# University Hospital Würzburg Medical Clinics II



Identification of new predictive markers for an early diagnosis of an imminent acute Graft-versus-Host Disease

Bestimmung neuer prädiktiver Marker zur Früherkennung einer drohenden akuten Graft-versus-Host Disease

Doctoral thesis for a doctoral degree
at the Graduate School of Life Sciences,
Julius-Maximilians-Universität Würzburg,
Section of Immunology

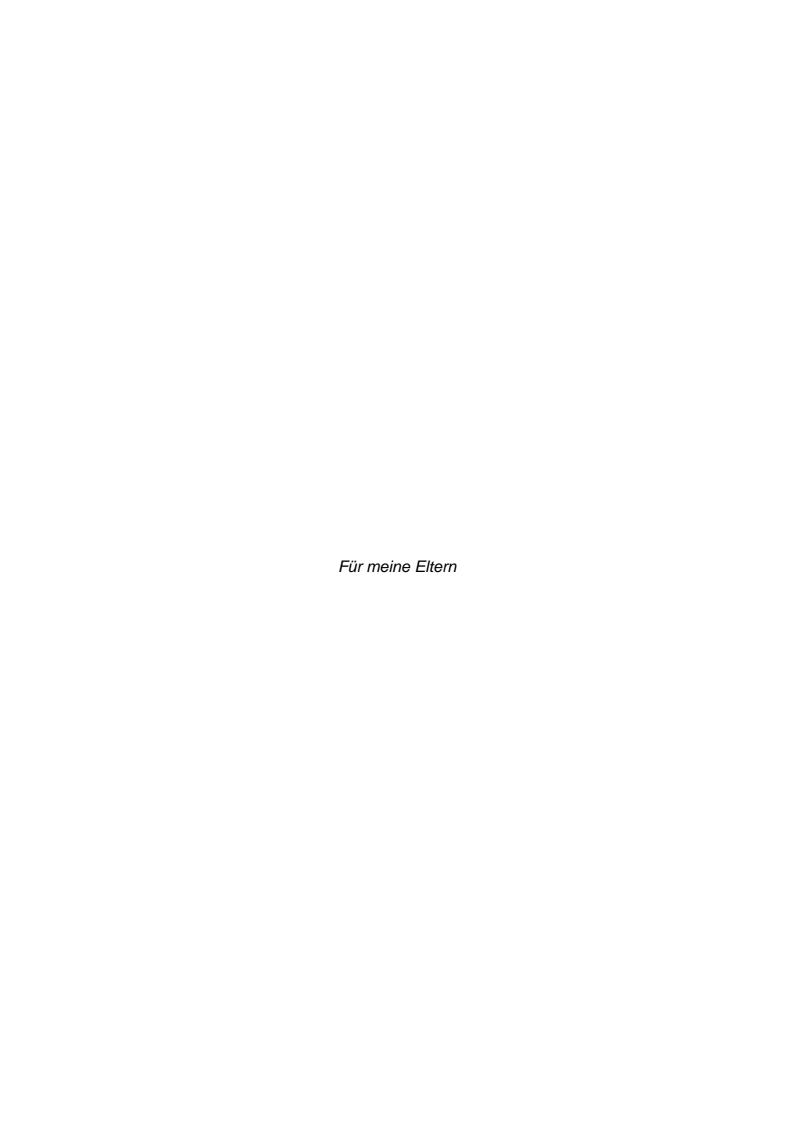
submitted by

Carina Bäuerlein

from Sommerach

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Submitted on:	
	Office stamp
Members of the <i>Promotionsko</i>	omitee:
Chairperson:	Prof. Dr. Thomas Hünig
Primary Supervisor:	Dr. Andreas Beilhack
Supervisor (Second):	Prof. Dr. Hermann Einsele
Supervisor (Third):	Prof. Dr. Harald Wajant
Supervisor (Fourth):	Prof. Dr. Tor B. Stuge
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Der Wert davon, dass man zeitweilig eine *strenge Wissenschaft* streng betrieben hat, beruht nicht gerade auf deren Ergebnissen: denn diese werden, im Verhältnis zum Meere des Wissenswerten, ein verschwindend kleiner Tropfen sein. Aber es ergibt einen Zuwachs an Energie, an Schlussvermögen, an Zähigkeit der Ausdauer; man hat gelernt, einen *Zweck zweckmäßig* zu erreichen. Insofern ist es sehr schätzbar, in Hinsicht auf Alles, was man später treibt, einmal ein wissenschaftlicher Mensch gewesen zu sein

- Friedrich Nietzsche

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# **List of Abbrevations**

aGvHD Acute Graft-versus-Host Disease

AICD Activation-induced cell death

Allo-HCT Allogeneic hematopoietic cell transplantation

APC Antigen presenting cell

APC Allophycocyanine

BM Bone marrow

BSA Bovine serum albumin

BW Body weight

CCR Chemokine receptor

CD Cluster of differentiation

CFDA-SE carboxyfluorescein diacetate succinimidyl ester

CFSE Carboxyfluorescein succinimidyl ester

cLN Cervical lymph node

CTL Cytotoxic T lymphocyte

Cy Cytochrome

DAPI 4',6-Diamidin-2-phenylindol

DC Dendritic cell

DNA Deoxyribonucleic acid

EDTA Ethylenediaminetetraacidic acid

FACS Fluorescence associated cell sorting

FC Flow cytometry

FCS Fetal calf serum

FITC Fluorescein isothiocyanate

FMO Fluorescence minus one

FoxP3 Forkhead box P3

g Gram

GIT Gastrointestinal tract

GSC Glucksberg-Seattle criteria

GvHD Graft-versus-Host Disease

GvL Graft-versus-Leukemia

GvTE Graft-versus-Tumor Effect

Gy Gray

HCT Hematopoietic cell transplantation

HEV High endothelial venule

HGF Hepatic growth factor

HLA Human leukocyte antigen

HSC Hematopoietic stem cells

HPF High Power Field

IDO Indoleamine 2,3-dioxygenase

IFM Immunofluorescence microscopy

IFN-γ Interferon gamma

IgG Immunoglobulin G

IL Interleukin

iLN Inguinal lymph node

IL-2Rα Interleukin-2 receptor alpha

IU International unit

kg Kilogram

LN Lymph node

LPAM-1 Lymphocyte Peyer's patch adhesion molecule-1

LPS Lipopolysaccharide

MadCAM-1 mucosal addresin cell adhesion molecule-1

MDSC Myeloid-derived suppressor cell

mg Milligram

MALT Mucosa-associated lymphatic tissue

miHAg Minor histocompatibility antigen

min Minute

MHC Major histocompatibility complex

ml Milliliter

mLN Mesenteric lymph node

mM Millimolar

mTOR Mammalian target of rapamycin

μg Microgram

ng Nanogram

NRS Normal rat serum

OT-1 OVA specific TCR transgenic MHC Class I-restricted

OVA Ovalbumin

PB Pacific blue

PB Peripheral blood

PBMC Peripheral blood mononuclear cell

PBS Phosphate buffered saline

PE Phycoerythrin

PerCP Peridinin chlorophyll

PFA Paraformaldehyde

PI Propidium iodide

pLN Peripheral lymph node

PP Peyer's patch

PSGL-1 P-selectin glycoprotein ligand-1

RBC Red blood count

REG3α Regenerating islet-derived 3-alpha

rhIL-2 Recombinant human IL-2

RT Room temperature

SD Standard deviation

SEM Standard error of the mean

sIL-2Rα Soluable IL-2 receptor alpha

SLO Secondary lymphoid organ

TCR T cell receptor

TNF-α Tumor necrosis factor alpha

TNFR1 Tumor necrosis factor receptor 1

UCB Umbilical cord blood

WBC White blood count

WT Wild type

# 1. Abstract

Acute graft-versus-host disease (aGvHD) is an immune syndrome associated with allogeneic hematopoietic cell transplantation (allo-HCT) that is mediated by alloreactive donor T cells attacking the gastrointestinal tract, liver, and skin of the host. Early diagnosis remains problematic and to date mainly relies on clinical symptoms and histopathology. Previously, different groups demonstrated that in order to cause aGvHD, alloreactive T cells require the expression of appropriate homing receptors to efficiently migrate from their priming sites to their target tissues. Therefore, the development of a predictive test based on the homing receptor expression profile of peripheral blood T cells seems attractive to identify patients at risk before the onset of aGvHD.

The aim of this study was to analyze migrating alloreactive donor T cell kinetics in the peripheral blood early after allo-HCT in a murine model across minor histocompatibility antigens (miHAg) followed by a precise characterization of the homing receptor expression profile of migrating donor lymphocytes in order to identify suitable predictive markers. Combining daily bioluminescence imaging (BLI) and flow cytometry (FC) allowed defining two weeks of massive alloreactive donor T cell migration before clinical aGvHD symptoms became apparent. Peripheral blood donor T lymphocytes highly up-regulated the homing markers α4β7 integrin, and P- and Eselectin-ligand at peak time points of cell migration. The combination with the activation markers CD25 and CD69 and low expression levels of L-selectin allowed alloreactive donor T cell definition. Based on this migration phase we postulated a potential diagnostic window to precisely identify alloreactive donor T cells upon their homing receptor expression profile. Consequently, targeted pre-emptive treatment with rapamycin starting at the earliest detection time point of alloreactive donor T cells in the peripheral blood (day+6) significantly prolonged survival of treated mice. Based on this data, we propose a potential diagnostic window for alloreactive cell detection based on their homing receptor expression profile for a timely and effective therapeutic intervention before the clinical manifestation of aGvHD.

# 2. Introduction

# 2.1 Allogeneic Hematopoietic Stem Cell Transplantation (allo-HCT)

Hematopoietic cell transplantation (HCT) is a successful therapy for life-threatening malignant and non-malignant diseases of the hematopoietic system such as leukemia and lymphomas. The principle behind this curative strategy is to destroy the hosts' malignant or leukemic cells by irradiation and/or chemotherapy, replacing them with stem cells from a closely related donor (allogeneic HCT). Immunocompetent donor cells transferred with or arisen from the stem cell graft reconstitute a functional immune system in the host which is able to attack residual tumor cells. This desired immune response, known as graft-versus-tumor effect (GvTE), works independently of toxic side effects caused by high-dose irradiation or chemotherapy.<sup>2</sup> Barnes and colleagues first described this phenomenon in 1956, showing the successful treatment of leukemic mice by injection of allogeneic but not syngeneic marrow transplants after irradiation.<sup>3</sup> Already in 1952, the discovery that the injection of bone marrow (BM) significantly increases the survival rates in mice and guinea-pigs after lethal irradiation<sup>4</sup> opened the doors for applications in patients with the first successful allo-HCTs in humans performed in 1968. Three children suffering from congenital immune deficiency diseases completely recovered after allo-HCT and immunological capacities could be fully reconstituted.<sup>5-7</sup> Since then, more than 800.000 patients have received a stem cell graft, and the number of allo-HCT recipients has steadily increased with more than 55.000 per year worldwide.<sup>1</sup> Unlike pluripotent embryonic stem cells that can develop into any kind of cell type of an organism, hematopoietic stem cells (HSCs) are multipotent and lineage specific. They can be isolated from various fetal and adult tissues.8 Until the 1990s, BM was the only source for HSCs due to its rich stem cell supply. In recent years, the development of alternative techniques allows for alternatively deriving HSCs from peripheral blood (PB) or umbilical cord blood (UCB). Such methods are less timeconsuming and stressful for the donor. Thus, HCT has evolved in a way that BM, PB, and UCB can each be used as HSC source, yet they all appear to have significant biological differences affecting the outcome.9

UCB presents a good source of immunologically naïve HSCs, and the rapid availability without donor searching, screening and typing is advantageous. Wagner and colleagues published the first report of the successful use of UCB as stem cell source in allogeneic sibling HCTs in children with malignant and non-malignant diseases. Since then, UCB effectively serves as HSC source in more than 55 % of HCTs in children under the age of 10.1 However, for many years a limiting factor for the broader use of UCB stem cells in adults was the relatively small amount of cells in the graft, therefore confining its use for children. In 2005, the combined use of two UCB units to increase the graft cell dose succeeded, paving the way for the extended use of UCB as a source of HSCs also for adults. However, the delayed immune reconstitution compared to other HSC sources remains a significant barrier.

In 1995, several groups established cytokine based mobilization protocols for hematopoietic precursors into the PB, enabeling its use as HSC source.<sup>12</sup> PB is the source of choice for donor cells in the majority of HCTs today since it is relatively easy to obtain by leukapheresis (in 70 % of related donor and 60 % of unrelated donor HCTs).<sup>1</sup> A faster neutrophil and platelet recovery due to a higher cell number together with more T cells that exert the beneficial GvTE<sup>9</sup> has led to the PB as the first choice in HSC source decisions.

However, in many cases the immunocompetent cells of the graft – independent of the graft source – also recognize antigens on the recipient's cell surfaces and mount an immune response against them. This immune syndrome is commonly known as graft-versus-host disease (GvHD) and represents the major complication after allo-HCT.<sup>13</sup>

# 2.2 Acute Graft-versus-Host Disease (aGvHD)

## 2.2.1 aGvHD pathopysiology

GvHD limits the broader use of allo-HCT as a promising therapy. <sup>9,14</sup> 50 years ago, Billingham postulated 3 requirements for the development of this immune syndrome: the stem cell graft must contain immunocompetent cells, the recipient must be incapable of mounting an immune response to eliminate the foreign cells and the recipient must express tissue antigens not present in the donor transplant. <sup>15</sup> Today we know that the immunocompetent cells are T lymphocytes that react against tissue

antigens of the host. These tissue antigens are highly polymorphic molecules expressed on the cell surfaces and determine the compatibility of the donor with organ or cell grafts. Since patients receiving HCT are typically immunosuppressed by chemotherapy and/or radiation, they cannot eliminate those activated T cells which eventually cause GvHD. To

There are basically two different forms of GvHD: an acute and a chronic form. Chronic GvHD shows symptoms of autoimmune and other immunological disorders, but the pathophysiology of this syndrome is insufficiently understood. For many years, the acute form was simply distinguished from the chronic form by the time of disease onset (before or after day+100 of the HCT, respectively). In 2005, the National Institute of Health Consensus Conference suggested to distinguish between the two categories in more detail, considering a persistent, recurrent or late form of GvHD beyond day+100 as acute whereas chronic GvHD can also comprise an overlap syndrome in which features of both forms occur.

