\otimes r_\ell} \otimes (\text{rank } E_\ell)^*)).$$

Recall that $(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_N$ if at least one of the pairs (I_j, α_j) has $I_j = (i_1^{(j)}, \dots, i_{r_j}^{(j)}) \in n_N^{r_j}$ and $\alpha_j \in \text{rank}(E_j)_T \setminus \text{rank}(E_j)_0$ such that for at least one $m \in \{1, \dots, r_j\}$ it holds $i_m^{(j)} \in n_0$ or $\alpha_j \in \text{rank}(E_j)_T \setminus \text{rank}(E_j)_N$. Thus either $(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_N$, and hence $\partial^{I_j} s_j^{\alpha_j} \in \text{C}\mathcal{L}^\infty(\mathcal{M})_0$ for at least one $j \in \{1, \dots, \ell\}$, or

$$(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_T \setminus S_N = (((n^*)^{\boxtimes r_1} \boxtimes \text{rank } E_1) \otimes \cdots \otimes ((n^*)^{\boxtimes r_\ell} \boxtimes \text{rank } E_\ell))_0,$$

and hence $D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell}$. For $s_1 \otimes \dots \otimes s_\ell \in (\mathbf{C}\Gamma^\infty(E_1) \otimes \dots \otimes \mathbf{C}\Gamma^\infty(E_\ell))_{\mathbf{N}}$ all terms of (2.5.48) end up in $\mathbf{C}\mathcal{C}^\infty(F)_{\mathbf{N}}$: If $(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_0$, then $\partial^{I_j} s_j^{\alpha_j} \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_0$ for at least one $j \in \{1, \dots, \ell\}$. If

$$(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_{\mathbf{N}} \setminus S_0 \subseteq (((n^*)^{\boxtimes r_1} \boxtimes \text{rank } E_1) \otimes \dots \otimes ((n^*)^{\boxtimes r_\ell} \boxtimes \text{rank } E_\ell))_{\mathbf{N}},$$

we have $\partial^{I_j} s_j^{\alpha_j} \in \mathbf{C}\mathcal{C}^\infty(\mathcal{M})_{\mathbf{N}}$ for all j and $D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} \in \mathbf{C}\Gamma^\infty(F)_{\mathbf{N}}$. Finally if

$$(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_{\mathbf{T}} \setminus S_{\mathbf{N}} = (((n^*)^{\boxtimes r_1} \boxtimes \text{rank } E_1) \otimes \dots \otimes ((n^*)^{\boxtimes r_\ell} \boxtimes \text{rank } E_\ell))_0,$$

then $D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} \in \mathbf{C}\Gamma^\infty(F)_0$. This gives the first part. The second part follows by completely analogous considerations. \square

The classical leading symbol $\sigma_k(D) \in \Gamma^\infty((S^{k_1}TM \otimes E_1^*) \otimes \dots \otimes (S^{k_\ell}TM \otimes E_\ell^*) \otimes F)$ for a multidifferential operator $D \in \text{DiffOp}^K(E_1, \dots, E_\ell; F)$ is locally given by

$$\sigma_K(D)|_U = \frac{1}{K!} (\partial_{I_1}^\otimes \otimes e_{(1)}^{\alpha_1}) \otimes \dots \otimes (\partial_{I_\ell}^\otimes \otimes e_{(\ell)}^{\alpha_\ell}) \otimes D_{U, \alpha_1, \dots, \alpha_\ell}^{K, I_1, \dots, I_\ell}, \quad (2.5.52)$$

with

$$\partial_{I_j}^\otimes := \frac{\partial}{\partial x^{i_1^{(j)}}} \vee \dots \vee \frac{\partial}{\partial x^{i_{k_j}^{(j)}}} \in \Gamma^\infty(S^{k_j}TM). \quad (2.5.53)$$

For constraint differential operators this will become a constraint section:

Proposition 2.5.25 (Constraint leading symbol) *Let E_1, \dots, E_ℓ and F be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$.*

i.) The leading symbol defines a constraint morphism

$$\sigma_K: \mathbf{C}\text{DiffOp}^K(E_1, \dots, E_\ell; F) \rightarrow \mathbf{C}\Gamma^\infty((S_{\otimes}^{k_1}TM \otimes E_1^*) \boxtimes \dots \boxtimes (S_{\otimes}^{k_\ell}TM \otimes E_\ell^*) \boxtimes F) \quad (2.5.54)$$

of strong constraint $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

ii.) If $E_1 = \dots = E_\ell = F = \mathcal{M} \times \mathbb{R}$ the leading symbol becomes a constraint morphism

$$\sigma_K: \mathbf{C}\text{DiffOp}^K(\mathcal{M}) \rightarrow \mathbf{C}\Gamma^\infty(S_{\otimes}^{k_1}TM \boxtimes \dots \boxtimes S_{\otimes}^{k_\ell}TM) \quad (2.5.55)$$

of strong constraint $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

PROOF: It only remains to show that σ_K is actually a constraint morphism. We use again the shorthand

$$S := ((n^{\otimes r_1} \otimes (\text{rank } E_1)^*) \boxtimes \dots \boxtimes (n^{\otimes r_\ell} \otimes (\text{rank } E_\ell)^*)).$$

First suppose $D \in \mathbf{C}\text{DiffOp}^K(E_1, \dots, E_\ell; F)_{\mathbf{N}}$. For $(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_0$ it holds

$$\partial_{I_j}^\otimes \otimes e_{(j)}^{\alpha_j} \in (S_{\otimes}^{k_j}TM \otimes E_j^*)_0$$

for one $j \in \{1, \dots, \ell\}$. If

$$(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_{\mathbf{N}} \setminus S_0 \subseteq (((n^*)^{\boxtimes r_1} \boxtimes \text{rank } E_1) \otimes \dots \otimes ((n^*)^{\boxtimes r_\ell} \boxtimes \text{rank } E_\ell))_{\mathbf{N}},$$

then $D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} \in \mathbf{C}\Gamma^\infty(F)_{\mathbf{N}}$ by Proposition 2.5.24 and $\partial_{I_j}^\otimes \otimes e_{(j)}^{\alpha_j} \in (S_{\otimes}^{k_j}TM \otimes E_j^*)_{\mathbf{N}}$ for all $j = 1, \dots, \ell$ by the definition of S . Next, consider

$$(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_{\mathbf{T}} \setminus S_{\mathbf{N}} = (((n^*)^{\boxtimes r_1} \boxtimes \text{rank } E_1) \otimes \dots \otimes ((n^*)^{\boxtimes r_\ell} \boxtimes \text{rank } E_\ell))_0.$$

Then $D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} \in \mathbf{C}\Gamma^\infty(F)_0$ holds again by [Proposition 2.5.24](#). Therefore, σ_K preserves the N-component. Finally, let $D \in \mathbf{C}\text{DiffOp}^K(E_1, \dots, E_\ell; F)_0$ be given. Then for $(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_0$ it holds again $\partial_{I_j}^\otimes \otimes e_{(j)}^{\alpha_j} \in (\mathbf{S}_{\otimes}^{k_j} T\mathcal{M} \otimes E_j^*)_0$ for one $j \in \{1, \dots, \ell\}$. And if

$$(I_1, \alpha_1, \dots, I_\ell, \alpha_\ell) \in S_T \setminus S_0 = (((n^*)^{\boxtimes r_1} \boxtimes \text{rank } E_1) \otimes \dots \otimes ((n^*)^{\boxtimes r_\ell} \boxtimes \text{rank } E_\ell))_{\mathbb{N}},$$

we obtain $D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell} \in \mathbf{C}\Gamma^\infty(F)_0$ by [Proposition 2.5.24](#). Thus, σ_K is a constraint morphism. The second part is a direct consequence. \square

It is important to note that in the constraint leading symbol [\(2.5.54\)](#) both kinds of tensor products appear. In particular, we cannot rearrange the factors on the right hand side of [\(2.5.54\)](#) in an arbitrary way, see also [\(1.3.41\)](#).

If constraint covariant derivatives ∇^{E_i} on constraint vector bundles E_i , $i = 1, \dots, \ell$, are given, we define

$$\begin{aligned} D^K &:= (D^{E_1})^{k_1} \otimes \dots \otimes (D^{E_\ell})^{k_\ell} : \mathbf{C}\Gamma^\infty(E_1) \otimes \dots \otimes \mathbf{C}\Gamma^\infty(E_\ell) \\ &\rightarrow \mathbf{C}\Gamma^\infty(\mathbf{S}_{\boxtimes}^{k_1} T^*\mathcal{M} \boxtimes E_1) \otimes \dots \otimes \mathbf{C}\Gamma^\infty(\mathbf{S}_{\boxtimes}^{k_\ell} T^*\mathcal{M} \boxtimes E_\ell) \end{aligned} \quad (2.5.56)$$

Note that $\mathbf{C}\Gamma^\infty((\mathbf{S}_{\otimes}^\bullet T\mathcal{M} \otimes E_1^*) \boxtimes \dots \boxtimes (\mathbf{S}_{\otimes}^\bullet T\mathcal{M} \otimes E_\ell^*) \boxtimes F)$, which is the dual of target space of D^K , is filtered by multi indices $K = (k_1, \dots, k_\ell)$. With this we can now give the full symbol calculus for constraint multidifferential operators.

Theorem 2.5.26 (Constraint multisymbol calculus) *Let E_1, \dots, E_ℓ and F be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$. Moreover, let $\nabla^{E_1}, \dots, \nabla^{E_\ell}$ be constraint covariant derivatives for E_1, \dots, E_ℓ and let ∇ be a constraint covariant derivative for $T\mathcal{M}$.*

i.) Then

$$\begin{aligned} \text{Op} : \mathbf{C}\Gamma^\infty((\mathbf{S}_{\otimes}^\bullet T\mathcal{M} \otimes E_1^*) \boxtimes \dots \boxtimes (\mathbf{S}_{\otimes}^\bullet T\mathcal{M} \otimes E_\ell^*) \boxtimes F) \\ \longrightarrow \mathbf{C}\text{DiffOp}^\bullet(E_1, \dots, E_\ell; F), \end{aligned} \quad (2.5.57)$$

defined by

$$\text{Op}(X_1 \otimes \dots \otimes X_\ell)(s_1, \dots, s_\ell) := \frac{1}{k_1! \dots k_\ell!} i_s(X_1 \otimes \dots \otimes X_\ell) D^K(s_1 \otimes \dots \otimes s_\ell) \quad (2.5.58)$$

for $X_j \in \mathbf{C}\Gamma^\infty(\mathbf{S}_{\otimes}^{k_j} T\mathcal{M} \otimes E_j^)_{\mathbb{T}}$ and $s_j \in \mathbf{C}\Gamma^\infty(E_j)_{\mathbb{T}}$, with $K = (k_1, \dots, k_\ell)$ and $j = 1, \dots, \ell$, is a filtration preserving morphism of strong constraint $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.*

ii.) For $X_j \in \mathbf{C}\Gamma^\infty(\mathbf{S}_{\otimes}^{k_j} T\mathcal{M} \otimes E_j^)_{\mathbb{T}}$, $j = 1, \dots, \ell$ we have*

$$\sigma_K(\text{Op}(X_1 \otimes \dots \otimes X_\ell)) = X_1 \otimes \dots \otimes X_\ell, \quad (2.5.59)$$

where σ_K denotes the leading symbol.

iii.) Op is a filtration preserving isomorphism of strong constraint $\mathbf{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

PROOF: By the classical theory we know that Op fulfils all the above properties on the T-component, see [\[Pal65, Chap. IV, §9\]](#) for a version of the symbol calculus for multidifferential operators. For the first part note that Op is defined as a composition of constraint morphism, and thus defines itself a constraint morphism to $\mathbf{C}\text{Hom}_{\mathbb{k}}(\mathbf{C}\Gamma^\infty(E_1) \otimes \dots \otimes \mathbf{C}\Gamma^\infty(E_\ell), \mathbf{C}\Gamma^\infty(F))$. Since we know that $\text{Op}(X_1 \otimes \dots \otimes X_\ell)$ is a multidifferential operator it follows that Op actually maps to the constraint submodule $\mathbf{C}\text{DiffOp}^\bullet(E_1, \dots, E_\ell; F)$. The second part is just the classical

statement. Nevertheless, from this follows directly that Op is a monomorphism. To show that it is also a regular epimorphism we repeat the classical argument for constructing preimages: Let $D \in \text{CDiffOp}^K(E_1, \dots, E_\ell; F)_T$ be given. Then $D - \text{Op}(\sigma_K(D))$ has total order $|K| - 1$. We write $X_K := \sigma_K(D)$, then by induction we obtain $D = \text{Op}(\sum_{0 \leq R \leq K} X_R)$, and thus Op is surjective. If $D \in \text{CDiffOp}^K(E_1, \dots, E_\ell; F)_N$, then we have

$$X_K \in \text{C}\Gamma^\infty((S_{\otimes}^{k_1} T\mathcal{M} \otimes E_1^*) \boxtimes \dots \boxtimes (S_{\otimes}^{k_\ell} T\mathcal{M} \otimes E_\ell^*) \boxtimes F)$$

and therefore Op is also surjective on the N-component. Similarly, for a differential operators $D \in \text{CDiffOp}^K(E_1, \dots, E_\ell; F)_0$ we get that Op is indeed a regular epimorphism. Hence Op is a regular epimorphism and monomorphism, and therefore a constraint isomorphism. \square

For $E_1 = \dots = E_\ell = F = \mathcal{M} \times \mathbb{R}$ we immediately get the following isomorphism for multidifferential operators of $\text{C}\mathcal{C}^\infty(\mathcal{M})$.

Corollary 2.5.27 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold and let ∇ be a constraint covariant derivative for $T\mathcal{M}$. Then*

$$\text{Op}: \text{C}\Gamma^\infty(S_{\otimes}^\bullet T\mathcal{M} \boxtimes \dots \boxtimes S_{\otimes}^\bullet T\mathcal{M}) \rightarrow \text{CDiffOp}^\bullet(\mathcal{M}), \quad (2.5.60)$$

given by

$$\text{Op}(X_1 \otimes \dots \otimes X_\ell) := \frac{1}{k_1! \dots k_\ell!} i_s(X_1 \otimes \dots \otimes X_\ell) D^K \quad (2.5.61)$$

for $X_1 \otimes \dots \otimes X_\ell \in \text{C}\Gamma^\infty(S_{\otimes}^{k_1} T\mathcal{M} \boxtimes \dots \boxtimes S_{\otimes}^{k_\ell} T\mathcal{M})$, is a filtration preserving isomorphism of constraint $\text{C}\mathcal{C}^\infty(\mathcal{M})$ -modules.

2.5.4.1 Reduction

The various compatibilities of constraint multidifferential operators with reduction are by now quite obvious and can be proven in a completely analogous fashion to those of constraint differential operators in [Section 2.5.1](#) and [Section 2.5.3](#). We will therefore just give the statements without repeating the proofs.

Proposition 2.5.28 (Constraint multidifferential operators vs. reduction)

Let \mathcal{A} be a commutative embedded constraint algebra, and let $\mathcal{E}^1, \dots, \mathcal{E}^\ell, \mathcal{F}$ be embedded constraint \mathcal{A} -modules. For any multi index $K = (k_1, \dots, k_\ell) \in \mathbb{N}_0^\ell$ there is a natural injective morphism

$$\text{CDiffOp}^K(\mathcal{E}_1, \dots, \mathcal{E}_\ell; \mathcal{F})_{\text{red}} \rightarrow \text{DiffOp}^K((\mathcal{E}_1)_{\text{red}}, \dots, (\mathcal{E}_\ell)_{\text{red}}; \mathcal{F}_{\text{red}}) \quad (2.5.62)$$

of \mathcal{A}_{red} -modules.

For multidifferential operators this becomes an isomorphism:

Proposition 2.5.29 (Constraint multidifferential operators of sections vs. reduction)

Let E_1, \dots, E_ℓ and F be constraint vector bundles over a constraint manifold $\mathcal{M} = (M, C, D)$ of dimension $n = (n_T, n_N, n_0)$.

i.) Let $D \in \text{CDiffOp}^K(E_1, \dots, E_\ell; F)_N$ of order $K = (k_1, \dots, k_\ell)$ be given. Then locally

$$D_{\text{red}}|_U(s_1, \dots, s_\ell) = \sum_{0 \leq R \leq K} \sum_{n_0 < I_1, \dots, I_\ell \leq n_N} \frac{1}{R!} (D_{U, \alpha_1, \dots, \alpha_\ell}^{R, I_1, \dots, I_\ell})_{\text{red}} \partial_{I_1} s_1^{\alpha_1} \dots \partial_{I_\ell} s_\ell^{\alpha_\ell} \quad (2.5.63)$$

for all $s_i \in \text{C}\Gamma^\infty((E_i)_{\text{red}}|_U)$.

ii.) The constraint leading symbol σ_K for constraint multidifferential operators induces the classical leading symbol

$$\begin{aligned} \sigma_K: \text{DiffOp}^K((E_1)_{\text{red}}, \dots, (E_\ell)_{\text{red}}; F_{\text{red}}) \\ \longrightarrow \Gamma^\infty(S^{k_1}T\mathcal{M}_{\text{red}} \otimes (E_1)_{\text{red}}^* \otimes \dots \otimes S^{k_\ell}T\mathcal{M}_{\text{red}} \otimes (E_\ell)_{\text{red}}^* \otimes F_{\text{red}}) \end{aligned} \quad (2.5.64)$$

on the reduced manifold \mathcal{M}_{red} .

iii.) Let $\nabla^{E_1}, \dots, \nabla^{E_\ell}$ be constraint covariant derivatives for E_1, \dots, E_ℓ and let ∇ be a constraint covariant derivative for $T\mathcal{M}$. Then

$$\begin{aligned} \text{Op}_{\text{red}}: \Gamma^\infty(S^\bullet T\mathcal{M}_{\text{red}} \otimes (E_1)_{\text{red}}^* \otimes \dots \otimes S^\bullet T\mathcal{M}_{\text{red}} \otimes (E_\ell)_{\text{red}}^* \otimes F_{\text{red}}) \\ \longrightarrow \text{DiffOp}^\bullet((E_1)_{\text{red}}, \dots, (E_\ell)_{\text{red}}; F_{\text{red}}) \end{aligned} \quad (2.5.65)$$

is the symbol calculus associated to the vector bundles $(E_1)_{\text{red}}, \dots, (E_\ell)_{\text{red}}$ and F_{red} equipped with the covariant derivatives $(\nabla^{E_1})_{\text{red}}, \dots, (\nabla^{E_\ell})_{\text{red}}$ and ∇_{red} .

iv.) It holds

$$\text{CDiffOp}^\bullet(E_1, \dots, E_\ell; F)_{\text{red}} \simeq \text{DiffOp}^\bullet((E_1)_{\text{red}}, \dots, (E_\ell)_{\text{red}}; F_{\text{red}}) \quad (2.5.66)$$

as filtered strong constraint $C^\infty(\mathcal{M})$ -modules.

v.) It holds

$$\text{CDiffOp}^\bullet(\mathcal{M})_{\text{red}} \simeq \text{DiffOp}^\bullet(\mathcal{M}_{\text{red}}) \quad (2.5.67)$$

as filtered strong constraint $C^\infty(\mathcal{M})$ -modules.

Chapter 3

Deformation Theory of Constraint Algebras

Formal deformation quantization aims to construct a quantum analogue of a given classical mechanical system by deforming the multiplication of the algebra $\mathcal{C}^\infty(M)$ of smooth functions on a Poisson manifold (M, π) into a non-commutative multiplication \star on the algebra $\mathcal{C}^\infty(M)[[\lambda]]$ of formal power series. An important observation from classical deformation quantization is that given such a star product \star we can always reconstruct a Poisson bracket on $\mathcal{C}^\infty(M)$ from the \star -commutator. It is now reasonable to only consider those star products which recover the Poisson structure on M . If we start with a constraint Poisson manifold (\mathcal{M}, π) we obtain a commutative strong constraint algebra $\mathcal{C}\mathcal{C}^\infty(\mathcal{M})$, and there are two reasonable ways to define a constraint star product: either as a deformation into a strong constraint algebra multiplication or, more generally, as a deformation into a constraint multiplication. If we would consider deformations as strong constraint algebras, it can be shown that the induced Poisson bracket on $\mathcal{C}\mathcal{C}^\infty(\mathcal{M})$ would be a strong constraint Poisson bracket. And thus, from our discussion after [Proposition 2.4.16](#), the submanifold $C \subseteq M$ would need to be a Poisson submanifold. Thus if we want to consider star products which are compatible with honest coisotropic submanifolds we are forced to consider deformations of $\mathcal{C}\mathcal{C}^\infty(\mathcal{M})$ as, in general, non-strong constraint algebras.

Following these ideas we will introduce constraint star products and deformations of constraint algebras in [Section 3.1](#). Then, following general ideas from deformation theory, we will study Maurer-Cartan elements and their equivalence for constraint DGLAs in [Section 3.2](#) before we introduce constraint Hochschild cohomology in [Section 3.3](#). Then in [Section 3.4](#) we will identify constraint Hochschild cohomology as the cohomology theory governing the deformation problem of constraint algebras. Finally, in [Section 3.5](#) we will take some first steps into the direction of a constraint Hochschild-Kostant-Rosenberg theorem. In particular, we will compute the second constraint Hochschild cohomology of the constraint functions on \mathbb{R}^n in [Section 3.5](#), which already exhibits unexpected contributions.

3.1 Constraint Star Products

Recall from [Proposition 2.1.5](#) the definition of the constraint algebra of functions $\mathcal{C}\mathcal{C}^\infty(\mathcal{M})$ on a constraint manifold $\mathcal{M} = (M, C, D)$. Let us define the strong constraint algebra $\mathcal{C}\mathcal{C}^\infty(\mathcal{M})[[\lambda]]$ of formal power series by

$$\mathcal{C}\mathcal{C}^\infty(\mathcal{M})[[\lambda]] := (\mathcal{C}\mathcal{C}^\infty(\mathcal{M})_{\mathbb{T}}[[\lambda]], \mathcal{C}\mathcal{C}^\infty(\mathcal{M})_{\mathbb{N}}[[\lambda]], \mathcal{C}\mathcal{C}^\infty(\mathcal{M})_{\mathbb{O}}[[\lambda]]). \quad (3.1.1)$$

With this we can state our definition of constraint star product:

Definition 3.1.1 (Constraint star product) Let (\mathcal{M}, π) be a constraint Poisson manifold. A (formal) constraint star product \star on (\mathcal{M}, π) is a $\mathbb{C}[[\lambda]]$ -linear constraint map

$$\star: \mathcal{C}\mathcal{E}^\infty(\mathcal{M})[[\lambda]] \otimes_{\mathbb{C}[[\lambda]]} \mathcal{C}\mathcal{E}^\infty(\mathcal{M})[[\lambda]] \rightarrow \mathcal{C}\mathcal{E}^\infty(\mathcal{M})[[\lambda]] \quad (3.1.2)$$

of the form

$$\star = \sum_{r=0}^{\infty} \lambda^r C_r, \quad (3.1.3)$$

with \mathbb{C} -bilinear constraint maps $C_r: \mathcal{C}\mathcal{E}^\infty(\mathcal{M}) \otimes_{\mathbb{C}} \mathcal{C}\mathcal{E}^\infty(\mathcal{M}) \rightarrow \mathcal{C}\mathcal{E}^\infty(\mathcal{M})$, fulfilling:

- i.) \star is associative.
- ii.) $1 \star f = f = f \star 1$.
- iii.) $\star = \mu_0 + \sum_{r=1}^{\infty} \lambda^r C_r$, with μ_0 the pointwise multiplication on $\mathcal{C}\mathcal{E}^\infty(\mathcal{M})$.
- iv.) $\frac{1}{i\hbar}[f, g]_\star = \{f, g\} + \lambda(\dots)$.
- v.) C_r is a constraint bidifferential operator, for all $r \in \mathbb{N}_0$.

Example 3.1.2 (Standard ordered star product) Consider the classical standard-ordered star product

$$f \star_{\text{std}} g = \sum_{r=0}^{\infty} \frac{1}{r!} \left(\frac{\hbar}{i} \right)^r \sum_{i_1, \dots, i_r} \frac{\partial^r f}{\partial p_{i_1} \dots \partial p_{i_r}} \frac{\partial^r g}{\partial q^{i_1} \dots \partial q^{i_r}} \quad (3.1.4)$$

on $T^*\mathbb{R}^n \simeq \mathbb{R}^{2n}$ with coordinates $(q^1, \dots, q^n, p_1, \dots, p_n)$. By a change of coordinates any coisotropic subspace C can be identified with \mathbb{R}^{n+k} with coordinates $(q^1, \dots, q^n, p_1, \dots, p_k)$ and its characteristic distribution is then given by \mathbb{R}^{n-k} with coordinates (q^{n-k+1}, \dots, q^n) . Thus we can consider the constraint vector space $\mathcal{M} = (\mathbb{R}^{2n}, \mathbb{R}^{n+k} \oplus \{0\}^{n-k}, \{0\}^k \oplus \mathbb{R}^{n-k} \oplus \{0\}^n)$. From classical deformation quantization we know that \star_{std} defines a star product on \mathbb{R}^{2n} and it is straightforward to check that \star_{std} indeed defines a constraint multiplication. For this it is important to note that for $i_1, \dots, i_r \leq k$ we have

$$\frac{\partial^r}{\partial p_{i_1} \dots \partial p_{i_r}} \in \text{CDiffOp}^r(\mathcal{C}\mathcal{E}^\infty(\mathcal{M}))_{\mathbb{N}} \quad \text{and} \quad \frac{\partial^r}{\partial q^{i_1} \dots \partial q^{i_r}} \in \text{CDiffOp}^r(\mathcal{C}\mathcal{E}^\infty(\mathcal{M}))_{\mathbb{N}}. \quad (3.1.5)$$

But if there is one $\ell \in \{1, \dots, r\}$ such that $i_\ell > k$, then $\frac{\partial^r}{\partial p_{i_1} \dots \partial p_{i_r}}$ is *not* constraint any more. Nevertheless, in this case we have

$$\frac{\partial^r}{\partial q^{i_1} \dots \partial q^{i_r}} \in \text{CDiffOp}^r(\mathcal{C}\mathcal{E}^\infty(\mathcal{M}))_0 \quad (3.1.6)$$

by [Example 2.5.2](#), making (3.1.4) a constraint star product.

We want to study constraint star products using a constraint version of Gerstenhaber's theory of deformation of associative algebras. Thus in the rest of this section we will consider possibly non-unital constraint algebras. Then we want to consider deformations of a constraint algebra \mathcal{A} with respect to the (constraint) ring $\mathbb{k}[[\lambda]] = (\mathbb{k}[[\lambda]], \mathbb{k}[[\lambda]], 0)$. In general, the constraint module of formal power series of a given constraint module \mathcal{E} is defined as

$$\mathcal{E}[[\lambda]] := (\mathcal{E}_{\mathbb{T}}[[\lambda]], \mathcal{E}_{\mathbb{N}}[[\lambda]], \mathcal{E}_0[[\lambda]]) \quad (3.1.7)$$

with $\iota_{\mathcal{E}[[\lambda]]}$ given by the λ -linear extension of $\iota_{\mathcal{E}}$. The *classical limit* of a given constraint $\mathbb{k}[[\lambda]]$ -module \mathcal{E} is defined by

$$\text{cl}(\mathcal{E}) := \mathcal{E}/\lambda\mathcal{E}. \quad (3.1.8)$$

This defines a functor $\text{cl}: \mathbf{CMod}_{\mathbb{k}[[\lambda]]} \rightarrow \mathbf{CMod}_{\mathbb{k}}$, and it can be shown that taking the classical limit commutes with reduction, i.e. there is a natural isomorphism making the diagram

$$\begin{array}{ccc}
 \mathbf{CMod}_{\mathbb{k}[[\lambda]]} & \xrightarrow{\text{cl}} & \mathbf{CMod}_{\mathbb{k}} \\
 \text{red} \downarrow & & \downarrow \text{red} \\
 \mathbf{Mod}_{\mathbb{k}[[\lambda]]} & \xrightarrow{\text{cl}} & \mathbf{Mod}_{\mathbb{k}}
 \end{array} \tag{3.1.9}$$

commute, see our work [DEW19, Thm. 7.13] for details.

Now we can define a formal associative deformation of a constraint algebra \mathcal{A} to be a constraint $\mathbb{k}[[\lambda]]$ -algebra \mathcal{B} together with an isomorphism $\alpha: \text{cl}(\mathcal{B}) \rightarrow \mathcal{A}$. It is easy to see that this definition agrees with the one from deformation via Artin rings, see e.g. [Man09]. Usually, one is interested in more specific deformations, namely those that are e.g. free \mathbb{k} -modules. This leads us to the following definition:

Definition 3.1.3 (Deformation of constraint algebra) *Let $\mathcal{A} \in \mathbf{CAlg}_{\mathbb{k}}$ be a (possibly non-unital) constraint algebra. A (associative formal) deformation of \mathcal{A} is given by an associative multiplication $\mu: \mathcal{A}[[\lambda]] \otimes_{\mathbb{k}[[\lambda]]} \mathcal{A}[[\lambda]] \rightarrow \mathcal{A}[[\lambda]]$ on $\mathcal{A}[[\lambda]]$ turning it into a constraint $\mathbb{k}[[\lambda]]$ -algebra, such that $\text{cl}(\mathcal{A}[[\lambda]], \mu) \simeq \mathcal{A}$.*

Note that we have two formal associative deformations μ_T and μ_N for $\mathcal{A}_T[[\lambda]]$ and $\mathcal{A}_N[[\lambda]]$ of the form $\mu_T = (\mu_T)_0 + \lambda(\mu_T)_1 + \lambda^2(\dots)$ and $\mu_N = (\mu_N)_0 + \lambda(\mu_N)_1 + \lambda^2(\dots)$, respectively, such that the *undeformed* map $\iota_{\mathcal{A}}$ is an algebra homomorphism and such that $\mathcal{A}_0[[\lambda]]$ is a two-sided ideal in $\mathcal{A}_N[[\lambda]]$ with respect to μ_N . We insist on the \mathcal{A}_N and \mathcal{A}_0 being the *same* up to taking formal series. Also the algebra morphism $\iota_{\mathcal{A}}$ is *not* deformed.

Remark 3.1.4 There are different approaches to study the deformations of diagrams of associative algebras, e.g. via derived bracket as in [FZ15] or with an operadic approach as in [FMY09]. See also [GS83]. Nevertheless, our goal is to deform the multiplicative structure of a constraint algebra, but not the morphism it contains. A thorough comparison to these deformations of diagrams needs to be done.

We say that two formal associative deformations μ and μ' of (\mathcal{A}, μ_0) are *equivalent* if there exists $T = \text{id} + \lambda(\dots) \in \mathbf{CAut}_{\mathbb{k}[[\lambda]]}(\mathcal{A}[[\lambda]])_N$ such that $T \circ \mu = \mu' \circ (T \otimes T)$, i.e. we have

$$T_T(\mu_T(a, b)) = \mu'_T(T_T(a), T_T(b)) \quad \text{and} \quad T_N(\mu_N(a, b)) = \mu'_N(T_N(a), T_N(b)) \tag{3.1.10}$$

for $a, b \in \mathcal{A}_{T/N}$. Thus, as in the case of associative algebras, there exists a unique $D = \sum_{k=0}^{\infty} \lambda^k D_k \in \mathbf{CHom}_{\mathbb{k}[[\lambda]]}(\mathcal{A}[[\lambda]], \mathcal{A}[[\lambda]])_N$ such that $T = \exp(\lambda D)$.