Two recent studies report that aGvHD can be divided into different phases in murine allo-HCT models across major MHC barriers. *In vivo* imaging techniques defined a spatiotemporal distinct initiation phase in secondary lymphoid organs (SLOs) and a subsequent effector phase in peripheral target tissues.<sup>20,21</sup>

After allo-HCT, donor T cells first migrate to SLOs including the spleen, lymph nodes (LNs), Peyer's patches (PPs) and other mucosa-associated lymphatic tissues (MALT). Here, they encounter host antigen presenting cells (APCs) and, similarly to other adaptive immune responses, start to proliferate and differentiate into alloreactive effector T cells.<sup>22-24</sup> After three days, they leave the SLOs and migrate via the peripheral blood towards their respective target organs, which are mainly the gastrointestinal tract (GIT), liver and skin.<sup>25</sup> Inflammation induced by the conditioning regimen plays a pivotal role in the migratory process of alloreactive donor T cells towards their target tissues. An inflammatory cascade supports the recruitment of further alloreactive lymphocytes to these sites.<sup>26</sup> The irradiation-induced differential up-regulation of distinct adhesion and costimulatory molecules on epithelial tissues helps donor T cells to enter their respective target tissues.<sup>27,28</sup> Organ destruction leads to aGvHD manifestation, accompanied by severe clinical symptoms such as diarrhea, skin rush, and liver failure.<sup>14</sup>

In summary, aGvHD initiation and development proceeds in three subsequent steps (as shown in Figure 1): host APCs are activated by the conditioning regimen (1),

followed by donor T cell activation, proliferation, and migration (2), which eventually cause target tissue damage (3) in combination with inflammatory effects initiated by the conditioning.

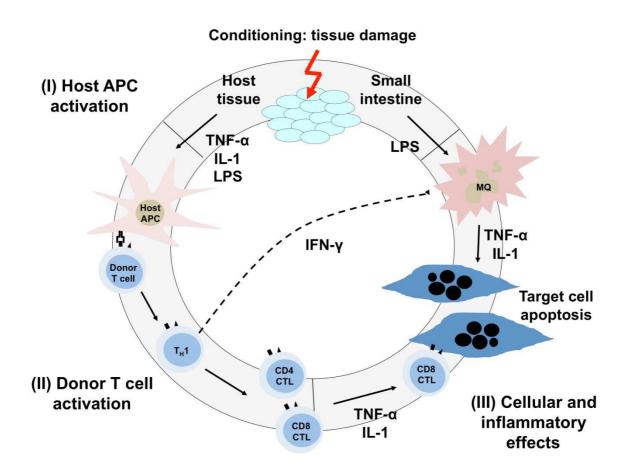


Figure 1: Pathophysiology of aGvHD

Three subsequent steps summarize aGvHD progression: (1) activation of recipients' APCs, (2) activation, proliferation, differentiation, and migration of donor T lymphocytes, and (3) destruction of recipients' tissues. Figure adapted from Ferrara et al.<sup>14</sup>

Research intensively focused on the antigens recognized on recipients' tissues which led to the discovery of so-called human leukocyte antigens (HLA) in 1958 by Jean Dausset.<sup>29</sup> The HLA system which is the human analogue of the major histocompatibility complex (MHC) in mice is a collection of genes that encode for highly polymorphic molecules expressed on the cell surface and determine the biological identity of an individual's cells and tissues. MHC molecules on the cell surfaces present peptides to circulating T cells and are the main players in T cell activation. This mechanism allows the immune system to distinguish between self and non-self.<sup>2,16</sup> In the context of GvHD, there are two forms of alloantigen

recognition by T cells depending on whether the presenting MHC molecules are matched or mismatched between donor and recipient.<sup>30</sup> Differences in MHC molecules themselves are referred to as major antigen mismatch leading to a severe, hyperacute form of GvHD.<sup>31</sup> In contrast, if MHC molecules between donor and recipient are matched, donor T cells only recognize foreign MHC-bound peptides – a so-called minor histocompatibility antigen (miHAg) mismatch – leading to a slower development of a less severe form of aGvHD in patients as well as in miAHg mismatched mouse models.<sup>32</sup>

## 2.2.2 Predictive markers for aGvHD

Early aGvHD diagnosis remains problematic and is currently assessed mainly by clinical symptoms and histopathology. The search for reliable predictive markers to identify patients at risk before aGvHD onset has become the focus of attention in recent years. The perfect biomarker would be predictive of both disease onset and prognosis, inexpensive, easily available and consistently usable across different laboratories. Furthermore, a simple and fast read out would be advantageous allowing a timely intervention for clinicians.

Recently, several groups identified a broad range of different aGvHD-associated molecules and thoroughly investigated their use as potential predictive markers. The soluble part of the IL-2 receptor alpha chain (sIL-2Rα) presents one well investigated candidate. Several studies found elevated serum levels of sIL-2Rα in patients that developed aGvHD compared to patients that did not.<sup>33,34</sup> However, also patients suffering from other diseases showed higher serum sIL-2Rα levels lowering its value as a reliable marker for aGvHD prediction.<sup>35</sup> The test for sIL-2Rα in combination with different pro- and anti-inflammatory cytokines did not identify a cytokine expression pattern specific for aGvHD despite the known important role of cytokines in aGvHD pathophysiology.<sup>36,37</sup> A correlation of elevated serum levels of some other molecules such as cytokeratin-18, a filament protein of epithelial tissues and a marker for apoptosis, or syndecan-1, an adhesion molecule on epithelial cells, with aGvHD was also possible but they lack specificity as single predictive marker.<sup>38,39</sup>

More recently, using proteomic discovery and validation strategies, the Ferrara group tested a panel of four previously identified plasma biomarkers. They could show the

combination of TNFR1, IL-2R $\alpha$ , IL-8, and hepatocyte growth factor (HGF) to effectively discriminate between patients with and without aGvHD. The same group also identified aGvHD target organ specific plasma biomarkers: the overexpression of the elastase inhibitor elafin in skin biopsies as well as significantly higher plasma elafin levels correlated with skin aGvHD in patients. Turthermore, the antimicrobial protein, regenerating islet-derived 3-alpha (REG3 $\alpha$ ), expressed in Paneth cells of the small intestine correlated with lower gastrointestinal GvHD. The REG3 $\alpha$  plasma concentration measured at aGvHD onset predicted the treatment response and non-relapse mortality of the patients. Based on these results, they tested two different panels of previously identified biomarkers: IL-2R $\alpha$ , TNFR1, HGF, IL-8, elafin, REG3 $\alpha$ <sup>43</sup> and REG3 $\alpha$ , HGF, cytokeratin-18<sup>44</sup>, respectively, and successfully predicted both treatment response and survival of patients. These results may be promising, however, both studies concentrated on an early identification of patients' treatment responsiveness rather than aGvHD prediction.

The use of mass spectrometry-based proteomic approaches for the diagnosis of aGvHD led to the identification of two indicative polypeptides of the leukotriene A4 hydrolase and of serum albumin in the urine of patients. Using these markers allowed the discrimination between patients with and without aGvHD with 82 % specificity and 100 % sensitivity. However, this study only included 40 patients and a validation of the data with a bigger sample size is necessary.

Although these results look promising, a reliable predictive marker panel to identify patients at risk for aGvHD before symptoms occur rather than at clinical disease onset has not been found yet.

## 2.2.3 aGvHD diagnosis

aGvHD diagnosis is usually based on diarrhea, serum bilirubin, and skin rush and confirmed by biopsies of the GvHD target organs gastrointestinal tract, liver, and skin. A standardized system grades clinical aGvHD severity from I (mild) to IV (life-threatening). The overall grade is defined as the sum of the individual grades of each target organ. The most commonly used grading system was introduced almost 40 years ago, known as the classical Glucksberg-Seattle criteria (GSC)<sup>46</sup> and was revised by Przepiorka and colleagues in 1994 <sup>47</sup> and the International Bone Marrow Transplant Registry (IBMTR) in 1997.<sup>48</sup>

# 2.2.4 aGvHD prophylaxis and treatment

For aGvHD prevention, patients undergoing allo-HCT are commonly treated with a combination of a calcineurin inhibitor and a cytostatic drug to avoid T cell proliferation.<sup>49</sup> Calcineurin inhibitors specifically inhibit intracellular signalling required for T cell activation by forming complexes with the protein phosphatase calcineurin in the cell plasma. 50 Despite these prophylactic agents, many patients still develop aGvHD. The initial treatment at the onset of the syndrome usually consists of systemic glucocorticosteroids which have lympholytic effects and anti-inflammatory properties. A complete response to this first-line therapy is rather low (20 - 50 %)and patients often suffer from toxic side effects and opportunistic infections or develop resistances to steroids.<sup>51,52</sup> If the primary therapy fails, multiple different agents have been tested alone or in combination as second-line therapy to treat corticosteroid-refractory aGvHD. These substances include anti-lymphocyte antibodies<sup>53-55</sup>, antibodies against various surface molecules of activated T cells<sup>56-59</sup> or against tumor necrosis factor alpha (TNF-α)<sup>60,61</sup>, immuntoxin-based<sup>62</sup> or pharmacological agents<sup>63-66</sup> or extracorporeal photopheresis<sup>67,68</sup>.

In many cases, pilot studies showed some promising results but the success rate in improving long term patient survival with second-line therapy is low, often due to toxic side effects of the substances and occurring opportunistic infections. <sup>69</sup> The perfect therapy would effectively eliminate alloreactive donor T cells while maintaining beneficial Graft-versus-Leukemia (GvL) effects and support a rapid immune recovery. Until today, the drug combination for aGvHD prophylaxis and treatment is far from ideal and many patients still die from this immune syndrome and its associated complications.

In the context of this study, the treatment of mice after miHAg mismatch allo-HCT consisted of three different reagents given separately and affecting different cellular components:

Prednisolone, a glucocorticoid hormone, exerts anti-proliferative effects on lymphocytes and shows immunosuppressive properties. It binds to cytoplasmic glucocorticoid receptors and translocates them to the nucleus transactivating a wide range of genes. Prednisolone or its derivates have been used as one of the main corticosteroids for systemic aGVHD treatment for more than 30 years. Data from a

mouse model also shows the protective effect of Prednisolone when starting the treatment shortly after aGvHD onset.<sup>73</sup>

Pentostatin or 2'-deoxycoformycin, a purine analogue isolated from the bacteria Streptomyces antibioticus, tightly binds to and inhibits the enzyme adenosine desaminase and thereby interferes with DNA processing in the cells. 74,75 Lymphocytes are especially sensitive to this cytotoxic drug which induces apoptosis. In a murine model, pretreatment of the transferred cells with pentostatin protected from aGvHD.76 However, data from animal aGvHD models are limited. In 2005, a pilot study reported the successful use of pentostatin in steroid refractory aGvHD in patients<sup>66</sup> followed by some small clinical studies with promising response rates.<sup>77,78</sup> Rapamycin, an antifungal drug produced by the bacteria Streptomyces hygroscopicus with immunosuppressive properties was first described in the 1970s.<sup>79-81</sup> It belongs to the family of macrolide lactones and blocks cell cycle progression in the G1 phase. 82 It specifically binds to and inhibits the protein kinase activity of the mammalian target of rapamycin (mTOR), a characteristic component of conventional T cell activation.<sup>83,84</sup> Rapamycin exerts a protective activity in aGvHD prevention in different mouse models across MHC major mismatch barriers<sup>85</sup> as well as in human aGvHD prevention<sup>86,87</sup> and therapy<sup>88,89</sup>.

# 2.3 T cell migration and homing

Coordinated leukocyte migration and homing are essential for the development of systemic immune responses. Multistep sequential engagement of adhesion molecules and signalling receptors determine these processes. Different groups precisely characterized the migration of circulating lymphocytes into SLOs via high endothelial venules (HEVs). This multistep process is mediated by L-selectin constitutively expressed on naïve lymphocytes and its carbohydrate ligands on HEVs for migration into peripheral lymph nodes (pLNs). Upon activation, the cells down-regulate L-selectin. To enter mucosa-associated lymphoid tissues (MALT) and especially the PPs interactions between  $\alpha 4\beta 7$  integrin on lymphocytes and mucosal addresin cell adhesion molecule-1 (MadCAM-1) on mucosal tissues and on PPs, HEVs are pivotal. However, other signals are required to define which leukocyte population homes into a lymph node. SLOs constitutively express certain

chemoattractant molecules – so-called chemokines – such as CCL19, CCL21, CXCL12 and CXCL13 to induce the chemotactic migration of T and B lymphocytes towards these organs. With the help of the respective chemokine receptors (CCRs; CCR7 on T cells for CCL19 and CCL21, and CXCR4 on B cells for CXCL12 and CXCL13) the lymphocyte populations migrate towards and into SLOs. Moreover, the precise positioning of the different cell populations within SLOs is controlled by overlapping chemokine gradients expressed in separate areas.<sup>96</sup>

Nevertheless, it still remains elusive how lymphocytes migrate into parenchymal tissues during inflammation. The molecular interactions of effector cells during this migration process in aGvHD and the endothelial molecules involved are a focus of investigations as these interactions represent potential therapeutic targets. Host APC - donor T cell interactions in SLOs not only activate donor cells to become alloreactive but also lead to the up-regulation of certain homing receptors which are essential for migrating to and entering into peripheral target organs.<sup>25,27</sup> In general, molecules involved in T cell homing can be categorized into three different groups: integrins, CCRs and selectins. Integrins – heterodimeric molecules consisting of an a and a β subunit - are important mediators of interactions between leukocytes and APCs as well as epithelial cell surface receptors with differing specificity. 97 Integrins containing the β<sub>7</sub> subunit enable lymphocytes to home into the intestinal mucosa and are involved in aGvHD initiation in the gut by interacting with MadCAM-1 and Ecadherin expressed on the intestinal epithelium. 98,99 Inflamed tissues also produce chemokines which mainly function as chemoattractants for leukocytes to sites of infection. Activated lymphocytes express CCRs on their surfaces to be able to respond to the chemokine gradients. 100,101 In contrast to L-selectin on lymphocytes which is down-regulated upon activation, endothelial tissues up-regulate different members of the selectin family including P- and E-selectin, in response to inflammation. In order to enter these tissues activated T cells express ligands for a specific interaction with these molecules. 102

Several murine studies investigated the involvement of specific homing receptor expression on donor T cells in aGvHD development. Previously, our group showed the importance of SLO access for donor T cells in aGvHD initiation after MHC major mismatch allo-HCT in mice. Donor T cells isolated from mesenteric LNs (mLNs) and PPs highly up-regulated the integrins  $\alpha 4\beta 7^{104}$  and  $\alpha E\beta 7^{105}$  as well as CCR9 which are involved in the homing to MALT. Figure 2 schematically summarizes the

potential role of these receptors in T cell homing to the intestinal lamina propria.  $CCR9^+$   $\alpha4\beta7^+$  T cells in the blood stream initially interact with CCL25 constitutively produced by the epithelium. Upon this interaction,  $\alpha4\beta7$  integrin is activated and its avidity for its ligand MadCAM-1, expressed by small intestinal endothelial surfaces, is increased. The transmigration of the cell through the endothelium follows this interaction. In the lamina propria the cells migrate towards a CCL25 gradient to the epithelium, down-regulate  $\alpha4\beta7$  expression and up-regulate  $\alphaE\beta7$  integrin to interact with E-cadherin for intraepithelial lymphocyte adhesion.  $^{107}$ 

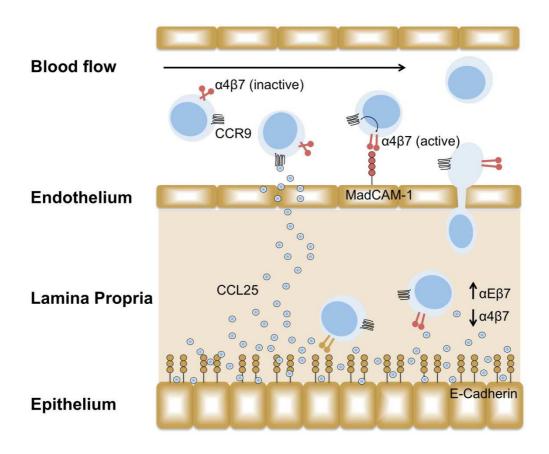


Figure 2: T cell homing to the lamina propria

Potential role of  $\alpha 4\beta 7$  integrin and CCR9 in the T cell homing process to the lamina propria. Figure adapted from Agace et al.  $^{107}$ 

Recipients of α4β7 integrin negative donor T cells developed significantly less intestinal and liver aGvHD compared to recipients of wild type (WT) T cells in well-defined MHC matched and mismatched murine allo-HCT models indicating the importance of this molecule for the invasion of alloreactive cells into the gut and subsequent aGvHD morbidity and mortality. Donor cells derived from pLNs preferentially expressed E- and P-selectin ligand, molecules involved in skin

homing.<sup>103,104,109</sup> Interactions between the activation marker CD44 and its ligand hyaluronate are also required for T cell rolling and firm adhesion to endothelial surfaces.<sup>109,110</sup> CD44 and to a lesser extend also P-selectin-ligand are expressed on donor cells from all SLOs implicating their general role in effector lymphocyte trafficking to inflamed tissues.<sup>103</sup> Lu and colleagues showed the importance of P-selectin expression on endothelial tissues and its interactions with P-selectin-ligands on T cells for donor cell trafficking into aGvHD target organs as P-selectin knock out mice developed less aGvHD compared to WT recipients.<sup>111</sup>

Many groups investigated the role of different chemokine receptors in aGvHD initiation and development. For example, recipients of CCR2 knock out CD8<sup>+</sup> T cells developed less overall aGvHD morbidity and mortality in comparison to recipients of WT cells. 112 Similar to some other chemokine receptors, CCR2 controls leukocyte migration during inflammation 113 and T cells lacking CCR2 showed an intrinsic migratory defect to gut and liver. Furthermore, another group reported that CCR5 is important for liver infiltration of donor T cells. 114 CCR5 is expressed on effector T lymphocytes in various inflammatory conditions<sup>115</sup> and the administration of an anti-CCR5 antibody protected against liver damage in aGvHD. An anti-MIP1α antibody which is the main ligand for CCR5 led to similar effects in this study. Coghill and colleagues investigated the separation of aGvHD from GvL by targeting CCR7<sup>116</sup> which plays an important role in trafficking of lymphocytes into SLOs. 117 They showed that CCR7 knock out cells caused attenuated aGvHD responses compared to mice receiving WT T cells. However, the GvL effect was preserved by cells lacking CCR7. Another group described CXCR3 as an important molecule for the migration of CD8<sup>+</sup> donor T cells to aGvHD target organs. 118 CXCR3 represents a general inflammation marker<sup>115</sup> and recipients of CXCR3 knock out CD8<sup>+</sup> T cells developed less gut and liver damage and showed an improved overall survival.

Based on these studies and others dealing with single homing receptors and their involvement in aGvHD development, a thorough investigation of the homing receptor expression profile consisting of a combination of surface molecules which specifically characterizes alloreactive donor T cells appears attractive.

# 3. Specific aims

Since aGvHD still poses a major complication after allo-HCT and early diagnosis is limited to patients with established disease manifestation, the development of predictive assays for the early identification of patients at risk for aGvHD and for an improved disease prevention is of high importance.

The principal aim of this doctoral thesis was to establish a clinical relevant mouse model of aGvHD across miHAg mismatch barriers in order to identify suitable homing receptors expressed on peripheral blood T lymphocytes which allow an alloreactive cell detection early after allo-HCT.

This study addressed the following questions:

- 1. Is it possible to detect alloreactive donor T cells early after HCT in the peripheral blood before clinical aGvHD symptoms become apparent?
- 2. Which time points early after allo-HCT are suitable for a precise phenotypical analysis of alloreactive donor T cells in the peripheral blood?
- 3. Can mice at risk for aGvHD development be identified according to the homing receptor expression profile of alloreactive peripheral blood T cells?
- 4. Does a timely immunosuppressive intervention prevent aGvHD and prolong survival of identified animals?

To answer these questions we employed bioluminescence imaging (BLI) and multicolor flow cytometry (FC) for a precise characterization of donor T cell migration kinetics after allo-HCT followed by a detailed analysis of the homing receptor expression profile of donor T cells in the peripheral blood.

Thus, the long term goal of my thesis project was to set the basis for developing a simple, predictive blood test for early identification of patients at risk to develop aGvHD.

# 4. Material and Methods

## 4.1 Mice

The following mice were obtained from Charles River (Sulzfeld, Germany): Balb/C H-2<sup>b</sup>, Thy1.2) Thy1.2), C57BI/6 (B6, and OT-I (C57BI/6tg(tcra/tcrb)425Cbn/Crl). OT-I CD8<sup>+</sup> T cells recognize the chicken ovalbumin peptide OVA<sub>257-264</sub> (SIINFEKL) associated with H-2k<sup>b</sup>. Balb/B (H-2<sup>b</sup>, Thy1.2), congenic C57Bl/6 (B6, H-2<sup>b</sup>, CD45.1), C57Bl/6J-Tyrc-2J/J (B6 albino, H-2<sup>b</sup>, Thy1.2) and C57Bl/6-tg(ßA-OVA) (ßA-OVA, H-2b, Thy1.2) were purchased from Jackson Laboratories (Bar Harbor, ME). ßA-OVA mice express chicken ovalbumin under the ß-actin promotor. A luciferase-expressing (*luc*+) transgenic FVB/N line was generated as previously described 119. Female heterozygous luc+ offspring of the transgenic founder line FVB-L2G85 were backcrossed for more than 12 generations onto C57Bl/6 (H-2<sup>b</sup>, Thy1.1) and OT-I (H-2<sup>b</sup>, Thy1.1) backgrounds. All mice were housed in a specified pathogen-free animal facility at the Center for Experimental Molecular Medicine (ZEMM), Würzburg. All animal experiments were approved by local authorities (Regierung von Unterfranken) and complied with German animal protection law.

## 4.2 Cell isolation from mouse organs

Mice were sacrificed by cervical dislocation and single cell suspensions from different organs were prepared. All single cell suspensions were washed and resuspended in 1 x PBS (Phosphate Buffered Saline without calcium and magnesium, PAN Biotech, Aidenbach, Germany) before injections into the mice.

# 4.2.1 Bone Marrow

BM from WT B6 donor mice was flushed from femur and tibia bones with PBS using a 1 ml syringe and passed through a 70  $\mu$ l cell strainer (Becton Dickinson, BD, Heidelberg, Germany) to obtain a single cell suspension. The total yield from two hind leg bones usually was around 1.5 x 10<sup>8</sup> cells.

# 4.2.2 Spleen

After removal of the spleen it was carefully mashed through a 70  $\mu$ l cell strainer and flushed with lysis buffer (see 4.7) for red blood cell (RBC) depletion via hypertonic lysis from the cell suspension. After 2 minutes (min) of incubation, cells were washed with 1 x PBS, filtered again through a cell strainer and counted in a Neubauer chamber using trypan blue (1:10 dilution; AppliChem, Darmstadt, Germany). The total yield from a spleen usually was 1 x  $10^8$  splenocytes.

# 4.2.2.1 T cell enrichment of splenocytes

Splenic CD3<sup>+</sup> single cell suspensions were enriched using the Dynal Mouse T cell Negative Isolation Kit (Invitrogen, Darmstadt, Germany) according to the manufacturer's protocol. Briefly, after blocking of unspecific binding sites with fetal calf serum (FCS, Invitrogen) the provided antibody mix was added for 20 min at 4°C. Cells were washed and resuspended in Dynal Buffer (see 4.7) before the addition of Depletion Dynabeads for 15 min at room temperature (RT). After resuspending the suspension carefully the tube was placed into a magnet for 2 min. The removed supernatant contained untouched CD3<sup>+</sup> T cells. Post enrichment fluorescence-associated cell sorting (FACS) analysis confirmed cell purity (> 90 %) as shown in Figure 3.

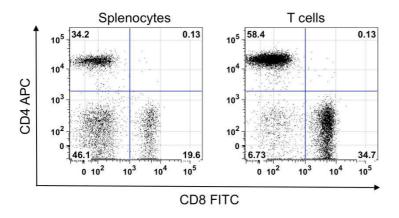


Figure 3: Prior and post enrichment FACS analysis

FACS analysis of splenocytes before (Splenocytes) and after (T cells) T cell negative isolation. PI staining excluded dead cells. Dot blots show different T cell subpopulations stained for the surface markers CD4 and CD8. Quadrants show percentages of all living cells.

# 4.2.2.2 T cell depletion of splenocytes

Splenocytes were T cell-depleted using the Dynal Mouse CD4<sup>+</sup> and CD8<sup>+</sup> T cell Kit (Invitrogen) according to the manufacturer's protocol. Briefly, cells were resuspended in Dynal Buffer (see 4.7) and anti-CD4 and/or anti-CD8 antibodies were added for 10 min at 4°C. After washing cells with ice cold buffer resuspended Dynabeads were added and incubated for 15 min at 4°C. The tube was placed in the magnet for 1 min and the removed supernatant contained all splenocytes except CD4<sup>+</sup> and/or CD8<sup>+</sup> T cells. Post depletion FACS analysis confirmed cell purity (< 5 % T cells left) as shown in Figure 4.

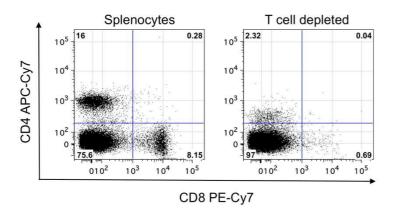


Figure 4: Prior and post depletion FACS analysis

FACS analysis of splenocytes before (Splenocytes) and after T cell depletion. PI staining excluded dead cells. Dot blots show different T cell subpopulations stained for the surface markers CD4 and CD8. Quadrants show percentages of all living cells.

## 4.2.3 Lymph nodes

Cervical, inguinal and mesenteric lymph nodes (cLNs, iLNs, mLNs) were removed and carefully squeezed through a cell strainer. Single cell suspensions were washed with 1 x PBS before FACS analysis.

# 4.2.4 Peripheral Blood Mononuclear Cell (PBMC) collection

Peripheral whole blood samples of mice were taken from the tail vein and collected in lysis buffer (see 4.7) for RBC depletion via hypotonic lysis in preparation for FACS analysis. In addition, 25  $\mu$ l of peripheral whole blood samples from mice of interest were added to 100  $\mu$ l PBS / EDTA (1 mM; Roth, Karlsruhe, Germany) for white blood

cell counts using a Sysmex XT-2000i (Horgen, Switzerland). In some experiments, cardiac puncture of deeply anesthetized animals was performed and the blood samples were used for FACS analysis and total white blood counts.

# 4.3 Allogeneic and syngeneic HCT

Prior to HCT, 8- to 12-week-old female recipient mice were myeloablatively irradiated (Balb/C, Balb/B 8 Gy, B6 9 Gy) with an electron linear accelerator (Mevatron Primus, Siemens, Germany). For hematopoietic reconstitution, animals were injected with 5 x 10<sup>6</sup> B6 WT BM cells intravenously. To induce aGvHD, Balb/C recipients (MHC major mismatch model) received 1.2 x 10<sup>6</sup>, Balb/B recipients (miHAg mismatch model) 5 x 10<sup>6</sup> splenic *luc*+ B6 T cells within 3 hours after irradiation. Syngeneic B6 control mice received 1.2 x 10<sup>6</sup> T cells. Thy1.1 (CD90.1) or Ly5.2 (CD45.1) served as congenic markers to distinguish between donor and host (CD90.2, CD45.2) T cells. Transplanted mice were monitored daily for survival, weight change and symptoms of clinical GvHD. Drinking water was supplemented with an antibiotic (Baytril, Bayer, Leverkusen, Germany) for one week starting on the day of HCT to prevent infections following myeloablative irradiation.