Remark 3.1.5 Suppose that (\mathcal{A}, μ_0) is a unital constraint algebra with unit 1. Then we know from classical deformation theory, see [Ger68, Sec. 20], that any deformation of \mathcal{A}_N is again unital. This unit also serves as a unit for the deformation of the constraint algebra \mathcal{A} . Then the multiplication with this unit yields an equivalence to a deformation of \mathcal{A} with unit given by 1. Thus in the following we can always assume to deform unital constraint algebras into unital constraint algebras.

Let us show that a constraint deformation of a commutative constraint algebra always induces a constraint Poisson structure on it:

Proposition 3.1.6 *Let (\mathcal{A}, μ_0) be a commutative constraint algebra, and let $\mu = \mu_0 + \lambda\mu_1 + \dots$ be an associative formal deformation of \mathcal{A} . Then*

$$\{\cdot, \cdot\} := \mu_1 - \mu_1 \circ \tau, \quad (3.1.11)$$

with τ denoting the flip, defines a constraint Poisson structure on \mathcal{A} .

PROOF: From classical deformation theory we know that $\{\cdot, \cdot\}_T$ and $\{\cdot, \cdot\}_N$ define Poisson structures on \mathcal{A}_T and \mathcal{A}_N . Since $\{\cdot, \cdot\}$ is defined by a composition of constraint maps it gives a constraint Poisson structure on \mathcal{A} . \square

When considering $\mathcal{A} = \mathcal{C}^\infty(\mathcal{M})$ the above result shows that every deformation induces the structure of a constraint Poisson manifold on \mathcal{M} . In this sense, property *iv.)* of Definition 3.1.1 is always fulfilled for *some* constraint Poisson structure. Together with Remark 3.1.5 we see that a constraint star product is nothing but a formal associative deformation of the strong constraint algebra $\mathcal{C}^\infty(\mathcal{M})$ by bidifferential operators.

One particular scenario we will be interested in the context of deformation quantization of phase space reduction is the following. This, and the following two examples are taken from our work [DEW22, Sec. 4].

Example 3.1.7 We will work over a field \mathbb{K} instead of a general ring. Let $\mathcal{A} = (\mathcal{A}_T, \mathcal{A}_N, \mathcal{A}_0)$ be a unital embedded constraint algebra such that additionally $\mathcal{A}_0 \subseteq \mathcal{A}_T$ is a left ideal, then $\mathcal{A}_N \subseteq \mathcal{N}(\mathcal{A}_0)$ is a unital subalgebra of the normalizer of this left ideal. Consider now a formal associative deformation μ_T of \mathcal{A}_T with the additional property that the formal series $\mathcal{A}_0[[\lambda]]$ are still a left ideal inside $\mathcal{A}_T[[\lambda]]$ with respect to μ_T . Then we know that the normalizer $\mathcal{A}_N := \mathcal{N}_{\mu_T}(\mathcal{A}_0[[\lambda]]) \subseteq \mathcal{A}_T[[\lambda]]$ with respect to μ_T satisfies $\text{cl}(\mathcal{A}_N) \subseteq \mathcal{N}(\mathcal{A}_0)$. We assume additionally $\text{cl}(\mathcal{A}_N) \subseteq \mathcal{A}_N$. This would be automatically true if \mathcal{A}_N coincides with the undeformed normalizer but poses an additional condition otherwise.

It is now easy to check that $\mathcal{A}_N \subseteq \mathcal{A}_T[[\lambda]]$ is a *closed* subspace with respect to the λ -adic topology. Moreover, if $\lambda a \in \mathcal{A}_N$ for some $a \in \mathcal{A}_T[[\lambda]]$ we can conclude $a \in \mathcal{A}_N$. Hence $\mathcal{A}_N \subseteq \mathcal{A}_T[[\lambda]]$ is a deformation of a subspace in the sense of [BHW00, Def. 30], i.e. we have a subspace $\mathcal{D} \subseteq \mathcal{A}_T$ and linear maps $q_r: \mathcal{D} \rightarrow \mathcal{A}_T$, for $r \in \mathbb{N}$, such that $\mathcal{A}_N = q(\mathcal{D}[[\lambda]])$, where $q = \iota_{\mathcal{D}} + \sum_{r=1}^{\infty} \lambda^r q_r$ with $\iota_{\mathcal{D}}$ being the canonical inclusion of the subspace. By our assumption $\mathcal{D} \subseteq \mathcal{A}_N$, but the inclusion could be proper. Moreover, since by our assumption $\mathcal{A}_0[[\lambda]] \subseteq \mathcal{N}(\mathcal{A}_0[[\lambda]]) = \mathcal{A}_N$, we have $\mathcal{A}_0 \subseteq \mathcal{D}$.

Since we work over a field, we can find a complement $\mathcal{C} \subseteq \mathcal{D}$ such that $\mathcal{A}_0 \oplus \mathcal{C} = \mathcal{D}$. This allows to redefine the maps q_r to

$$q'_r|_{\mathcal{C}} = q_r|_{\mathcal{C}} \quad \text{and} \quad q'_r|_{\mathcal{A}_0} = 0. \quad (3.1.12)$$

The resulting map q' then satisfies $q'(\mathcal{D}[[\lambda]]) = \mathcal{A}_N$ and $q'|_{\mathcal{A}_0} = \text{id}_{\mathcal{A}_0}$. We can then use q' to pass to a new deformation μ'_T of \mathcal{A}_T with the property that $\mathcal{A}_0[[\lambda]]$ is still a left ideal in $\mathcal{A}_T[[\lambda]]$ with respect to μ'_T and the normalizer of this left ideal is now given by $\mathcal{D}[[\lambda]] \subseteq \mathcal{A}_T[[\lambda]]$. It follows that μ'_T provides a deformation of the constraint algebra $(\mathcal{A}_T, \mathcal{D}, \mathcal{A}_0)$ in the sense of Definition 3.1.3.

Of course, it might happen that $\mathcal{D} \neq \mathcal{A}_N$ and hence this construction will not provide a deformation of the original constraint algebra in general. It turns out that this can be controlled as follows: We assume in addition that the deformed normalizer \mathcal{A}_N is *large enough* in the sense that the classical limit

$$\text{cl}: \mathcal{A}_{\text{red}} = \mathcal{A}_N / (\mathcal{A}_0[[\lambda]]) \rightarrow \mathcal{A}_{\text{red}} = \mathcal{A}_N / \mathcal{A}_0 \quad (3.1.13)$$

between the reduced algebras is *surjective*. As \mathbb{K} is a field, this gives us a split $Q: \mathcal{A}_{\text{red}} \rightarrow \mathcal{A}_{\text{red}}$ which we can extend λ -linearly to $Q: \mathcal{A}_{\text{red}}[[\lambda]] \rightarrow \mathcal{A}_{\text{red}}$. It is then easy to see that this is in fact

a $\mathbb{K}[[\lambda]]$ -linear isomorphism. It follows, that in this case we necessarily have $\mathcal{D} = \mathcal{A}_N$. Thus the previous construction gives indeed a deformation μ'_T of the original constraint algebra. This seemingly very special situation will turn out to be responsible for one of the main examples from deformation quantization.

In the following we present two examples from deformation quantization which can be interpreted as deformations of constraint algebras in the above sense. These show that even though we will mainly be interested in the abstract deformation theory of constraint algebras, these actually appear in well-studied situations. Note, however, that both examples should illustrate the concept of a deformation of a constraint algebra *without* actually computing the corresponding Hochschild cohomology. Even in these examples it seems to be a rather difficult task to compute the constraint Hochschild cohomology of a constraint manifold $\mathcal{M} = (M, C, D)$.

3.1.1 Example I: BRST Reduction

The first example comes from BRST reduction of star products. We recall the situation of [BHW00; GW10]. Consider a Poisson manifold M with a strongly Hamiltonian action of a connected Lie group G and momentum map $J: M \rightarrow \mathfrak{g}^*$, where \mathfrak{g} is the Lie algebra of G . One assumes that the classical level surface $C = J^{-1}(\{0\}) \subseteq M$ is a non-empty (necessarily coisotropic) submanifold by requiring 0 to be a regular value of J . Moreover, we assume that the action on C is free and proper. Then we have a constraint manifold $\mathcal{M} = (M, C, D)$ with D the characteristic distribution on C . This leads to the strong constraint algebra

$$\mathcal{A} := C\mathcal{C}^\infty(\mathcal{M}) = (\mathcal{C}^\infty(M), \mathcal{B}_C, \mathcal{I}_C), \quad (3.1.14)$$

where $\mathcal{I}_C = \ker \iota^* \subseteq \mathcal{C}^\infty(M)$ is the vanishing ideal of the constraint surface $C \subseteq M$ and \mathcal{B}_C its Poisson normalizer, cf. Example 2.1.6 ii.). Next, we assume to have a star product \star strongly invariant under the action of G which admits a deformation \mathbf{J} of J into a quantum momentum map. In the symplectic case such star products always exist since we assume the action of G to be proper, see [RW16] for a complete classification and further references. In the general Poisson case the situation is less clear.

Out of this a constraint $\mathbb{C}[[\lambda]]$ -algebra $\mathcal{A} = (\mathcal{C}^\infty(M)[[\lambda]], \mathcal{B}_C, \mathcal{I}_C)$ is then constructed, where $\mathcal{I}_C := \ker \iota^* \subseteq \mathcal{C}^\infty(M)[[\lambda]]$ is the quantum vanishing ideal given by the kernel of the deformed restriction $\iota^* := \iota^* \circ S$. Here $S = \text{id} + \sum_{k=1}^{\infty} \lambda^k S_k$ is a formal power series of differential operators guaranteeing that \mathcal{I}_C is indeed a left ideal with respect to \star . In fact, S can be chosen to be G -invariant.

We now want to construct a constraint algebra structure on $\mathcal{A}[[\lambda]] = (\mathcal{C}^\infty(M)[[\lambda]], \mathcal{B}_C[[\lambda]], \mathcal{I}_C[[\lambda]])$ which is isomorphic to \mathcal{A} . For this, note that $S: \mathcal{C}^\infty(M)[[\lambda]] \rightarrow \mathcal{C}^\infty(M)[[\lambda]]$ is invertible, hence we get a star product

$$f \star^S g := S(S^{-1}f \star S^{-1}g) \quad (3.1.15)$$

on $\mathcal{C}^\infty(M)[[\lambda]]$. From $\iota^* = \iota^* \circ S$ it directly follows that S maps \mathcal{I}_C to $\mathcal{I}_C[[\lambda]]$. It is slightly less evident, but follows from the characterization of the normalizer \mathcal{B}_C as those functions whose restriction to C are G -invariant, that S maps the normalizer \mathcal{B}_C to the normalizer \mathcal{B}_C^S of \mathcal{I}_C with respect to \star^S . Finally, we know that $f \in \mathcal{B}_C$ if and only if for all $\xi \in \mathfrak{g}$ it holds that $0 = \mathcal{L}_{\xi_C} \iota^* f = \mathcal{L}_{\xi_C} \iota^* S f$, where \mathcal{L}_{ξ_C} denotes the Lie derivative in the direction of the fundamental vector field ξ_C . Hence $f \in \mathcal{B}_C$ if and only if $S f \in \mathcal{B}_C[[\lambda]]$. Thus S is an isomorphism of constraint algebras

$$S: (\mathcal{A}, \star) \rightarrow (C\mathcal{C}^\infty(\mathcal{M})[[\lambda]], \star^S). \quad (3.1.16)$$

In particular, we have a deformation of the classical constraint algebra in this case, and the constraint algebra \mathcal{A} is isomorphic to it.

3.1.2 Example II: Coisotropic Reduction in the Symplectic Case

While the previous example makes use of a Lie group symmetry, the following relies on a coisotropic submanifold only. However, at the present state, we have to restrict ourselves to a symplectic manifold (M, ω) . Thus let $\iota: C \rightarrow M$ be a coisotropic submanifold. We assume that the classical reduced phase space $M_{\text{red}} = C/\sim$ is smooth with the projection map $\pi: C \rightarrow M_{\text{red}}$ being a surjective submersion. In other words, we consider a constraint symplectic manifold $\mathcal{M} = (M, C, D)$, with D the characteristic distribution as before, and $\mathcal{M}_{\text{red}} = M_{\text{red}}$. It follows that there is a unique symplectic form ω_{red} on \mathcal{M}_{red} with $\pi^*\omega_{\text{red}} = \iota^*\omega$. We follow closely the construction of Bordemann in [Bor05; Bor04] to construct a deformation of the classical constraint algebra $\mathcal{C}\mathcal{E}^\infty(\mathcal{M}) = (\mathcal{C}^\infty(M), \mathcal{B}_C, \mathcal{I}_C)$ as before.

To this end, one considers the product $M \times \mathcal{M}_{\text{red}}^-$ with the symplectic structure $\text{pr}_M^* \omega - \text{pr}_{\mathcal{M}_{\text{red}}}^* \omega_{\text{red}}$. Then

$$I: C \ni p \mapsto (\iota(p), \pi(p)) \in M \times \mathcal{M}_{\text{red}} \quad (3.1.17)$$

is an embedding of C as a Lagrangian submanifold. By Weinstein's Lagrangian neighbourhood theorem [Wei71] one has a tubular neighbourhood $U \subseteq M \times \mathcal{M}_{\text{red}}$ and an open neighbourhood $V \subseteq T^*C$ of the zero section $\iota_C: C \rightarrow T^*C$ in the cotangent bundle $\pi_C: T^*C \rightarrow C$ with a symplectomorphism $\Psi: U \rightarrow V$, where T^*C is equipped with its canonical symplectic structure, such that $\Psi \circ I = \iota_C$.

In the symplectic case, star products \star are classified by their characteristic or Fedosov class $c(\star)$ in $H_{\text{dr}}^2(M, \mathbb{C})[[\lambda]]$. The assumption of having a smooth reduced phase space allows us now to choose star products \star on M and \star_{red} on \mathcal{M}_{red} in such a way that $\iota^*c(\star|_U) = \pi^*c(\star_{\text{red}})$. Note that this is a non-trivial condition on the relation between \star and \star_{red} which, nevertheless, always has solutions. Given such a matching pair we have a star product $\star \otimes \star_{\text{red}}^{\text{opp}}$ on $M \times \mathcal{M}_{\text{red}}^-$ by taking the tensor product of the individual ones. Note that we need to take the opposite star product on the second factor as we also took the negative of ω_{red} needed to have a Lagrangian embedding in (3.1.17). It follows that the characteristic class $c((\star \otimes \star_{\text{red}}^{\text{opp}})|_U) = 0$ is trivial.

On the cotangent bundle T^*C the choice of a covariant derivative induces a standard-ordered star product \star_{std} together with a left module structure on $\mathcal{C}^\infty(C)[[\lambda]]$ via the corresponding symbol calculus, see [BNW98]. The characteristic class of \star_{std} is known to be trivial, $c(\star_{\text{std}}) = 0$, see [Bor+03]. Hence the pullback star product $\Psi^*(\star_{\text{std}}|_V)$ is equivalent to $(\star \otimes \star_{\text{red}}^{\text{opp}})|_U$. Thus we find an equivalence transformation between $\Psi^*(\star_{\text{std}})$ and $\star \otimes \star_{\text{red}}$ on the tubular neighbourhood U . Using this, we can also pullback the left module structure to obtain a left module structure on $\mathcal{C}^\infty(C)[[\lambda]]$ for the algebra $\mathcal{C}^\infty(M \times \mathcal{M}_{\text{red}})[[\lambda]]$. Note that here we even get an extension to all functions since the left module structure with respect to \star_{std} coming from the symbol calculus is by differential operators and $\Psi \circ I = \iota_C$. Hence the module structure with respect to $\star \otimes \star_{\text{red}}^{\text{opp}}$ is by differential operators as well. This ultimately induces a left module structure \triangleright on $\mathcal{C}^\infty(C)[[\lambda]]$ with respect to \star and a right module structure \triangleleft with respect to \star_{red} such that the two module structures commute: We have a bimodule structure. Moreover, it is easy to see that the module endomorphisms of the left \star -module are given by the right multiplications with functions from $\mathcal{C}^\infty(\mathcal{M}_{\text{red}})[[\lambda]]$, i.e.

$$\text{End}_{(\mathcal{C}^\infty(M)[[\lambda]], \star)}(\mathcal{C}^\infty(C)[[\lambda]])^{\text{opp}} \cong \mathcal{C}^\infty(\mathcal{M}_{\text{red}})[[\lambda]]. \quad (3.1.18)$$

Moreover, one can construct from the above equivalences a formal series $S = \text{id} + \sum_{r=1}^{\infty} \lambda^r S_r$ of differential operators S_r on M such that the left module structure is given by

$$f \triangleright \psi = \iota^*(S(f) \star \text{prol}(\psi)), \quad (3.1.19)$$

for $f \in \mathcal{C}^\infty(M)[[\lambda]]$ and $\psi \in \mathcal{C}^\infty(C)[[\lambda]]$, where $\text{prol}: \mathcal{C}^\infty(C)[[\lambda]] \rightarrow \mathcal{C}^\infty(M)[[\lambda]]$ is the prolongation coming from the tubular neighbourhood U and the choice of a bump function.

The left module structure is cyclic with cyclic vector $1 \in \mathcal{C}^\infty(C)[[\lambda]]$. This means that

$$\mathcal{I}_C = \{f \in \mathcal{C}^\infty(M)[[\lambda]] \mid f \triangleright 1 = 0\} \quad (3.1.20)$$

is a left \star -ideal and $\mathcal{C}^\infty(C)[[\lambda]] \cong \mathcal{C}^\infty(M)[[\lambda]]/\mathcal{I}_C$ as left \star -modules. Moreover, the normalizer

$$\mathcal{B}_C = N_\star(\mathcal{I}_C) \quad (3.1.21)$$

with respect to \star gives first $\mathcal{B}_C/\mathcal{I}_C \cong \text{End}_{(\mathcal{C}^\infty(M)[[\lambda]], \star)}(\mathcal{C}^\infty(C)[[\lambda]])^{\text{opp}}$ for general reasons. Then this yields the algebra isomorphism $\mathcal{B}_C/\mathcal{I}_C \cong \mathcal{C}^\infty(M_{\text{red}})[[\lambda]]$.

Thanks to the explicit formula for \triangleright we can use the series S to pass to a new equivalent star product \star' such that $\mathcal{I}'_C = \mathcal{I}_C[[\lambda]]$. We see that this brings us precisely in the situation of [Example 3.1.7](#): The constraint algebra $\mathfrak{A} = (\mathcal{C}^\infty(M)[[\lambda]], \mathcal{B}_C, \mathcal{I}_C)$ is isomorphic to a deformation of the classical constraint algebra $\mathcal{C}\mathcal{C}^\infty(\mathcal{M})$ we started with. Note that it might not be directly a deformation of $\mathcal{C}\mathcal{C}^\infty(\mathcal{M})$ as we still might have to untwist first \mathcal{I}_C using S and then \mathcal{B}_C as in [Example 3.1.7](#). This way we can give a re-interpretation of Bordemann's construction in the language of deformations of constraint algebras.

3.2 Constraint Deformation Functor

By a well-known principle of classical deformation theory, a deformation problem is controlled by a certain differential graded Lie algebra, see e.g. [\[Man09\]](#). Thus, the first step to discuss the deformation theory of constraint algebras consists in introducing a constraint deformation functor for a constraint DGLA. For this we will need constraint Maurer-Cartan elements and a notion of gauge equivalence.

Recall that a Maurer-Cartan element in a DGLA \mathfrak{g}^\bullet is an element $\xi \in \mathfrak{g}^1$ satisfying the Maurer-Cartan equation

$$d\xi + \frac{1}{2}[\xi, \xi] = 0. \quad (3.2.1)$$

While up to here we did not have to make any further assumption about the ring \mathbb{k} of scalars, from now on we assume $\mathbb{Q} \subseteq \mathbb{k}$ in order to have a well-defined Maurer-Cartan equation and gauge action later on. We denote by $\text{MC}(\mathfrak{g})$ the set of all Maurer-Cartan elements of a DGLA.

Definition 3.2.1 (Constraint set of Maurer-Cartan elements) *Let \mathfrak{g} be a constraint DGLA. The constraint set $\text{MC}(\mathfrak{g})$ of Maurer-Cartan elements of \mathfrak{g} is given by*

$$\text{MC}(\mathfrak{g}) = (\text{MC}(\mathfrak{g}_T), \text{MC}(\mathfrak{g}_N), \sim_{\text{MC}}), \quad (3.2.2)$$

together with $\iota_{\text{MC}}: \text{MC}(\mathfrak{g}_N) \rightarrow \text{MC}(\mathfrak{g}_T)$ given by the map $\iota_{\mathfrak{g}}: \mathfrak{g}_N^\bullet \rightarrow \mathfrak{g}_T^\bullet$ of \mathfrak{g} and where the relation \sim_{MC} is defined by

$$\xi_1 \sim_{\text{MC}} \xi_2 \iff \xi_1 - \xi_2 \in \mathfrak{g}_0^1 \quad (3.2.3)$$

for $\xi_1, \xi_2 \in \text{MC}(\mathfrak{g}_N)$.

Example 3.2.2 (Constraint multivector fields) Let $\mathcal{M} = (M, C, D)$ be a constraint manifold. By [Corollary 2.4.14](#) we know that $(\mathcal{C}\mathfrak{X}_{\boxtimes}^{\bullet+1}(\mathcal{M}), d = 0, [\cdot, \cdot])$ is a constraint DGLA. Then $\text{MC}(\mathcal{C}\mathfrak{X}_{\boxtimes}^{\bullet}(\mathcal{M}))_T$ is the set of Poisson structures on M , and, by [Definition 2.4.15](#), $\text{MC}(\mathcal{C}\mathfrak{X}_{\boxtimes}^{\bullet}(\mathcal{M}))_N$ is exactly the set of constraint Poisson structures on \mathcal{M} . Two such constraint Poisson structures π_1 and π_2 are equivalent as Maurer-Cartan elements if and only if $\pi_1 - \pi_2 \in \mathcal{C}\mathfrak{X}_{\boxtimes}^{\bullet}(\mathcal{M})_0$, i.e. if at least one leg of the bivector $\pi_1 - \pi_2$ points into the direction of the distribution, and therefore the bivector vanishes after reduction, c.f. [Lemma 2.4.11 iv.](#))

Lemma 3.2.3 (Maurer-Cartan functor) *Mapping constraint DGLAs to their constraint sets of Maurer-Cartan elements defines a functor*

$$\text{MC: CDGLA} \rightarrow \text{CSet}. \quad (3.2.4)$$

PROOF: Every morphism $\Phi: \mathfrak{g} \rightarrow \mathfrak{h}$ of constraint DGLAs induces maps $\Phi_T: \text{MC}(\mathfrak{g}_T) \rightarrow \text{MC}(\mathfrak{h}_T)$ and $\Phi_N: \text{MC}(\mathfrak{g}_N) \rightarrow \text{MC}(\mathfrak{h}_N)$. Moreover, since $\Phi_N: \mathfrak{g}_N \rightarrow \mathfrak{h}_N$ preserves the 0-component its induced map on $\text{MC}(\mathfrak{g}_N)$ maps equivalent elements to equivalent elements. \square

As in the setting of classical DGLAs, for a given constraint DGLA $(\mathfrak{g}, [\cdot, \cdot], d)$ and a given Maurer-Cartan element $\xi_0 \in \text{MC}(\mathfrak{g})_N$ we can always obtain a twisted constraint DGLA by $\mathfrak{g}_{\xi_0} = (\mathfrak{g}, [\cdot, \cdot], d_{\xi_0})$ with

$$d_{\xi_0} := d + [\xi_0, \cdot]. \quad (3.2.5)$$

Here we are using the tensor-hom adjunction in the sense of (1.2.28).

Note that for any constraint DGLA \mathfrak{g} and constraint algebra \mathcal{A} the tensor product $\mathfrak{g} \otimes \mathcal{A}$ is again a constraint DGLA by the usual construction. For this observe that $\mathfrak{g}_0 \otimes \mathcal{A}_N + \mathfrak{g}_N \otimes \mathcal{A}_0$ is indeed a Lie ideal in $\mathfrak{g}_N \otimes \mathcal{A}_N$.

Reformulating the equivalence of deformations of a given Maurer-Cartan element in terms of its twisted constraint DGLA requires a notion of a constraint gauge group. To define this we either need to assume that the DGLA we are starting with has additional properties, e.g. being nilpotent, or we can use formal power series instead. Since later on we are interested in formal deformation theory, we will choose the latter option. It is now easy to see that $\mathfrak{g}[[\lambda]]$ is a constraint DGLA for any constraint DGLA \mathfrak{g} by λ -linear extension of all structure maps.

Note that the gauge action will require to have $\mathbb{Q} \subseteq \mathbb{k}$ since we need the (formal) exponential series and the (formal) Baker-Campbell-Hausdorff (BCH) series.

Proposition 3.2.4 (Gauge group) *Let \mathfrak{g} be a constraint Lie algebra. Then*

$$\mathbf{G}(\mathfrak{g}) = (\lambda \mathfrak{g}_T[[\lambda]], \lambda \mathfrak{g}_N[[\lambda]], \lambda \mathfrak{g}_0[[\lambda]]) \quad (3.2.6)$$

with multiplication \bullet given by the Baker-Campbell-Hausdorff formula [Esp15, Eq. 2.4.8.]

$$\lambda \xi \bullet \lambda \eta = \lambda \xi + \lambda \eta + \frac{1}{2}[\lambda \xi, \lambda \eta] + \dots \quad (3.2.7)$$

is a constraint group.

PROOF: The additional prefactor λ makes all the BCH series λ -adically convergent. The well-known group structures on $\mathfrak{g}_T[[\lambda]]$ and $\mathfrak{g}_N[[\lambda]]$ are given by the BCH formula and we clearly have a group morphism $\mathfrak{g}_N[[\lambda]] \rightarrow \mathfrak{g}_T[[\lambda]]$. Finally, we need to show that $\lambda \mathfrak{g}_0[[\lambda]]$ is a normal subgroup of $\lambda \mathfrak{g}_N[[\lambda]]$. For this let $\lambda g \in \lambda \mathfrak{g}_N[[\lambda]]$ and $\lambda h \in \lambda \mathfrak{g}_0[[\lambda]]$ be given. Since by the BCH formula $\lambda g \bullet \lambda h \bullet (\lambda g)^{-1} = \lambda g_0 + \lambda h_0 - \lambda g_0 + \lambda^2(\dots)$, where all higher order terms are given by Lie brackets and \mathfrak{g}_0 is a Lie ideal in \mathfrak{g}_N , we see that $\lambda g \bullet \lambda h \bullet (\lambda g)^{-1} \in \lambda \mathfrak{g}_0[[\lambda]]$. \square

By abuse of notation we will write $\mathbf{G}(\mathfrak{g}) = \mathbf{G}(\mathfrak{g}^0)$ for every constraint DGLA \mathfrak{g} . With the composition \bullet on $\mathbf{G}(\mathfrak{g})$ defined by the Baker-Campbell-Hausdorff formula it is immediately clear that every morphism $\Phi: \mathfrak{g} \rightarrow \mathfrak{h}$ of constraint DGLAs induces a morphism $\mathbf{G}(\Phi): \mathbf{G}(\mathfrak{g}) \rightarrow \mathbf{G}(\mathfrak{h})$ of the corresponding gauge groups, given by the λ -linear extension of Φ . In other words, we obtain a functor $\mathbf{G}: \text{CDGLA} \rightarrow \text{CGroup}$.

The usual gauge action of the formal group on the (formal) Maurer-Cartan elements can now be extended to a constraint DGLA as follows:

Proposition 3.2.5 (Gauge action) *Let $(\mathfrak{g}, [\cdot, \cdot], d)$ be a constraint DGLA. Then the constraint group $\mathbf{G}(\mathfrak{g})$ acts on the constraint set $\mathbf{MC}(\lambda\mathfrak{g}[[\lambda]])$ by*

$$\lambda g \triangleright_{\mathbf{T}} \xi := e^{\lambda \operatorname{ad}_{\mathbf{T}}(g)}(\xi) - \lambda \sum_{k=0}^{\infty} \frac{(\lambda \operatorname{ad}_{\mathbf{T}}(g))^k}{(1+k)!} (d_{\mathbf{T}}g) \quad (3.2.8)$$

for $\lambda g \in \mathbf{G}(\mathfrak{g})_{\mathbf{T}}$ and $\xi \in \mathbf{MC}(\lambda\mathfrak{g}[[\lambda]])_{\mathbf{T}}$ as well as

$$\lambda g \triangleright_{\mathbf{N}} \xi := e^{\lambda \operatorname{ad}_{\mathbf{N}}(g)}(\xi) - \lambda \sum_{k=0}^{\infty} \frac{(\lambda \operatorname{ad}_{\mathbf{N}}(g))^k}{(1+k)!} (d_{\mathbf{N}}g) \quad (3.2.9)$$

for $\lambda g \in \mathbf{G}(\mathfrak{g})_{\mathbf{N}}$ and $\xi \in \mathbf{MC}(\lambda\mathfrak{g}[[\lambda]])_{\mathbf{N}}$.

PROOF: Clearly, $\triangleright_{\mathbf{T}}$ and $\triangleright_{\mathbf{N}}$ define actions of $\mathbf{G}(\mathfrak{g})_{\mathbf{T}}$ and $\mathbf{G}(\mathfrak{g})_{\mathbf{N}}$ on $\mathbf{MC}(\lambda\mathfrak{g}[[\lambda]])_{\mathbf{T}}$ and $\mathbf{MC}(\lambda\mathfrak{g}[[\lambda]])_{\mathbf{N}}$, respectively, by classical results, see [Esp15]. Moreover, writing out the exponential series and using the fact that $\operatorname{ad}(g) = [g, \cdot]$ and d commute with $\iota_{\mathfrak{g}}$ directly yields

$$\begin{aligned} \iota_{\mathfrak{g}}(\lambda g \triangleright_{\mathbf{N}} \xi) &= e^{\lambda \operatorname{ad}_{\mathbf{T}}(\iota_{\mathfrak{g}}(g))}(\iota_{\mathfrak{g}}(\xi)) - \lambda \sum_{k=0}^{\infty} \frac{(\lambda \operatorname{ad}_{\mathbf{T}}(\iota_{\mathfrak{g}}(g)))^k}{(1+k)!} (d_{\mathbf{T}}\iota_{\mathfrak{g}}(g)) \\ &= \lambda \iota_{\mathfrak{g}}(g) \triangleright_{\mathbf{T}} \iota_{\mathfrak{g}}(\xi). \end{aligned}$$

Finally, we have for any $\lambda g \in \mathbf{G}(\mathfrak{g})_{\mathbf{o}}$ and $\xi \in \mathbf{MC}(\lambda\mathfrak{g}[[\lambda]])_{\mathbf{N}}$

$$\begin{aligned} e^{\lambda \operatorname{ad}_{\mathbf{N}}(g)}(\xi) - \xi &= \sum_{k=0}^{\infty} \frac{\lambda^k}{k!} (\operatorname{ad}_{\mathbf{N}}(g))^k(\xi) - \lambda \sum_{k=0}^{\infty} \frac{(\lambda \operatorname{ad}_{\mathbf{N}}(g))^k}{(1+k)!} (d_{\mathbf{N}}g) - \xi \\ &= \sum_{k=1}^{\infty} \frac{\lambda^k}{k!} (\operatorname{ad}_{\mathbf{N}}(g))^k(\xi) - \lambda \sum_{k=0}^{\infty} \frac{(\lambda \operatorname{ad}_{\mathbf{N}}(g))^k}{(1+k)!} (d_{\mathbf{N}}g) \in \lambda\mathfrak{g}_{\mathbf{o}}[[\lambda]], \end{aligned}$$

since $d_{\mathbf{N}}g \in \mathfrak{g}_{\mathbf{o}}[[\lambda]]$ and $\operatorname{ad}_{\mathbf{N}}(g)(\xi) \in \mathfrak{g}_{\mathbf{o}}[[\lambda]]$. \square

This shows that the constraint sets of Maurer-Cartan elements admit more structure, namely that of an action of the associated gauge group. This suggests that the functor \mathbf{MC} of Lemma 3.2.3 factors through $\mathbf{CGroupAct}$, cf. Definition 1.2.3.