## 4.4 Immunosuppressive treatments

For *in vivo* studies, prednisolone (Merck, Darmstadt, Germany) was dissolved in distilled water according to manufacturer's instructions. Intraperitoneal injections (5 mg / kg body weight, BW) were given daily, starting on day+0 or day+6 after allo-HCT, until the end of the experiments. Pentostatin (Hospira, Munich, Germany) was dissolved in distilled water. Five intraperitoneal injections of 0.75 mg / kg BW each were given on days+6, +11, +15, +18, +21 after allo-HCT. Rapamycin (Wyeth, Bergshire, UK) was dissolved in carboxymethylcellulose sodium salt (C-5013, Sigma-Aldrich, Munich, Germany) and polysorbate 80 (P-8074, Sigma-Aldrich) to a final concentration of 1.5 mg / kg BW as previously described. Intraperitoneal injections were given daily from day+6 to day+15. Dosages were adjusted to the BW every other day.

# 4.5 Clinical GvHD Scoring

Five different parameters (weight loss, posture, activity, fur texture, diarrhea) were monitored daily and graded 0 to 3 depending on severity and a total score per mouse was calculated.

# 4.6 In vivo and ex vivo bioluminescence imaging

For *in vivo* BLI, mice were anesthetized and D-luciferin (Biosynth AG, Staad, Switzerland) was administered at a dose of 150  $\mu$ g / g BW intraperitoneally. 10 min after injection, images were acquired as previously described<sup>20,119</sup> using an IVIS Spectrum charge-coupled device (CCD) imaging system (Caliper-Xenogen, Alameda, CA). For *ex vivo* imaging, mice were injected with an additional dose of luciferin after *in vivo* imaging, sacrificed 10 min later and images were taken from organs of interest. Imaging data was analyzed and quantified with Living Image Software 3.1 (Caliper-Xenogen).

## 4.7 Buffers and Anesthetic

• 10 x Lysis Buffer: 89.9 g NH₄Cl

10 g KHCO<sub>3</sub>

0.37 g EDTA in 1000 ml aqua dest.

• 10 x PBS: 80 g NaCl

14.2 g Na<sub>2</sub>HPO<sub>4</sub>- 2 H<sub>2</sub>O

2 g KCl

2 g KH<sub>2</sub>PO<sub>4</sub> in 1000 ml aqua dest.

pH: 6.8

Dynal Buffer: 0.5 g BSA

0.2 ml EDTA (0.5 M) in 500 ml 1 x PBS

• PFA: 4 g PFA in 100 ml 1 x PBS (4 %)

Dissolve at 65°C

pH: 7.4

All chemicals were purchased from Roth, Karlsruhe, Germany.

cRPMI 1640
 RPMI-1640 medium supplemented with

10 % FCS

Penicillin (100 U / ml)

Streptomycin (100 µg / ml)

L-glutamine (2 mM)

2-mercaptoethanol (50 µM)

All purchased from Invitrogen, Darmstadt, Germany

• Anesthetic: 8 ml Ketanest (25 mg / ml), Pfizer Pharma, Berlin,

Germany

2 ml Rompun (2 %) Xylazin, CP-Pharma, Burgdorf,

Germany

15 ml 1 x PBS

## 4.8 Flow Cytometry

Flow cytometric (FC) analyses were performed in 96-well plates using the plate reader system of a BD LSR II or BD FACSCanto II (BD). Cytometer Setup and Tracking Beads (CS&T, BD) were run daily before measurements for cytometer setup. Application settings associated with the cytometer configuration in FACSDiva software were updated automatically. FACS data was analyzed with FlowJo Software version 8 (Treestar, Ashland, OR).

#### 4.8.1 Antibodies and Titration

All antibodies used were titrated for optimal dilutions to avoid overstainings and false positive signals. Titrations were performed using isolated splenocytes. For some homing receptors and activation markers, stimulation of the cells was necessary to

induce the expression of the desired molecule. Six different dilutions were tested starting with 1:100 as shown in Figure 5. The dilution giving the best separation of positive and negative cell populations without overstaining the negative cell population was chosen for further experiments. In the shown example, a titer of 1:400 was used for further experiments.

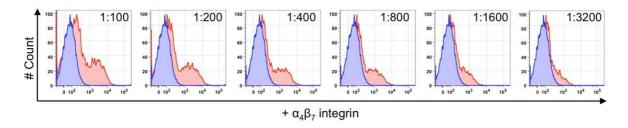


Figure 5: Titration row for an anti-α4β7 integrin antibody

Splenocytes were isolated on day+6 after transplantation and stained with six different dilutions (in PBS) of an anti- $\alpha_4\beta_7$  integrin antibody (red) conjugated to PE. Histograms show the results compared to splenocytes of an untreated WT B6 mouse ( $\alpha_4\beta_7$  integrin negative, blue).

Source, specificity, clone, and conjugation of all antibodies, synthetic proteins and secondary reagents used for FC analyses and immunofluorescence microscopy (IFM) are shown in Table 1 to 3.

Table 1: Antibodies used for FC and IFM

Antigen	Clone	Conjugate	Dilution	Usage	Company
CCR2	475301	APC	1:400	FC	R&D
CCR4	2G12	APC	1:200	FC	Biolegend
CCR5	C34-3448	PE	1:200	FC	Biolegend
CCR6	FAB590P	PE	1:200	FC	R&D
CCR7	4B12	APC	1:200	FC	Biolegend
CCR9	242503	FITC	1:100	FC	R&D
CD103αE	2E7	PB	1:200	FC	Biolegend
CD107a	1D4B	FITC	1:100	FC	Biolegend
CD107b	M3/84	FITC	1:100	FC	Biolegend
CD25	PC61	PB	1:800	FC	Biolegend
CD4	RM 4-5	Biotin	1:100	IFM	Biolegned
CD4	RM 4-5	FITC	1:1600	FC	Biolegend
CD4	RM 4-5	APC	1:800	FC	Biolegend
CD4	RM 4-5	APC-Cy7	1:400	FC	Biolegend
CD44	IM7	PE	1:800	FC	Biolegend
CD45RA	14.8	Biotin	1:1600	FC	BD
CD62L	MEL-14	FITC	1:3200	FC	Biolegend
CD69	H1.2F3	PB	1:800	FC	Biolegend
CD8a	53-6.7	PE-Cy7	1:1600	FC	Biolegend

CD0«	F2 C 7	Alexa 400	1.100	IEN/	Diologopd
CD8α	53-6.7	Alexa488	1:100	IFM	Biolegend
CD90.1	HIS51	APC-eF750	1:1600	FC	eBioscience
CD90.1	HIS51	APC	1:100	IFM	eBioscience
CXCR3	CXCR3-173	APC	1:1600	FC	Biolegend
FoxP3	FJK-16s	Purified	1:50	IFM	eBioscience
IFNγ	XMG1.2	PE	1:400	FC	Biolegend
IFNγ	XMG1.2	PerCP-Cy5.5	1:1600	FC	Biolegend
TNFα	MP6-XT22	PE	1:1600	FC	Biolegend
Vα2	B20.1	Biotin	1:800	FC	BD
α4β7	DATK32	PE	1:400	FC	Biolegend

**Table 2: Synthetic proteins** 

Antigen	Description	Dilution	Company
P-selectin ligand	Purified Mouse P- Selectin-IgG Fusion Protein	1:800	BD
E-selectin ligand	Recombinant Mouse E- Selectin/CD62E Fc Chimera	1:800	R&D Systems

**Table 3: Secondary reagents** 

Reagent Streptavidin	<b>Binds to</b> Biotin	<b>Conjugate</b> Alexa750	<b>Dilution</b> 1:800	Company Invitrogen
Streptavidin	Biotin	eFluor450	1:800	Invitrogen
AffiniPure goat anti-human IgG, FCγ Fragment specific	Purified Mouse P-Selectin-IgG Fusion Protein	FITC, PE	1:800	Jackson Immunoresearch
AffiniPure donkey anti-rat IgG	Purified rat anti- mouse Ab	СуЗ	1:400	Jackson Immunoresearch

# 4.8.2 Compensation

Since the emission spectra of different fluorochromes overlap it is necessary to compensate the measured data to avoid false positive signals in different channels. 121 By creating a compensation matrix before analyzing the data flowjo software calculates the percentage of overlaps of the different flourochromes used and subtracts them from each other. By applying this matrix to the samples of interest, the data is corrected and false positive signals from other fluorochromes

than the one measured in that given channel can be excluded. Either mouse splenocytes or polystyrene micro particles (CompBeads, BD) were used for compensation controls. CompBeads bind to the κ-chain of any antibody used. The addition of negative control beads which cannot bind to κ-chains results in distinct positive and negative stained populations for each flourophore independently of the antibody specificity. This method is especially useful for the compensation of homing receptors or activation markers which are not expressed on untreated splenocytes as well as for the use of tandem-dyes which may have different spectral characteristics for each conjugate. Figure 6 shows a compensation row with CompBeads. One antibody per well per color was added.

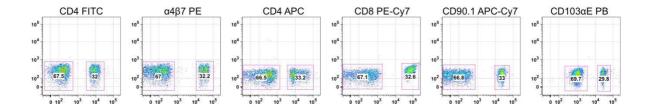


Figure 6: Compensation row for a 6 color FACS analysis using CompBeads

Dot blots showing the positive and negative populations of CompBeads stained with an antibody for each color separately. x-axis: fluorophore of interest, y-axis: any other unstained channel.

## 4.8.3 Fluorescence minus one

For analyzing the FACS data the fluorescence minus one-gating strategy according to Tang et al. was used. To define the positivity in a given channel, fluorescence minus one (FMO) controls were performed for each individual flow cytometric analysis. In a FMO control sample all reagents of a multicolor staining were included except for the one the threshold had to be defined to assess background and nonspecific staining within the given channel. Figure 7 shows representative FMO controls for CD8 $\alpha$  and CD90.1 stainings. After definition of the double positive population the comparison of one sample with (red) and one without (blue) the PEconjugated anti- $\alpha_4\beta_7$  antibody allowed to define the  $\alpha_4\beta_7$  integrin expressing subset.

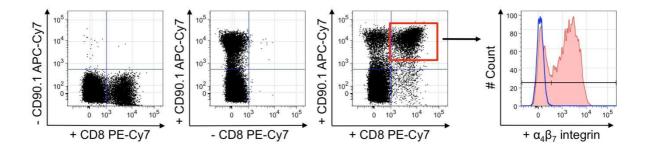


Figure 7: FMO gating example

Dot blots of splenocytes stained (from left) only with an anti-CD8 antibody, only with an anti-CD90.1 antibody and with both to define the double positive population. The histogram shows the portion of  $\alpha_4\beta_7$  expressing cells (red) from the previously defined CD8<sup>+</sup> CD90.1<sup>+</sup> double positive cells compared to  $\alpha_4\beta_7$  negative cells (blue line).

# 4.8.4 Surface Phenotyping

Surface phenotyping was performed in 96-well round bottom plates in a total volume of 200  $\mu$ I PBS per well. First, unspecific binding sites were blocked with 100  $\mu$ I normal rat serum (NRS, Invitrogen) diluted 1:20 in PBS for 5 min on ice. The antibody cocktail was added and the plate was incubated at 4°C for 30 min in the dark. After washing, the pellet was resuspended in 100  $\mu$ I PBS and 100  $\mu$ I of secondary antibody dilution was added, if necessary. After another 30 min incubation time, cells were washed again and resuspended in 200  $\mu$ I PBS for analysis. Dead cells were excluded by adding 4',6-Diamidin-2-phenylindol (DAPI, Invitrogen) or propidium iodide (PI, Invitrogen) shortly before measurement. Figure 8 shows a gating example for  $\alpha_4\beta_7$  integrin expression on CD90.1<sup>+</sup> donor T cells.

## 4.8.5 Intracellular Staining

Intracellular cytokine stainings were performed using the intracellular staining kit (BD) according to the manufacturer's instructions. For fixation and permeabilization, cells were resuspended in 100  $\mu$ l BD Cytofix / Cytosperm and incubated for 20 min at 4°C. After washing twice with 1 x BD Perm / Wash Buffer the antibody cocktail was added in a total volume of 200  $\mu$ l BD Perm / Wash Buffer and incubated for 30 min at 4° in the dark. Prior to cytometric analysis cells were washed again and resuspended in 200  $\mu$ l staining buffer.

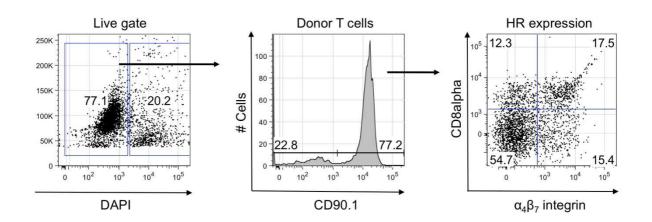


Figure 8: Phenotyping gating example

A life gate seperates the living (DAPI negative) from the dead cells (DAPI positive), shown as dot blot on the left. The CD90.1 staining defines the donor cells among all living cells (shown in the Histogram). Gated on all donor cells the  $\alpha_4\beta_7$  expressing populations among the donor T cells can be defined (dot blot on the right): CD8<sup>+</sup>  $\alpha_4\beta_7^+$  (upper right quadrant) or CD8<sup>-</sup>  $\alpha_4\beta_7^+$  (these cells most likely are CD4<sup>+</sup> T cells; lower right quadrant).

# 4.9 CD107 degranulation assay

The CD107 degranulation assay was performed as previously described. 123,124 Briefly, to test an antigen specific T cell response, *in vitro* stimulated TCR transgeneic OT-I CD8<sup>+</sup> T cells were mixed with SIINFEKEL expressing target cells from B6-OVA donors or SIINFEKL negative WT cells, respectively.

For stimulation, 50 ng / ml purified anti-CD3 monoclonal antibody and 300 IU / ml rhIL-2 (Biolegend) were added to a tissue culture flask. After 24 hours and every 2 to 3 days thereafter, cells were divided into flasks with new media supplemented with rhIL-2 (300 IU / ml) but not restimulated with anti-CD3. Highly activated CD8<sup>+</sup> T cells for 7 to 10 days in culture were used to establish the CD107 degranulation assay and as positive controls for *in vivo* experiments.