Corollary 3.2.6 *Mapping constraint DGLAs $(\mathfrak{g}, [\cdot, \cdot], d)$ to their corresponding gauge action of $\mathbf{G}(\mathfrak{g})$ on $\mathbf{MC}(\lambda\mathfrak{g}[[\lambda]])$ defines a functor $\mathbf{MC}: \mathbf{CDGLA} \rightarrow \mathbf{CGroupAct}$.*

PROOF: Let $\Phi: \mathfrak{g} \rightarrow \mathfrak{h}$ be a morphism of constraint DGLAs. Its λ -linear extension gives morphisms $\Phi: \mathbf{MC}(\lambda\mathfrak{g}[[\lambda]]) \rightarrow \mathbf{MC}(\lambda\mathfrak{h}[[\lambda]])$ and $\Phi: \mathbf{G}(\mathfrak{g}) \rightarrow \mathbf{G}(\mathfrak{h})$. With this we get

$$\begin{aligned} \Phi_{\mathbf{T}}(\lambda g \triangleright_{\mathbf{T}} \xi) &= \Phi_{\mathbf{T}}\left(e^{\lambda \operatorname{ad}_{\mathbf{T}}(g)}(\xi) - \lambda \sum_{k=0}^{\infty} \frac{(\lambda \operatorname{ad}_{\mathbf{T}}(g))^k}{(1+k)!} (d_{\mathbf{T}}g)\right) \\ &= e^{\lambda \operatorname{ad}_{\mathbf{T}}(\Phi_{\mathbf{T}}(g))}(\Phi_{\mathbf{T}}(\xi)) - \lambda \sum_{k=0}^{\infty} \frac{(\lambda \operatorname{ad}_{\mathbf{T}}(\Phi_{\mathbf{T}}(g)))^k}{(1+k)!} (d_{\mathbf{T}}\Phi_{\mathbf{T}}(g)) \\ &= \lambda \Phi_{\mathbf{T}}(g) \triangleright_{\mathbf{T}} \Phi_{\mathbf{T}}(\xi), \end{aligned}$$

for all $\lambda g \in \mathbf{G}(\mathfrak{g})_{\mathbf{T}}$ and $\xi \in \mathbf{MC}(\lambda\mathfrak{g}[[\lambda]])_{\mathbf{T}}$. With an analogous computation we find that also $\Phi_{\mathbf{N}}(\lambda g \triangleright_{\mathbf{N}} \xi) = \lambda \Phi_{\mathbf{N}}(g) \triangleright_{\mathbf{N}} \Phi_{\mathbf{N}}(\xi)$ holds for all $\lambda g \in \mathbf{G}(\mathfrak{g})_{\mathbf{N}}$ and $\xi \in \mathbf{MC}(\lambda\mathfrak{g}[[\lambda]])_{\mathbf{T}}$, showing that Φ is an equivariant map. \square

Maurer-Cartan elements are said to be *equivalent* if they lie in the same orbit of the gauge action. Hence the object of interest for deformation theory is not the set of Maurer-Cartan elements itself but its set of equivalence classes. More precisely, let us denote by

$$\text{Def}(\mathfrak{g}) := \text{MC}(\lambda_{\mathfrak{g}}[[\lambda]])/\text{G}(\mathfrak{g}) \quad (3.2.10)$$

the orbit space of the gauge action of the gauge group $\text{G}(\mathfrak{g})$ on the constraint set $\text{MC}(\lambda_{\mathfrak{g}}[[\lambda]])$ of Maurer-Cartan elements. The corresponding functor $\text{Def} : \text{CDGLA} \rightarrow \text{CSet}$ is called *deformation functor*.

3.2.1 Reduction

The question arises if the above constructions of the constraint set of Maurer-Cartan elements, the constraint gauge group and the deformation functor commute with reduction. The next theorem shows that this is partially true, in the sense that at least an injective natural transformation exists, see [DEW22, Thm. 3.14].

Theorem 3.2.7 (Deformation functor vs. reduction)

i.) *There exists an injective natural transformation $\eta : \text{red} \circ \text{MC} \implies \text{MC} \circ \text{red}$, i.e.*

$$\begin{array}{ccc} \text{CDGLA} & \xrightarrow{\text{MC}} & \text{CSet} \\ \text{red} \downarrow & \eta \swarrow & \downarrow \text{red} \\ \text{DGLA} & \xrightarrow{\text{MC}} & \text{Set} \end{array} \quad (3.2.11)$$

commutes with η injective.

ii.) *There exists a natural isomorphism such that the diagram*

$$\begin{array}{ccc} \text{CDGLA} & \xrightarrow{\text{G}} & \text{CGroup} \\ \text{red} \downarrow & \eta \swarrow & \downarrow \text{red} \\ \text{DGLA} & \xrightarrow{\text{G}} & \text{Group} \end{array} \quad (3.2.12)$$

commutes with η bijective.

iii.) *There exists an injective natural transformation $\eta : \text{red} \circ \text{Def} \implies \text{Def} \circ \text{red}$, i.e.*

$$\begin{array}{ccc} \text{CDGLA} & \xrightarrow{\text{Def}} & \text{CSet} \\ \text{red} \downarrow & \eta \swarrow & \downarrow \text{red} \\ \text{DGLA} & \xrightarrow{\text{Def}} & \text{Set} \end{array} \quad (3.2.13)$$

commutes with η injective.

PROOF: For this proof we need to construct natural transformations η , consisting of T- and N-components. Since the computations are identical in both cases, we omit the subscripts.

i.) In the following we denote by $[\cdot]_{\text{MC}}$ the equivalence classes of elements in $\text{MC}(\mathfrak{g}_{\text{N}})$ and by $[\cdot]_{\mathfrak{g}}$ the equivalence classes of elements in \mathfrak{g}_{N} . For any constraint DGLA \mathfrak{g} define

$$\eta_{\mathfrak{g}} : \text{MC}(\mathfrak{g})_{\text{red}} \rightarrow \text{MC}(\mathfrak{g}_{\text{red}}) \quad \text{by} \quad \eta_{\mathfrak{g}}([\xi]_{\text{MC}}) = [\xi]_{\mathfrak{g}}.$$

This map is well-defined since $[\xi]_{\text{MC}} \subseteq [\xi]_{\mathfrak{g}}$ and

$$d_{\text{red}}[\xi]_{\mathfrak{g}} + [[\xi]_{\mathfrak{g}}, [\xi]_{\mathfrak{g}}]_{\text{red}} = [d_N \xi + [\xi, \xi]_N]_{\mathfrak{g}} = [0]_{\mathfrak{g}}$$

for every $\xi \in \text{MC}(\mathfrak{g}_N)$. To show that $\eta_{\mathfrak{g}}$ is injective let $[\xi_1]_{\text{MC}}, [\xi_2]_{\text{MC}} \in \text{MC}(\mathfrak{g})_{\text{red}}$ be given such that $[\xi_1]_{\mathfrak{g}} = [\xi_2]_{\mathfrak{g}}$. Then $\xi_2 \in [\xi_1]_{\mathfrak{g}}$ and hence $\xi_1 - \xi_2 \in \mathfrak{g}_0^1$. Thus by definition $\xi_1 \sim_{\text{MC}} \xi_2$ and therefore $[\xi_1]_{\text{MC}} = [\xi_2]_{\text{MC}}$. To show naturality of η let a morphism $\Phi: \mathfrak{g} \rightarrow \mathfrak{h}$ of constraint DGLAs be given. This induces morphisms $\Phi: \text{MC}(\mathfrak{g})_{\text{red}} \rightarrow \text{MC}(\mathfrak{h})_{\text{red}}$ and $\Phi: \text{MC}(\mathfrak{g}_{\text{red}}) \rightarrow \text{MC}(\mathfrak{h}_{\text{red}})$ by applying Φ_N to representatives. Then we have

$$(\eta_{\mathfrak{h}} \circ \Phi)([\xi]_{\text{MC}}) = \eta_{\mathfrak{h}}([\Phi_N(\xi)]_{\text{MC}}) = [\Phi_N(\xi)]_{\mathfrak{h}} = \Phi([\xi]_{\mathfrak{g}}) = \Phi(\eta_{\mathfrak{g}}([\xi]_{\text{MC}})),$$

showing that η is natural.

- ii.) Then $\eta_{\mathfrak{g}}: \mathbf{G}(\mathfrak{g})_{\text{red}} \rightarrow \mathbf{G}(\mathfrak{g}_{\text{red}})$ given by $[\lambda g]_{\mathbf{G}} \mapsto \lambda[g]_{\mathfrak{g}}$, where $[g]_{\mathfrak{g}}$ denotes the equivalence class of g in $\mathfrak{g}_{\text{red}}$, is well-defined. Indeed, $\eta_{\mathfrak{g}}$ is just the λ -linear extension of the obvious identity $\mathfrak{g}_N/\mathfrak{g}_0 = \mathfrak{g}_{\text{red}}$. Moreover, $\eta_{\mathfrak{g}}$ is a group morphism, since $[\cdot]_{\mathfrak{g}}: \mathfrak{g}_N \rightarrow \mathfrak{g}_{\text{red}}$ is a morphism of DGLAs and \bullet is given by sums of iterated brackets. Naturality follows directly.
- iii.) By definition Def factors as $\text{Def} = \text{COrb} \circ \text{MC}$, with functors $\text{MC}: \text{CDGLA} \rightarrow \text{CGroupAct}$ and $\text{COrb}: \text{CGroupAct} \rightarrow \text{CSet}$ as in [Proposition 1.2.7](#). By [Proposition 1.2.12](#) COrb commutes with reduction, so we only need to consider MC . For this we show that η from [i.](#)) is equivariant:

$$\eta_{\mathfrak{g}}([\lambda g]_{\mathbf{G}} \triangleright [\xi]_{\text{MC}}) = \eta_{\mathfrak{g}}([\lambda g \triangleright \xi]_{\text{MC}}) = [\lambda g \triangleright \xi]_{\mathfrak{g}} = [\lambda g]_{\mathbf{G}} \triangleright [\xi]_{\mathfrak{g}}.$$

Here we implicitly used [ii.](#)). Now composing η with the natural isomorphism from [Proposition 1.2.12](#) yields the wanted injective natural transformation. \square

The missing surjectivity in [Theorem 3.2.7 i.](#)) comes again from the fact that the reduction functor does not reflect limits, cf. [Remark 1.1.19](#).

3.3 Constraint Hochschild Cohomology

We now want to introduce a constraint version of Hochschild cohomology for associative algebras. This constraint Hochschild complex will turn out to be the constraint DGLA which controls the deformation problem of constraint algebras.

In this section we assume that $\mathbb{Q} \subseteq \mathbb{k}$. Let $\mathcal{M}, \mathcal{N} \in \text{CMod}_{\mathbb{k}}$ be constraint \mathbb{k} -modules. We define for any $n \in \mathbb{N}$

$$C^n(\mathcal{M}, \mathcal{N}) := \text{CHom}_{\mathbb{k}}(\mathcal{M}^{\otimes n}, \mathcal{N}) \tag{3.3.1}$$

with $\text{CHom}_{\mathbb{k}}$ denoting the internal hom as usual. Recall that

$$\begin{aligned} C^n(\mathcal{M}, \mathcal{N})_{\text{T}} &= \text{Hom}_{\mathbb{k}}(\mathcal{M}_{\text{T}}^{\otimes n}, \mathcal{N}_{\text{T}}), \\ C^n(\mathcal{M}, \mathcal{N})_{\text{N}} &= \text{Hom}_{\mathbb{k}}(\mathcal{M}^{\otimes n}, \mathcal{N}), \\ C^n(\mathcal{M}, \mathcal{N})_0 &= \{(f_{\text{T}}, f_{\text{N}}) \in \text{Hom}_{\mathbb{k}}(\mathcal{M}^{\otimes n}, \mathcal{N}) \mid f_{\text{N}}(\mathcal{M}_{\text{N}}^{\otimes n}) \subseteq \mathcal{N}_0\}, \end{aligned}$$

with $\iota_n: C^n(\mathcal{M}, \mathcal{N})_{\text{N}} \ni (f_{\text{T}}, f_{\text{N}}) \mapsto f_{\text{T}} \in C^n(\mathcal{M}, \mathcal{N})_{\text{T}}$. Note that a morphism $f = (f_{\text{T}}, f_{\text{N}}) \in C^n(\mathcal{M}, \mathcal{N})_{\text{N}}$ fulfils $f_{\text{N}}((\mathcal{M}^{\otimes n})_0) \subseteq \mathcal{N}_0$ where, by definition of the tensor product, we have

$$(\mathcal{M}^{\otimes n})_0 = \sum_{i=1}^n \mathcal{M}_{\text{N}}^{\otimes i-1} \otimes \mathcal{M}_0 \otimes \mathcal{M}_{\text{N}}^{\otimes n-i}. \tag{3.3.2}$$

In other words, f_N maps to \mathcal{N}_0 if at least one tensor factor comes from \mathcal{M}_0 . This clearly defines a graded constraint \mathbb{k} -module $\mathbf{C}^\bullet(\mathcal{M}, \mathcal{N})$. Since $\mathcal{M}^{\otimes 0} \simeq (\mathbb{k}, \mathbb{k}, 0)$ it holds $\mathbf{C}^0(\mathcal{M}, \mathcal{N}) = \mathcal{N}$.

Let us now consider the case $\mathcal{N} = \mathcal{M}$. Then we write $\mathbf{C}^\bullet(\mathcal{M}) = \mathbf{C}^\bullet(\mathcal{M}, \mathcal{M})$. We now want to transfer the Gerstenhaber algebra structure of the classical Hochschild complex to $\mathbf{C}^\bullet(\mathcal{M})$. For this denote by $[\cdot, \cdot]^{\mathcal{M}_T}$ and $[\cdot, \cdot]^{\mathcal{M}_N}$ the Gerstenhaber brackets for the modules \mathcal{M}_T and \mathcal{M}_N , respectively. Then we need to show that $[\cdot, \cdot]^{\mathcal{M}_N}$ preserves the 0-components. This follows directly from the usual formula for the Gerstenhaber bracket, see [Ger63].

Definition 3.3.1 (Gerstenhaber bracket) *Let $\mathcal{M} \in \mathbf{CMod}_{\mathbb{k}}$. Then the morphism*

$$[\cdot, \cdot]: \mathbf{C}^\bullet(\mathcal{M}) \otimes \mathbf{C}^\bullet(\mathcal{M}) \rightarrow \mathbf{C}^\bullet(\mathcal{M}) \quad (3.3.3)$$

of constraint \mathbb{k} -modules defined by

$$[\cdot, \cdot]_T = [\cdot, \cdot]^{\mathcal{M}_T} \quad \text{and} \quad [\cdot, \cdot]_N = ([\cdot, \cdot]^{\mathcal{M}_T}, [\cdot, \cdot]^{\mathcal{M}_N}) \quad (3.3.4)$$

is called the constraint Gerstenhaber bracket.

Since $[\cdot, \cdot]^{\mathcal{M}_T}$ and $[\cdot, \cdot]^{\mathcal{M}_N}$ induce graded Lie algebra structures on the classical Hochschild complexes of \mathcal{M}_T and \mathcal{M}_N it is easy to see that $\mathbf{C}^\bullet(\mathcal{M})$ together with the constraint Gerstenhaber bracket $[\cdot, \cdot]$ forms a graded constraint Lie algebra.

Remark 3.3.2 The constraint Gerstenhaber bracket can also be derived from a constraint pre-Lie algebra structure on $\mathbf{C}^\bullet(\mathcal{M})$, which in turn results from a sort of partial composition. These partial compositions can be interpreted as the usual endomorphism operad structure of \mathcal{M} in $\mathbf{CMod}_{\mathbb{k}}$.

As in the classical theory of deformations of associative algebras, we can characterize associative multiplications by using the Gerstenhaber bracket.

Lemma 3.3.3 *Let $\mathcal{M} \in \mathbf{CMod}_{\mathbb{k}}$ be a constraint module. Then a morphism $\mu: \mathcal{M} \otimes \mathcal{M} \rightarrow \mathcal{M}$ of constraint \mathbb{k} -modules is an associative constraint algebra structure on \mathcal{M} if and only if*

$$[\mu, \mu]_N = 0. \quad (3.3.5)$$

PROOF: First, note that a constraint morphism $\mu: \mathcal{M} \otimes \mathcal{M} \rightarrow \mathcal{M}$ is an element in $\mathbf{C}^2(\mathcal{M})_N$ and hence consists of a pair (μ_T, μ_N) and $[\cdot, \cdot]_N = ([\cdot, \cdot]^{\mathcal{M}_T}, [\cdot, \cdot]^{\mathcal{M}_N})$. From the classical theory for associative algebras we know that μ_T and μ_N are associative multiplications if and only if $[\mu_T, \mu_T]^{\mathcal{M}_T} = 0$ and $[\mu_N, \mu_N]^{\mathcal{M}_N} = 0$ hold. \square

Note that (3.3.5) only involves the N-component of the constraint Gerstenhaber bracket $[\cdot, \cdot]$. Using the constraint structure of $\mathbf{C}^2(\mathcal{M})$ we get $\iota_2(\mu) = \mu_T \in \mathbf{C}^2(\mathcal{M})_T$, from which directly $[\mu_T, \mu_T]_T = 0$ follows.

Let us now move from a module \mathcal{M} to an algebra (\mathcal{A}, μ) . Then we can use the multiplication to construct a differential on $\mathbf{C}^\bullet(\mathcal{A})$.

Proposition 3.3.4 (Constraint Hochschild differential) *Let $(\mathcal{A}, \mu) \in \mathbf{CA|g}_{\mathbb{k}}$ be a constraint algebra. Then the morphism $\delta: \mathbf{C}^\bullet(\mathcal{A}) \rightarrow \mathbf{C}^{\bullet+1}(\mathcal{A})$ of constraint \mathbb{k} -modules, defined by its components*

$$\delta_T = -[\cdot, \mu_T]_T \quad \text{and} \quad \delta_N = -[\cdot, \mu]_N, \quad (3.3.6)$$

is a constraint chain map of degree 1 with $\delta^2 = 0$.

PROOF: Since μ_T is an associative multiplication on \mathcal{A}_T we know that $\delta_T: C^\bullet(\mathcal{A}_T) \rightarrow C^{\bullet+1}(\mathcal{A}_T)$ is a differential. Moreover, it is clear that $\delta_N: C^\bullet(\mathcal{A})_N \rightarrow C^\bullet(\mathcal{A})_N$ is also a differential and it preserves the 0-component by the definition of $[\cdot, \cdot]_N$. Finally, we have for $(\Phi_T, \Phi_N) \in C^n(\mathcal{A})_N$ that $(\delta_T \circ \iota_n)(\Phi_T, \Phi_N) = \delta_T(\Phi_T) = \iota_{n+1}(\delta_N((\Phi_T, \Phi_N)))$ holds, and hence (δ_T, δ_N) is a constraint morphism. \square

Note that δ can be understood as $\delta = -[\cdot, \mu]$ using the tensor-hom adjunction (1.2.28). The constraint Hochschild differential can be interpreted as twisting the constraint DGLA $(C^\bullet(\mathcal{A}), [\cdot, \cdot], 0)$ with the Maurer-Cartan element $\mu \in C^2(\mathcal{A})_N$, but with signs chosen in such a way that it corresponds to the usual Hochschild differential. More explicitly we have the following result.

Corollary 3.3.5 *Let $(\mathcal{A}, \mu) \in \mathbf{CAlg}_{\mathbb{k}}$ be a constraint algebra. Then the constraint Hochschild differential $\delta: C^\bullet(\mathcal{A}) \rightarrow C^{\bullet+1}(\mathcal{A})$ is given by $\delta = (\delta^{\mathcal{A}_T}, \delta^{\mathcal{A}_N})$, where $\delta^{\mathcal{A}_T}$ and $\delta^{\mathcal{A}_N}$ denote the Hochschild differentials of the algebras (\mathcal{A}_T, μ_T) and (\mathcal{A}_N, μ_N) , respectively. In particular, for $\phi \in C^{n+1}$ and $a_0, \dots, a_n \in \mathcal{A}_T$ we have*

$$\begin{aligned} (\delta\phi)(a_0, \dots, a_n) &= a_0\phi(a_1, \dots, a_n) + (-1)^n\phi(a_0, \dots, a_{n-1})a_n \\ &\quad + \sum_{i=0}^n (-1)^{i+1}\phi(a_0, \dots, a_i a_{i+1}, \dots, a_n). \end{aligned} \quad (3.3.7)$$

From this explicit characterization of the constraint Hochschild differential in terms of the classical Hochschild differentials it becomes clear that $(C^\bullet(\mathcal{A}), [\cdot, \cdot], \delta)$ is a constraint DGLA.

Definition 3.3.6 (Constraint Hochschild complex) *Let $(\mathcal{A}, \mu) \in \mathbf{CAlg}_{\mathbb{k}}$ be a constraint algebra. The constraint DGLA $(C^\bullet(\mathcal{A}), [\cdot, \cdot], \delta)$ is called the constraint Hochschild complex of \mathcal{A} .*

As we would expect, the constraint Hochschild complex also carries an additional multiplication, the so-called cup product.

Definition 3.3.7 (Constraint cup product) *Let $(\mathcal{A}, \mu) \in \mathbf{CAlg}_{\mathbb{k}}$ be a constraint algebra. The constraint morphism $\cup: C^\bullet(\mathcal{A}) \otimes C^\bullet(\mathcal{A}) \rightarrow C^\bullet(\mathcal{A})$ defined by*

$$\phi \cup_T \psi := \mu_T \circ (\phi \otimes \psi) \quad \text{and} \quad \phi' \cup_N \psi' := (\mu_T, \mu_N) \circ (\phi' \otimes \psi'), \quad (3.3.8)$$

for $\phi, \psi \in C^\bullet(\mathcal{A})_T$ and $\phi', \psi' \in C^\bullet(\mathcal{A})_N$, is called the constraint cup product.

Let us quickly summarize the properties for the constraint cup product.

Proposition 3.3.8 *Let $(\mathcal{A}, \mu) \in \mathbf{CAlg}_{\mathbb{k}}$ be a constraint algebra.*

- i.) *The cup product \cup turns $C^\bullet(\mathcal{A})$ into a graded constraint algebra.*
- ii.) *If \mathcal{A} is a strong constraint algebra, then $C^\bullet(\mathcal{A})$ is a strong constraint algebra with respect to \cup .*
- iii.) *The Hochschild differential δ is a graded derivation of degree 1 with respect to the cup product \cup .*

PROOF: The first and the last part follow directly from the fact that these properties hold on T- and N-component separately by the classical theory. The second part follows, since in this case μ is well-defined on \boxtimes by definition of a strong constraint algebra. \square

Now let us turn to the cohomology of the constraint Hochschild complex.

Definition 3.3.9 (Constraint Hochschild cohomology) Let $(\mathcal{A}, \mu) \in \mathbf{CAlg}_{\mathbb{k}}$ be a constraint algebra. The cohomology $\mathrm{HH}^\bullet(\mathcal{A}) = \ker \delta / \mathrm{im} \delta$ of the Hochschild complex $\mathbf{C}^\bullet(\mathcal{A})$ is called the constraint Hochschild cohomology of \mathcal{A} .

Using the definition of kernel, image and quotient in $\mathbf{CMod}_{\mathbb{k}}$, as given in Section 1.2.2, we can express the constraint Hochschild cohomology more explicitly as follows.

Lemma 3.3.10 The constraint Hochschild cohomology of $\mathcal{A} \in \mathbf{CAlg}_{\mathbb{k}}$ is given by

$$\begin{aligned} \mathrm{HH}^\bullet(\mathcal{A})_{\mathrm{T}} &= \mathrm{HH}^\bullet(\mathcal{A}_{\mathrm{T}}), \\ \mathrm{HH}^\bullet(\mathcal{A})_{\mathrm{N}} &= \ker \delta_{\mathrm{N}} / \mathrm{im} \delta_{\mathrm{N}}, \\ \mathrm{HH}^\bullet(\mathcal{A})_0 &= \ker(\delta_{\mathrm{N}}|_0) / \mathrm{im} \delta_{\mathrm{N}}, \end{aligned} \tag{3.3.9}$$

with

$$\begin{aligned} \ker \delta_{\mathrm{N}}^{n+1} &= \{(f_{\mathrm{T}}, f_{\mathrm{N}}) \in \mathbf{C}^{n+1}(\mathcal{A})_{\mathrm{N}} \mid \delta^{\mathcal{A}_{\mathrm{T}}} f_{\mathrm{T}} = 0 \text{ and } \delta^{\mathcal{A}_{\mathrm{N}}} f_{\mathrm{N}} = 0\} \\ &\subseteq \ker \delta_{\mathcal{A}_{\mathrm{T}}}^{n+1} \times \ker \delta_{\mathcal{A}_{\mathrm{N}}}^{n+1}, \end{aligned} \tag{3.3.10}$$

$$\begin{aligned} \mathrm{im} \delta_{\mathrm{N}}^n &= \{(f_{\mathrm{T}}, f_{\mathrm{N}}) \in \mathbf{C}^{n+1}(\mathcal{A})_{\mathrm{N}} \mid \exists (g_{\mathrm{T}}, g_{\mathrm{N}}) \in \mathbf{C}^n(\mathcal{A})_{\mathrm{N}} : \delta^{\mathcal{A}_{\mathrm{T}}} g_{\mathrm{T}} = f_{\mathrm{T}} \\ &\text{and } \delta^{\mathcal{A}_{\mathrm{N}}} g_{\mathrm{N}} = f_{\mathrm{N}}\}, \end{aligned} \tag{3.3.11}$$

and

$$\begin{aligned} \ker(\delta_{\mathrm{N}}^n|_0) &= \{(f_{\mathrm{T}}, f_{\mathrm{N}}) \in \mathbf{C}^{n+1}(\mathcal{A})_0 \mid \delta^{\mathcal{A}_{\mathrm{T}}} f_{\mathrm{T}} = 0 \text{ and } \delta^{\mathcal{A}_{\mathrm{N}}} f_{\mathrm{N}} = 0\} \\ &\subseteq \ker \delta_{\mathcal{A}_{\mathrm{T}}}^n \times \ker \delta_{\mathcal{A}_{\mathrm{N}}}^n. \end{aligned} \tag{3.3.12}$$

With this we can compute the zeroth and first constraint Hochschild cohomology of a given constraint algebra. For this recall the characterization of centre of a constraint algebra in Proposition 1.4.3 and of constraint derivations from Proposition 1.4.12, and define the constraint inner derivations of a given constraint algebra \mathcal{A} by

$$\begin{aligned} \mathbf{CInnDer}(\mathcal{A})_{\mathrm{T}} &:= \mathrm{InnDer}(\mathcal{A}_{\mathrm{T}}), \\ \mathbf{CInnDer}(\mathcal{A})_{\mathrm{N}} &:= \{(D_{\mathrm{T}}, D_{\mathrm{N}}) \in \mathbf{CDer}(\mathcal{A})_{\mathrm{N}} \mid \exists a \in \mathcal{A}_{\mathrm{N}} : D_{\mathrm{N}} = [\cdot, a]_{\mathrm{N}} \\ &\text{and } D_{\mathrm{T}} = [\cdot, \iota_{\mathcal{A}}(a)]_{\mathrm{T}}\}, \\ \mathbf{CInnDer}(\mathcal{A})_0 &:= \{(D_{\mathrm{T}}, D_{\mathrm{N}}) \in \mathbf{CDer}(\mathcal{A})_0 \mid \exists a \in \mathcal{A}_0 : D_{\mathrm{N}} = [\cdot, a]_{\mathrm{N}} \\ &\text{and } D_{\mathrm{T}} = [\cdot, \iota_{\mathcal{A}}(a)]_{\mathrm{T}}\}. \end{aligned} \tag{3.3.13}$$

The following also shows that in low degrees the interpretation of the constraint Hochschild cohomology is analogous to that for usual algebras.

Proposition 3.3.11 Let $\mathcal{A} \in \mathbf{CAlg}_{\mathbb{k}}$ be a constraint algebra.

i.) We have

$$\begin{aligned} \mathrm{HH}^0(\mathcal{A})_{\mathrm{T}} &= \mathcal{Z}(\mathcal{A}_{\mathrm{T}}), \\ \mathrm{HH}^0(\mathcal{A})_{\mathrm{N}} &= \{a \in \mathcal{A}_{\mathrm{N}} \mid a \in \mathcal{Z}(\mathcal{A}_{\mathrm{N}}) \text{ and } \iota_{\mathcal{A}}(a) \in \mathcal{Z}(\mathcal{A}_{\mathrm{T}})\}, \\ \mathrm{HH}^0(\mathcal{A})_0 &= \{a_0 \in \mathcal{A}_0 \mid a_0 \in \mathcal{Z}(\mathcal{A}_{\mathrm{N}}) \text{ and } \iota_{\mathcal{A}}(a_0) \in \mathcal{Z}(\mathcal{A}_{\mathrm{T}})\}. \end{aligned} \tag{3.3.14}$$

Hence $\mathrm{HH}^0(\mathcal{A}) = \mathcal{Z}(\mathcal{A})$.

ii.) We have

$$\begin{aligned}
 \mathrm{HH}^1(\mathcal{A})_{\mathrm{T}} &= \mathrm{Der}(\mathcal{A}_{\mathrm{T}}) / \mathrm{InnDer}(\mathcal{A}_{\mathrm{T}}), \\
 \mathrm{HH}^1(\mathcal{A})_{\mathrm{N}} &= \mathrm{Der}(\mathcal{A})_{\mathrm{N}} / \left\{ (D_{\mathrm{T}}, D_{\mathrm{N}}) \in \mathrm{Der}(\mathcal{A})_{\mathrm{N}} \mid \right. \\
 &\quad \left. \exists a \in \mathcal{A}_{\mathrm{N}} : D_{\mathrm{T}} = [\cdot, \iota_{\mathcal{A}}(a)], D_{\mathrm{N}} = [\cdot, a] \right\}, \\
 \mathrm{HH}^1(\mathcal{A})_0 &= \mathrm{Der}(\mathcal{A})_0 / \left\{ (D_{\mathrm{T}}, D_{\mathrm{N}}) \in \mathrm{Der}(\mathcal{A})_{\mathrm{N}} \mid \right. \\
 &\quad \left. \exists a \in \mathcal{A}_{\mathrm{N}} : D_{\mathrm{T}} = [\cdot, \iota_{\mathcal{A}}(a)], D_{\mathrm{N}} = [\cdot, a] \right\}.
 \end{aligned} \tag{3.3.15}$$

Hence $\mathrm{HH}^1(\mathcal{A}) = \mathrm{CDer}(\mathcal{A}) / \mathrm{CInnDer}(\mathcal{A})$.

PROOF: The first claim is clear by Lemma 3.3.10 and $\delta_{-1} = 0$. The T-component of the second part is clear by the classical result for the first Hochschild cohomology of the classical algebra \mathcal{A}_{T} . For the N-component consider $D = (D_{\mathrm{T}}, D_{\mathrm{N}}) \in \ker \delta_{\mathrm{N}}^1$. Then $\delta^{\mathcal{A}_{\mathrm{T}}} D_{\mathrm{T}} = 0$ and $\delta^{\mathcal{A}_{\mathrm{N}}} D_{\mathrm{N}} = 0$, hence D_{T} and D_{N} are derivations and it follows $D \in \mathrm{Der}(\mathcal{A})_{\mathrm{N}}$. Similarly, we get $D \in \mathrm{Der}(\mathcal{A})_0$ for $D \in \ker(\delta_{\mathrm{N}}^1|_0)$. Now let $D \in \mathrm{im} \delta_{\mathrm{N}}^0$, then there exists $a: \mathbb{k} \rightarrow \mathcal{A}$ with $D_{\mathrm{T}} = \delta^{\mathcal{A}_{\mathrm{T}}} a_{\mathrm{T}} = [\cdot, a_{\mathrm{T}}]$ and $D_{\mathrm{N}} = \delta^{\mathcal{A}_{\mathrm{N}}} a_{\mathrm{N}} = [\cdot, a_{\mathrm{N}}]$. Since $a_{\mathrm{T}} = \iota(a_{\mathrm{N}})$ the second part holds. \square

3.3.1 Reduction

Assigning the (constraint) Hochschild complex to a given (constraint) algebra is not functorial on all of $\mathrm{CAlg}_{\mathbb{k}}^{\times}$. But if we restrict ourselves to the subcategory $\mathrm{CAlg}_{\mathbb{k}}^{\times}$ of constraint algebras with invertible morphisms we get a functor $\mathbf{C}^{\bullet}: \mathrm{CAlg}_{\mathbb{k}}^{\times} \rightarrow \mathrm{CDGLA}$ by mapping each constraint algebra to its constraint Hochschild complex and every algebra isomorphism $\phi: \mathcal{A} \rightarrow \mathcal{B}$ to $\mathbf{C}^{\bullet}(\phi): \mathbf{C}^{\bullet}(\mathcal{A}) \rightarrow \mathbf{C}^{\bullet}(\mathcal{B})$ given by $\mathbf{C}^{\bullet}(\phi)(f) = \phi \circ f \circ (\phi^{-1})^{\otimes n}$ for $f \in \mathbf{C}^n(\mathcal{A})_{\mathrm{T}/\mathrm{N}}$. A similar construction clearly also works for usual algebras. We can now show that this functor commutes with reduction up to an injective natural transformation.

Proposition 3.3.12 (Hochschild complex vs. reduction) *There exists an injective natural*

transformation $\eta: \mathrm{red} \circ \mathbf{C}^{\bullet} \implies \mathbf{C}^{\bullet} \circ \mathrm{red}$, i.e.

$$\begin{array}{ccc}
 \mathrm{CAlg}_{\mathbb{k}}^{\times} & \xrightarrow{\mathbf{C}^{\bullet}} & \mathrm{CDGLA} \\
 \mathrm{red} \downarrow & \eta \swarrow & \downarrow \mathrm{red} \\
 \mathrm{Alg}_{\mathbb{k}}^{\times} & \xrightarrow{\mathbf{C}^{\bullet}} & \mathrm{DGLA}
 \end{array} \tag{3.3.16}$$

commutes with η injective.

PROOF: For every constraint algebra \mathcal{A} define $\eta_{\mathcal{A}}: \mathbf{C}^{\bullet}(\mathcal{A})_{\mathrm{red}} \rightarrow \mathbf{C}^{\bullet}(\mathcal{A}_{\mathrm{red}})$ by

$$\eta_{\mathcal{A}}([f])([a_1], \dots, [a_n]) = [f_{\mathrm{N}}(a_1, \dots, a_n)].$$

for $[f] = [(f_{\mathrm{T}}, f_{\mathrm{N}})] \in \mathbf{C}^n(\mathcal{A})_{\mathrm{red}}$. First note that $\eta_{\mathcal{A}}([f]): \mathcal{A}_{\mathrm{red}}^{\otimes n} \rightarrow \mathcal{A}_{\mathrm{red}}$ is well-defined since if $a_i \in \mathcal{A}_0$ for any $i = 1, \dots, n$ we have $f_{\mathrm{N}}(a_1, \dots, a_n) \in \mathcal{A}_0$ and hence $[f_{\mathrm{N}}(a_1, \dots, a_n)] = 0$. Moreover, $\eta_{\mathcal{A}}$ is well-defined since for $f \in \mathbf{C}^n(\mathcal{A})_0$ we have $f_{\mathrm{N}}(a_1, \dots, a_n) \in \mathcal{A}_0$ and thus $\eta([f]) = 0$. To see that η is indeed a natural transformation we need to show that for every isomorphism $\phi: \mathcal{A} \rightarrow \mathcal{B}$ we have $\eta_{\mathcal{B}} \circ \mathbf{C}^{\bullet}(\phi)_{\mathrm{red}} = \mathbf{C}^{\bullet}([\phi]) \circ \eta_{\mathcal{A}}$. But it is clear after inserting the definitions. Finally, suppose $\eta_{\mathcal{A}}([f]) = \eta_{\mathcal{A}}([g])$. This means that $(f_{\mathrm{N}} - g_{\mathrm{N}})(a_1, \dots, a_n) \in \mathcal{A}_0$ and therefore $[f] = [g]$. Thus $\eta_{\mathcal{A}}$ is injective. \square

Combining [Proposition 1.6.5](#) with [Proposition 3.3.12](#) immediately yields the following compatibility of Hochschild cohomology with reduction:

Corollary 3.3.13 *There exists an injective natural transformation $\eta: \text{red} \circ \text{HH}^\bullet \implies \text{HH}^\bullet \circ \text{red}$. In particular, for any constraint algebra \mathcal{A} we have*

$$\text{HH}^\bullet(\mathcal{A})_{\text{red}} \subseteq \text{HH}^\bullet(\mathcal{A}_{\text{red}}). \quad (3.3.17)$$

3.4 Formal Deformations via Hochschild Cohomology

Throughout this section we will again assume that the scalars satisfy $\mathbb{Q} \subseteq \mathbb{k}$ in order to make use of the description of deformations by Maurer-Cartan elements.

Let $(\mathcal{A}, \mu_0) \in \mathbf{CAlg}_{\mathbb{k}}$ be a constraint \mathbb{k} -algebra. By [Definition 3.1.3](#) a formal associative deformation $(\mathcal{A}[[\lambda]], \mu)$ is given by an associative multiplication $\mu: \mathcal{A}[[\lambda]] \otimes \mathcal{A}[[\lambda]] \rightarrow \mathcal{A}[[\lambda]]$ making $\mathcal{A}[[\lambda]]$ a constraint $\mathbb{k}[[\lambda]]$ -algebra such that $\text{cl}(\mathcal{A}, \mu)$ is given by (\mathcal{A}, μ_0) , or in other words

$$\mu = \mu_0 + \sum_{k=1}^{\infty} \lambda^k \mu_k \quad (3.4.1)$$

with $\mu_k: \mathcal{A} \otimes \mathcal{A} \rightarrow \mathcal{A}$.

Such deformations can now be understood as Maurer-Cartan elements in the constraint DGLA $\lambda\mathbf{C}^\bullet(\mathcal{A})[[\lambda]]$ corresponding to $(\mathcal{A}[[\lambda]], \mu_0)$.

Lemma 3.4.1 *Let $(\mathcal{A}, \mu) \in \mathbf{CAlg}_{\mathbb{k}}$ be a constraint \mathbb{k} -algebra. A multiplication $\mu = \mu_0 + M$, with $M = \sum_{k=1}^{\infty} \lambda^k \mu_k$ is a formal associative deformation of μ_0 if and only if*

$$\delta M + \frac{1}{2}[M, M] = 0. \quad (3.4.2)$$

PROOF: By [Lemma 3.3.3](#) we know that we have to check that $[\mu_{\text{T}}, \mu_{\text{T}}]_{\mathcal{A}_{\text{T}}} = 0$ and $[\mu_{\text{N}}, \mu_{\text{N}}]_{\mathcal{A}_{\text{N}}} = 0$. Thus, consider the total component of μ as $\mu_{\text{T}} = (\mu_0)_{\text{T}} + M_{\text{T}}$. We have

$$[\mu_{\text{T}}, \mu_{\text{T}}] = [(\mu_0)_{\text{T}} + M_{\text{T}}, (\mu_0)_{\text{T}} + M_{\text{T}}] = 2\delta M_{\text{T}} + [M_{\text{T}}, M_{\text{T}}],$$

where we used the associativity of $(\mu_0)_{\text{T}}$ and the graded skew-symmetry of Gerstenhaber bracket. The very same holds for the N-component. \square

Equivalence of formal deformations can be phrased using the constraint Gerstenhaber bracket as follows:

Proposition 3.4.2 *Let (\mathcal{A}, μ_0) be a constraint algebra and let μ and μ' be deformations of \mathcal{A} . Then μ and μ' are equivalent via $T = \exp(\lambda D)$ if and only if*

$$e^{\lambda[D, \cdot]}(\mu) = \mu' \quad (3.4.3)$$

holds, where $[\cdot, \cdot]$ denotes the constraint Gerstenhaber bracket.

PROOF: This follows directly since the statement holds in the T- and N-components separately by classical deformation theory. \square

This allows us to conclude that the equivalence of deformations coincides with gauge equivalence of Maurer-Cartan elements:

Theorem 3.4.3 (Equivalence classes of deformations) *Let \mathbb{k} be a commutative ring with $\mathbb{Q} \subseteq \mathbb{k}$. Let (\mathcal{A}, μ_0) be a constraint \mathbb{k} -algebra. Then the constraint set of equivalence classes of formal associative deformations of \mathcal{A} coincides with $\text{Def}(\mathbf{C}^\bullet(\mathcal{A}))$, where $\mathbf{C}^\bullet(\mathcal{A})$ is the constraint Hochschild DGLA of \mathcal{A} .*

PROOF: Let μ and μ' be two deformations of μ_0 . By Proposition 3.4.2 we know that μ and μ' are equivalent deformations of μ_0 if and only if there exists $D \in \lambda C^1(\mathcal{A}[[\lambda]])$ such that

$$e^{\lambda[D, \cdot]}(\mu) = \mu'. \quad (*)$$

Using $\mu = \mu_0 + M$ and $\mu' = \mu_0 + M'$ with $M = \sum_{k=1}^{\infty} \lambda^k \mu_k$ as well as $\delta_N = [\mu_0, \cdot]_N$ for the Hochschild differential it is easy to see that $(*)$ is equivalent to

$$\lambda D \triangleright_N M = M',$$

meaning that the Maurer-Cartan elements M and M' are gauge equivalent. \square

Finally, we can reformulate the classical theorem about the extension of a deformation up to a given order for constraint algebras.

Theorem 3.4.4 (Obstructions) *Let \mathbb{k} be a commutative ring with $\mathbb{Q} \subseteq \mathbb{k}$. Let $(\mathcal{A}, \mu_0) \in \text{CAlg}_{\mathbb{k}}$ be a constraint \mathbb{k} -algebra.*

i.) *Furthermore, let $\mu^{(k)} = \mu_0 + \dots + \lambda^k \mu_k \in C^2(\mathcal{A})_N$ be an associative deformation of μ_0 up to order k . Then*

$$R_{k+1} = \left(\frac{1}{2} \sum_{\ell=1}^k [(\mu_\ell)_T, (\mu_{k+1-\ell})_T]^{\mathcal{A}_T}, \frac{1}{2} \sum_{\ell=1}^k [(\mu_\ell)_N, (\mu_{k+1-\ell})_N]^{\mathcal{A}_N} \right) \in C^3(\mathcal{A})_N \quad (3.4.4)$$

is a constraint Hochschild cocycle, i.e. $\delta_N R_{k+1} = 0$. The deformation $\mu^{(k)}$ can be extended to order $k+1$ if and only if $R_{k+1} = \delta_N \mu_{k+1}$. In this case every such μ_{k+1} yields an extension $\mu^{(k+1)} = \mu^{(k)} + \lambda^{k+1} \mu_{k+1}$.

ii.) *Let $\mu_1 \in C^2(\mathcal{A})_N$. Then $\mu = \mu_0 + \lambda \mu_1$ is an associative deformation of μ_0 up to order 1 if and only if $\delta_N \mu_1 = 0$. Moreover, if μ'_1 is another deformation up to order 1 of μ_0 then these two deformations are equivalent up to order 1 if and only if $\mu_1 - \mu'_1$ is exact.*

PROOF: By classical deformation theory of associative algebras it is clear that (3.4.4) is closed since $\delta_N = (\delta^{\mathcal{A}_T}, \delta^{\mathcal{A}_N})$. If R_{k+1} is exact, we know that $\mu_T^{(k)}$ and $\mu_N^{(k)}$ can be extended via $(\mu_{k+1})_T$ and $(\mu_{k+1})_N$, respectively. Thus μ_{k+1} yields an extension of $\mu^{(k)}$. On the other hand, if $\mu^{(k)}$ can be extended, we know that $(R_{k+1})_T = \delta^{\mathcal{A}_T}(\mu_{k+1})_T$ and $(R_{k+1})_N = \delta^{\mathcal{A}_N}(\mu_{k+1})_N$. Hence, $R_{k+1} = \delta_N \mu_{k+1}$. For the second part, consider the first part for $k=0$, then $\delta_N \mu_1 = R_1 = 0$ follows directly. By Proposition 3.4.2 two deformations $\mu = \mu_0 + \mu_1$ and $\mu' = \mu_0 + \mu'_1$ are equivalent if and only if there exists $D \in \text{CHom}_{\mathbb{k}}(\mathcal{A}[[\lambda]], \mathcal{A}[[\lambda]])_N$ such that $e^{\text{ad}(D)}(\mu) = \mu'$. If we only want to consider deformations up to order 1 we can restrict to the case $D = D_0 \in \text{CHom}_{\mathbb{k}}(\mathcal{A}, \mathcal{A})_N$. Then we get equivalently $\mu + \lambda[D_0, \mu] = \mu'$. The first order term then directly yields $\mu'_1 - \mu_1 = -\delta_N D_0$. \square

Thus $\text{HH}^2(\mathcal{A})_N$ classifies infinitesimal constraint deformations while $\text{HH}^3(\mathcal{A})_N$ gives the obstructions to extending such deformations in a constraint way. The constraint module $\text{HH}^3(\mathcal{A})$ carries more information than just the obstructions to deformations of the constraint algebra \mathcal{A} . Since $\text{HH}^3(\mathcal{A})_T = \text{HH}^3(\mathcal{A}_T)$ it also encodes the obstructions of deformations of the classical algebra \mathcal{A}_T . Moreover, $\text{HH}^3(\mathcal{A})_0$ is important for the reduction of $\text{HH}^3(\mathcal{A})$ and hence controls which obstructions on \mathcal{A} descend to obstructions on \mathcal{A}_{red} . In particular, we have seen in Corollary 3.3.13 that $\text{HH}^3(\mathcal{A})_{\text{red}} \subseteq \text{HH}^3(\mathcal{A}_{\text{red}})$. The components of $\text{HH}^2(\mathcal{A})$ can be interpreted in a similar fashion.

3.5 Second Constraint Hochschild Cohomology on \mathbb{R}^n

Let us now turn again to constraint star products on a constraint manifold \mathcal{M} . We have seen in [Section 3.1](#) that such a constraint star product is nothing but a differentiable formal deformation of the constraint algebra $\mathcal{C}\mathcal{L}^\infty(\mathcal{M})$. Thus following [Section 3.4](#) we are interested in the subcomplex $\mathbf{C}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{L}^\infty(\mathcal{M})) \subseteq \mathbf{C}^\bullet(\mathcal{C}\mathcal{L}^\infty(\mathcal{M}))$ of differential constraint Hochschild cochains. Thus we want to be able to compute $\mathbf{HH}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{L}^\infty(\mathcal{M}))$. In classical deformation theory the Hochschild-Kostant-Rosenberg Theorem computes the differential Hochschild cohomology for a given smooth manifold M , see [\[HKR62\]](#) for the original result.

Theorem 3.5.1 (HKR Theorem) *Let M be a smooth manifold. Then*

$$\mathcal{U}: \mathfrak{X}^\bullet(M) \rightarrow \mathbf{HH}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{L}^\infty(M)), \quad \mathcal{U}(X)(f_1, \dots, f_k) := \frac{1}{k!} i_{df_1, \dots, df_k} X \quad (3.5.1)$$

is an isomorphism of Gerstenhaber algebras.

Here the Gerstenhaber algebra structure on $\mathfrak{X}^\bullet(M)$ is given by \wedge and the Schouten bracket $\llbracket \cdot, \cdot \rrbracket$, while on $\mathbf{HH}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{L}^\infty(M))$ it is given by the cup product \cup and the Gerstenhaber bracket $[\cdot, \cdot]$.

For a constraint manifold $\mathcal{M} = (M, C, D)$ we know from [Proposition 3.3.11](#) that

$$\mathbf{HH}_{\text{diff}}^0(\mathcal{C}\mathcal{L}^\infty(\mathcal{M})) = \mathcal{C}\mathcal{L}^\infty(\mathcal{M}) \quad (3.5.2)$$

and

$$\mathbf{HH}_{\text{diff}}^1(\mathcal{C}\mathcal{L}^\infty(\mathcal{M})) = \mathbf{CDer}(\mathcal{C}\mathcal{L}^\infty(\mathcal{M})) \simeq \mathbf{C}\Gamma^\infty(TM). \quad (3.5.3)$$

This suggests that constraint multivector fields might compute constraint Hochschild cohomology. But for higher constraint multivector fields we have to choose between $\mathbf{C}\mathfrak{X}_{\boxtimes}^\bullet(\mathcal{M})$ and $\mathbf{C}\mathfrak{X}_{\boxtimes}^\bullet(\mathcal{M})$. Now [Example 3.2.2](#) shows, that if we are interested in deforming not merely Poisson but coisotropic submanifolds, we need to go with $\mathbf{C}\mathfrak{X}_{\boxtimes}^\bullet(\mathcal{M})$. The next result shows that the constraint version of [\(3.5.1\)](#) is well-defined at the level of cochains and also yields an injection in cohomology.

Proposition 3.5.2 *Let $\mathcal{M} = (M, C, D)$ be a constraint manifold.*

i.) The map

$$\mathcal{U}: \mathbf{C}\mathfrak{X}_{\boxtimes}^\bullet(\mathcal{M}) \rightarrow \mathbf{C}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{L}^\infty(\mathcal{M})), \quad \mathcal{U}(X)(f_1, \dots, f_k) := \frac{1}{k!} i_{df_1, \dots, df_k} X \quad (3.5.4)$$

is a morphism between the constraint complexes $(\mathfrak{X}_{\boxtimes}^\bullet(M), d = 0)$ and $(\mathbf{C}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{L}^\infty(\mathcal{M})), \delta)$.

ii.) The induced morphism

$$\mathcal{U}: \mathbf{C}\mathfrak{X}_{\boxtimes}^\bullet(\mathcal{M}) \rightarrow \mathbf{HH}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{L}^\infty(\mathcal{M})) \quad (3.5.5)$$

is a regular monomorphism.

PROOF: The map \mathcal{U} can be seen as the lowest order of Op from [Corollary 2.5.27](#). Note that in this case $Df = df$, and hence this restriction of Op is indeed independent of the chosen constraint covariant derivative. Thus \mathcal{U} is a constraint regular monomorphism. Moreover, from classical theory we know that $\delta \circ \mathcal{U} = 0$, and hence \mathcal{U} is a morphism of constraint complexes. For the second part note that $\mathcal{U}_T: \mathfrak{X}^\bullet(M) \rightarrow \mathbf{C}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{L}^\infty(M))$ is an isomorphism by the classical HKR theorem. Since quotients of embedded constraint modules need not necessarily be embedded, $\mathcal{U}_N: \mathbf{C}\mathfrak{X}_{\boxtimes}^\bullet(\mathcal{M})_N \rightarrow \mathbf{HH}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{L}^\infty(M))_N$ is not given by the restriction of \mathcal{U}_T , but it fulfils $\iota_{\mathbf{HH}} \circ \mathcal{U}_N = \mathcal{U}_T \circ \iota_{\mathbf{C}\mathfrak{X}_{\boxtimes}}$. Since the right hand side is injective so is \mathcal{U}_N . Thus \mathcal{U} is a monomorphism. To

show that it is regular, consider $\mathcal{U}_N(X) = [\mathcal{U}(X)] \in \mathbf{HH}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{E}^\infty(\mathcal{M}))_0$. Then by definition $\mathcal{U}(X) \in \mathbf{C}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{E}^\infty(\mathcal{M}))_0$ and thus since \mathcal{U} is a constraint monomorphism on cochain level we get $X \in \mathbf{C}\mathfrak{X}_{\boxtimes}^\bullet(\mathcal{M})_0$. \square

Even though $\mathbf{C}\mathfrak{X}_{\boxtimes}^\bullet(\mathcal{M})$ already yields interesting, and perhaps even unexpected, contributions to $\mathbf{HH}_{\text{diff}}^\bullet(\mathcal{C}\mathcal{E}^\infty(\mathcal{M}))_N$, we cannot hope for (3.5.5) to be an isomorphism:

Example 3.5.3 Let $\mathcal{M} = \mathbb{R}^n = (\mathbb{R}^{n_T}, \mathbb{R}^{n_N}, \mathbb{R}^{n_0})$ with $0 < n_0 < n_N < n_T$. and consider

$$\partial_{(1, n_T)} = \frac{\partial^2}{\partial x^1 \partial x^{n_T}} \in \mathbf{C}_{\text{diff}}^1(\mathcal{C}\mathcal{E}^\infty(\mathbb{R}^n))_T. \quad (3.5.6)$$

This differential operator is clearly not constraint: We have $x^1 x^{n_T} \in \mathcal{C}\mathcal{E}^\infty(\mathbb{R}^n)_0$ but

$$\partial_{(1, n_T)}(x^1 x^{n_T}) = 1 \notin \mathcal{C}\mathcal{E}^\infty(\mathbb{R}^n)_0. \quad (3.5.7)$$

Nevertheless, applying the Hochschild differential yields

$$\delta(\partial_{(1, n_T)}) = -\partial_1 \cup \partial_{n_T} - \partial_{n_T} \cup \partial_1, \quad (3.5.8)$$

which *is* constraint. In fact, $\delta(\partial_{(1, n_T)}) \in \mathbf{C}_{\text{diff}}^2(\mathcal{C}\mathcal{E}^\infty(\mathbb{R}^n))_0$, since for $f, g \in \mathcal{C}\mathcal{E}^\infty(\mathbb{R}^n)_N$ we have

$$\delta(\partial_{(1, n_T)})(f, g) = -\underbrace{\frac{\partial f}{\partial x^1}}_{=0} \cdot \frac{\partial g}{\partial x^{n_T}} - \frac{\partial f}{\partial x^{n_T}} \cdot \underbrace{\frac{\partial g}{\partial x^1}}_{=0} = 0. \quad (3.5.9)$$

To show that $\delta(\partial_{(1, n_T)})$ defines a non-trivial class in cohomology assume that $\delta(\partial_{(1, n_T)}) = \delta(D)$ for some $D = \sum_{r=0}^{\infty} \sum_{I \in n^{\otimes r}} D^I \partial_I \in \mathbf{C}_{\text{diff}}^1(\mathcal{C}\mathcal{E}^\infty(\mathbb{R}^n))_T$. Then $D - \delta(\partial_{(1, n_T)})$ is closed, hence a derivation, and it follows $D = \partial_{(1, n_T)} + \sum_{i=1}^{n_T} D^i \partial_i$. Evaluating on $x^1 x^{n_T}$ shows that D is not constraint. Finally, since $\delta(\partial_{(1, n_T)})$ is symmetric it cannot be in the image of \mathcal{U} . Thus we have found a non-trivial cohomology class, not coming from constraint multivector fields.

This example can easily be generalized to construct non-vanishing symmetric cohomology classes with arbitrary order of differentiation: For this consider $\partial_I \in \mathbf{C}_{\text{diff}}^1(\mathcal{C}\mathcal{E}^\infty(\mathbb{R}^n))_T$ with $I = (i_1, \dots, i_r)$ such that for one $\ell \in \{1, \dots, r\}$ it holds $n_N < i_\ell$ and $i_k \leq n_0$ for all $k \neq \ell$. Then ∂_I is not constraint, but in $\delta(\partial_I)$ there appears in every term at least one \cup -factor from $\mathbf{C}_{\text{diff}}^1(\mathcal{C}\mathcal{E}^\infty(\mathbb{R}^n))_0$, showing that $\delta(\partial_I)$ is constraint. It is then straightforward to see that it also yields a non-vanishing class in cohomology.

In the following we will concentrate on the local case $\mathcal{M} = \mathbb{R}^n = (\mathbb{R}^{n_T}, \mathbb{R}^{n_N}, \mathbb{R}^{n_0})$ and its second constraint Hochschild cohomology. By the product rule from classical calculus we have for $i_1, \dots, i_r \in \{1, \dots, n_T\}$ and $f, g \in \mathcal{C}^\infty(M)$ that

$$\begin{aligned} \frac{\partial^r(f \cdot g)}{\partial x^{i_1} \dots \partial x^{i_r}} &= \sum_{s=0}^r \sum_{\sigma \in S_r} \frac{1}{s!(r-s)!} \frac{\partial^s f}{\partial x^{i_{\sigma(1)}} \dots \partial x^{i_{\sigma(s)}}} \cdot \frac{\partial^{(r-s)} g}{\partial x^{i_{\sigma(s+1)}} \dots \partial x^{i_{\sigma(r)}}} \\ &= \sum_{s=0}^r \sum_{\sigma \in \text{Sh}(s, r-s)} \frac{\partial^s f}{\partial x^{i_{\sigma(1)}} \dots \partial x^{i_{\sigma(s)}}} \cdot \frac{\partial^{(r-s)} g}{\partial x^{i_{\sigma(s+1)}} \dots \partial x^{i_{\sigma(r)}}}. \end{aligned} \quad (3.5.10)$$

Here $\text{Sh}(s, r-s)$ denotes the set of $(s, r-s)$ -shuffle permutations, i.e. $\sigma \in S_r$ such that $\sigma(1) < \dots < \sigma(s)$ and $\sigma(s+1) < \dots < \sigma(r)$. In order to write (3.5.10) in a more concise fashion we use the following notation: For a multi index $I = (i_1, \dots, i_r)$ and $s \in \{1, \dots, r\}$ we define

$$I_s := (i_1, \dots, i_s) \quad \text{and} \quad {}_s I := (i_{s+1}, \dots, i_r). \quad (3.5.11)$$

Moreover, for a permutation $\sigma \in S_r$ we set $\sigma(I) := (i_{\sigma(1)}, \dots, i_{\sigma(r)})$. With this (3.5.10) reads

$$\partial_I(f \cdot g) = \sum_{s=0}^r \sum_{\sigma \in \text{Sh}(s, r-s)} \partial_{\sigma(I)_s} f \cdot \partial_{s\sigma(I)} g. \quad (3.5.12)$$

We can now use (3.3.7) to express the Hochschild differential applied to some ∂_I as

$$\delta(\partial_I) = \sum_{s=1}^{r-1} \sum_{\sigma \in \text{Sh}(s, r-s)} \partial_{\sigma(I)_s} \cup \partial_{s\sigma(I)}. \quad (3.5.13)$$

Lemma 3.5.4 *Let $I = (i_1, \dots, i_r) \in (n^{\otimes r})_{\mathbb{N}}$.*

i.) It holds $\delta(\partial_I) \in C_{\text{diff}}^2(\mathcal{C}^\infty(\mathbb{R}^n))_{\mathbb{N}}$ if and only if $I \in (n^{\otimes r})_{\mathbb{N}}$ or

$$\exists \ell \in \{1, \dots, r\} : i_\ell \in n_{\mathbb{T}} \setminus n_{\mathbb{N}} \quad \text{and} \quad \forall k \neq \ell : i_k \in n_0. \quad (3.5.14)$$

ii.) It holds $\delta(\partial_I) \in C_{\text{diff}}^2(\mathcal{C}^\infty(\mathbb{R}^n))_0$ if and only if $I \in (n^{\otimes r})_0$ or

$$\exists \ell \in \{1, \dots, r\} : i_\ell \in n_{\mathbb{T}} \setminus n_{\mathbb{N}} \quad \text{and} \quad \forall k \neq \ell : i_k \in n_0. \quad (3.5.15)$$

PROOF: Let us first show the second part: By Proposition 2.5.24 ii.) the terms with

$$(\sigma(I)_s, s\sigma(I)) \in ((n^*)^{\boxtimes} \otimes (n^*)^{\boxtimes})_{\mathbb{N}} = (n^{\otimes s} \boxtimes n^{\otimes r-s})_{\mathbb{N}}^*$$

need to vanish. Thus we have $\delta(\partial_I) \in C_{\text{diff}}^2(\mathcal{C}^\infty(\mathbb{R}^n))_0$ if and only if

$$(\sigma(I)_s, s\sigma(I)) \in (n^{\otimes s} \boxtimes n^{\otimes r-s})_0 \quad \text{for all } s = 1, \dots, r-1 \text{ and all } \sigma \in \text{Sh}(s, r-s).$$

By Lemma 1.3.9 we can write

$$\begin{aligned} (n^{\otimes s} \boxtimes n^{\otimes r-s})_0 &= (n^{\otimes s} \otimes n^{\otimes r-s})_0 \sqcup ((n^{\otimes s})_0^* \times (n^{\otimes r-s})_0) \sqcup ((n^{\otimes s})_0 \times (n^{\otimes r-s})_0^*) \\ &= (n^{\otimes r})_0 \sqcup ((n^{\otimes s})_0^* \times (n^{\otimes r-s})_0) \sqcup ((n^{\otimes s})_0 \times (n^{\otimes r-s})_0^*). \end{aligned}$$

Now for $(\sigma(I)_s, s\sigma(I))$ to end up in $((n^{\otimes s})_0^* \times (n^{\otimes r-s})_0) \sqcup ((n^{\otimes s})_0 \times (n^{\otimes r-s})_0^*)$ we clearly need at least one $\ell \in \{1, \dots, r\}$ with $i_\ell \in n_0^* = n_{\mathbb{T}} \setminus n_{\mathbb{N}}$. If there is one other $k \in \{1, \dots, r\}$ with $i_k \in n_{\mathbb{T}} \setminus n_0$ then the permutation $\tau \in \text{Sh}(1, r-1)$ which moves i_k to the first or last position gives a contradiction. This shows the second part. For the first part it follows from Proposition 2.5.24 i.) that only the terms in

$$\begin{aligned} (n^{\otimes s} \boxtimes n^{\otimes r-s})_{\mathbb{N}} &= (n^{\otimes s} \otimes n^{\otimes r-s})_{\mathbb{N}} \sqcup ((n^{\otimes s})_0^* \times (n^{\otimes r-s})_0) \sqcup ((n^{\otimes s})_0 \times (n^{\otimes r-s})_0^*) \\ &= (n^{\otimes r})_{\mathbb{N}} \sqcup ((n^{\otimes s})_0^* \times (n^{\otimes r-s})_0) \sqcup ((n^{\otimes s})_0 \times (n^{\otimes r-s})_0^*). \end{aligned}$$

need to vanish. Then the same arguments as before apply. \square

Proposition 3.5.5 *Let $D = \sum_{\text{len}(I) \leq r} D^I \partial_I \in C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^n))_{\mathbb{T}}$ be given.*

i.) It holds $\delta(D) \in C_{\text{diff}}^2(\mathcal{C}^\infty(\mathbb{R}^n))_{\mathbb{N}}$ if and only if

$$D^I \in \mathcal{C}^\infty(\mathbb{R}^n)_{\mathbb{N}} \quad \text{if} \quad \forall \ell \in \{1, \dots, r\} : i_\ell \in n_{\mathbb{N}} \setminus n_0 \quad (3.5.16)$$

and

$$\begin{aligned} D^I \in \mathcal{C}^\infty(\mathbb{R}^n)_0 \quad \text{if} \quad \exists \ell \in \{1, \dots, r\} : i_\ell \in n_{\mathbb{T}} \setminus n_{\mathbb{N}} \\ \text{and} \quad \exists k \neq \ell : i_k \in n_{\mathbb{T}} \setminus n_0. \end{aligned} \quad (3.5.17)$$

ii.) It holds $\delta(D) \in \mathcal{C}_{\text{diff}}^2(\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n))_0$ if and only if

$$D^I \in \mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n)_0 \quad \text{if} \quad \forall \ell \in \{1, \dots, r\} : i_\ell \in n_N \setminus n_0 \quad (3.5.18)$$

and

$$D^I \in \mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n)_0 \quad \text{if} \quad \exists \ell \in \{1, \dots, r\} : i_\ell \in n_T \setminus n_N \quad (3.5.19)$$

and $\exists k \neq \ell : i_k \in n_T \setminus n_0$.