An effector: target ratio of 1:8 was found to be optimal for the assay. T cell depleted splenocytes were used as target cells. Cultures were set up in 96-well round bottom plates in cRPMI medium (see 4.7). FITC-conjugated anti-CD107a and -CD107b antibodies were added to the cells prior to incubation. As a negative control for spontaneous degranulation and cytokine expression, no target cells were added. After incubation for 5 hours at 37°C and 5 % CO<sub>2</sub> in the presence of the secretion

inhibitors monensin and/or brefeldin A (BD Pharmingen) cells were washed twice with PBS and prepared for surface and intracellular FACS stainings.

For *in vivo* experiments, the degranulation assay was performed on day+5 after HCT using PBMCs from transplanted mice and the degranulation rate of alloreactive T cells was measured against allogeneic and syngeneic T cell depleted splenocytes, respectively.

#### 4.10 Immunofluorescence staining and immunhistochemistry

Organs were embedded in O.C.T. (Sakura, Zoeterwoude, Netherlands) and cut into 5 µm thick sections. Slides were kept at -20°C until staining. After air drying and acetone fixation (10 min RT), sections were incubated with blocking solution (15 min in PBS + 1 % FCS) prior to the antibody staining for 1 hour. After washing with PBS a secondary antibody was added for an additional 30 min where needed. Nuclei were stained with Hoechst (diluted 1:1000 in 1 x PBS) or DAPI (diluted 1:3000 in 1 x PBS) for 3 min. Washing steps after antibody incubation and Hoechst / DAPI staining were performed in 1 x PBS (3 times, 2 min each). Fluorescence microscopic evaluation performed Z1. Alternatively, on а Carl Zeiss AxioImager was immunohistochemistry, air dried slides were incubated with 1 % H<sub>2</sub>O<sub>2</sub> for 10 min before blocking with avidin-biotin (Avidin / Biotin Blocking Kit, Vector, Linaris GmBH, Wertheim, Germany) for 15 min each, followed by a 15-minute block with 1 % FCS. CD90.1-biotin antibody conjugate was used for donor T cell staining for 1 hour followed by the ABC method (Vector) based on an immunperoxidase reaction according to the manufacturer's protocol.

#### 4.11 Histologic evaluation

For histological analysis, organs were fixed in PBS containing 4 % paraformaldehyde (PFA; Roth). Representative PFA fixed samples of GIT, liver, and skin of each group were embedded in paraffin, cut into 3 µm thick sections, and stained with hematoxylin and eosin. GvHD scoring was performed according to Lerner et al. 125 based on tissue damage. The scoring system categorized 0 as normal, 1 for mild, 2

for moderate, and 3 for severe tissue damage caused by donor T cells. All slides were examined by a blinded pathologist.

## 4.12 Statistical analyses

Survival times among groups were compared using the log-rank test with GraphPad Prism Version 5 (GraphPad Software, USA). Differences of groups in light emission of BLI and homing receptor expression of peripheral blood CD4<sup>+</sup> and CD8<sup>+</sup> T cells were analyzed by ANOVA or Student's t test where appropriate. Group comparisons were performed with Dunnett's multiple comparison test. Differences in GvHD scores were analyzed by the Kruskal-Wallis test as Singly Ordered R x C tables with StatXact 8 (Cytel Inc., USA). P values <0.05 were considered statistically significant.

## 5. Results

## 5.1 Dynamics of aGvHD pathophysiology

To develop a reliable predictive test for aGvHD it is of high importance to precisely know the disease development and progression at early stages after allo-HCT. Therefore, we closely monitored the dynamics of aGvHD pathophysiology and donor T cell migration kinetics early after allo-HCT in order to identify suitable time points for early aGvHD prediction which should facilitate a timely therapeutic intervention.

## 5.1.1 Physiological changes caused by aGvHD

To establish a miHAg mismatched mouse model (B6 → Balb/B) for non-invasive imaging of aGvHD survival, weight change, and clinical aGvHD scoring after HCT were compared to the well-defined major mismatched aGvHD model (B6  $\rightarrow$ Balb/C).<sup>20</sup> To induce aGvHD across miHAg mismatch barriers myeloablatively conditioned Balb/B mice (H-2<sup>b</sup>) received 5 x 10<sup>6</sup> B6 (H-2<sup>b</sup>) luc+ splenic T cells plus 5 x 10<sup>6</sup> WT B6 BM cells intraveniously. Initially, all miHAg mismatched recipients lost and regained weight similar to syngeneic control mice (Figure 9B) and did not display any clinical signs of aGvHD within the first 2 weeks after allo-HCT (Figure 9C). By day+15 miHAg mismatched Balb/B recipients started to lose weight again and by day+21 mice developed aGvHD. They presented first signs of diarrhea followed by ruffeled fur and hunchback leading to an increase in the clinical aGvHD score. Yet, most animals survived until the end of the experiments at day+30 (Figure 9A). The transfer of 1.2 x 10<sup>6</sup> B6 *luc*+ splenic T plus BM cells into myeloablatively conditioned major mismatched Balb/C recipients (H-2<sup>d</sup>) led to aGvHD induction. All mice developed signs of a hyperacute form of GvHD (weight loss, ruffeled fur, diarrhea, hunched posture, and lethargy) within 6 to 10 days after HCT and succumbed to the disease soon thereafter, mostly between days+6 and +14 (Figure 9A - C, left panels). Transfer of BM cells alone rescued all recipients from myeloablative irradiation except for one BM control mouse that likely did not engraft (Figure 9A). Syngeneic albino B6 recipients (H-2<sup>b</sup>) transplanted with 1.2 x 10<sup>6</sup> B6 *luc*+ splenic T plus BM cells did not develop any signs of aGvHD (Figure 9C), regained their body weight and survived until the end of the experiments (Figure 9A, B). One syngeneic mouse died during the imaging procedure.

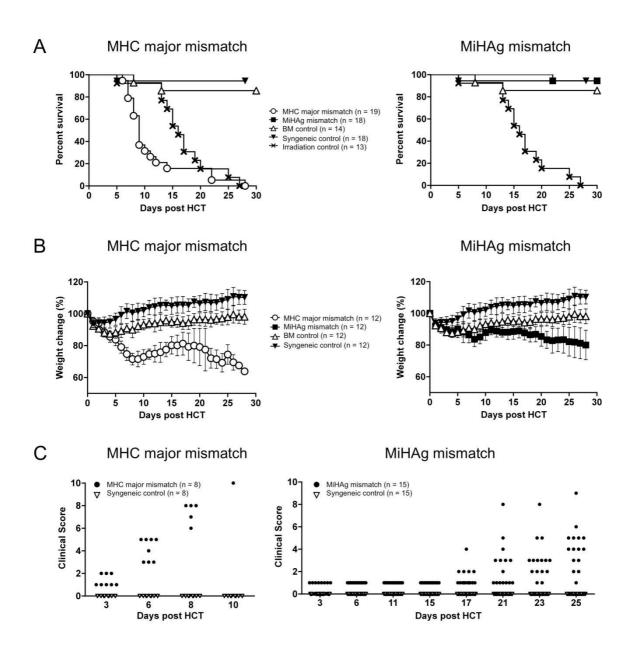


Figure 9: Survival, weight change and clinical aGvHD scoring of representative experiments

(A) Survival of MHC major mismatched Balb/C (left panel) or miHAg mismatched Balb/B (right panel) mice after allo-HCT with the accordant control groups included. Graphs show summary of two independent experiments. (B) Weight change displayed relative to day 0 of HCT for Balb/C (left panel) and Balb/B (right panel) recipients, respectively. Error bars show mean plus or minus SD. One representative experiment out of three is shown. (C) Clinical aGvHD score of one representative experiment. Each dot represents one out of 8 (MHC major mismatch, left panel) or 15 (miHAg mismatch, right panel) animals.

These experiments proved differences in disease onset and survival between the miHAg mismatched model of aGvHD and the MHC fully mismatched hyperacute GvHD model. MiHAg mismatched allo-HCT recipients showed a delayed disease onset and prolonged survival rates.

## 5.1.2 Donor T cells accumulate in target organs

Histopathological analyses of target organs according to Lerner et al. 125 at day+6 and day+21 after HCT confirmed aGvHD in allogeneic recipients (Figure 10). MHC major mismatched allo-HCT recipients showed massive target organ destruction already on day+6 with the small and large bowel being most severely affected (up to grade 3). Mice transplanted across miHAg mismatch barriers displayed only mild histopathological signs of aGvHD on day+6 not exceeding grade 2 in the gastrointestinal tract and even less tissue damage in liver and skin. In contrast, by day+21 when first clinical aGvHD symptoms appeared (Figure 9), especially the large bowel and the liver showed most severe tissue damage (grade 2 to 3 in all mice) followed by the skin. Syngeneic control mice did not show any organ damage.

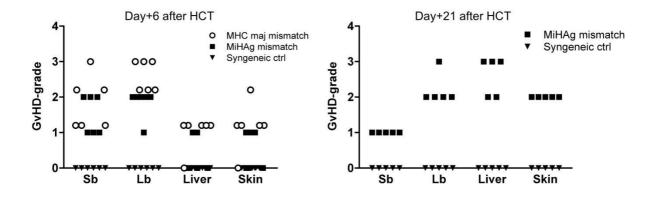


Figure 10: aGvHD scoring on days+6 and +21 after HCT

Histopathological aGvHD scoring of target organs according to Lerner et al  $^{125}$  on days+6 and +21 after HCT. Summary of two independent experiments (n = 6 on day+6, n = 5 on day+21). Sb = small bowel, Lb = large bowel

Immunhistochemical stainings at indicated time points confirmed a massive infiltration of target organs by donor T cells which led to a severe destruction of recipients' tissues. Figure 11 and Figure 12 (upper panels) show representative pictures of CD90.1<sup>+</sup> donor T cell infiltrates of the small and large bowel, respectively. In MHC major mismatched allo-HCT recipients large numbers of donor T cells

already accumulated in the gastrointestinal tract by day+6 which led to the massive tissue destruction of the small and large bowel. In contrast, in miHAg mismatched allo-HCT recipients only some donor T cells appeared in the gastrointestinal tract at this early time point compared to huge infiltrates especially of the large bowel on day+21 in this group (Figure 12, middle panel). In syngeneic control tissues only few single cells stained positive for CD90.1.

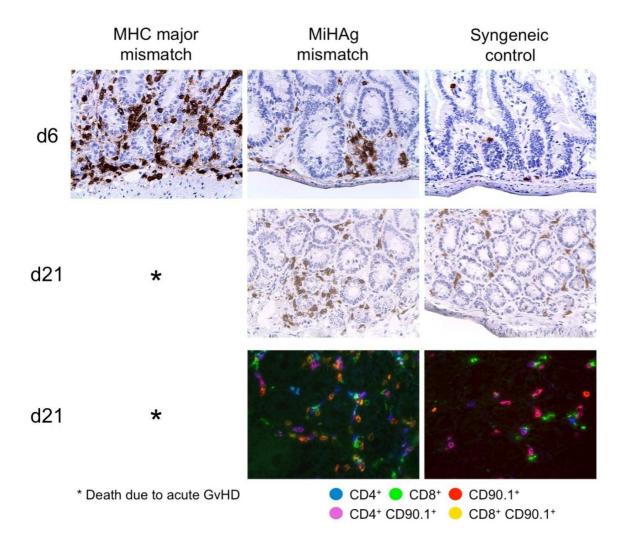


Figure 11: Donor T cell infiltration of the small bowel

Histopathological stainings show CD90.1<sup>+</sup> donor T cell infiltrates of the small bowel in the three different models on days+6 and +21 after HCT (upper and middle panel). Immunofluorescence microscopy shows distribution of different T cell subsets in the miHAg and syngeneic settings on day+21 (lower panel). 40x magnification

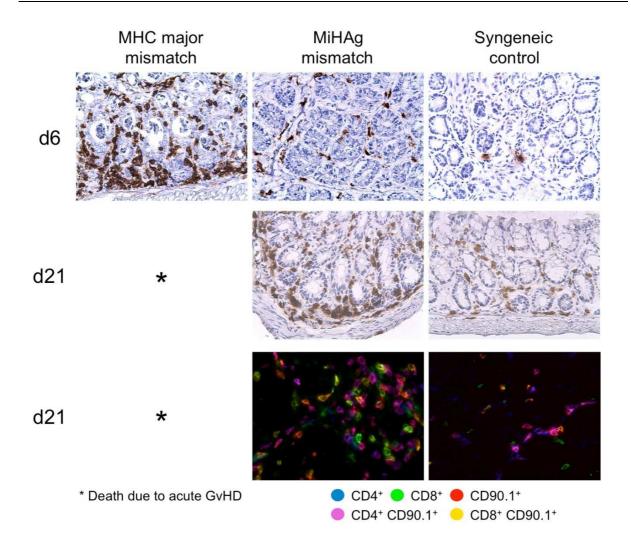


Figure 12: Donor T cell infiltration of the large bowel

Histopathological stainings show CD90.1<sup>+</sup> donor T cell infiltrates of the large bowel in the three different models on days+6 and +21 after HCT (upper and middle panel). Immunofluorescence microscopy shows distribution of different T cell subsets in the miHAg and syngeneic settings on day+21 (lower panel). 40x magnification

Immunofluorescence stainings of representative samples on day+21 showed the distribution of donor T cell subsets in the miHAg and syngeneic settings (Figure 11 and Figure 12, lower panels). Donor T cell infiltrates consisted of CD4<sup>+</sup> as well as CD8<sup>+</sup> CD90.1<sup>+</sup> double positive donor T cells. The few cells single positive for CD4 or CD8 most likely were either recipient T cells that survived irradiation or other cell populations that also express these markers.

The quantification of cells infiltrating the different target organs confirmed a strong accumulation of cells in all target tissues in the MHC major mismatch model already by day+6 with especially high numbers found in the gastrointestinal tract (Figure 13,

left panel). By day+21, allogeneic CD4<sup>+</sup> CD90.1<sup>+</sup> and CD8<sup>+</sup> CD90.1<sup>+</sup> donor T lymphocytes massively infiltrated target organs after miHAg mismatched allo-HCT with a more than 3-fold increase in cell numbers in both small and large bowel compared to day+6. Also skin and liver were strongly infiltrated (Figure 13, right panel). Number of detected donor T cells in organs of syngeneic HCT recipients stayed constantly low.

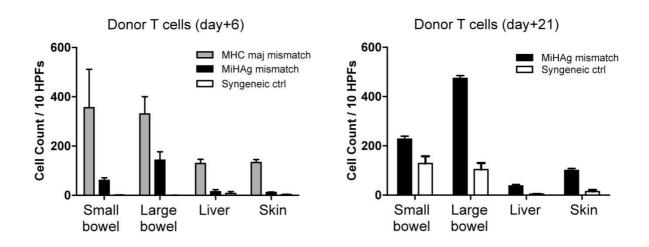


Figure 13: Quantification of target organ infiltrating donor T cells

Quantification of infiltrating donor T cells in the different target tissues. Shown is one out of two independent experiments (n = 3). Error bars display plus or minus SEM. HPF = High Power Field

These experiments confirmed that target organs are already strongly infiltrated by donor T cells at disease onset (day+6 after MHC major mismatched and day+21 after miHAg mismatched allo-HCT) leading to the observed severe tissue damage in both models.

### 5.1.3 Tracking of donor T cell migration after HCT

Non-invasive bioluminescence imaging (BLI) allows following *luc*+ donor T cell migration patterns after HCT (Figure 14). Following both miHAg mismatched and MHC major mismatched allo-HCT, donor T cells initially homed to and proliferated in SLOs denoting the aGvHD initiation phase (until day+3) $^{20,21}$ . Similar to the previously published FVB/N (H-2<sup>q</sup>)  $\rightarrow$  Balb/C (H-2<sup>d</sup>) MHC major mismatch aGvHD model, BLI revealed signals from abdominal areas, spleen, and cervical LNs within two days after allo-HCT.<sup>20</sup> After MHC major mismatched allo-HCT (Figure 14A, B upper panels), the intestinal signal rapidly increased after day+3 accompanied by a strong

splenic signal increase indicating the shift from initiation to effector phase with skin infiltration starting at day+6 before mice succumbed to aGvHD.

After miHAg mismatched allo-HCT (Figure 14A, B, middle panels), donor T cells initially proliferated less intensely in SLOs than after MHC major mismatched allo-HCT. First signals appeared in cervical LNs and spleen (day+2) followed by the abdomen (day+3). Similar to the MHC major mismatch model, donor T cells started to leave SLOs between days+4 and +5 after allo-HCT and infiltrated target organs leading to a whole body signal increase. This defines the shift from the aGvHD initiation to effector phase. Skin infiltration started after day+5 with lower intensity than in the MHC major mismatch model, first with a weak tail infiltration followed by signals from ears and paws few days later. From day+8 on, whole body signal intensity increased strongly.

To distinguish homeostatic T cell proliferation from aGvHD we used syngeneic albino B6 mice transplanted with *luc*+ donor T cells plus B6 WT bone marrow cells (Figure 14A, B, lower panels) as controls. In contrast to allogeneic recipients, BLI of syngeneic transplanted mice revealed donor T cell homing to the BM compartment early after HCT (after day+3). Between days+3 and +15 signal intensity projecting to the femur increased 33-fold in one representative mouse of this group. Additionally, from day+5 on distinct thymic signals developed. However, thymic ex *vivo* imaging (Figure 19B) revealed that the overall signal intensity was much lower compared to allo-HCT recipients (23-fold to Balb/C and 56-fold to Balb/B on day+6, respectively). Syngeneic donor T cells also homed to and weakly proliferated in LNs early after HCT (day+2) followed by a weak BLI signal from the spleen. Between days+8 and +10 mice showed the first signs of skin infiltration. Over time thymus, BM, and the spleen turned out to be the main locations of donor T cell accumulation and proliferation in syngeneic recipients leading to a moderate whole body signal increase compared to allo-HCT recipients.

Quantification of dynamic total body and single organ BLI signal changes showed a dramatic increase in signal intensity in mice with aGvHD in both allo-HCT groups compared to a modest increase in syngeneic transplanted mice (Figure 14C). Ventral whole-body images revealed a 12-fold increase in signal intensity in mice after miHAg mismatched allo-HCT between day+3 and +6 compared to a more than 40-fold increase after MHC major mismatched allo-HCT. Between days+3 and +11 signal intensity increased 80-fold in both models. The strongest signal increase due

to cell proliferation occurred between days+7 and +11 after miHAg mismatched allo-HCT. Single organ BLI measurements demonstrated the same spatio-temporal pattern of donor T cell migration in both models with a more moderate increase in signal intensity of the spleen as well as of the GIT and the cLNs after miHAg mismatched allo-HCT (Figure 14C). Syngeneic recipients showed a more moderate donor T cell proliferation leading to a low whole body (p<0,0001 on days+6, +11, +15, +21) as well as single organ (skin: p<0,0001; spleen: p<0,0001; GIT: p=0,0002; cLN: p<0,0001 on day+11) signal increase compared to miHAg mismatched allo-HCT recipients.

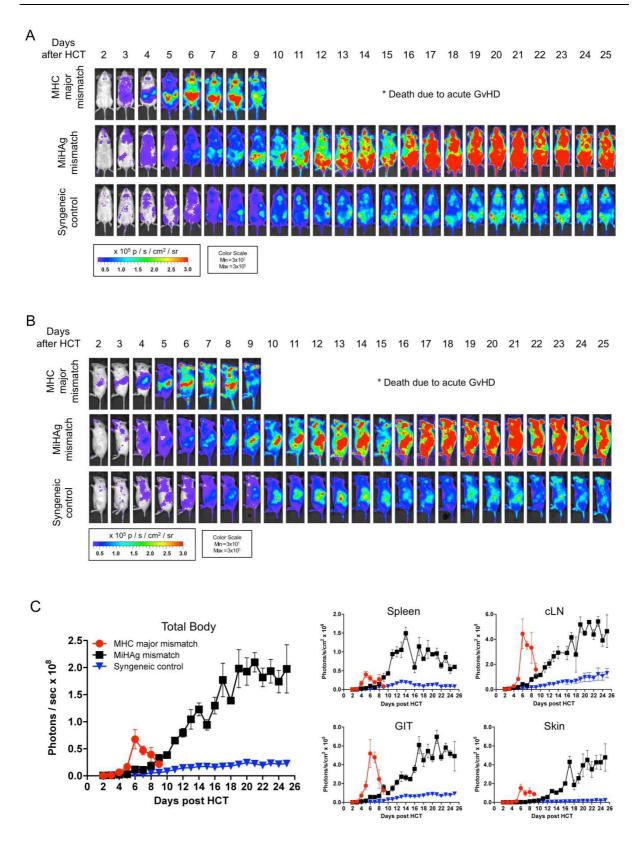


Figure 14: Daily in vivo BLI

(A) ventral and (B) lateral view of one representative mouse per group (n = 6) imaged daily after HCT. (C) Quantification of total body and single organ signal changes over time. Photon emissions per second per whole animal and average photon radiation for representative SLOs (spleen and cLN) and for target organs (GiT and skin) are displayed. Error bars show means plus or minus SEM.

To resolve the predominant organ distribution despite the strong signal increase an adjustment of the threshold settings on days+11 and +21 was required. Figure 15 shows one representative mouse per group at selected time points with adjusted thresholds.

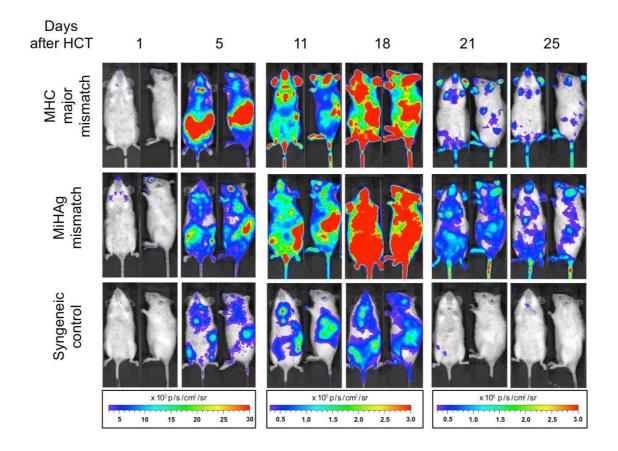


Figure 15: Ventral and lateral views of representative mice

One representative mouse is shown at selected time points (n = 6). To resolve organ distribution threshold settings were changed at days+11 and +21. The respective color bars are displayed underneath the pictures.

Daily *in vivo* BLI revealed a similar spatio-temporal allogeneic T cell migration pattern but diverging signal increases in the miHAg mismatched versus the MHC major mismatched allo-HCT model.

#### 5.1.4 Early detection of donor T cells in the peripheral blood

To better understand the kinetics of donor T cell migration we daily analyzed peripheral blood samples of transplanted mice by multiparameter flow cytometry. After miHAg mismatched allo-HCT, we found a phase of approximately 2 weeks

(between days+5 and +20) of massive donor T cell migration with differing amounts of detectable cells at different time points (Figure 16A). Donor T cells started to leave SLOs and migrated via the PB to target tissues by day+4 after allo-HCT. CD4<sup>+</sup> donor T lymphocytes mobilized to the blood stream shortly before CD8<sup>+</sup> T cells peaking on day+7 before CD8<sup>+</sup> T cell numbers also increased. By day+10, numbers of donor CD8<sup>+</sup> T cells equaled or slightly exceeded CD4<sup>+</sup> T cells. Migration of both subpopulations peaked between days+10 and +11 followed by a slight decrease of detectable cells. Number of CD8<sup>+</sup> T cells now exceeded CD4<sup>+</sup> cells. After another slight increase in cell numbers of both lymphocyte populations on day+15 absolute donor cell numbers declined shortly before clinical symptoms became apparent (around day+21 after HCT, see Figure 9C).

Comparable to miHAg allo-HCT, on day+4 after MHC major mismatched allo-HCT the first detectable donor T cells appeared in the PB and rapidly increased in numbers. Donor CD4<sup>+</sup> and CD8<sup>+</sup> T cell migration peaked between days+6 and +8. After day+8, migrating donor CD8<sup>+</sup> T cells slightly dominated over CD4<sup>+</sup> T cells. Similar to miHAg allo-HCT, after the migration peak donor T cells decreased slowly in numbers in the PB. However, in this model of MHC major mismatch allo-HCT mice died of a hyperactue form of GvHD very rapidly after one phase of massive donor T cell migration (Figure 16B, left graph).

In syngeneic recipients donor T cell numbers slowly but constantly increased suggesting a homeostatic T cell reconstitution of the immune system. In the beginning, CD4<sup>+</sup> and CD8<sup>+</sup> T cell migration did not differ. From day+11 on CD8<sup>+</sup> donor T cell numbers slightly exceeded CD4<sup>+</sup> T cells (Figure 16B, right graph).

These experiments indicate that it is possible to detect donor T cells in the PB early after HCT in both allogeneic models. MHC major mismatched allo-HCT recipients die very rapidly of hyperacute GvHD after one massive donor T cell migration phase. In contrast, after miHAg mismatch allo-HCT, daily PB analyses revealed a donor T cell migration phase of approximately two weeks before clinical symptoms become apparent. Accordingly, when maxima of donor T cells appeared in the PB, we defined these time points on days+6, +11 and +15 as critical for alloreactive donor T cell detection.

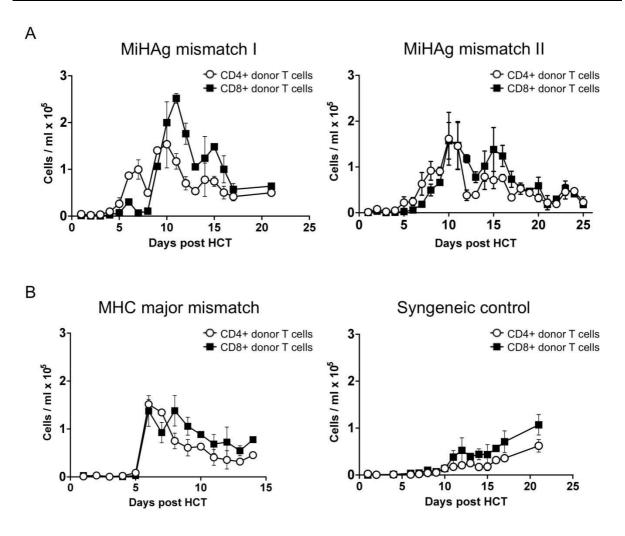


Figure 16: Daily measurement of donor T cells in the PB.

PB of 3 mice per group per day was analyzed (n = 15) and three independent experiments performed. (A) displays the results of two independent miHAg mismatch allo-HCT experiments compared to the MHC major mismatch allo-HCT model and a syngeneic control group (B). Error bars show means plus or minus SEM.

# 5.1.5 CD107 surface mobilization of peripheral blood T cells proves alloreactivity

To proof the alloreactive nature of the detected PB cells we analyzed the degranulation rate of donor T cells upon exposure to allogeneic and syngeneic targets by labeling the transiently exposed lysosmal-associated membrane proteins (LAMP)-1 and -2 (CD107a and b) as described in chapter 4.9. To establish the CD107 degranulation assay, we measured the degranulation rate of an antigen specific T cell response of *in vitro* stimulated OT-1 CD8<sup>+</sup> T cells against SIINFEKL peptide expressing target cells (ßA-OVA) and against B6 WT targets by FC. The degranulation rate of OT-1 CD8<sup>+</sup> T cells against SIINFEKL expressing targets (T cell

depleted &A-OVA splenocytes) was significantly higher than against B6 WT targets (T cell depleted B6 splenocytes). Without any targets the spontaneous degranulation rate was similarly low (Figure 17B). CD107 detection correlated with intracellular IFN-  $\gamma$  production. In addition, degranulating cells highly up-regulated the activation marker CD25 (Figure 17B).

In vivo stimulated PB T cells isolated on day+5 after MHC major mismatch allo-HCT degranulated against allogeneic targets (T cell depleted Balb/C splenocytes) and also against syngeneic targets (T cell depleted B6 splenocytes). A small amount of cells degranulated spontaneously without contact to target cells indicating the high reactivity of these cytotoxic T cells (Figure 17C). Reactive donor T cells isolated from syngeneic controls were negligible (< 1000 cells / ml). Adoptively transferred antigen specific PB CD8<sup>+</sup> T cells from antigen expressing recipients served as positive control. Cells highly degranulated against SIINFEKL expressing targets (T cell depleted ßA-OVA splenocytes) in contrast to a low degranulation rate against B6 WT targets. Only few cells degranulated spontaneously (Figure 17D).