PROOF: We have

$$\delta(D) = - \sum_{\text{len}(I) \leq r} D^I \delta(\partial_I) = - \sum_{\text{len}(I) \leq r} \sum_{s=1}^{\text{len}(I)-1} \sum_{\sigma \in \text{Sh}(s, \text{len}(I)-s)} D^I \cdot \partial_{\sigma(I)_s} \cup \partial_{s\sigma(I)}.$$

Assume $\delta(D) \in \mathcal{C}_{\text{diff}}^2(\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n))_N$. By [Proposition 2.5.24](#) this holds if and only if $D^I \in \mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n)_0$ for

$$(\sigma(I)_{s, s\sigma(I)}) \in ((n^*)^{\boxtimes s} \otimes (n^*)^{\boxtimes r-s})_0 = (n^{\otimes s})_0^* \times (n^{\otimes r-s})_N^* \sqcup (n^{\otimes s})_N^* \times (n^{\otimes r-s})_0^*,$$

and $D^I \in \mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n)_N$ for

$$\begin{aligned} (\sigma(I)_{s, s\sigma(I)}) &\in ((n^*)^{\boxtimes s} \otimes (n^*)^{\boxtimes r-s})_N \setminus ((n^*)^{\boxtimes s} \otimes (n^*)^{\boxtimes r-s})_0 \\ &= (n^{\otimes s})_{\text{red}}^* \times (n^{\otimes r-s})_{\text{red}}^*. \end{aligned}$$

Here we used [Lemma 1.3.9](#). This shows the first part. The second part follows then directly from [Proposition 2.5.24](#). \square

Suppose $D \in \mathcal{C}_{\text{diff}}^1(\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n))_T$ such that $\delta(D)$ is constraint. Then we are interested in those parts of D which are not constraint. To separate the non-constraint part, denote by

$$\text{prol}: \mathcal{C}^\infty(\mathbb{R}^{n_N}) \rightarrow \mathcal{C}^\infty(\mathbb{R}^{n_T}) \quad (3.5.20)$$

the constant extension of functions on \mathbb{R}^{n_N} to functions on \mathbb{R}^{n_T} . With this we can always write

$$f = (f - \text{prol}(f|_{\mathbb{R}^{n_N}})) + \text{prol}(f|_{\mathbb{R}^{n_N}}), \quad (3.5.21)$$

splitting $f \in \mathcal{C}^\infty(\mathbb{R}^{n_T})$ into a part vanishing on the submanifold and the rest, thus we obtain a direct sum decomposition

$$\mathcal{C}^\infty(\mathbb{R}^{n_T}) \simeq \mathcal{I}_{\mathbb{R}^{n_N}} \oplus \mathcal{C}^\infty(\mathbb{R}^{n_N}). \quad (3.5.22)$$

Since we can view $\mathbb{R}^{n_{\text{red}}} \simeq \{0\}^{n_0} \oplus \mathbb{R}^{n_N \setminus n_0}$ as a subspace of \mathbb{R}^{n_N} , we can similarly decompose $\mathcal{C}^\infty(\mathbb{R}^{n_N})$ to obtain

$$\mathcal{C}^\infty(\mathbb{R}^{n_T}) \simeq \mathcal{I}_{\mathbb{R}^{n_N}} \oplus \mathcal{C}^\infty(\mathbb{R}^{n_{\text{red}}}) \oplus \mathcal{I}_{\mathbb{R}^{n_{\text{red}}}}(\mathbb{R}^{n_N}), \quad (3.5.23)$$

with $\mathcal{I}_{\mathbb{R}^{n_{\text{red}}}}(\mathbb{R}^{n_N})$ denoting those functions on \mathbb{R}^{n_N} vanishing on the subspace $\mathbb{R}^{n_{\text{red}}}$. Note that $\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n)_0 = \mathcal{I}_{\mathbb{R}^{n_N}}$ and $\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n)_N = \mathcal{I}_{\mathbb{R}^{n_N}} \oplus \mathcal{C}^\infty(\mathbb{R}^{n_{\text{red}}})$, thus $\mathcal{I}_{\mathbb{R}^{n_{\text{red}}}}(\mathbb{R}^{n_N})$ should be understood as a complement to $\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n)_N$ in $\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n)_T$. We will denote the projections to these summands by

$$\text{pr}_0: \mathcal{C}^\infty(\mathbb{R}^{n_T}) \rightarrow \mathcal{I}_{\mathbb{R}^{n_N}}, \quad (3.5.24)$$

$$\text{pr}_0^\perp: \mathcal{C}^\infty(\mathbb{R}^{n_T}) \rightarrow \mathcal{C}^\infty(\mathbb{R}^{n_{\text{red}}}), \quad (3.5.25)$$

$$\text{pr}_N := \text{pr}_0 + \text{pr}_0^\perp: \mathcal{C}^\infty(\mathbb{R}^{n_T}) \rightarrow \mathcal{I}_{\mathbb{R}^{n_N}} \oplus \mathcal{C}^\infty(\mathbb{R}^{n_{\text{red}}}), \quad (3.5.26)$$

$$\text{pr}_N^\perp: \mathcal{C}^\infty(\mathbb{R}^{n_T}) \rightarrow \mathcal{I}_{\mathbb{R}^{n_{\text{red}}}}(\mathbb{R}^{n_N}). \quad (3.5.27)$$

We can find a similar decomposition of $\text{DiffOp}^r(\mathbb{R}^n)$:

Proposition 3.5.6 *The \mathbb{R} -module maps $\text{pr}_0, \text{pr}_0^\perp, \text{pr}_N^\perp : C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^{n_T})) \rightarrow C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^{n_T}))$ defined by*

$$\text{pr}_0(D) := \sum_{I \in (n^{\otimes r})_0} D^I \partial_I \quad (3.5.28)$$

$$\text{pr}_0^\perp(D) := \sum_{I \in (n_{\text{red}})^r} \text{pr}_N(D^I) \partial_I + \sum_{I \in (n^{\otimes r})_0^*} \text{pr}_0(D^I) \partial_I \quad (3.5.29)$$

$$\text{pr}_N^\perp(D) := \sum_{I \in (n_{\text{red}})^r} \text{pr}_N^\perp(D^I) \partial_I + \sum_{I \in (n^{\otimes r})_0^*} \text{pr}_0^\perp(D^I) \partial_I \quad (3.5.30)$$

are projections with

$$\text{pr}_0 + \text{pr}_0^\perp + \text{pr}_N^\perp = \text{id}, \quad (3.5.31)$$

as well as

$$\text{im}(\text{pr}_0) = C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^n))_0 \quad (3.5.32)$$

and

$$\text{im}(\text{pr}_N) = C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^n))_N \quad (3.5.33)$$

for $\text{pr}_N := \text{pr}_0 + \text{pr}_0^\perp$.

PROOF: Note that

$$n_T^r = (n^{\otimes r})_0 \sqcup \left((n^{\otimes r})_N \setminus (n^{\otimes r})_0 \right) \sqcup \left((n^{\otimes r})_T \setminus (n^{\otimes r})_N \right) = (n^{\otimes r})_0 \sqcup (n_{\text{red}})^r \sqcup (n^{\otimes r})_0^*,$$

with $(n^{\otimes r})_N = (n^{\otimes r})_0 \sqcup (n_{\text{red}})^r$. Then, with the help of [Example 2.5.2](#), every $D \in C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^n))_T$ of order r can uniquely be written as

$$\begin{aligned} D &= \sum_{I \in (n^{\otimes r})_0} D^I \partial_I + \sum_{I \in (n_{\text{red}})^r} \text{pr}_N(D^I) \partial_I + \sum_{I \in (n^{\otimes r})_0^*} \text{pr}_0(D^I) \partial_I \\ &+ \sum_{I \in (n_{\text{red}})^r} \text{pr}_N^\perp(D^I) \partial_I + \sum_{I \in (n^{\otimes r})_0^*} \text{pr}_0^\perp(D^I) \partial_I \end{aligned}$$

with

$$\begin{aligned} \sum_{I \in (n^{\otimes r})_0} D^I \partial_I &\in C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^n))_0, \\ \sum_{I \in (n_{\text{red}})^r} \text{pr}_N(D^I) \partial_I &\in C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^n))_N \end{aligned}$$

and

$$\sum_{I \in (n^{\otimes r})_0^*} \text{pr}_0(D^I) \partial_I \in C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^n))_N.$$

Thus $\text{pr}_0, \text{pr}_0^\perp$ and pr_N^\perp are indeed projections with $\text{im}(\text{pr}_0) \subseteq C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^n))_0$ and $\text{im}(\text{pr}_N) \subseteq C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^n))_N$. The surjectivity of these maps follows from evaluating at $x^{i_1} \cdots x^{i_r}$. \square

This shows that $D \in C_{\text{diff}}^1(\mathcal{C}^\infty(\mathbb{R}^n))_N$ is constraint if and only if $\text{pr}_N^\perp(D) = 0$. Suppose again that $\delta(D)$ is constraint, then by [Proposition 3.5.5](#) we know that $\text{pr}_N^\perp(D^I) = 0$ for all $I \in n_{\text{red}}^r$ and $\text{pr}_0(D^I) = 0$ whenever there exist $k, \ell \in \{1, \dots, r\}$ with $k \neq \ell$ such that $i_\ell \in n_T \setminus n_N$

and $i_k \in n_{\mathbb{T}} \setminus n_0$. Hence [Proposition 3.5.6](#) shows that that the constraint Hochschild 2-cochains which are exact but not constraint exact, are those differential operators of order r with

$$\mathrm{pr}_{\mathbb{N}}^{\perp}(D) = \sum_{I \in \mathcal{S}} \mathrm{pr}_0^{\perp}(D^I) \partial_I \neq 0 \quad (3.5.34)$$

with

$$S_r := \{I \in n_{\mathbb{T}}^r \mid \exists \ell \in \{1, \dots, r\} : i_{\ell} \in n_{\mathbb{T}} \setminus n_{\mathbb{N}} \text{ and } \forall k \neq \ell : i_k \in n_0\}, \quad (3.5.35)$$

i.e. which differentiate once in a direction perpendicular to the subspace $\mathbb{R}^{n_{\mathbb{N}}}$ and $(r-1)$ -times in direction of the distribution \mathbb{R}^{n_0} . Using the constraint symbol calculus from [Section 2.5.3](#) leads us to the following definition.

Definition 3.5.7 (Extended constraint bivector fields) *For the constraint manifold $\mathbb{R}^n = (\mathbb{R}^{n_{\mathbb{T}}}, \mathbb{R}^{n_{\mathbb{N}}}, \mathbb{R}^{n_0})$ we define the strong constraint $C\mathcal{C}^{\infty}(\mathbb{R}^n)$ -module $\mathbf{C}\mathfrak{X}_{\mathrm{ext}}^k(\mathbb{R}^n)$ of extended constraint bivector fields by*

$$\begin{aligned} \mathbf{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n)_{\mathbb{T}} &:= \mathbf{C}\mathfrak{X}_{\boxtimes}^2(\mathbb{R}^n)_{\mathbb{T}}, \\ \mathbf{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n)_{\mathbb{N}} &:= \mathbf{C}\mathfrak{X}_{\boxtimes}^2(\mathbb{R}^n)_{\mathbb{N}} \oplus \left(\bigoplus_{k=1}^{\infty} S^k \Gamma^{\infty}(T\mathbb{R}^{n_0}|_{\mathbb{R}^{n_{\mathbb{N}}}}) \vee \Gamma^{\infty}(T\mathbb{R}^{n_{\mathbb{T}}-n_{\mathbb{N}}}|_{\mathbb{R}^{n_{\mathbb{N}}}}) \right), \\ \mathbf{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n)_0 &:= \mathbf{C}\mathfrak{X}_{\boxtimes}^2(\mathbb{R}^n)_0 \oplus \left(\bigoplus_{k=1}^{\infty} S^k \Gamma^{\infty}(T\mathbb{R}^{n_0}|_{\mathbb{R}^{n_{\mathbb{N}}}}) \vee \Gamma^{\infty}(T\mathbb{R}^{n_{\mathbb{T}}-n_{\mathbb{N}}}|_{\mathbb{R}^{n_{\mathbb{N}}}}) \right), \end{aligned} \quad (3.5.36)$$

with $\iota_{\mathrm{ext}} : \mathbf{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n)_{\mathbb{N}} \ni (X, D) \mapsto X \in \mathbf{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n)_{\mathbb{T}}$.

It is important to remark that $\mathbf{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n)$ is not embedded. The additional terms in [\(3.5.36\)](#) should be interpreted as certain higher order differential operators living only on the submanifold $\mathbb{R}^{n_{\mathbb{N}}}$. To make this identification precise, define for every $D = D^{i_1, \dots, i_r} \frac{\partial}{\partial x^{i_1}} \vee \dots \vee \frac{\partial}{\partial x^{i_r}} \in \Gamma^{\infty}(S^r T\mathbb{R}^{n_{\mathbb{T}}}|_{\mathbb{R}^{n_{\mathbb{N}}}})$ on $\mathbb{R}^{n_{\mathbb{N}}}$ its *prolongation*

$$\mathrm{prol}(D) := \mathrm{prol}(D^{i_1, \dots, i_r}) \frac{\partial}{\partial x^{i_1}} \vee \dots \vee \frac{\partial}{\partial x^{i_r}} \in \Gamma^{\infty}(S^r T\mathbb{R}^{n_{\mathbb{T}}}) \quad (3.5.37)$$

by extending the coefficient functions to $\mathbb{R}^{n_{\mathbb{T}}}$ in a constant fashion. Since the constraint manifold \mathbb{R}^n carries a canonical constraint covariant derivative, see [Example 2.5.12](#), we can then identify $\mathrm{prol}(D)$ with a differential operator.

We now want to extend the morphism \mathcal{U} from [Proposition 3.5.2](#) to include these new terms:

Proposition 3.5.8 (Extended constraint HKR map) *Consider the constraint manifold $\mathbb{R}^n = (\mathbb{R}^{n_{\mathbb{T}}}, \mathbb{R}^{n_{\mathbb{N}}}, \mathbb{R}^{n_0})$.*

i.) *The map $\mathcal{U}_{\mathrm{ext}} : \mathbf{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n) \rightarrow C_{\mathrm{diff}}^2(C\mathcal{C}^{\infty}(\mathbb{R}^n))$ defined by*

$$\begin{aligned} (\mathcal{U}_{\mathrm{ext}})_{\mathbb{T}}(X) &:= \mathcal{U}(X) \\ (\mathcal{U}_{\mathrm{ext}})_{\mathbb{N}}(X, D) &:= \mathcal{U}(X) + \delta(\mathrm{Op}(\mathrm{prol}(D))) \end{aligned} \quad (3.5.38)$$

is a morphism between constraint \mathbb{k} -modules.

ii.) *The induced morphism*

$$\mathcal{U}_{\mathrm{ext}} : \mathbf{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n) \rightarrow \mathrm{HH}_{\mathrm{diff}}^2(C\mathcal{C}^{\infty}(\mathbb{R}^n)) \quad (3.5.39)$$

is a regular monomorphism.

PROOF: The constraint module of extended bivector fields can be understood as a direct sum of $\mathbf{C}\mathfrak{X}_{\boxtimes}^2(\mathcal{M})$ and a constraint module consisting in the N- and 0-components of the second term of (3.5.36). Then \mathcal{U}_{ext} is the sum of the constraint module morphisms \mathcal{U} and $\delta \circ \text{Op} \circ \text{prol}$, and therefore a morphism of constraint \mathbb{k} -modules itself.

To show the second part recall from Theorem 3.5.1 that \mathcal{U}_{ext} is an isomorphism on the T-components. Now assume that $[(\mathcal{U}_{\text{ext}})_N(X, D)] = 0$. Since $\mathcal{U}(X)$ is an antisymmetric bidifferential operator and $\delta(\text{Op}(\text{prol}(D)))$ is a symmetric bidifferential operator these two parts have to vanish separately in cohomology. Then from Proposition 3.5.2 it follows $X = 0$. To show that also $[\delta(\text{Op}(\text{prol}(D)))] = 0$ assume that there exists $\tilde{D} = \sum_{r=0}^k \sum_{I \in n^{\otimes r}} \frac{1}{r!} \tilde{D}^I \partial_I \in C_{\text{diff}}^1(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n))_N$ such that $\delta(\text{Op}(\text{prol}(D))) = \delta(\tilde{D})$. Then $\text{Op}(\text{prol}(D)) - \tilde{D}$ is closed and hence a derivation. Since $\text{Op}(\text{prol}(D))$ is a differential operator of order at least 2, we obtain

$$\text{Op}(\text{prol}(D)) = \sum_{r=2}^k \sum_{I \in n^{\otimes r}} \frac{1}{r!} \tilde{D}^I \partial_I.$$

From Corollary 2.5.5 it follows that $\text{Op}(\text{prol}(D))$, and thus also \tilde{D} , is not constraint, giving a contradiction to $\tilde{D} \in C_{\text{diff}}^1(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n))_N$. This shows that (3.5.39) is a monomorphism. For its regularity suppose that $[(\mathcal{U}_{\text{ext}})_N(X, D)] \in \text{HH}_{\text{diff}}^2(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n))_0$. By Definition 3.5.7 we have $D \in \mathbf{C}\mathfrak{X}_{\text{ext}}^2(\mathbb{R}^n)_0$, and thus $[\delta(\text{Op}(\text{prol}(D)))] \in \text{HH}_{\text{diff}}^2(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n))_0$. Then from

$$[\mathcal{U}(X)] = [(\mathcal{U}_{\text{ext}})_N(X, D)] - [\delta(\text{Op}(\text{prol}(D)))] \in \text{HH}_{\text{diff}}^2(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n))_0$$

it follows from the fact that \mathcal{U} is a regular monomorphism, see Proposition 3.5.2, that $X \in \mathbf{C}\mathfrak{X}_{\text{ext}}^2(\mathbb{R}^n)_0$. \square

With this we have found contributions to the second constraint Hochschild cohomology which go beyond the classical Hochschild cohomology as computed by the HKR theorem. The next and final theorem shows that no other contributions appear.

Theorem 3.5.9 (Second constraint Hochschild cohomology on \mathbb{R}^n) *The morphism*

$$\mathcal{U}_{\text{ext}}: \mathbf{C}\mathfrak{X}_{\text{ext}}^2(\mathbb{R}^n) \rightarrow \text{HH}_{\text{diff}}^2(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n)) \quad (3.5.40)$$

as defined in Proposition 3.5.8 is an isomorphism of constraint \mathbb{R} -modules.

PROOF: It remains to show that \mathcal{U}_{ext} is an epimorphism. On the T-component it is an epimorphism by Theorem 3.5.1. To show the surjectivity on the N-component let $B \in C_{\text{diff}}^2(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n))_N$ be given with $\delta(B) = 0$. Then the classical HKR theorem tells us that we can write $B = \delta(D) + \text{Alt}(B)$ with $D \in C_{\text{diff}}^1(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n))_T$ and $\text{Alt}(B) \in \text{CDiffOp}^{(1,1)}(\mathbb{R}^n)_N$ the antisymmetric part of B . From this it follows $\delta(D) \in C_{\text{diff}}^1(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n))_N$. By Proposition 3.5.6 D splits as $D = \text{pr}_N(D) \text{pr}_N^\perp(D)$, with $\text{pr}_N(D) \in C_{\text{diff}}^1(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n))_N$ and

$$\text{pr}_N^\perp(D) = \text{Op} \left(\text{prol} \left(\sum_{I \in S} D^I \Big|_{\mathbb{R}^{n_N}} \partial_{i_1} \vee \cdots \vee \partial_{i_r} \right) \right),$$

where $S = \bigcup_{r=0}^\infty \{I \in n_T^r \mid \exists \ell \in \{1, \dots, r\} : i_\ell \in n_T \setminus n_N \text{ and } \forall k \neq \ell : i_k \in n_0\}$. Thus

$$B = \delta(\text{pr}_N(D)) + (\mathcal{U}_{\text{ext}})_N \left(\sigma(X), \sum_{I \in S} D^I \Big|_{\mathbb{R}^{n_N}} \partial_{i_1} \vee \cdots \vee \partial_{i_r} \right),$$

showing that $\mathcal{U}_{\text{ext}}: \mathbf{C}\mathfrak{X}_{\text{ext}}^2(\mathbb{R}^n) \rightarrow \text{HH}_{\text{diff}}^2(\mathbf{C}\mathcal{C}^\infty(\mathbb{R}^n))$ is surjective on the N-components, and therefore an isomorphism. \square

Theorem 3.4.4 shows that the second constraint Hochschild cohomology can be interpreted as the constraint set of equivalence classes of infinitesimal deformations. More precisely, $\mathrm{HH}_{\mathrm{diff}}^2(\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n))_{\mathcal{T}}$ is the set of equivalence classes of classical infinitesimal deformations of $\mathcal{C}^\infty(M)$, while $\mathrm{HH}_{\mathrm{diff}}^2(\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n))_{\mathcal{N}}$ are equivalence classes of constraint infinitesimal deformations, i.e. deformations which respect the reduction information. In the local case of $\mathcal{M} = \mathbb{R}^n$ we see that $\mathrm{HH}_{\mathrm{diff}}^2(\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n))$ is not embedded, which means there are non-equivalent constraint deformations which are equivalent when we forget about the reduction data. And these equivalence classes are exactly characterized by the additional symmetric parts in $\mathcal{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n)_{\mathcal{N}}$, see (3.5.36).

3.5.1 Reduction

Observe that these symmetric contributions also appear in $\mathcal{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n)_0$, and hence should vanish after reduction. More precisely, we have the following statement:

Proposition 3.5.10 *Consider the constraint manifold $\mathbb{R}^n = (\mathbb{R}^{n_{\mathcal{T}}}, \mathbb{R}^{n_{\mathcal{N}}}, \mathbb{R}^{n_0})$.*

i.) *The morphism $\mathcal{U}_{\mathrm{ext}}: \mathcal{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n) \rightarrow \mathcal{C}_{\mathrm{diff}}^2(\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n))$ reduces to the classical HKR map*

$$(\mathcal{U}_{\mathrm{ext}})_{\mathrm{red}}: \mathfrak{X}^2(\mathbb{R}^{n_{\mathrm{red}}}) \rightarrow \mathcal{C}_{\mathrm{diff}}^2(\mathcal{C}^\infty(\mathbb{R}^{n_{\mathrm{red}}})) \quad (3.5.41)$$

on $\mathbb{R}^{n_{\mathrm{red}}}$.

ii.) *The isomorphism $\mathcal{U}_{\mathrm{ext}}: \mathcal{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n) \rightarrow \mathrm{HH}_{\mathrm{diff}}^2(\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n))$ reduces to the classical HKR isomorphism*

$$(\mathcal{U}_{\mathrm{ext}})_{\mathrm{red}}: \mathfrak{X}^2(\mathbb{R}^{n_{\mathrm{red}}}) \rightarrow \mathrm{HH}_{\mathrm{diff}}^2(\mathcal{C}^\infty(\mathbb{R}^{n_{\mathrm{red}}})) \quad (3.5.42)$$

on \mathbb{R}^n .

PROOF: For the first part note that $(\mathcal{C}\mathfrak{X}_{\mathrm{ext}}^2(\mathbb{R}^n))_{\mathrm{red}} \simeq \mathfrak{X}^2(\mathbb{R}^{n_{\mathrm{red}}})$ holds since $(\mathcal{C}\mathfrak{X}_{\boxtimes}^2(\mathbb{R}^n))_{\mathrm{red}} \simeq \mathfrak{X}^2(\mathbb{R}^{n_{\mathrm{red}}})$ by [Proposition 2.4.18](#) and the additional symmetric terms vanish after reduction. Moreover, $(\mathcal{C}_{\mathrm{diff}}^2(\mathcal{C}\mathcal{C}^\infty(\mathbb{R}^n)))_{\mathrm{red}} \simeq \mathcal{C}_{\mathrm{diff}}^2(\mathcal{C}^\infty(\mathbb{R}^{n_{\mathrm{red}}}))$ holds by [Proposition 3.3.12](#) and the fact that every multidifferential operator on $\mathbb{R}^{n_{\mathcal{N}}-n_0}$ can be extended to a constraint multidifferential operator on \mathbb{R}^n . Then $(\mathcal{U}_{\mathrm{ext}})_{\mathrm{red}}$ becomes the classical HKR map, by its explicit definition in (3.5.38) and (3.5.4).

The second part follows since taking cohomology commutes with reduction as we know from [Proposition 1.6.5](#). \square

Outlook

We have established in this thesis a general framework which allows to treat geometric and algebraic features of coisotropic reduction on equal footing. This allowed us to introduce constraint star products, which are essentially star products compatible with reduction. These induce automatically star products on the reduced spaces, and therefore quantization commutes with reduction in this setting. Nevertheless, the existence of such constraint star products is not obvious, and we adapted classical techniques from deformation theory to establish constraint Hochschild cohomology, which governs the deformation problem of constraint algebras. As a first step towards a constraint HKR Theorem we were able to compute the zeroth and first constraint Hochschild cohomologies in the general situation and the second constraint Hochschild cohomology in the flat case. This second constraint Hochschild cohomology turned out to contain symmetric terms of arbitrary differentiation order, which are unexpected from the point of view of the classical HKR Theorem. This leads to the following open questions, that should be studied in future projects:

- The explicit characterization in [Theorem 3.5.9](#) of the second constraint Hochschild cohomology $\mathrm{HH}_{\mathrm{diff}}^2(\mathcal{C}^\infty(\mathbb{R}^n))$ gives strong hints on how the higher constraint Hochschild cohomologies may be described. Besides the constraint multivector fields $\mathcal{C}\mathfrak{X}_{\boxtimes}^\bullet(\mathcal{M})$ we expect contributions given by constraint Hochschild cochains which are exact with non-constraint potentials. Such a potential ϕ should differentiate only k times in the direction of $\mathbb{R}^{n_T - n_N}$, where k is the number of slots, and at least once in the direction of the distribution \mathbb{R}^{n_0} , since then $\delta(\phi)$ will have at least one factor in the 0-component of the constraint differential operators, making $\delta(\phi)$ itself constraint. It then needs to be shown that all additional contributions appearing in higher orders of constraint Hochschild cohomology are of this special form.
- Globalizing a constraint HKR Theorem for \mathbb{R}^n to an arbitrary constraint manifold \mathcal{M} will not always be possible, since there need not exist partitions of unity compatible with the constraint structure. Thus classical proofs for the HKR Theorem that use such a glueing procedure, as can be found e.g. in [\[GR99\]](#), cannot directly be applied in the constraint situation. Instead it seems reasonable to take a classical proof of the HKR Theorem which is inherently global [\[DL95\]](#), and reformulate this in the constraint framework. The case of \mathbb{R}^n already suggests that a constraint HKR map depends on the choice of a constraint covariant derivative. Whether the resulting isomorphism in cohomology really depends on that choice remains to be seen.
- A constraint algebra \mathcal{A} can equivalently be understood as a span $\mathcal{A}_{\mathrm{red}} \leftarrow \mathcal{A}_N \rightarrow \mathcal{A}_T$ of associative algebras. Deformations of such diagrams of algebras have been studied e.g. in [\[FMY09; FZ15; GS83\]](#). This deformation theory of diagrams deforms the algebras as well as the morphisms of the diagram, while for a deformation of constraint algebras we only want to deform the algebras. Moreover, the category of modules over such diagrams is abelian, while the category of constraint modules is not. Thus, even though the deformation theory of constraint algebras is obviously linked to the deformation theory of

diagrams, we have to expect differences in the details. The exact relationship between these deformation theories is yet to be uncovered.