## 5.1.6 Localization of donor T cells in target organs

Due to the dramatic signal increases observed in both allogeneic groups by *in vivo* BLI and due to the high numbers of donor T cells migrating in the PB, we investigated the donor T cell accumulation in lymphoid organs and parenchymal tissues in more detail. Therefore, we performed *ex vivo* imaging analyses of representative animals of each group (Figure 18). Figure 18B gives an overview of the organ distribution on *ex vivo* BLI images. Based on the migration kinetics of donor T cells we chose the following critical time points after HCT for *ex vivo* analyses: d+4, +6, +15, +21 (see results in 5.1.4, Figure 16). Day+4 represents the transition from aGvHD initiation to effector phase when donor T cells start to leave SLOs for peripheral aGvHD target tissues.<sup>20</sup> Donor T cell migration peaked on days+6, +11 and +15 (see Figure 16). Day+21 marked the onset of clinical aGvHD symptoms (see Figure 9).

Ex vivo BLI revealed that in both allogeneic groups donor T cells first proliferated in SLOs (day+4) before infiltrating mucosal sites (Figure 18). High BLI signal intensities in mesenteric as well as inguinal and cervical LNs, PPs, and spleen indicate high T cell proliferation in both allo-HCT settings. On day+6, donor T cells infiltrated the

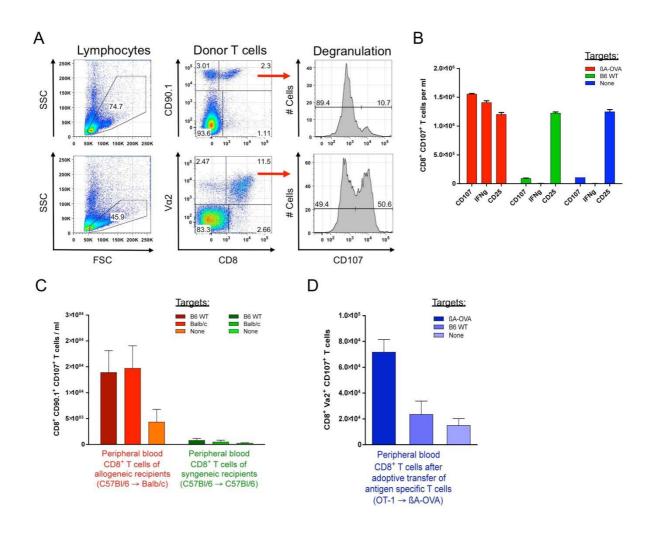


Figure 17: CD107 degranulation assay to proof alloreactivity of PB donor T cells

(A) Gating example of PBMCs of an allogeneic transplanted mouse against allogeneic targets (upper row) and of antigen specific T cells adoptively transferred into antigen expressing recipients (lower row). Degranulation was measured after 5 hours incubation with antigen expressing targets. PBMCs were isolated on day+5 after HCT. (B) Quantification of degranulation rate, cytokine expression and activation status of *in vitro* stimulated antigen specific OT-1 T cells incubated with antigen expressing targets (ß-OVA), with WT targets (B6) and without targets, respectively. (C) Quantification of the degranulation rate of *in vivo* stimulated PBMCs on day+5 after HCT. Graph shows degranulating CD8<sup>+</sup> PB donor T cells of allogeneic or syngeneic recipients against allogeneic, syngeneic or no target cells. (D) CD107 expression of antigen specific donor T cells against indicated targets served as positive control. One out of 3 experiments is shown (n = 3). Standard deviations display plus or minus SEM.

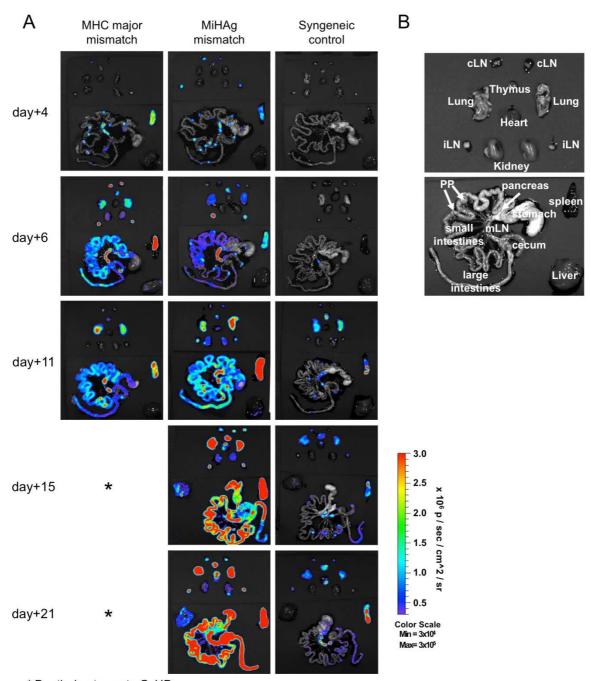
lungs and the thymus in both allo-HCT models and BLI signal intensity increased in both organs until day+11. After MHC major mismatched allo-HCT, BLI signal also projected to small and large intestine as well as the stomach revealing a high target organ infiltration of donor T cells. Splenic signals slightly declined until day+11 in the latter model before mice died of hyperacute GvHD. In contrast, after miHAg mismatch allo-HCT, SLOs remained the sites of predominant cell proliferation on day+6 and BLI signal intensity of target tissues remained rather weak. The initial

discrete GIT infiltration involved stomach, cecum, small and large intestines by day+11 and continued to strongly increase until day+21. First liver signals occurred on day+11 and peaked by day+15. Splenic BLI signals peaked at day+15 after HCT, when the enlarged spleen displayed macroscopically visible proliferation nodules indicating high cell turnover.

In contrast to the allogeneic models, syngeneic T cells predominantly remained within SLOs (initially spleen, later also PPs, LNs), proliferated less and the weak splenic signal increase after day+11 suggested homeostatic T cell expansion. From day+11 on, donor T cells also slightly infiltrated the lungs and the thymus. On days+15 and +21 weak signals of some areas of the small and large intestine indicated discrete infiltration of these tissues. Overall BLI signals of syngeneic recipients remained weak throughout all time points.

Quantification of BLI signals confirmed high donor T cell proliferation in SLOs early after HCT: Splenic signals increased in all three models until day+15 (between day+6 and day+15 17-fold after miHAg mismatch allo-HCT; 11-fold after syngeneic HCT, Figure 19A). Cervical and mesenteric LNs as well as PPs of allogeneic recipients already showed increased signals compared to syngeneic controls on day+6 after HCT. After MHC major mismatch allo-HCT, GIT signal intensity peaked at day+6 and declined thereafter (Figure 19B). In contrast, miHAg mismatched allo-HCT recipients showed the strongest GIT signal on day+15 after allo-HCT being 20-fold higher than the GIT signal of syngeneic recipients. Other target organs showed similar results: Liver signal after miHAg mismatch allo-HCT peaked at day+15 followed by a slight decrease on day+21. BLI lung signal intensity reached its peak by day+21. At this time point mice after miHAg mismatch allo-HCT showed an 11-fold higher lung signal compared to syngeneic controls.

Ex vivo BLI proved donor T cell proliferation in SLO early after allo-HCT in both models and confirmed the same spatio-temporal shift from aGvHD initiation to effector phase already observed by *in vivo* imaging. Furthermore, *ex vivo* BLI located migrated donor T cells in target tissues indicating a migration phase towards these organs.



\* Death due to acute GvHD

Figure 18: ex vivo BLI of target organs and parenchymal tissues

(A) Donor T cell infiltration of lymphoid organs and parenchymal tissues at indicated time points of one representative mouse per group (n=3) of one out of two independent experiments is shown. (B) Overview of target organ and parenchymal tissue distribution on *ex vivo* BLI images. cLN = cervical lymph node; iLN = inguinal lymph node; mLN = mesenteric lymph node; PP = peyer's patch

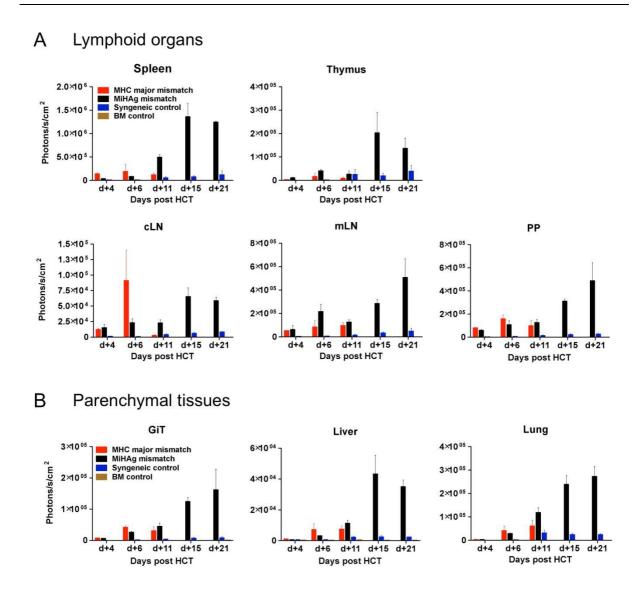


Figure 19: Quantification of ex vivo BLI signals at indicated time points after HCT

Quantification of the average radiance of lymphoid organs (A) and parenchymal tissues (B) after ex vivo imaging of different organs at indicated time points. Summary of one out of two independent experiments (n = 3). Error bars show plus or minus SEM. cLN = cervical lymph node; mLN = mesenteric lymph node; PP = peyer's patches; GiT = gastrointestinal tract;

Figure 20 summarizes the different stages of donor T cell migration kinetics after miHAg mismatch allo-HCT. First, donor T cells highly proliferate in SLO before starting to migrate via the PB towards their target organs between days+3 and +5. By day+11, target tissues already showed slight histological damage but clinical symptoms did not appear before day+21. Therefore, the close monitoring of donor T cell migration patterns revealed a vulnerable phase of cell migration early after allo-HCT prior to the onset of clinically apparent aGvHD. Based on this data we propose a potential diagnostic window for the identification of alloreactive donor T cells and the application of predictive tests at early time points to identify patients at risk for

aGvHD. Early alloreactive T cell identification in the PB may allow for a timely therapeutic intervention to prevent aGvHD development.

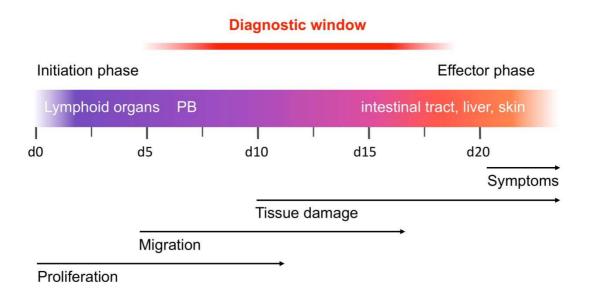


Figure 20: Proposal of a potential diagnostic window based on donor T cell migration kinetics

The timeline shows the different phases of donor T cell migration in days after miHAg mismatch allo-HCT. The time frame of massive donor T cell migration in the PB between aGvHD initiation phase and appearance of fist clinical symptoms serves as potential diagnostic window.

## 5.2 Characterization of migrating donor T cell expression profiles

Since it was feasible to detect migrating donor T cells early after allo-HCT in the PB, we next aimed to precisely analyze the receptor profile of these cells as aGvHD organ infiltration depends on the appropriate homing receptor expression of migrating cells. We tested a panel of 16 different adhesion molecules, chemokine receptors, and activation markers known to be involved in this process by FACS analysis, daily or at identified migration peaks during the proposed diagnostic window.

Figure 21 displays representative FACS blots for the integrin  $\alpha 4\beta 7$  and P-selectin liquid, respectively.

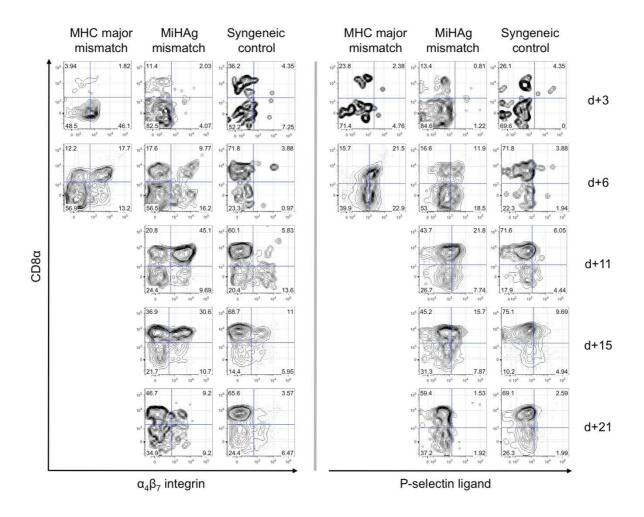


Figure 21: Representative FACS blots of homing receptor analyses at important time points

Representative contour blots of PB samples of one representative mouse per group at indicated time points (n = 15, 3 mice per day per group were analyzed). Dead cells were excluded by DAPI staining and the expression of  $\alpha4\beta7$  integrin (left) or P-selectin ligand (right) on donor T cells was measured. Quadrants show percentages of all CD90.1<sup>+</sup> donor T cells.

#### 5.2.1 α4β7 integrin is highly up-regulated on donor T cells

Integrins play a pivotal role in T cell homing by selectively interacting with adhesion molecules on the tissue under homeostatic as well as inflamed conditions. Therefore, we analyzed donor T cells for the expression of the integrins  $\alpha 4\beta 7$  and  $\alpha E\beta 7$  which are important for the homing process to mucosa-associated tissues.