- Constraint manifolds were introduced using simple distributions, but as already discussed in [Remark 2.1.2](#) it would be useful to allow for more general quotient procedures. On one hand we could allow for general equivalence relations which still provide a smooth quotient space. In this situation most of the results of constraint differential geometry as presented in [Chapter 2](#) should still hold. On the other hand, we might want to allow for more singular reduction. In this case, one might abandon the geometry completely and instead focus on its algebraic description using constraint algebras, or one could enlarge the categories of geometric objects we allow. For example we could study constraint versions of orbifolds, diffeological spaces etc. The properties of these constraint objects will then greatly rely on the categories of objects they depend on.
- Based on the differential geometry of constraint manifolds, as introduced in [Chapter 2](#), the reduction of more sophisticated geometric objects, such as Lie (bi-)algebroids, can be investigated, see [\[DK\]](#).
- Strong constraint manifolds, i.e. constraint manifolds with globally defined equivalence relations, are natural objects to study. These can be understood as generalizations of Marsden-Weinstein reduction, instead of coisotropic reduction, where the global distribution comes from a well-behaved global group action of a Lie group G on a manifold M . Functions on such strong constraint manifolds coming from Marsden-Weinstein reduction would form non-strong constraint algebras, consisting of globally invariant functions $\mathcal{C}^\infty(M)^G$ in the N -component and globally invariant functions vanishing on the submanifold $\mathcal{I}_C \cap \mathcal{C}^\infty(M)^G$ in the 0 -component. See [\[SW83\]](#) for a formulation of Marsden-Weinstein reduction in terms of these classes of functions.
- The reduction of differential operators and multivector fields in the setting of Hamiltonian Lie group actions was studied in [\[EKS22b; EKS22a\]](#) using L_∞ -algebras. There, reduction of differential operators and multivector fields is encoded in an L_∞ -morphism to the reduced objects. To bring this in contact with our constraint reduction scheme it should be useful to introduce constraint L_∞ -algebras and morphisms, based on our notion of constraint DGLAs.
- The bicategories \mathbf{CBimod} and $\mathbf{C}_{\text{str}}\mathbf{Bimod}$ suggest to study the representation theory of (strong) constraint algebras from a Morita theoretic perspective, see [Remark 1.4.7](#). This has been done for a special class of constraint algebras in [\[DEW19\]](#). Besides the purely algebraic insights this will entail, representation theories of constraint algebras is also interesting from the point of view of deformation quantization. To bring a formal deformation of a (constraint) algebra of functions into contact with physics we need to choose a suitable representation, hence it would be desirable to compare the representation theories via Morita theory.
- The introduction of projective constraint modules in [Section 1.5](#) suggests to define a constraint version of algebraic K -theory, which might be the first step towards a constraint algebraic index theorem, i.e. an algebraic index theorem compatible with reduction.

Appendix A

Categorical Tools

We will give the basic definitions of category theory here, not least to fix our notation. See [Mac98] for the standard textbook on category theory or for example [KS06; Bra16] for more modern introductions. Section A.1 to Section A.4 are mainly taken from [Dip18].

Category theory is a branch of mathematics that tries to reveal the underlying mechanics of constructions done in different branches of mathematics, in order to uncover the common features and to allow to transfer techniques from one field of mathematics to another. As such category theory takes a bird's eye perspective of mathematics, leading us to consider such things as the collection of all vector spaces or of all sets, etc. Here one might get suspicious, since this sounds a lot like we immediately run into Russel's paradox. To avoid this we do not consider the set of all sets, but the collection of all sets. What we mean by collection is now depending on the foundations of category theory we choose. For our purposes it will be enough to be aware that a collection can be bigger than a set, and does not need to share all of the properties we are used to from axiomatic systems like ZFC. For an overview over possible foundations of category theory see [Shu08].

A.1 Categories and Morphisms

In this section we will give the basic definitions of categories and examine some important properties of morphisms.

Definition A.1.1 (Category) *A category \mathfrak{C} consists of the following data:*

- i.) A collection \mathfrak{C}_0 of objects.*
- ii.) For any two objects $A, B \in \mathfrak{C}_0$ a set $\mathfrak{C}(B, A) = \text{Hom}(A, B)$ of morphisms from A to B , called hom-set, where $f \in \mathfrak{C}(B, A)$ will be written $f: A \rightarrow B$.*
- iii.) For any three objects $A, B, C \in \mathfrak{C}_0$ a map $\circ: \mathfrak{C}(C, B) \times \mathfrak{C}(B, A) \rightarrow \mathfrak{C}(C, A)$, which assigns to any appropriate pair of morphisms f, g their composition $f \circ g$.*
- iv.) For each object $A \in \mathfrak{C}_0$ a morphism $\text{id}_A \in \mathfrak{C}(A, A)$, called the identity morphism at A .*

These data are required to fulfil the following properties:

- i.) Associativity: For any four objects $A, B, C, D \in \mathfrak{C}_0$ and any $f \in \mathfrak{C}(D, C)$, $g \in \mathfrak{C}(C, B)$ and $h \in \mathfrak{C}(B, A)$ it holds*

$$(f \circ g) \circ h = f \circ (g \circ h). \tag{A.1.1}$$

- ii.) Left and right identity laws: For any $A, B \in \mathfrak{C}_0$ and any $f \in \mathfrak{C}(B, A)$ it holds*

$$\text{id}_B \circ f = f = f \circ \text{id}_A. \tag{A.1.2}$$

If it is clear that we are talking about objects of a given category we will often drop the subscript and simply write \mathfrak{C} instead of \mathfrak{C}_0 . Thus by $C \in \mathfrak{C}$ we mean an object of the category \mathfrak{C} . Note also that the order of objects in our notation of hom-sets is different from the standard notation. What we call category is sometimes called a locally-small category in the literature, but since we will not need categories with hom-sets being mere classes instead of sets we will stick to this convention. A category \mathfrak{C} where the collection \mathfrak{C}_0 of objects is a set is called a *small category*, whereas a category with \mathfrak{C}_0 not being a set is called a *large category*.

Example A.1.2 (Categories)

- i.) The *trivial category* $\mathbf{1}$ consists of one object $* \in \mathbf{1}_0 = \{*\}$ and one morphism $\text{id}_* \in \mathbf{1}_1(*, *)$.
- ii.) The *interval category* $\mathbf{2}$ consists of two objects 0 and 1 and three morphisms; the identities on 0 and 1 and exactly one morphism $0 \rightarrow 1$.
- iii.) Any class of objects can be turned into a category by adding only the identity morphisms for every object. Categories of this kind are called *discrete*.
- iv.) Given any category \mathfrak{C} with composition \circ we can build the *opposite category* $\mathfrak{C}^{\text{opp}}$ by keeping the objects $\mathfrak{C}_0^{\text{opp}} = \mathfrak{C}_0$ but using the inverted hom-sets $\mathfrak{C}^{\text{opp}}(B, A) = \mathfrak{C}(A, B)$ with composition $f \circ_{\text{opp}} g = g \circ f$.

One important way to construct a category out of two given categories is by taking their product.

Definition A.1.3 (Product category) *Let \mathfrak{C} and \mathfrak{D} be two categories. The product category $\mathfrak{C} \times \mathfrak{D}$ is the category with*

- i.) *objects being ordered pairs (C, D) of objects $C \in \mathfrak{C}$ and $D \in \mathfrak{D}$,*
- ii.) *morphisms being pairs $(f, g): (C, D) \rightarrow (C', D')$ of morphisms $f \in \mathfrak{C}(C', C)$ and $g \in \mathfrak{D}(D', D)$,*
- iii.) *composition of morphisms $(f, g): (C, D) \rightarrow (C', D')$ and $(f', g'): (C', D') \rightarrow (C'', D'')$ given by the componentwise composition $(f' \circ f, g' \circ g): (C, D) \rightarrow (C'', D'')$ and*
- iv.) *identity morphisms given by pairs $(\text{id}_C, \text{id}_D)$ of the identity morphisms in \mathfrak{C} and \mathfrak{D} .*

We think of morphisms between objects as different ways to relate these objects, and the hom-sets consist only of those morphisms that respect the inner structure of the objects. This is how we construct most categories, but following the philosophy of category theory we should actually think of this the other way around: The inner structure of an object is determined by all possible ways of relating it to other objects. Following this idea we cannot distinguish two objects that behave in the same way in relation to all other objects. Thus, we should not think of objects being equal, but only isomorphic in the following sense.

Definition A.1.4 (Isomorphism) *Let \mathfrak{C} be a category. Two objects $a, b \in \mathfrak{C}_0$ are isomorphic if there exist morphisms $f: A \rightarrow B$ and $g: B \rightarrow A$ such that $g \circ f = \text{id}_A$ and $f \circ g = \text{id}_B$ hold. The morphisms f and g are called isomorphisms.*

The idea that the only notion of sameness in a category is that of being isomorphic is sometimes called the principle of equivalence.

In the category **Set** of sets it is easy to show that a function between sets is injective if and only if it is left cancellable and a function is surjective if and only if it is right cancellable. This allows us to transfer these notions to arbitrary categories, where we cannot talk about elements of an object, but only about morphisms between objects.

Definition A.1.5 (Monomorphism) Let \mathfrak{C} be a category. A morphism $f: B \rightarrow C$ is called monomorphism (or mono for short) if for all morphisms $g_1, g_2: A \rightarrow B$ it holds

$$f \circ g_1 = f \circ g_2 \implies g_1 = g_2. \quad (\text{A.1.3})$$

If we want to highlight the fact that a morphism is a monomorphism we will depict it as

$$A \xleftarrow{f} B$$

in diagrams.

Proposition A.1.6 In a category \mathfrak{C} the following statements hold:

- i.) Every isomorphism is a monomorphism.
- ii.) The composition of two monomorphisms is a monomorphism.
- iii.) If the composition $f \circ g$ is a monomorphism, then g is a monomorphism.

Definition A.1.7 (Epimorphism) Let \mathfrak{C} be a category. A morphism $f: A \rightarrow B$ is called epimorphism (or epi for short) if for all morphisms $g_1, g_2: B \rightarrow C$ it holds

$$g_1 \circ f = g_2 \circ f \implies g_1 = g_2. \quad (\text{A.1.4})$$

If we want to stress that a morphism is an epimorphism we will depict it as

$$A \xrightarrow{f} \twoheadrightarrow B$$

in diagrams.

Proposition A.1.8 In a category \mathfrak{C} the following statements hold:

- i.) Every isomorphism is an epimorphism.
- ii.) The composition of two epimorphisms is an epimorphism.
- iii.) If the composition $f \circ g$ is an epimorphism, then f is an epimorphism.

Note that in general categories every isomorphism is a mono and epi, but not every morphism that is mono and epi has to be an isomorphism.

The following more special classes of monos and epis occur quite often.

Definition A.1.9 (Section & retraction) Let \mathfrak{C} be a category and let morphisms $f: A \rightarrow B$ and $g: B \rightarrow A$ be given, such that $g \circ f = \text{id}_A$. Then f is called a section of g and g is called a retraction of f . Furthermore, A is called a retract of B .

Lemma A.1.10 Every section is a monomorphism and every retraction is an epimorphism.

PROOF: Let $f: A \rightarrow B$ and $g: B \rightarrow A$ such that $g \circ f = \text{id}_A$. Let furthermore $h_1, h_2: X \rightarrow A$ such that $f \circ h_1 = f \circ h_2$, then $g \circ f \circ h_1 = g \circ f \circ h_2$ and thus $h_1 = h_2$. Hence f is a monomorphism. Now let $k_1, k_2: A \rightarrow Y$ such that $k_1 \circ g = k_2 \circ g$. Then from $k_1 \circ g \circ f = k_2 \circ g \circ f$ follows $k_1 = k_2$ and hence g is an epimorphism. \square

We will also use the terms *split monomorphism* for sections and *split epimorphism* for retractions. In many categories we encounter objects with very small hom-sets for every other object.

Definition A.1.11 (Initial, terminal & zero object) Let \mathfrak{C} be a category, $C \in \mathfrak{C}$.

- i.) C is called initial object if for every object $D \in \mathfrak{C}$ there exists a unique morphism $f: C \rightarrow D$.
- ii.) C is called terminal object if for every object $B \in \mathfrak{C}$ there exists a unique morphism $g: B \rightarrow C$.
- iii.) C is called zero object if it is initial and terminal.

We will mostly use 0 for zero objects. Note that initial and terminal objects, and hence zero objects as well, are unique up to isomorphisms, so we often speak of *the* initial, terminal or zero object.

The existence of a zero object also allows to speak of zero morphisms.

Definition A.1.12 (Zero morphism) Let \mathfrak{C} be a category with zero object 0 . The zero morphism $0_{A,B}: A \rightarrow B$ between two objects A and B is the unique morphism that factors through 0 .

In general we say that a morphism $f: A \rightarrow C$ factors through B if there exist morphisms $g: A \rightarrow B$ and $h: B \rightarrow C$ such that $f = h \circ g$.

Using the existence of a zero object we can generalize the concept of kernel of a linear map between vector spaces.

Definition A.1.13 (Kernel) Let \mathfrak{C} be a category with zero object 0 and let $f: A \rightarrow B$. An object K together with a morphism $k: K \rightarrow A$ is called kernel of f if it satisfies the following universal property: it holds $f \circ k = 0_{K,B}$ and for any morphism $k': K' \rightarrow A$ such that $f \circ k' = 0_{K',B}$ there is a unique morphism $u: K' \rightarrow K$ such that $k \circ u = k'$. Expressed as a diagram:

$$\begin{array}{ccccc}
 & & A & & \\
 & & \uparrow & & \\
 & & k & & f \\
 & & \downarrow & & \searrow \\
 & & K & \xrightarrow{0_{K,B}} & B \\
 & & \uparrow & & \\
 & & k' & & \\
 & & \downarrow & & \\
 & & K' & \xrightarrow{0_{K',B}} & B \\
 & & \uparrow & & \\
 & & u & & \\
 & & \downarrow & & \\
 & & K' & &
 \end{array} \tag{A.1.5}$$

It is clear that the kernel is unique up to isomorphism if it exists at all. We will also write $\ker(f)$ for the kernel morphism of f and $\text{Ker}(f)$ for the kernel object of f .

Corollary A.1.14 Every kernel is a monomorphism.

A useful observation is that if f is a monomorphism its kernel is the zero object together with the zero morphism. Another important case is that if $0: A \rightarrow B$ is the zero morphism, then the kernel is clearly A together with the identity morphism.

Definition A.1.15 (Cokernel) Let \mathfrak{C} be a category with zero object 0 and let $f: A \rightarrow B$. An object C together with a morphism $c: B \rightarrow C$ is called cokernel of f if it satisfies the following universal property: it holds $c \circ f = 0_{A,C}$ and for any morphism $c': B \rightarrow C'$ such that $c' \circ f = 0_{A,C'}$ there is a unique morphism $u: C \rightarrow C'$ such that $u \circ c = c'$. Expressed as a

diagram:

$$\begin{array}{ccc}
 & B & \\
 f \nearrow & & \searrow c \\
 A & \xrightarrow{0_{A,C}} & C \\
 & \searrow 0_{A,C'} & \nearrow u \\
 & & C'
 \end{array}
 \quad . \quad (A.1.6)$$

The cokernel is unique up to isomorphism if it exists. We will also write $\text{coker}(f)$ for the cokernel morphism of f and $\text{Coker}(f)$ for the cokernel object of f .

Corollary A.1.16 *Every cokernel is an epimorphism.*

It can easily be seen that the cokernel of an epimorphism is the zero object together with the zero morphism and the cokernel of the zero morphism $0: A \rightarrow B$ is B together with the identity morphism.

A.2 Functors and Natural Transformations

In this section we will take a step back and instead of investigating the relation of objects in a given category using morphisms, we want to study how we can relate categories using so-called functors. As it turns out, in contrast to objects and morphisms in categories, there is even a way to relate morphisms between categories by natural transformations.

Definition A.2.1 (Functor) *A (covariant) functor F from a category \mathcal{C} to a category \mathcal{D} , written $F: \mathcal{C} \rightarrow \mathcal{D}$, is a map sending each object $A \in \mathcal{C}_0$ to an object $FA \in \mathcal{D}$ and each morphism $f \in \mathcal{C}(B, A)$ to a morphism $Ff \in \mathcal{D}(FB, FA)$ such that*

- i.) F preserves composition, i.e. $F(f \circ g) = Ff \circ Fg$, for any $f \in \mathcal{C}(C, B)$ and $g \in \mathcal{C}(B, A)$,*
- ii.) F preserves identity morphisms, i.e. $F \text{id}_A = \text{id}_{FA}$, for each object $A \in \mathcal{C}_0$.*

A contravariant functor is a functor F , where instead of $Ff \in \mathcal{D}(FB, FA)$ we have $Ff \in \mathcal{D}(FA, FB)$ and instead of i.) it holds $F(f \circ g) = Fg \circ Ff$.

We will usually only use the term functor for covariant functors.

Example A.2.2 (Functors) Let \mathcal{C}, \mathcal{D} be a categories.

- i.) The map $\text{id}_{\mathcal{C}}: \mathcal{C} \rightarrow \mathcal{C}$ sending each object and each morphism to itself is the so-called *identity functor on \mathcal{C}* .*
- ii.) For every object $A \in \mathcal{C}_0$ there is a functor $\text{Id}_A: \mathbf{1} \rightarrow \mathcal{C}$ by $\text{Id}_A(*) = A$ and $\text{Id}_A(\text{id}_*) = \text{id}_A$.*
- iii.) Fix an object $B \in \mathcal{C}_0$. Then mapping each object $C \in \mathcal{C}_0$ to the set $\text{Hom}(B, C)$ and each morphism $f: X \rightarrow Y$ to the map*

$$\text{Hom}(B, f): \text{Hom}(B, X) \rightarrow \text{Hom}(B, Y); \quad g \mapsto f \circ g \quad (A.2.1)$$

is a covariant functor $\text{Hom}(B, \cdot): \mathcal{C} \rightarrow \text{Set}$. Similarly, mapping each object $A \in \mathcal{C}_0$ to the set $\text{Hom}(A, B)$ and each morphism $f: X \rightarrow Y$ to the map

$$\text{Hom}(f, B): \text{Hom}(Y, B) \rightarrow \text{Hom}(X, B); \quad g \mapsto g \circ f \quad (A.2.2)$$

is a contravariant functor $\text{Hom}(\cdot, B): \mathcal{C} \rightarrow \text{Set}$. These functors are called *Hom-functors*.

iv.) The functor $\tau: \mathfrak{C} \times \mathfrak{D} \longrightarrow \mathfrak{D} \times \mathfrak{C}$ given by $\tau(A, B) = (B, A)$ and $\tau(f, g) = (g, f)$ is a functor called *flip*.

We will call a functor $F: \mathfrak{C} \longrightarrow \mathfrak{D}$ *faithful* if for any pair of objects $A, B \in \mathfrak{C}$ the map $F: \mathfrak{C}(B, A) \longrightarrow \mathfrak{D}(FB, FA)$ is injective, and F is called *full* if it is surjective on hom-sets. A functor is called *fully faithful* if it is full and faithful.

Composing two functors by composing the maps on objects and morphisms yields again a functor.

Proposition A.2.3 (Composition of functors) *Let $F: \mathfrak{A} \longrightarrow \mathfrak{B}$ and $G: \mathfrak{B} \longrightarrow \mathfrak{C}$ be functors between categories $\mathfrak{A}, \mathfrak{B}, \mathfrak{C}$. Mapping each object $A \in \mathfrak{A}$ to $GFA \in \mathfrak{C}$ and each morphism $f \in \mathfrak{A}(B, A)$ to $GFf \in \mathfrak{C}(GFB, GFA)$ defines a functor $G \circ F: \mathfrak{A} \longrightarrow \mathfrak{C}$ called composition.*

Ignoring all issues that arise by taking categories of large categories, this enables us to view functors as morphisms between categories. We will denote the category of categories with functors as morphisms by \mathbf{Cat} .

Next we want to define the notion of subcategory. This is not as straightforward as it first seems, and in general there does not seem to exist a universally accepted definition. We will follow the idea that a subcategory \mathfrak{B} of a category \mathfrak{C} should be a subcollection \mathfrak{B}_0 of the objects \mathfrak{C}_0 , and for every pair $B, B' \in \mathfrak{B}$ a subset $\text{Hom}_{\mathfrak{B}}(B, B')$ of the hom-set $\text{Hom}_{\mathfrak{C}}(B, B')$. This leads us to the following definition of embedding.

Definition A.2.4 (Embedding of Categories) *A functor $F: \mathfrak{C} \longrightarrow \mathfrak{D}$ between categories \mathfrak{C} and \mathfrak{D} is called an embedding of categories, if it is injective on objects and faithful.*

Obviously, a functor is an embedding in this sense if and only if it is injective on all morphisms. Moreover, for every embedding $F: \mathfrak{C} \longrightarrow \mathfrak{D}$ the image $F(\mathfrak{C})$ of \mathfrak{C} in \mathfrak{D} is isomorphic to \mathfrak{C} , see [Mac98, p. 14]. This notion of embedding allows us to define subcategories.

Definition A.2.5 (Subcategory) *A category \mathfrak{C} together with an embedding $l: \mathfrak{C} \longrightarrow \mathfrak{D}$ is called a subcategory of \mathfrak{D} .*

A subcategory \mathfrak{C} of a category \mathfrak{D} is called *full* if the embedding $l: \mathfrak{C} \longrightarrow \mathfrak{D}$ is full. It should be noted that this definition of subcategory does violate the principle of equivalence, since being injective on objects requires to identify objects.

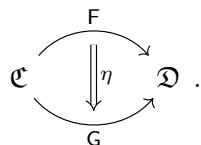
On top of comparing categories by functors there is also a way to compare functors between the same categories.

Definition A.2.6 (Natural transformation) *Let \mathfrak{C} and \mathfrak{D} be categories and $F, G: \mathfrak{C} \longrightarrow \mathfrak{D}$ be functors. A natural transformation η from F to G , written $\eta: F \Longrightarrow G$, is an assignment of a morphism $\eta(A): F(A) \longrightarrow G(A)$ in \mathfrak{D} to every object $A \in \mathfrak{C}_0$, such that for each morphism $f \in \mathfrak{C}(B, A)$ the following diagram commutes*

$$\begin{array}{ccc}
 FA & \xrightarrow{Ff} & FB \\
 \eta(A) \downarrow & & \downarrow \eta(B) \\
 GA & \xrightarrow{Gf} & GB
 \end{array} \tag{A.2.3}$$

The morphisms $\eta(A)$ are called components of η . If all components $\eta(A): FA \longrightarrow GB$ of a natural transformation are isomorphisms it is called a natural isomorphism.

Natural transformations can roughly be seen as a consistent choice of turning images under F into images under G . We will depict a natural transformation $\eta: F \Rightarrow G$ between functors $F, G: \mathfrak{C} \rightarrow \mathfrak{D}$ as



Using natural transformations we can define the notion of equivalence of categories.

Definition A.2.7 (Equivalence of categories) *Let \mathfrak{C} and \mathfrak{D} be categories. An equivalence of the categories \mathfrak{C} and \mathfrak{D} is a pair of functors $F: \mathfrak{C} \rightarrow \mathfrak{D}$ and $G: \mathfrak{D} \rightarrow \mathfrak{C}$ together with natural isomorphisms $\eta: G \circ F \Rightarrow \text{id}_{\mathfrak{C}}$ and $\varepsilon: F \circ G \Rightarrow \text{id}_{\mathfrak{D}}$. We call \mathfrak{C} and \mathfrak{D} equivalent if there exists an equivalence between them.*

Sometimes, one just states that $F: \mathfrak{C} \rightarrow \mathfrak{D}$ is an equivalence of \mathfrak{C} and \mathfrak{D} , implying the existence of a suitable functor $G: \mathfrak{D} \rightarrow \mathfrak{C}$. Equivalent categories share the same categorical properties. Some first results are gathered in the next proposition. But we will see later that equivalent categories share a lot more properties.

Proposition A.2.8 *Let \mathfrak{C} and \mathfrak{D} be categories. Let furthermore $F: \mathfrak{C} \rightarrow \mathfrak{D}$, $G: \mathfrak{D} \rightarrow \mathfrak{C}$ be an equivalence of categories with natural isomorphisms $\eta: G \circ F \Rightarrow \text{id}_{\mathfrak{C}}$ and $\varepsilon: F \circ G \Rightarrow \text{id}_{\mathfrak{D}}$.*

- i.) The functors F and G are faithful and full.*
- ii.) A morphism $f: B \rightarrow C$ in \mathfrak{C} is a monomorphism if and only if $Ff: FB \rightarrow FC$ is a monomorphism in \mathfrak{D} .*
- iii.) A morphism $f: A \rightarrow B$ in \mathfrak{C} is an epimorphism if and only if $Ff: FA \rightarrow FB$ is an epimorphism in \mathfrak{D} .*

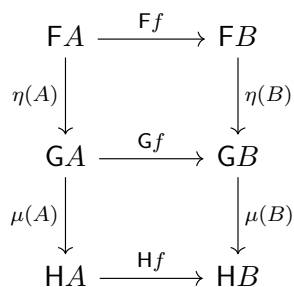
Again we can compose natural transformations. But this time there are actually two different versions of composition.

Proposition A.2.9 (Vertical composition of natural transformations) *Let $\eta: F \Rightarrow G$ and $\mu: G \Rightarrow H$ be natural transformations between functors $F, G, H: \mathfrak{C} \rightarrow \mathfrak{D}$. Their vertical composition $\mu \circ \eta: F \Rightarrow H$ is a natural transformation given by morphisms*

$$(\mu \circ \eta)(A) = \mu(A) \circ \eta(A): FA \rightarrow HA \tag{A.2.4}$$

for any $A \in \mathfrak{C}$.

PROOF: In the diagram



the upper and lower squares commute since η and μ are natural transformations. Hence the big rectangle commutes, showing that $\mu \circ \eta$ is a natural transformation. \square

The reason this is called vertical composition is that we can illustrate it as

$$\begin{array}{ccc} \mathfrak{C} & \begin{array}{c} \xrightarrow{F} \\ \downarrow \eta \\ \xrightarrow{G} \\ \downarrow \mu \\ \xrightarrow{H} \end{array} & \mathfrak{D} \\ & \rightsquigarrow & \\ \mathfrak{C} & \begin{array}{c} \xrightarrow{F} \\ \downarrow \mu \circ \eta \\ \xrightarrow{H} \end{array} & \mathfrak{D} \end{array} .$$

Proposition A.2.10 (Horizontal composition of natural transformations)

Let $\eta: F_1 \Rightarrow G_1$ and $\mu: F_2 \Rightarrow G_2$ be natural transformations between functors $F_1, G_1: \mathfrak{A} \rightarrow \mathfrak{B}$ and $F_2, G_2: \mathfrak{B} \rightarrow \mathfrak{C}$. Their horizontal composition $\mu * \eta: (F_2 \circ F_1) \Rightarrow (G_2 \circ G_1)$ is a natural transformation given by the morphisms

$$(\mu * \eta)(A) = \mu(G_1 A) \circ F_2 \eta(A) \quad (\text{A.2.5})$$

for each $A \in \mathfrak{A}$.

PROOF: We need to show that the diagram

$$\begin{array}{ccc} (F_2 \circ F_1)A & \xrightarrow{(F_2 \circ F_1)f} & (F_2 \circ F_1)B \\ \mu(G_1 A) \circ F_2 \eta(A) \downarrow & & \downarrow \mu(G_1 B) \circ F_2 \eta(B) \\ (G_2 \circ G_1)A & \xrightarrow{(G_2 \circ G_1)f} & (G_2 \circ G_1)B \end{array}$$

commutes for all $f: A \rightarrow B$. We get

$$\begin{aligned} (G_2 \circ G_1)f \circ \mu(G_1 A) \circ F_2 \eta(A) &\stackrel{(a)}{=} \mu(G_1 B) \circ F_2 G_1 f \circ F_2 \eta(A) = \mu(G_1 B) \circ F_1(G_1 f \circ \eta(A)) \\ &\stackrel{(b)}{=} \mu(G_1 B) \circ F_2(\eta(B) \circ F_1 f) = \mu(G_1 B) \circ F_2 \eta(B) \circ F_2 F_1 f, \end{aligned}$$

where we used in (a) the diagram (A.2.3) for the natural transformation μ and in (b) for the natural transformation η . \square

The horizontal composition can be visualized as

$$\begin{array}{ccc} \mathfrak{A} & \begin{array}{c} \xrightarrow{F_1} \\ \downarrow \eta \\ \xrightarrow{G_1} \end{array} & \mathfrak{B} \\ & \rightsquigarrow & \\ \mathfrak{A} & \begin{array}{c} \xrightarrow{F_2 \circ F_1} \\ \downarrow \mu * \eta \\ \xrightarrow{G_2 \circ G_1} \end{array} & \mathfrak{C} \end{array} .$$

Remark A.2.11 Since μ is a natural transformation the definition of $\mu * \eta$ by (A.2.5) is equivalent to $(\mu * \eta)(A) = G_2 \eta(A) \circ \mu(F_1 A)$.

Definition A.2.12 (Adjoint functors) Let \mathfrak{C} and \mathfrak{D} be categories. An adjunction between these categories consists of functors $F: \mathfrak{C} \rightarrow \mathfrak{D}$ and $G: \mathfrak{D} \rightarrow \mathfrak{C}$ as well as natural transformations $\varepsilon: F \circ G \Rightarrow \text{id}_{\mathfrak{D}}$ and $\eta: \text{id}_{\mathfrak{C}} \Rightarrow G \circ F$ such that for each $A \in \mathfrak{C}$ and each $B \in \mathfrak{D}$

$$\text{id}_{FA} = \varepsilon(FA) \circ F(\eta(A)) \quad (\text{A.2.6})$$

and

$$\text{id}_{GY} = G(\varepsilon(Y)) \circ \eta(G(Y)) \quad (\text{A.2.7})$$

holds. We call F left adjoint to G , and reversely G right adjoint to F .

We sometimes write $F \dashv G$ if F is left adjoint to G . In some contexts ev is used instead of ε and coev is used instead of η .

A.3 Limits and Colimits

Many of the standard notions in category theory we have seen so far – like initial/terminal object, kernel/cokernel, but also equalizer, pullbacks etc. – are special cases of a more general notion, so-called limits and colimits. For this we first have to give a precise definition of a diagram in a category.

Definition A.3.1 (Diagram) *Let \mathcal{C} be a category and \mathcal{J} a small category. A functor $D: \mathcal{J} \rightarrow \mathcal{C}$ is called a diagram of shape \mathcal{J} .*

For a diagram $D: \mathcal{J} \rightarrow \mathcal{C}$ we will often write D_I instead of $D(I)$ for $I \in \mathcal{J}$ to indicate that one should think of a diagram as an indexed class of objects and morphisms. Before defining limits and colimits for diagrams we introduce the general notions of sources and sinks.

Definition A.3.2 (Sources and sinks) *Let \mathcal{C} be a category.*

- i.) *A source is an object $C \in \mathcal{C}_0$ together with a family of morphisms $(f_i: C \rightarrow C_i)_{i \in I}$ indexed by some class I .*
- ii.) *A sink is an object $C \in \mathcal{C}_0$ together with a family of morphisms $(f_i: C_i \rightarrow C)_{i \in I}$ indexed by some class I .*

We will also denote a source simply by its family of morphisms, since then the corresponding object is clear. Given a diagram $D: \mathcal{J} \rightarrow \mathcal{C}$ and a source $(f_I: C \rightarrow D_I)_{I \in \mathcal{J}}$, we say that this source is a *source of the diagram D* if for all $u: I \rightarrow J$ in \mathcal{J} the triangle

$$\begin{array}{ccc}
 D_I & \xrightarrow{D_u} & D_J \\
 & \swarrow f_I & \searrow f_J \\
 & C &
 \end{array}
 \tag{A.3.1}$$

commutes. We will denote such a source of a diagram by the pair $(C, f_I)_{I \in \mathcal{J}}$ since the domain and codomain of each f_I are clear from the diagram D . Note that sources of a diagram are also often called *cones* in the literature. Dually we can define *sinks of a diagram*. With this we can define for any diagram in a category the limit and colimit of it.

Definition A.3.3 (Limit) *Let $D: \mathcal{J} \rightarrow \mathcal{C}$ be a diagram in \mathcal{C} of shape \mathcal{J} . A limit of D is a source $(L, \ell_I)_{I \in \mathcal{J}}$ of the diagram D with the following universal property: For any other source $(C, f_I)_{I \in \mathcal{J}}$ of the diagram D there exists a unique morphism $f: C \rightarrow L$ with $\ell_I \circ f = f_I$ for all $I \in \mathcal{J}$. Put diagrammatically:*

$$\begin{array}{ccc}
 D_I & \xrightarrow{D_u} & D_J \\
 & \swarrow \ell_I & \searrow \ell_J \\
 & L & \\
 & \uparrow f & \\
 & C &
 \end{array}
 \tag{A.3.2}$$

commutes.

The dual notion of a limit uses sinks of a diagram instead and is called colimit. Limits and colimits of diagrams need not exist, but if they do they are unique up to unique isomorphisms as usual.

Limits appear everywhere in category theory. To some degree one could even say that category theory is the study of limits. To see why this is the case we list some limits we encounter during this thesis.

Example A.3.4 (Limits and colimits) Let \mathfrak{C} be a category.

- i.) An initial object is the limit of the empty diagram. Dually, a terminal object is the colimit of the empty diagram.
- ii.) The limit of a diagram D given by a discrete category \mathfrak{J} is the product of the objects $D_I \in \mathfrak{C}$. Dually, the colimit of such a discrete diagram is the coproduct.
- iii.) If \mathfrak{C} has a zero object 0 , the kernel of a morphism $f: A \rightarrow B$ is the limit of the diagram

$$A \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{0} \end{array} B . \quad (\text{A.3.3})$$

Dually, the cokernel of f is the colimit of (A.3.3).

- iv.) Generalizing the last example we call the limit of a diagram

$$A \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} B \quad (\text{A.3.4})$$

the *equalizer* of f and g . Dually, the colimit of (A.3.4) is called *coequalizer* of f and g .

- v.) The limit of a diagram of the form

$$\begin{array}{ccc} & & B \\ & & \downarrow g \\ A & \xrightarrow{f} & C \end{array} \quad (\text{A.3.5})$$

is called *pullback* of f and g and denoted by $f \times_C g$. Dually, the colimit of (A.3.5) is called *pushout*.

Proposition A.3.5 *Let \mathfrak{C} be a category.*

- i.) *Every equalizer is a monomorphism.*
- ii.) *Every coequalizer is an epimorphism.*

The reverse implication need not hold in general.

Definition A.3.6 (Regular epi- and monomorphisms) *Let \mathfrak{C} be a category.*

- i.) *A morphism $f: A \rightarrow B$ is called regular monomorphism if it is the equalizer of some pair of morphisms.*
- ii.) *A morphism $f: A \rightarrow B$ is called regular epimorphism if it is the coequalizer of some pair of morphisms.*

We have already seen that initial objects, kernels and in general limits need not exist. Thus it is of interest if we can transport existing limits to other categories via functors.

Definition A.3.7 (Preservation of limits) Let $F: \mathfrak{C} \rightarrow \mathfrak{D}$ be a functor and $D: \mathfrak{J} \rightarrow \mathfrak{C}$ a diagram.

- i.) The functor F is said to preserve a limit $(f_I: L \rightarrow D_I)_{I \in \mathfrak{J}}$ if $(Ff_I: FL \rightarrow FD_I)_{I \in \mathfrak{J}}$ is a limit of the diagram $F \circ D: \mathfrak{J} \rightarrow \mathfrak{D}$.
- ii.) The functor F is said to preserve limits if it preserves limits of all shapes.

An important example of limit preserving functors is an equivalence of categories. Although this is not surprising, since equivalent categories should have the same categorical properties, the proof actually needs knowledge about adjunctions. Thus we simply state the theorem here and refer to [Uni13, Chap. 9] for a proof.

Proposition A.3.8 (Equivalences preserve limits) Every equivalence $F: \mathfrak{C} \rightarrow \mathfrak{D}$ of categories preserves limits.

A.4 Monoids and Modules

We collect basic definitions and constructions of monoids and their modules internal to a given monoidal category, see e.g. [KS06] for more about monoidal categories.

Definition A.4.1 (Monoidal category) A monoidal category is a category \mathfrak{C} equipped with the following data:

- i.) A functor

$$\otimes: \mathfrak{C} \times \mathfrak{C} \rightarrow \mathfrak{C} \tag{A.4.1}$$

called tensor product.

- ii.) An object $\mathbb{1} \in \mathfrak{C}_0$ called unit.

- iii.) A natural isomorphism

$$\text{asso}: \otimes \circ (\otimes \times \text{id}) \Longrightarrow \otimes \circ (\text{id} \times \otimes) \tag{A.4.2}$$

called associativity. Diagrammatically:

$$\begin{array}{ccc} \mathfrak{C} \times \mathfrak{C} \times \mathfrak{C} & \xrightarrow{\text{id} \times \otimes} & \mathfrak{C} \times \mathfrak{C} \\ \otimes \times \text{id} \downarrow & \nearrow \text{asso} & \downarrow \otimes \\ \mathfrak{C} \times \mathfrak{C} & \xrightarrow{\otimes} & \mathfrak{C} \end{array} \tag{A.4.3}$$

- iv.) Two natural isomorphisms

$$\text{left}: \otimes \circ (\text{Id}_{\mathbb{1}} \times \text{id}) \Longrightarrow \text{id} \tag{A.4.4}$$

called left identity and

$$\text{right}: \otimes \circ (\text{id} \times \text{Id}_{\mathbb{1}}) \Longrightarrow \text{id} \tag{A.4.5}$$

called right identity. Diagrammatically:

$$\begin{array}{ccccc} 1 \times \mathfrak{C} & \xleftarrow{\cong} & \mathfrak{C} & \xrightarrow{\cong} & \mathfrak{C} \times 1 \\ \text{Id}_{\mathbb{1}} \times \text{id} \downarrow & \searrow \text{left} & \downarrow \text{id} & \nearrow \text{right} & \downarrow \text{id} \times \text{Id}_{\mathbb{1}} \\ \mathfrak{C} \times \mathfrak{C} & \xrightarrow{\otimes} & \mathfrak{C} & \xleftarrow{\otimes} & \mathfrak{C} \end{array} \tag{A.4.6}$$

These data are required to fulfil the following coherence conditions:

v.) Associativity coherence: *the diagram*

$$\begin{array}{ccc}
 ((A \otimes B) \otimes C) \otimes D & \xrightarrow{\text{asso}(A,B,C) \otimes \text{id}_D} & (A \otimes (B \otimes C)) \otimes D \\
 \text{asso}(A \otimes B, C, D) \downarrow & & \downarrow \text{asso}(A, B \otimes C, D) \\
 (A \otimes B) \otimes (C \otimes D) & & A \otimes ((B \otimes C) \otimes D) \\
 \text{asso}(A, B, C \otimes D) \searrow & & \swarrow \text{id}_A \otimes \text{asso}(B, C, D) \\
 & (A \otimes (B \otimes (C \otimes D))) &
 \end{array} \tag{A.4.7}$$

commutes for all objects $A, B, C, D \in \mathfrak{C}_0$.

vi.) Identity coherence: *the diagram*

$$\begin{array}{ccc}
 (A \otimes \mathbb{1}) \otimes B & \xrightarrow{\text{asso}(A, \mathbb{1}, B)} & A \otimes (\mathbb{1} \otimes B) \\
 \text{right}(A) \otimes \text{id}_B \searrow & & \swarrow \text{id}_A \otimes \text{left}(B) \\
 & A \otimes B &
 \end{array} \tag{A.4.8}$$

commutes for all objects $A, B \in \mathfrak{C}_0$.

A monoidal category is called *strict* if the associativity, as well as the left and right identity are not mere isomorphisms but strict equalities.

Example A.4.2

- i.) The category **Set** of sets together with the cartesian product and any one-point set as unit is a monoidal category.
- ii.) The category **Ab** of abelian groups together with the tensor product of groups and the group of integers \mathbb{Z} as unit is a monoidal category.
- iii.) The category **Bimod**(\mathbb{R}, \mathbb{R}) of bimodules over some ring \mathbb{R} together with the tensor product of bimodules and \mathbb{R} as unit is a monoidal category.

The commutativity of a monoidal category is captured by the following notion. Here τ denotes the flip functor $\tau: \mathfrak{C} \times \mathfrak{C} \rightarrow \mathfrak{C} \times \mathfrak{C}$, $\tau(A, b) = (B, A)$.

Definition A.4.3 (Symmetric monoidal category) *A monoidal category* \mathfrak{C} *together with a natural isomorphism*

$$B: \otimes \Longrightarrow \otimes \circ \tau \tag{A.4.9}$$

such that

$$B \circ B = \text{id} \tag{A.4.10}$$

holds, is called symmetric if the diagram

$$\begin{array}{ccc}
 (A \otimes B) \otimes C & \xrightarrow{\text{asso}(A,B,C)} & A \otimes (B \otimes C) \\
 \text{B}(A,B) \otimes \text{id} \swarrow & & \searrow \text{B}(A, B \otimes C) \\
 (B \otimes A) \otimes C & & (B \otimes C) \otimes A \\
 \text{asso}(B,A,C) \searrow & & \swarrow \text{asso}(B,C,A) \\
 B \otimes (A \otimes C) & \xrightarrow{\text{id} \otimes \text{B}(A,C)} & B \otimes (C \otimes A)
 \end{array} \tag{A.4.11}$$

commutes for all $A, B, C \in \mathfrak{C}_0$. The natural isomorphism B is called symmetric braiding.

Example A.4.4

- i.) The monoidal category **Set** with the cartesian product is symmetric.
- ii.) The monoidal category **Ab** with the tensor product of groups is symmetric.
- iii.) For every commutative ring R the monoidal category $\mathbf{Bimod}_{\text{sym}}(R, R)$ of symmetric bimodules is symmetric.

Definition A.4.5 (Lax Monoidal Functor) Let \mathfrak{C} and \mathfrak{D} be monoidal categories. A lax monoidal functor is a functor $F: \mathfrak{C} \rightarrow \mathfrak{D}$ together with the following data:

- i.) A morphism $\varepsilon: \mathbb{1}_{\mathfrak{D}} \rightarrow F(\mathbb{1}_{\mathfrak{C}})$.
- ii.) A natural transformation $\eta: \otimes_{\mathfrak{D}} \circ F \times F \Longrightarrow F \circ \otimes_{\mathfrak{C}}$.

These data are required to make the following diagrams commute for all $A, B, C \in \mathfrak{C}$:

- i.) Associativity:

$$\begin{array}{ccc}
(F(A) \otimes_{\mathfrak{D}} F(B)) \otimes_{\mathfrak{D}} F(C) & \xrightarrow{\text{asso}_{\mathfrak{D}}(F(A), F(B), F(C))} & F(A) \otimes_{\mathfrak{D}} (F(B) \otimes_{\mathfrak{D}} F(C)) \\
\eta(A, B) \otimes \text{id} \downarrow & & \text{id} \otimes \eta(B, C) \downarrow \\
F(A \otimes_{\mathfrak{C}} B) \otimes_{\mathfrak{D}} F(C) & & F(A) \otimes_{\mathfrak{D}} F(B \otimes_{\mathfrak{C}} C) \\
\eta(A \otimes_{\mathfrak{C}} B, C) \downarrow & & \eta(A, B \otimes_{\mathfrak{C}} C) \downarrow \\
F((A \otimes_{\mathfrak{C}} B) \otimes_{\mathfrak{C}} C) & \xrightarrow{F(\text{asso}_{\mathfrak{C}}(A, B, C))} & F(A \otimes_{\mathfrak{C}} (B \otimes_{\mathfrak{C}} C))
\end{array} \tag{A.4.12}$$

- ii.) Unitality:

$$\begin{array}{ccc}
\mathbb{1}_{\mathfrak{D}} \otimes_{\mathfrak{D}} F(A) & \xrightarrow{\varepsilon \otimes \text{id}} & F(\mathbb{1}_{\mathfrak{C}}) \otimes_{\mathfrak{D}} F(A) \\
\text{left}_{\mathfrak{D}}(F(A)) \downarrow & & \eta(\mathbb{1}_{\mathfrak{C}}, A) \downarrow \\
F(A) & \xleftarrow{F(\text{left}_{\mathfrak{C}}(A))} & F(\mathbb{1}_{\mathfrak{C}} \otimes_{\mathfrak{C}} A)
\end{array} \tag{A.4.13}$$

and

$$\begin{array}{ccc}
F(A) \otimes_{\mathfrak{D}} \mathbb{1}_{\mathfrak{D}} & \xrightarrow{\text{id} \otimes \varepsilon} & F(A) \otimes_{\mathfrak{D}} F(\mathbb{1}_{\mathfrak{C}}) \\
\text{right}_{\mathfrak{D}}(F(A)) \downarrow & & \eta(A, \mathbb{1}_{\mathfrak{C}}) \downarrow \\
F(A) & \xleftarrow{F(\text{right}_{\mathfrak{C}}(A))} & F(A \otimes_{\mathfrak{C}} \mathbb{1}_{\mathfrak{C}})
\end{array} \tag{A.4.14}$$

A lax monoidal functor with invertible ε and η is called monoidal, while an oplax monoidal functor is a lax monoidal functor between the opposite categories.

By the microcosm principle [BD98] monoidal categories are the correct categorical setting to define monoids.

Definition A.4.6 (Monoid) Let \mathfrak{C} be a monoidal category. A monoid object (or simply monoid) is an object $A \in \mathfrak{C}_0$ equipped with a morphism

$$\mu: A \otimes A \longrightarrow A \tag{A.4.15}$$

called multiplication and a morphism

$$\eta: \mathbb{1} \longrightarrow A \tag{A.4.16}$$

called unit such that

$$\begin{array}{ccc}
 (A \otimes A) \otimes A & \xrightarrow{\text{asso}} & A \otimes (A \otimes A) \\
 \mu \otimes \text{id}_A \downarrow & & \downarrow \text{id}_A \otimes \mu \\
 A \otimes A & & A \otimes A \\
 \mu \searrow & & \swarrow \mu \\
 & A &
 \end{array} \tag{A.4.17}$$

and

$$\begin{array}{ccccc}
 \mathbb{1} \otimes A & \xrightarrow{\eta \otimes \text{id}_A} & A \otimes A & \xleftarrow{\text{id}_A \otimes \eta} & A \otimes \mathbb{1} \\
 \text{left} \searrow & & \downarrow \mu & & \swarrow \text{right} \\
 & & A & &
 \end{array} \tag{A.4.18}$$

commute.

This definition is just the usual definition of monoids written in terms of the maps that are involved, instead of in terms of elements. In a symmetric monoidal category we can also define commutative monoids.

Definition A.4.7 (Commutative monoid) *A monoid A in a symmetric monoidal category \mathcal{C} is called commutative if the diagram*

$$\begin{array}{ccc}
 A \otimes A & \xrightarrow{B} & A \otimes A \\
 \mu \searrow & & \swarrow \mu \\
 & A &
 \end{array} \tag{A.4.19}$$

commutes. Here B denotes the symmetric braiding of \mathcal{C} and μ denotes the multiplication of A .

A morphism of monoids can then be phrased as follows.

Definition A.4.8 (Morphism of monoids) *Let \mathcal{C} be a monoidal category and let A and A' be monoids with multiplications μ, μ' and units η, η' , respectively. A morphism $f: A \rightarrow A'$ is a morphism of monoids if*

$$\begin{array}{ccc}
 A \otimes A & \xrightarrow{f \otimes f} & A' \otimes A' \\
 \mu \downarrow & & \downarrow \mu' \\
 A & \xrightarrow{f} & A'
 \end{array} \tag{A.4.20}$$

and

$$\begin{array}{ccc}
 \mathbb{1} & & \\
 \eta \downarrow & \searrow \eta' & \\
 A & \xrightarrow{f} & A'
 \end{array} \tag{A.4.21}$$

commute.

Written in elements (A.4.20) is just the compatibility with multiplication and (A.4.21) is the preservation of the unit.

Corollary A.4.9 (Category of monoids) *The monoids of a monoidal category \mathfrak{C} together with morphisms of monoids as morphisms form a category, called category of monoids of \mathfrak{C} and denoted by $\text{Mon}(\mathfrak{C})$.*

PROOF: For any monoid A the identity morphism id_A is obviously a morphism of monoids. Let $f: A \rightarrow A'$ and $g: A' \rightarrow A''$ be two morphisms of monoids. Then

$$(g \circ f) \circ \mu = g \circ \mu' \circ (f \otimes f) = \mu'' \circ (g \otimes g) \circ (f \otimes f) = \mu'' \circ ((g \circ f) \otimes (g \circ f))$$

and

$$(g \circ f) \circ \eta = g \circ \eta' = \eta''$$

show that $g \circ f: A \rightarrow A''$ is a morphism of monoids. \square

Any lax monoidal functor $F: \mathfrak{C} \rightarrow \mathfrak{D}$ induces a functor $F: \text{Mon}(\mathfrak{C}) \rightarrow \text{Mon}(\mathfrak{D})$. For a symmetric monoidal category \mathfrak{C} the full subcategory of $\text{Mon}(\mathfrak{C})$ consisting of commutative monoids is denoted by $\text{Mon}_{\text{com}}(\mathfrak{C})$.

Example A.4.10

- i.) In Set (commutative) monoid objects are usual (commutative) monoids and morphisms of such monoid objects are the usual monoid homomorphisms.
- ii.) In Ab (commutative) monoid objects are unital (commutative) rings and morphisms of monoids are ring morphisms. Hence $\text{Mon}(\text{Ab})$ is Ring .
- iii.) In $\text{Bimod}(\mathbb{R}, \mathbb{R})$ (commutative) monoid objects are unital (commutative) associative algebras over the (commutative) ring \mathbb{R} and morphisms of monoids are unital algebra homomorphisms. Hence $\text{Mon}(\text{Bimod}(\mathbb{R}, \mathbb{R}))$ is $\text{Alg}_{\mathbb{R}}$.

Thinking of monoids in a monoidal category as rings or algebras suggests how to proceed from here. We can define now modules over monoids by a categorical version of the usual definition.

Definition A.4.11 (Right module over a monoid) *Let \mathfrak{C} be a monoidal category and let $A \in \text{Mon}(\mathfrak{C})$ be a monoid. A right module over A is an object $M \in \mathfrak{C}$ equipped with a morphism*

$$\rho: M \otimes A \rightarrow M, \tag{A.4.22}$$

such that the diagrams

$$\begin{array}{ccc} M \otimes A \otimes A & \xrightarrow{\rho \otimes \text{id}_A} & M \otimes A \\ \text{id}_M \otimes \mu \downarrow & & \downarrow \rho \\ M \otimes A & \xrightarrow{\rho} & M \end{array} \tag{A.4.23}$$

and

$$\begin{array}{ccc} M \otimes \mathbb{1} & \xrightarrow{\text{id}_M \otimes \eta} & M \otimes A \\ \text{right} \searrow & & \swarrow \rho \\ & M & \end{array} \tag{A.4.24}$$

commute.

A *left module* over a monoid A is then defined analogously. Note that we implicitly used the associativity isomorphism in (A.4.23). Requiring an additional compatibility between given left and right module structures gives a bimodule.

Definition A.4.12 (Bimodule over monoids) *Let \mathfrak{C} be a monoidal category and let $A, B \in \text{Mon}(\mathfrak{C})$ be monoids. A (B, A) -bimodule is an object $M \in \mathfrak{C}$ together with morphisms*

$$\lambda_B: B \otimes M \longrightarrow M \quad (\text{A.4.25})$$

and

$$\rho_A: M \otimes A \longrightarrow M, \quad (\text{A.4.26})$$

such that M is a left B -module with respect to λ_B and a right A -module with respect to ρ_A and

$$\begin{array}{ccc} B \otimes M \otimes A & \xrightarrow{\lambda_B \otimes \text{id}_A} & M \otimes A \\ \text{id}_B \otimes \rho_A \downarrow & & \downarrow \rho_A \\ B \otimes M & \xrightarrow{\lambda_B} & M \end{array} \quad (\text{A.4.27})$$

commutes.

Note that any monoid $A \in \text{Mon}(\mathfrak{C})$ can be seen as a (A, A) -bimodule by taking as left and right actions the multiplication of the monoid. As before also the notion of morphisms can be transferred to the categorical case without any problems.

Definition A.4.13 (Morphism of right modules) *Let \mathfrak{C} be a monoidal category. Moreover, let $A \in \text{Mon}(\mathfrak{C})$ be a monoid and let $(M, \rho_M), (M', \rho_{M'})$ be right A -modules. A morphism $f: M \longrightarrow M'$ is called morphism of right modules if*

$$\begin{array}{ccc} M \otimes A & \xrightarrow{f \otimes \text{id}_A} & M' \otimes A \\ \rho_M \downarrow & & \downarrow \rho_{M'} \\ M & \xrightarrow{f} & M' \end{array} \quad (\text{A.4.28})$$

commutes.

A morphism of left modules is defined analogously and a morphism of bimodules is simply a morphism that respects both the left and right module structure.

Corollary A.4.14 (Categories of modules) *Let \mathfrak{C} be a monoidal category and consider monoids $A, B \in \text{Mon}(\mathfrak{C})$. Left B -modules, right A -modules and (B, A) -bimodules together with the appropriate notion of morphism form categories, denoted by $A\text{-Mod}_{\mathfrak{C}}$, $\text{Mod}_{\mathfrak{C}}\text{-}B$ and $\text{Bimod}_{\mathfrak{C}}(B, A)$, respectively.*

PROOF: We only prove the statement for right modules, the other cases can be done similarly. First, it is clear that for any right A -module (M, ρ_M) the identity morphism id_M in \mathfrak{C} is a module morphism. Moreover, for right A -module morphisms $f: (M, \rho_M) \longrightarrow (M', \rho_{M'})$ and $g: (M', \rho_{M'}) \longrightarrow (M'', \rho_{M''})$ we have

$$\rho_{M''} \circ (g \circ f) \otimes \text{id}_A = \rho_{M''} \circ (g \otimes \text{id}_A) \circ (f \otimes \text{id}_A) = g \circ \rho_{M'} \circ (f \otimes \text{id}_A) = (g \circ f) \circ \rho_M,$$

and thus $g \circ f$ is a morphism of right A -modules. Finally, from the associativity of the composition in \mathfrak{C} follows directly the associativity of the composition of module morphisms. \square

These categories of modules indeed reproduce the various notions of modules we know from algebra.

Example A.4.15

- i.) In **Set** a module over a monoid is just an action of a monoid on a set.
- ii.) In **Ab** a module over a monoid is a module over a ring in the usual sense of algebra.
- iii.) In **Bimod**(R) a module over a monoid is a module over an algebra over R.

The main feature of the tensor product of modules over a given ring (or algebra) is that we are able to either let a ring element act from the right on the left component of the tensor product or from the left on the right component of the tensor product. This suggests to define the tensor product as the coequalizer of these two actions. But to define the tensor product this way we need an additional requirement for the monoidal category we start with.

Definition A.4.16 (Tensor product of modules) *Let \mathfrak{C} be a monoidal category with coequalizers and let $B \in \text{Mon}(\mathfrak{C})$ be a monoid. For any left B -module $M \in B\text{-Mod}_{\mathfrak{C}}$ and right B -module $N \in \text{Mod}_{\mathfrak{C}}\text{-}B$ the coequalizer of the left and right actions*

$$N \otimes B \otimes M \begin{array}{c} \xrightarrow{\rho_N \otimes \text{id}_M} \\ \xrightarrow{\text{id}_N \otimes \lambda_M} \end{array} N \otimes M \longrightarrow N \otimes_B M \quad (\text{A.4.29})$$

defines $N \otimes_B M \in \mathfrak{C}_0$, which is called tensor product of N and M over B .

This construction can actually be seen as a functor as follows.

Proposition A.4.17 *Let \mathfrak{C} be a monoidal category with coequalizers and let $B \in \text{Mon}(\mathfrak{C})$ be a monoid. The tensor product of modules over B defines a functor*

$$\otimes_B : \text{Mod}_{\mathfrak{C}}\text{-}B \times B\text{-Mod}_{\mathfrak{C}} \longrightarrow \mathfrak{C}. \quad (\text{A.4.30})$$

PROOF: Let $f: M \rightarrow M'$ and $g: N \rightarrow N'$ be morphisms between left B -modules $M, M' \in B\text{-Mod}_{\mathfrak{C}}$ and right B -modules $N, N' \in \text{Mod}_{\mathfrak{C}}\text{-}B$, respectively. Together with the coequalizer property of $N \otimes_B M$ and $N' \otimes_B M'$ we get

$$\begin{array}{ccc} N \otimes B \otimes M & \begin{array}{c} \xrightarrow{\rho_N \otimes \text{id}_M} \\ \xrightarrow{\text{id}_N \otimes \lambda_M} \end{array} & N \otimes M & \xrightarrow{p} & N \otimes_B M \\ g \otimes \text{id}_B \otimes f \downarrow & & \downarrow g \otimes f & & \\ N' \otimes B \otimes M' & \begin{array}{c} \xrightarrow{\rho_{N'} \otimes \text{id}_{M'}} \\ \xrightarrow{\text{id}_{N'} \otimes \lambda_{M'}} \end{array} & N' \otimes M' & \xrightarrow{p'} & N' \otimes_B M' \end{array},$$

where p and p' denote the coequalizers of the given actions. The left square of this diagram commutes for both actions. Indeed,

$$\begin{aligned} (\text{id}_{N'} \otimes \lambda_{M'}) \circ (g \otimes \text{id}_B \otimes f) &= (\text{id}_{N'} \circ g) \otimes (\lambda_{M'} \circ \text{id}_B \otimes f) \\ &= (g \circ \text{id}_N) \otimes (f \circ \lambda_M) \\ &= (g \otimes f) \circ (\text{id}_N \otimes \lambda_M) \end{aligned}$$

and

$$\begin{aligned} (\rho'_{N'} \otimes \text{id}_{M'}) \circ (g \otimes \text{id}_B \otimes f) &= (\rho_{N'} \circ g \otimes \text{id}_B) \otimes (\text{id}_{M'} \circ f) \\ &= (g \circ \rho_N) \otimes (f \circ \text{id}_M) \end{aligned}$$

$$= (g \otimes f) \circ (\rho_N \otimes \text{id}_M)$$

holds due to f and g being module morphisms and the functoriality of \otimes . Thus it follows that

$$(p' \circ g \otimes f) \circ (\rho_N \otimes \text{id}_M) = (p' \circ g \otimes f) \circ (\text{id}_N \otimes \lambda_M),$$

and hence by the universal property of $N \otimes_B M$ there exists a unique morphism $g \otimes_B f: N \otimes_B M \rightarrow N' \otimes_B M'$. Then $\text{id}_N \otimes_B \text{id}_M = \text{id}(N \otimes_B M)$ is clear, and the fact that \otimes_B respects composition can be deduced by adding another coequalizer diagram at the bottom of the diagram above. \square

In case that N is not only a right B -module but a (C, B) -bimodule we would like to transfer the left C -bimodule structure onto the tensor product $N \otimes_B M$. The same would be desirable for M being a (B, A) -bimodule. To achieve this we need that the tensor product of the monoidal category preserves coequalizers in the following sense: we say that \otimes *preserves coequalizers* in the first component if for every coequalizer

$$A \begin{array}{c} \xrightarrow{f} \\ \rightrightarrows \\ \xrightarrow{g} \end{array} B \xrightarrow{p} Q \quad (\text{A.4.31})$$

and every $C \in \mathfrak{C}_0$

$$A \otimes C \begin{array}{c} \xrightarrow{f \otimes \text{id}_C} \\ \rightrightarrows \\ \xrightarrow{g \otimes \text{id}_C} \end{array} B \otimes C \xrightarrow{p \otimes \text{id}_C} Q \otimes C \quad (\text{A.4.32})$$

is a coequalizer. Analogously, we define preserving coequalizers in the second component.

Proposition A.4.18 (Tensor product of bimodules) *Let \mathfrak{C} be a monoidal category with coequalizers such that \otimes preserves coequalizers in both components. For $M \in \text{Bimod}_{\mathfrak{C}}(B, A)$ and $N \in \text{Bimod}_{\mathfrak{C}}(C, B)$ the tensor product $N \otimes_B M$ over B is a (C, A) -bimodule with actions given by $\text{id}_N \otimes_B \rho_M$ and $\lambda_N \otimes_B \text{id}_M$.*

PROOF: We only construct the right A -module structure on $N \otimes_B M$. The left C -module structure can then be defined in a completely analogous fashion. Since \otimes preserves coequalizers in the first component we get two coequalizers

$$N \otimes B \otimes M \otimes C \begin{array}{c} \xrightarrow{\rho_N \otimes \text{id}_M \otimes \text{id}_C} \\ \rightrightarrows \\ \xrightarrow{\text{id}_N \otimes \lambda_M \otimes \text{id}_C} \end{array} N \otimes M \otimes C \begin{array}{c} \xrightarrow{p'} \\ \searrow \\ \xrightarrow{p \otimes \text{id}_C} \end{array} \begin{array}{c} N \otimes_B (M \otimes C) \\ \\ (N \otimes_B M) \otimes C \end{array} .$$

Then it follows from the universal property of coequalizers that $N \otimes_B (M \otimes C) \simeq (N \otimes_B M) \otimes C$. Thus we can define the right C action of $N \otimes_B M$ by $\text{id}_N \otimes_B \rho_M: (N \otimes_B M) \otimes C \simeq N \otimes_B (M \otimes C) \rightarrow N \otimes_B M$. Using the associativity isomorphisms of the tensor product one can easily verify that the such defined left and right actions indeed commute. \square

With this the functor \otimes_B from [Proposition A.4.17](#) gives directly a functor

$$\otimes_B: \text{Bimod}_{\mathfrak{C}}(C, B) \times \text{Bimod}_{\mathfrak{C}}(B, A) \longrightarrow \text{Bimod}_{\mathfrak{C}}(C, A). \quad (\text{A.4.33})$$

Putting all of these constructions together we are finally able to construct a bicategory with objects given by monoids in a monoidal category. The 1-morphisms of this bicategory are the bimodules over the given monoids and 2-morphisms are bimodule morphisms.

Theorem A.4.19 (The bicategory $\mathbf{Bimod}_{\mathfrak{C}}$) *Let \mathfrak{C} be a monoidal category with coequalizers such that \otimes preserves coequalizers in both components. Then the following data defines a bicategory $\mathbf{Bimod}_{\mathfrak{C}}$:*

- i.) The objects $(\mathbf{Bimod}_{\mathfrak{C}})_0 = \mathbf{Mon}(\mathfrak{C})$ are given by the monoids in \mathfrak{C} .*
- ii.) For any two monoids $A, B \in \mathbf{Mon}(\mathfrak{C})$ the category of 1- and 2-morphisms from B to A is given by $\mathbf{Bimod}_{\mathfrak{C}}(B, A)$, the category of (B, A) -bimodules together with bimodule homomorphisms, see [Corollary A.4.14](#).*
- iii.) For any three monoids $A, B, C \in \mathbf{Mon}(\mathfrak{C})$ the tensor product functor*

$$\otimes_B: \mathbf{Bimod}_{\mathfrak{C}}(C, B) \times \mathbf{Bimod}_{\mathfrak{C}}(B, A) \longrightarrow \mathbf{Bimod}_{\mathfrak{C}}(C, A) \quad (\text{A.4.34})$$

given as in [Proposition A.4.18](#).

- iv.) For each monoid $A \in \mathbf{Mon}(\mathfrak{C})$ the identity over A is A itself considered as an (A, A) -bimodule.*

PROOF: The statements listed hold true due to the referred results. In order to define a bicategory the existence of natural transformations for associativity and left/right units are required. These can be constructed by transferring the natural isomorphisms of the monoidal category \mathfrak{C} , but this involves a lot of small statements to be checked, as well as some not so trivial arguments for functor categories. Surprisingly, this result seems to be common knowledge among category theorists, but there is no publication doing exactly these computations (as far as the author knows), so we cannot give a reference for this proof. Nevertheless, there are some generalizations of this construction available in the literature. See [[Lei04](#), Sec. 5.3] for a discussion using generalized multicategories or [[Hau17](#), Sec. 2] using ∞ -categories. \square

Example A.4.20

- i.) The bicategory $\mathbf{Bimod}_{\mathbf{Ab}}$ is the bicategory of rings, modules and module homomorphisms.*
- ii.) The bicategory $\mathbf{Bimod}_{\mathbf{Bimod}(\mathbf{R})}$ is the bicategory of \mathbf{R} -algebras, bimodules and module homomorphisms.*

A.5 Reflection Theorems

Consider a functor $U: \mathfrak{X} \rightarrow \mathfrak{C}$ from an arbitrary category to a monoidal category (\mathfrak{C}, \otimes) . Under which conditions can we “pull back” the monoidal structure from \mathfrak{C} to a monoidal structure on \mathfrak{X} ? This is clearly possible if U is an equivalence of categories, but it is also possible in a more general setup.

Definition A.5.1 (Reflective subcategory) *Let \mathfrak{C} be a category.*

- i.) A full subcategory of \mathfrak{C} is a category \mathfrak{X} together with a fully faithful functor $U: \mathfrak{X} \rightarrow \mathfrak{C}$.*
- ii.) A reflective subcategory of \mathfrak{C} is a full subcategory $U: \mathfrak{X} \rightarrow \mathfrak{C}$ such that U admits a left adjoint.*

Having a reflective subcategory is close to having an equivalence of categories as the following lemma shows.

Lemma A.5.2 *Let $U: \mathfrak{X} \rightarrow \mathfrak{C}$ be a functor. Then the following statements are equivalent:*

- i.) \mathfrak{X} is a reflective subcategory of \mathfrak{C} via U .*
- ii.) The functor U has a left adjoint $F: \mathfrak{C} \rightarrow \mathfrak{X}$ such that the counit $\varepsilon: FU \Rightarrow \text{id}_{\mathfrak{X}}$ is a natural isomorphism.*

In [Day70; Day72] Day gave a list of equivalent conditions under which a closed symmetric monoidal structure on \mathfrak{C} induces a closed symmetric monoidal structure on \mathfrak{X} such that F becomes monoidal. We give a simplified version of Day's reflection theorem for monoidal categories without any additional closedness or symmetry requirement. The proof follows the idea of [Day70].

Theorem A.5.3 (Reflection Theorem) *Let \mathfrak{C} be a monoidal category and let $U: \mathfrak{X} \rightarrow \mathfrak{C}$ be a reflective subcategory with unit denoted by $\eta: \text{id}_{\mathfrak{C}} \Rightarrow UF$. If*

$$F(\eta \otimes \eta)_{A,B}: F(A \otimes B) \rightarrow F(UF(A) \otimes UF(B)) \quad (\text{A.5.1})$$

is an isomorphism for all $A, B \in \mathfrak{C}$, then there exists a monoidal structure on \mathfrak{X} such that F becomes a monoidal functor, which is unique up to monoidal equivalence.

PROOF: Define $\hat{\otimes}: \mathfrak{X} \times \mathfrak{X} \rightarrow \mathfrak{X}$ by $\hat{\otimes} := F \circ \otimes \circ (U \times U)$ and $\hat{\mathbb{1}} := F\mathbb{1}$. We define the natural isomorphisms $\widehat{\text{left}}: \hat{\otimes} \circ (\text{Id}_{\mathbb{1}} \times \text{id}) \rightarrow \text{id}$ and $\widehat{\text{right}}: \hat{\otimes} \circ (\text{id} \times \text{Id}_{\mathbb{1}}) \rightarrow \text{id}$ by setting $\widehat{\text{left}}_X$ and $\widehat{\text{right}}_X$ for every $X \in \mathfrak{X}$ as the unique isomorphisms making

$$\begin{array}{ccc} \begin{array}{ccc} F(\mathbb{1} \otimes UX) & \xrightarrow{F\widehat{\text{left}}_{UX}} & FUX \\ \uparrow F(\eta \otimes \eta)_{\mathbb{1}, UX}^{-1} & & \downarrow \varepsilon_X \\ F(UF\mathbb{1} \otimes UFUX) & & \\ \uparrow F(\text{id} \otimes U\varepsilon_X^{-1}) & & \\ F(UF\mathbb{1} \otimes UX) & \xrightarrow{\widehat{\text{left}}_X} & X \end{array} & \text{and} & \begin{array}{ccc} F(UX \otimes \mathbb{1}) & \xrightarrow{F\widehat{\text{right}}_{UX}} & FUX \\ \uparrow F(\eta \otimes \eta)_{UX, \mathbb{1}}^{-1} & & \downarrow \varepsilon_X \\ F(UFUX \otimes UF\mathbb{1}) & & \\ \uparrow F(U\varepsilon_X^{-1} \otimes \text{id}) & & \\ F(UX \otimes UF\mathbb{1}) & \xrightarrow{\widehat{\text{right}}_X} & X \end{array} \end{array}$$

commute. Similarly, we define $\widehat{\text{asso}}: \hat{\otimes} \circ (\hat{\otimes} \times \text{id}) \Rightarrow \hat{\otimes} \circ (\text{id} \times \hat{\otimes})$ by setting $\widehat{\text{asso}}_{X,Y,Z}$ as the unique isomorphism making

$$\begin{array}{ccc} F((UX \otimes UY) \otimes UZ) & \xrightarrow{F\widehat{\text{asso}}_{UX,UY,UZ}} & F(UX \otimes (UY \otimes UZ)) \\ \uparrow F(\eta \otimes \eta)_{UX \otimes UY, UZ}^{-1} & & \downarrow F(\eta \otimes \eta)_{UX, UY \otimes UZ} \\ F(UF(UX \otimes UY) \otimes UFUZ) & & F(UFUX \otimes UF(UY \otimes UZ)) \\ \uparrow F(\text{id} \otimes U\varepsilon_Z^{-1}) & & \downarrow F(U\varepsilon_X \otimes \text{id}) \\ F(UF(UX \otimes UY) \otimes UZ) & \xrightarrow{\widehat{\text{asso}}_{X,Y,Z}} & F(UX \otimes UF(UY \otimes UZ)) \end{array}$$

commute. We need to check the coherences. For the identity coherence take the above defining diagrams for $\widehat{\text{right}}$ and $\widehat{\text{left}}$ and take the $\hat{\otimes}$ -product with X and Y , respectively. Gluing the

resulting diagrams together yields the following:

$$\begin{array}{ccc}
& F((UX \otimes \mathbb{1}) \otimes UY) & \xrightarrow{F_{\text{asso}_{UX, \mathbb{1}, UY}}} & F(UX \otimes (\mathbb{1} \otimes UY)) \\
& \uparrow & & \uparrow \\
& F(UF(UX \otimes U\hat{\mathbb{1}}) \otimes UY) & \xrightarrow{\widehat{\text{asso}}_{X, \hat{\mathbb{1}}, Y}} & F(UX \otimes UF(U\hat{\mathbb{1}} \otimes UY)) \\
& \searrow & & \swarrow \\
& F(U\widehat{\text{right}}_X \otimes \text{id}) & & F(\text{id} \otimes U\widehat{\text{left}}_Y) \\
& & \downarrow & \\
& & F(UX \otimes UY) & \\
& \swarrow & & \searrow \\
& F(\text{right}_{UX} \otimes \text{id}) & & F(\text{id} \otimes \text{left}_{UY}) \\
& & \downarrow & \\
& & F(UX \otimes UY) &
\end{array}$$

The inner triangle is the identity coherence we need to verify, while the outer triangle commutes by the identity coherence for \otimes . The unlabelled morphisms from the inner triangle to the outer triangle are given by the sides of defining diagrams for $\widehat{\text{asso}}$, $\widehat{\text{left}}$ and $\widehat{\text{right}}$. Since these commute we obtain the identity coherence for $\hat{\otimes}$. With the same strategy we can glue the defining diagram for $\widehat{\text{asso}}$ to every edge of the associativity coherence for $\hat{\otimes}$. Then the outer pentagon is the associativity coherence for \otimes , showing that the inner pentagon also commutes. Since this diagram becomes too large, we refer instead to [Day70].

To show the uniqueness suppose $\tilde{\otimes}$ is another monoidal structure on \mathfrak{X} such that F becomes monoidal. Then the identity functor on \mathfrak{X} yields a monoidal equivalence, since we have natural isomorphism implementing

$$X \tilde{\otimes} Y \simeq FUX \tilde{\otimes} FUY \simeq F(UX \otimes UY) \simeq X \hat{\otimes} Y$$

for all $X, Y \in \mathfrak{X}$. □

Appendix B

Poisson Geometry

For the convenience of the reader we give some basic definitions and results from Poisson geometry and coisotropic reduction. All of this can be found in a similar fashion in standard text books like [MR99] and [CFM21]. See also [OR04] for an in-depth treatment of various reductions schemes.

Definition B.1 (Poisson algebra) *Let \mathbb{k} be a commutative unital ring with $1 \neq -1$. A Poisson algebra is a pair $(\mathcal{A}, \{\cdot, \cdot\})$ of an associative algebra \mathcal{A} over \mathbb{k} and a \mathbb{k} -linear map*

$$\{\cdot, \cdot\}: \mathcal{A} \otimes_{\mathbb{k}} \mathcal{A} \longrightarrow \mathcal{A} \quad (\text{B.1})$$

fulfilling the following properties for all $a, b, c \in \mathcal{A}$:

i.) *Antisymmetry:*

$$\{a, b\} = -\{b, a\} \quad (\text{B.2})$$

ii.) *Jacobi identity:*

$$\{a, \{b, c\}\} = \{\{a, b\}, c\} + \{b, \{a, c\}\} \quad (\text{B.3})$$

iii.) *Leibniz rule:*

$$\{a, bc\} = \{a, b\}c + b\{a, c\} \quad (\text{B.4})$$

The map $\{\cdot, \cdot\}$ is called Poisson bracket.

A *morphism of Poisson algebras* $\Phi: (\mathcal{A}, \{\cdot, \cdot\}_{\mathcal{A}}) \longrightarrow (\mathcal{B}, \{\cdot, \cdot\}_{\mathcal{B}})$ between two Poisson algebras is an algebra homomorphism $\Phi: \mathcal{A} \longrightarrow \mathcal{B}$ with $\Phi(\{a, a'\}_{\mathcal{A}}) = \{\Phi(a), \Phi(a')\}_{\mathcal{B}}$ for all $a, a' \in \mathcal{A}$.

Definition B.2 (Poisson manifold) *A Poisson manifold is a pair $(M, \{\cdot, \cdot\})$ of a smooth manifold M together with a map $\{\cdot, \cdot\}: \mathcal{C}^{\infty}(M) \otimes_{\mathbb{k}} \mathcal{C}^{\infty}(M) \longrightarrow \mathcal{C}^{\infty}(M)$ turning $\mathcal{C}^{\infty}(M)$ into a Poisson algebra.*

A Poisson map $\Phi: (M_1, \{\cdot, \cdot\}_1) \longrightarrow (M_2, \{\cdot, \cdot\}_2)$ between two Poisson manifolds is a smooth map $\Phi: M_1 \rightarrow M_2$ such that $\Phi^*: \mathcal{C}^{\infty}(M_2) \longrightarrow \mathcal{C}^{\infty}(M_1)$ is a morphism of Poisson algebras. By antisymmetry and Leibniz rule every Poisson bracket $\{\cdot, \cdot\}$ is a biderivation, hence for every Poisson manifold $(M, \{\cdot, \cdot\})$ there exists a bivector field $\pi \in \Gamma^{\infty}(\Lambda^2 TM)$, called *Poisson tensor*, such that

$$\{f, g\} = \pi(df \otimes dg) \quad (\text{B.5})$$

for all $f, g \in \mathcal{C}^{\infty}(M)$. Hence we will also denote a Poisson manifold by (M, π) if we want to stress the Poisson tensor. Every such Poisson tensor induces a musical homomorphism

$$\cdot \# : T^*M \ni \alpha_p \mapsto \alpha_p^{\#} := \pi_p(\cdot, \alpha_p) \in TM, \quad (\text{B.6})$$

which allows us to define the *Hamiltonian vector field*

$$X_f := (df)^\# \quad (\text{B.7})$$

for every $f \in \mathcal{C}^\infty(M)$.

Definition B.3 (Coisotropic submanifold) *Let (M, π) be a Poisson manifold. A submanifold $C \subseteq M$ is called coisotropic if $T_p C \subseteq T_p M$ is a coisotropic subspace for all $p \in C$, i.e. if*

$$(T_p C^{\text{ann}})^\# \subseteq T_p C \quad (\text{B.8})$$

holds for all $p \in C$.

We can always view a Poisson manifold in two different ways: we can either focus on a geometric description as a pair (M, π) of a manifold with additional structure, or on an algebraic description by considering instead the Poisson algebra $(\mathcal{C}^\infty(M), \{\cdot, \cdot\})$. In a similar way we can assign to a submanifold $C \subseteq M$ an algebraic object, the *vanishing ideal* of C

$$\mathcal{I}_C := \{f \in \mathcal{C}^\infty(M) \mid f|_C = 0\}. \quad (\text{B.9})$$

Proposition B.4 (Vanishing ideal) *Let M be a manifold with closed submanifold $\iota: C \rightarrow M$.*

- i.) *The vanishing ideal \mathcal{I}_C of C is an ideal inside the algebra $\mathcal{C}^\infty(M)$.*
- ii.) *The algebras $\mathcal{C}^\infty(M)/\mathcal{I}_C$ and $\mathcal{C}^\infty(C)$ are isomorphic via the map*

$$\mathcal{C}^\infty(M)/\mathcal{I}_C \ni [f] \mapsto \iota^* f \in \mathcal{C}^\infty(C). \quad (\text{B.10})$$

The inverse of (B.10) can be constructed using a tubular neighbourhood. The following proposition gives a geometric and an algebraic characterization of coisotropic submanifolds using the vanishing ideal.

Proposition B.5 (Coisotropic submanifolds) *Let (M, π) be a Poisson manifold and let $C \subseteq M$ be a submanifold. Then the following statements are equivalent:*

- i.) *The submanifold C is coisotropic.*
- ii.) *For all $f \in \mathcal{I}_C$ it holds $X_f(p) \in T_p C$ for all $p \in C$.*
- iii.) *The vanishing ideal \mathcal{I}_C is a Poisson subalgebra of $\mathcal{C}^\infty(M)$.*

The distribution generated by the Hamiltonian vector fields of functions vanishing on the coisotropic submanifold will play an important role in coisotropic reduction.

Definition B.6 (Characteristic distribution) *Let (M, π) be a Poisson manifold with coisotropic submanifold $C \subseteq M$. The distribution on C spanned by the Hamiltonian vector fields X_f of a function $f \in \mathcal{I}_C$ is called the characteristic distribution of C .*

It can then be shown that this is in fact an integrable distribution on C , given by the subspace $(T_p C^{\text{ann}})^\# \subseteq T_p C$ at every point $p \in C$. Again, we would like to have an equivalent algebraic description of this quite geometric notion, similar to the ones we presented for Poisson manifolds and coisotropic submanifolds. For this we first need the following construction.

Definition B.7 (Poisson normalizer) *Let \mathcal{A} be a Poisson algebra and let $\mathcal{I} \subseteq \mathcal{A}$ be an ideal for the associative and commutative product as well as a Poisson subalgebra. The Poisson subalgebra given by*

$$\mathcal{B}_{\mathcal{I}} = \{a \in \mathcal{A} \mid \{a, \mathcal{I}\} \subseteq \mathcal{I}\} \quad (\text{B.11})$$

is called the Poisson normalizer of \mathcal{I} .

It is clear that $\mathcal{B}_{\mathcal{I}}$ is the largest Poisson subalgebra containing \mathcal{I} as a Poisson ideal. If $\mathcal{I} = \mathcal{I}_C$ is the vanishing ideal of a submanifold $C \subseteq M$ we will simply write \mathcal{B}_C . Thus we can always assign a Poisson normalizer $\mathcal{B}_C \subseteq \mathcal{C}^\infty(M)$ to a coisotropic submanifold $C \subseteq M$ of a Poisson manifold M . In general one even calls an ideal $\mathcal{I} \subseteq \mathcal{A}$ in a Poisson algebra *coisotropic* if \mathcal{I} is in addition a Poisson subalgebra. This Poisson normalizer now encodes the same information as the characteristic distribution of a coisotropic submanifold, thus giving us the algebraic formulation we were searching for.

Proposition B.8 *Let (M, π) be a Poisson manifold with coisotropic submanifold $C \subseteq M$. For a function $f \in \mathcal{C}^\infty(M)$ the following statements are equivalent:*

- i.) *One has $f \in \mathcal{B}_C$.*
- ii.) *The Hamiltonian vector field X_f is tangent to C .*
- iii.) *The function $\iota^*f \in \mathcal{C}^\infty(C)$ is constant along the leaves of the characteristic foliation of C .*
- iv.) *One has $\mathcal{L}_{\iota^*X_g}\iota^*f = 0$ for all $g \in \mathcal{I}_C$, where ι^*X_g denotes the restriction of X_g to C , which is possible since C is coisotropic.*

Finally, we want to identify all points along the leaves of the characteristic distribution, thus obtaining a quotient $M_{\text{red}} = C/\sim$. If this quotient is indeed a manifold we can equip the algebra of functions $\mathcal{C}^\infty(M_{\text{red}})$ with a Poisson structure π_{red} . The corresponding quotient on the algebraic side is given by $\mathcal{B}_C/\mathcal{I}_C$, which is a Poisson algebra consisting of the functions on C that are constant along the leaves of the characteristic distribution. The observation that the geometric and the algebraic description lead to essentially the same reduction is made precise by the following theorem.

Theorem B.9 (Coisotropic reduction) *Let (M, π) be a Poisson manifold with a closed coisotropic submanifold $C \subseteq M$ such that the projection*

$$\pi: M \longrightarrow C/\sim = M_{\text{red}} \tag{B.12}$$

is a surjective submersion for a smooth structure on the leaf space M_{red} of the characteristic distribution of C . Then there exists a unique Poisson structure π_{red} on M_{red} such that

$$\mathcal{B}_C/\mathcal{I}_C \ni [f] \longmapsto \iota^*f \in \pi^*\mathcal{C}^\infty(M_{\text{red}}) \subseteq \mathcal{C}^\infty(C) \tag{B.13}$$

is an isomorphism of Poisson algebras.

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Glossary

Symbols

$\mathcal{E}, \mathcal{F}, \mathcal{G}, \dots$	(constraint) modules 29
\mathcal{E}^*	(constraint) dual of the (constraint) module \mathcal{E} 51
$\mathcal{A}, \mathcal{B}, \dots$	(constraint) algebras 49
\mathcal{A}^{str}	strong hull of the constraint algebra \mathcal{A} 60
$\mathcal{A}^{(M)}$	free (strong) constraint right \mathcal{A} -module generated by M 64
\mathbb{k}	(commutative) unital ring 10
\mathbb{K}	field 10
\hbar	Planck's constant 1
\star	star product 1
\times	product 15 , 40
\oplus	direct sum 30 , 47 , 100
\sqcup	coproduct or disjoint union 15 , 40
eq	equalizer 16 , 40
coeq	coequalizer 16 , 30 , 41
\cap	intersection 42
\cup	union of (constraint) sets or cup product 42 , 155
\ker	kernel 30
coker	cokernel 30
im	image 19 , 32
regim	regular image 19 , 32
ev	evaluation 20 , 33
coev	coevaluation 20 , 33
i	insertion 120
U	forgetful functor 10
F	free functor 10
$\mathcal{C}^\infty(M)$	real or complex valued smooth functions on a manifold M 1
$\mathcal{C}\mathcal{C}^\infty(M)$	real or complex valued smooth functions on a constraint manifold \mathcal{M} 92
$\mathcal{C}_{\text{str}}\mathcal{C}^\infty(M)$	real or complex valued smooth functions on a strong constraint manifold \mathcal{M} 93
$\Gamma^\infty(E)$	smooth sections of the vector bundle E 5
$\mathcal{C}\Gamma^\infty(E)$	constraint sections of a constraint vector bundle E 107
$\mathcal{C}^\infty(M)^D$	functions on M invariant along the distribution D 3
$\mathcal{C}_D^\infty(M)$	functions on M which are on a submanifold invariant along the distribution D 3
\mathcal{I}_C	vanishing ideal of the subset C 3
∇	(constraint) covariant derivative or partial connection 95 , 131
∇^{Bott}	Bott-connection 98

$\{\cdot, \cdot\}$	(constraint) Poisson bracket 1 , 88
$[\cdot, \cdot]$	commutator, (constraint) Lie bracket or (constraint) Gerstenhaber bracket 1 , 86
$\llbracket \cdot, \cdot \rrbracket$	(constraint) Schouten bracket 124
\mathcal{L}	Lie derivative 119
$\text{Map}(M, N)$	set of maps between (constraint) sets 14
$\text{CMap}(M, N)$	constraint set of maps between constraint sets 19
$\text{C}_{\text{str}}\text{Map}(M, N)$	strong constraint set of maps between strong constraint sets 24
$\text{CHom}_{\mathbb{k}}(\mathcal{E}, \mathcal{F})$	constraint module of \mathbb{k} -linear morphisms of constraint modules 33
$\text{CHom}_{\mathcal{A}}(\mathcal{E}, \mathcal{F})$	constraint module of \mathcal{A} -linear morphisms of constraint modules 51
$\text{CHom}(E, F)$	constraint homomorphism bundle between constraint vector bundles E and F 99
$\text{CEnd}(V)$	constraint endomorphisms of a constraint vector space or constraint vector bundle V 49
$\text{Aut}(M)$	automorphisms of M 28
$\text{CAut}(M)$	constraint automorphisms of a constraint set M 25
$\mathcal{Z}(\mathcal{A})$	(constraint) center of a (constraint) algebra \mathcal{A} 49
$\text{Der}(\mathcal{A})$	derivations of a (constraint) algebra 51
$\text{CDer}(\mathcal{A})$	constraint set of derivations of a constraint algebra 52
$\text{CInnDer}(\mathcal{A})$	constraint set of inner derivations of a constraint algebra 156
\otimes	tensor product of constraint index sets or constraint vector bundles 42 , 47 , 100
$\otimes_{\mathbb{k}}$	tensor product over the ring \mathbb{k} 32
$\otimes_{\mathbb{k}}^{\text{emb}}$	embedded tensor product over the ring \mathbb{k} 34
\boxtimes	strong tensor product of constraint index sets or constraint vector bundles 42 , 47 , 100
$\boxtimes_{\mathbb{k}}$	strong tensor product over the ring \mathbb{k} 36
$\boxtimes_{\mathbb{k}}^{\text{emb}}$	embedded strong tensor product over the ring \mathbb{k} 38
$\otimes_{\mathcal{A}}$	tensor product over the (constraint) algebra \mathcal{A} 50
$\otimes_{\mathcal{A}}^{\text{emb}}$	embedded tensor product over the constraint algebra \mathcal{A} 53
$\boxtimes_{\mathcal{A}}^{\text{emb}}$	embedded strong tensor product over the constraint algebra \mathcal{A} 59
$S_{\otimes}^k E$	k -fold symmetric tensor power of E 102
$\Lambda_{\otimes}^k E$	k -fold antisymmetric tensor power of E 102
$S_{\boxtimes}^k E$	k -fold symmetric strong tensor power of E 102
$\Lambda_{\boxtimes}^k E$	k -fold antisymmetric strong tensor power of E 102
\diamond	shorthand for subsets of products 43
\dim	dimension of a (constraint) vector spaces or (constraint) manifolds 47 , 91
$T\mathcal{M}$	constraint tangent bundle of a constraint manifold \mathcal{M} 98
E^*	(constraint) dual bundle of the (constraint) vector bundle E 101
$\text{rank}(E)$	rank of a (constraint) vector bundle E 96
$\text{Ann}_V(U)$	annihilator of the subspace (subbundle) U of V 47 , 101
DiffOp	differential operators 127
CDiffOp	constraint differential operators 127
σ_k	(constraint) leading symbol 129
$\text{C}\Omega_{\otimes}^{\bullet}(\mathcal{M})$	constraint differential forms on a constraint manifold \mathcal{M} 120
$\text{C}\Omega_{\boxtimes}^{\bullet}(\mathcal{M})$	constraint differential forms on a constraint manifold \mathcal{M} 120
$\text{C}\mathfrak{X}_{\otimes}^{\bullet}(\mathcal{M})$	constraint multivector fields on a constraint manifold \mathcal{M} 123
$\text{C}\mathfrak{X}_{\boxtimes}^{\bullet}(\mathcal{M})$	constraint multivector fields on a constraint manifold \mathcal{M} 123
$\text{C}\mathfrak{X}_{\text{ext}}^2(\mathcal{M})_{\text{T}}$	extended constraint bivector fields on a constraint manifold \mathcal{M} 165
d	de Rham differential 120

δ	(constraint) Hochschild differential 154
H	cohomology functor 85
HH^\bullet	(constraint) Hochschild cohomology 156
H_{dR}	de Rham cohomology 121
$\mathrm{MC}(\mathfrak{g})$	Maurer-Cartan elements of a (constraint) differential graded Lie algebra \mathfrak{g} 149
$G(\mathfrak{g})$	gauge group of a (constraint) Lie algebra \mathfrak{g} 150
Def	deformation functor 152
$C^n(\mathcal{A})$	(constraint) Hochschild complex 153
\mathcal{U}	Hochschild-Kostant-Rosenberg map 160
$\mathcal{U}_{\mathrm{ext}}$	extended constraint Hochschild-Kostant-Rosenberg map 165

Categories

CSet	constraint sets 14
$C^{\mathrm{emb}}\mathrm{Set}$	embedded constraint sets 21
$C_{\mathrm{str}}\mathrm{Set}$	strong constraint sets 23
$C_{\mathrm{ind}}\mathrm{Set}$	constraint index sets 40
$C_{\mathrm{ind}}^{\mathrm{emb}}\mathrm{Set}$	embedded constraint index sets 42
Group	groups 27
CGroup	constraint groups 25
GroupAct	groups actions and equivariant maps along morphisms of groups 28
CGroupAct	constraint groups actions and equivariant maps along morphisms of groups 26
$\mathrm{Mod}_{\mathbb{k}}$	\mathbb{k} -modules 35
$\mathrm{CMod}_{\mathbb{k}}$	constraint \mathbb{k} -modules 29
$\mathrm{CMod}_{\mathbb{k}}^\bullet$	graded constraint \mathbb{k} -modules 85
$\mathrm{Ch}(\mathrm{CMod}_{\mathbb{k}})$	constraint complexes of \mathbb{k} -modules 85
$C^{\mathrm{emb}}\mathrm{Mod}_{\mathbb{k}}$	embedded constraint \mathbb{k} -modules 34
$C_{\mathrm{str}}\mathrm{Mod}_{\mathbb{k}}$	strong constraint \mathbb{k} -modules 36
$C_{\mathrm{str}}^{\mathrm{emb}}\mathrm{Mod}_{\mathbb{k}}$	embedded strong constraint \mathbb{k} -modules 38
Alg	algebras 53
CAlg	constraint algebras 49
$C^{\mathrm{emb}}\mathrm{Alg}$	embedded constraint algebras 52
$C_{\mathrm{str}}\mathrm{Alg}$	strong constraint algebras 55
$C_{\mathrm{str}}^{\mathrm{emb}}\mathrm{Alg}$	embedded strong constraint algebras 57
$\mathrm{CMod}_{\mathcal{A}}$	constraint right \mathcal{A} -modules 50
$C_{\mathrm{str}}\mathrm{Mod}_{\mathcal{A}}$	strong constraint right \mathcal{A} -modules 56
${}_{\mathcal{B}}\mathrm{CMod}$	constraint left \mathcal{B} -modules 50
${}_{\mathcal{B}}C_{\mathrm{str}}\mathrm{Mod}$	strong constraint left \mathcal{B} -modules 56
$\mathrm{CBimod}(\mathcal{B}, \mathcal{A})$	constraint $(\mathcal{B}, \mathcal{A})$ -bimodules 50
$C_{\mathrm{str}}\mathrm{Bimod}(\mathcal{B}, \mathcal{A})$	strong constraint $(\mathcal{B}, \mathcal{A})$ -bimodules 56
$C_{\mathrm{str}}^{\mathrm{emb}}\mathrm{Bimod}(\mathcal{B}, \mathcal{A})$	embedded strong constraint $(\mathcal{B}, \mathcal{A})$ -bimodules 57, 58
$C_{\mathrm{str}}^{\mathrm{emb}}\mathrm{Bimod}(\mathcal{A})_{\mathrm{sym}}$	symmetric embedded strong constraint \mathcal{A} -bimodules 61
Bimod	bicategory of algebras and their bimodules 54
CBimod	bicategory of constraint algebras and their bimodules 51
$C^{\mathrm{emb}}\mathrm{Bimod}$	bicategory of embedded constraint algebras and their bimodules 53
$\mathrm{CProj}(\mathcal{A})$	finitely generated projective constraint \mathcal{A} -modules 76
$C_{\mathrm{str}}\mathrm{Proj}(\mathcal{A})$	finitely generated projective strong constraint \mathcal{A} -modules 79
LieAlg	Lie algebras 88

CLieAlg	constraint Lie algebras 86
DGLA	differential graded Lie algebras 88
CDGLA	constraint differential graded Lie algebras 87
Manifold	constraint manifolds 93
CManifold	constraint manifolds 90
C _{str} Manifold	strong constraint manifolds 93
Vect(M)	vector bundles over fixed manifold M 111
CVect _{\mathbb{K}}	constraint \mathbb{K} -vector spaces 46
CVect	constraint vector bundles 96
CVect(\mathcal{M})	constraint vector bundles over fixed constraint manifold \mathcal{M} 96

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