Daily measurements of  $\alpha 4\beta 7$  integrin expression on PB donor T cells revealed high expression levels on both CD4<sup>+</sup> and CD8<sup>+</sup> lymphocytes between day+4 and day+21 after HCT in both allogeneic groups (Figure 22). After miHAg mismatch allo-HCT, relative as well as absolute amounts of  $\alpha 4\beta 7$  integrin expressing cells significantly

exceeded respective cell numbers found after syngeneic HCT from day+4 on at all analyzed time points. Between 20 % and 40 % of the CD4<sup>+</sup> and between 40 % and 60 % of the CD8<sup>+</sup> T cell subpopulation highly up-regulated this molecule during the donor T cell migration phase compared to less than 20 % of PB T cells found in syngeneic HCT recipients. As an additional control we monitored T cells from untreated B6 WT mice for relative  $\alpha4\beta7$  integrin expression levels. Less than 10 % of both lymphocyte subpopulations stained positive for this marker, similar to CD8<sup>+</sup>  $\alpha4\beta7$  integrin<sup>+</sup> T cells in syngeneic HCT recipients. In contrast, a small portion of CD4<sup>+</sup> T cells slightly up-regulated  $\alpha4\beta7$  integrin after syngeneic HCT as well compared to the WT controls from day+10 on.

Absolute cell numbers of  $\alpha 4\beta 7$  integrin expressing cells in the PB correlated with the identified peaks of cell migration with the highest amount of cells detectable between days+10 and +11 after miHAg mismatch allo-HCT (Figure 22, lower panels). At most time points, the number of  $\alpha 4\beta 7^+$  donor T cells after allo-HCT exceeded those after syngeneic HCT significantly.

The expression level of  $\alpha E\beta 7$  integrin on donor T cells varied and did not differ significantly between allogeneic and syngeneic HCT recipients. Compared to untreated WT mice – where approximately 30 % of the CD8<sup>+</sup> lymphocyte population stained positive for this molecule – allogeneic donor T cells expressed slightly higher levels of  $\alpha E\beta 7$  integrin at most time points (data not shown).

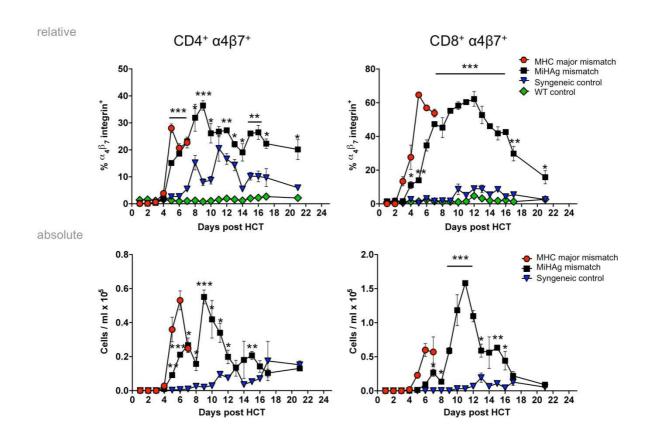


Figure 22: α4β7 integrin expression on PB donor T cells daily after HCT

Change of  $\alpha 4\beta 7$  integrin expression measured daily after HCT on PB donor CD4<sup>+</sup> (left panels) and CD8<sup>+</sup> (right panels) T cells, respectively. The upper panels show relative expression values of both allo-HCT models in comparison to the syngeneic HCT model and untreated B6 WT control mice. The lower panels show the correlating absolute cell counts after HCT. One representative experiment out of 3 is displayed (n = 15, 3 mice per day per group were analyzed). Error bars show plus or minus SEM. \*p<0.05; \*\*p<0.01; \*\*\*p<0.001 for miHAg versus syngeneic.

#### 5.2.2 Alloreactive donor T cells up-regulate selectin ligands

Another important group of molecules involved in T cell homing are selectins. Therefore, we analyzed the expression of ligands for P- and E- selectin and of L-selectin (CD62L) on PB donor T cells.

Daily measurements of P-selectin ligand revealed this marker to be highly up-regulated in relative as well as absolute numbers in both allo-HCT models (Figure 23). In miHAg mismatched allo-HCT recipients the expression levels were significantly higher during the early phase of cell migration compared to syngeneic controls. 20 to 40 % of all CD4<sup>+</sup> and up to 50 % of CD8<sup>+</sup> donor T lymphocytes found in the PB blood of miHAg mismatch allo-HCT recipients expressed this marker. From day+12 on, the amount of detectable cells that stained positive for P-selectin ligand

dropped and almost equaled the expression level after syngeneic HCT which stayed below 20 % at all measured time points. T cells of untreated WT controls barely expressed this marker.

The absolute amount of cells expressing P-selectin ligand in the PB after miHAg mismatch allo-HCT also significantly exceeded the numbers after syngeneic HCT between day+5 and day+12. This held true for both donor T cell subpopulations. Numbers of CD8<sup>+</sup> P-selectin ligand<sup>+</sup> T cells almost doubled CD4<sup>+</sup> P-selectin ligand<sup>+</sup> T cells around day+11. After syngeneic HCT absolute cell numbers stayed constantly low until day+12 and only slightly increased thereafter.

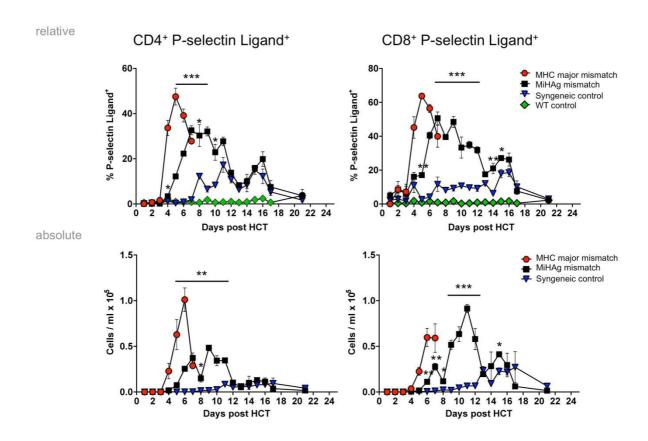


Figure 23: P-selectin ligand expression on PB donor T cells daily after HCT

Change of P-selectin ligand expression measured daily after HCT on PB donor CD4<sup>+</sup> (left panels) and CD8<sup>+</sup> (right panels) T cells, respectively. The upper panels show relative expression values of both allo-HCT models in comparison to the syngeneic HCT model and untreated B6 WT control mice. The lower panels show the correlating absolute cell counts after HCT. One representative experiment out of 3 is displayed (n = 15, 3 mice per day per group were analyzed). Error bars show plus or minus SEM. \*p<0.05; \*\*p<0.01; \*\*\*p<0.001 for miHAg versus syngeneic.

For L-selectin and E-selectin ligand expression analysis on PB donor T cells we chose days +6, +11, +15 and +21 after HCT (see 5.1.4). In addition, we also measured the expression of L-selectin on day+4 after HCT to follow the shift from naïve to effector T cell phenotype.

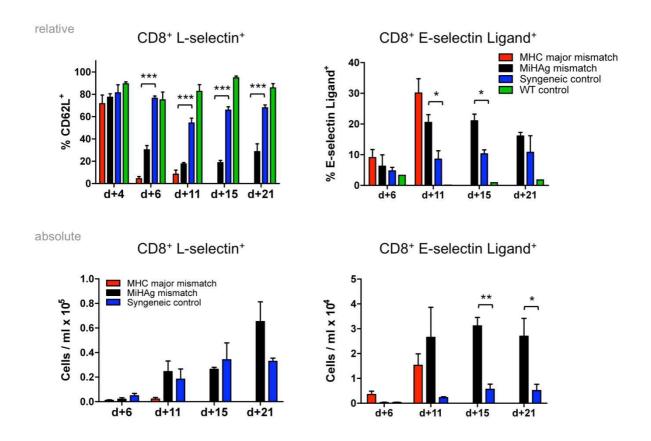


Figure 24: L-selectin and E-selectin ligand expression on PB CD8<sup>+</sup> T cells

Expression of L-selectin (left panels) and E-selectin ligand (right panels) in relative (upper panels) and absolute (lower panels) values on CD8<sup>+</sup> donor T cells at indicated time points. Graphs show summary of 2 independent experiments (n = 6). Error bars display plus or minus SEM. \*p<0.05; \*\*p<0.01; \*\*\*p<0.001 for miHAg versus syngeneic.

On day+4 after HCT, L-selectin expression levels hardly differed between groups. Similar to untreated controls, around 80 % of all PB T cells expressed this marker (Figure 24, left upper panel). In contrast, from day+6 on, L-selectin expression on PB donor T cells was significantly lower after allogeneic than after syngeneic HCT. The frequency of CD8<sup>+</sup> L-selectin<sup>+</sup> PB donor T cells in syngeneic HCT recipients was only slightly lower than the percentage of this cell population in untreated WT control mice.

However, absolute numbers did not significantly differ between miHAg mismatched allogeneic and syngeneic HCT recipients (Figure 24, left lower panel).

On day+6, approximately 10 % of all donor T cells expressed E-selectin ligand after allo-HCT, slightly more than after syngeneic HCT (Figure 24, right upper panel). On days+11 and +15 E-selectin ligand expression was further up-regulated in allo-HCT recipients. Significantly more donor CD8<sup>+</sup> T cells expressed this marker in miHAg mismatch allo-HCT recipients than after syngeneic HCT. However, by day+21 the relative expression levels were almost equal between both groups although absolute cell counts still differed significantly (Figure 24, right lower panel).

## 5.2.3 Chemokine receptors do not define alloreactive donor T cells

Another important group of molecules involved in T cell homing are chemokine receptors. Thus, we analyzed the expression of a panel of 7 different CCRs on PB donor T cells.

Daily measurements of CXCR3 expression revealed up to 40 % of CD4<sup>+</sup> and up to 60 % of CD8<sup>+</sup> PB donor T cells as positive for this marker after miHAg mismatch allo-HCT. However, also after syngeneic HCT donor T cells highly up-regulated CXCR3 (Figure 25, upper panels). After day+3, donor CD4<sup>+</sup> T cells of both allo-HCT models highly up-regulated CXCR3. Its expression level after miHAg mismatch allo-HCT exceeded that of syngeneic HCT recipients significantly until day+10 before it dropped to a similar level in both models. In miHAg mismatched allo-HCT recipients the expression level increased again significantly until the last measured time points. Less than 10 % of WT CD4<sup>+</sup> T cells stained positive for CXCR3. However, 20 to 40 % of WT CD8<sup>+</sup> T cells expressed this marker. The frequency of CD8<sup>+</sup> CXCR3<sup>+</sup> donor T cells in all three HCT models raised similarily between days+2 and +6 followed by a decrease after allo-HCT. In contrast, in syngeneic HCT recipients between 60 and 80 % of the detected cells expressed this marker at all measured time points (Figure 25, right upper panel).

Absolute CXCR3<sup>+</sup> T cell numbers increased for both T cell subpopluations with peaks between days+10 and +11 after miHAg mismatch allo-HCT. However, from day+10 on the amount of CXCR3<sup>+</sup> T cells in syngeneic HCT recipients also increased and

equaled (CD4<sup>+</sup>) or outnumbered (CD8<sup>+</sup>) CXCR3<sup>+</sup> cells after miHAg mismatch allo-HCT (Figure 25, lower panels).

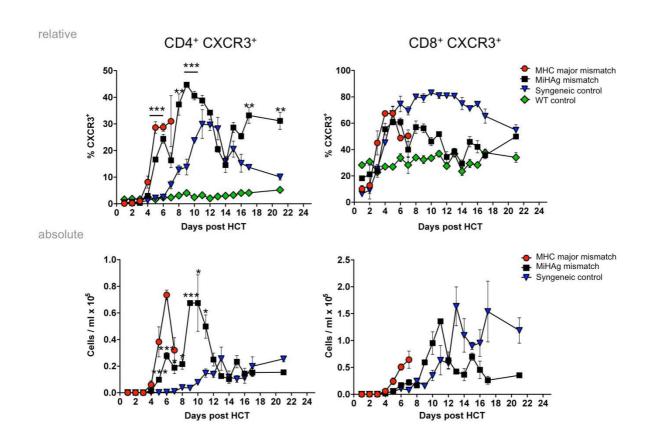
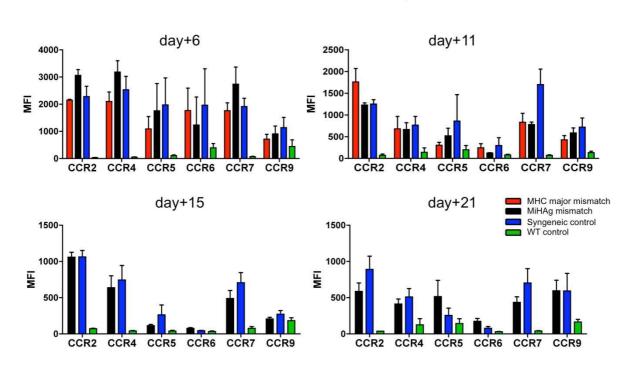


Figure 25: CXCR3 expression on PB donor T cells daily after HCT

Change of CXCR3 expression measured daily after HCT on PB donor CD4 $^{+}$  (left panels) and CD8 $^{+}$  right panels) T cells, respectively. The upper panels show relative expression values of both allo-HCT models in comparison to the syngeneic HCT model and untreated B6 WT control mice. The lower panels show the correlating absolute cell counts after HCT. One representative experiment out of 3 is displayed (n = 15, 3 mice per day per group were analysed). Error bars show plus or minus SEM.  $^{+}$ p<0.05;  $^{+}$ p<0.01;  $^{+}$ rp<0,001 for miHAg versus syngeneic.

Figure 26 summarizes the results for the expression levels of further CCRs on PB CD8<sup>+</sup> donor T cells analyzed at indicated time points. On day+6, MFI of all tested receptors exceeded the expression levels of PB CD8<sup>+</sup> T cells of untreated WT controls. Allogeneic and syngeneic HCT recipients did not differ significantly. At later time points (day+11, +15 and +21) MFI of all CCRs decreased compared to day+6 but still slightly differed compared to the MFI of WT T cells. Differences in the expression levels of CCRs in miHAg mismatched allogeneic and syngeneic HCT recipients did not reach statistical significance at any of these time points.



CD8+ CD90.1+ Chemokine Receptor+

Figure 26: Chemokine receptor expression on PB CD8+ donor T cells daily after HCT

Mean fluorescence intensity (MFI) of different chemokine receptors measured at indicated time points. Graphs display summary of two independent experiments (n = 3-6). Error bars show plus or minus SEM.

#### 5.2.4 Alloreactive donor T cells are highly activated

In addition to the above mentioned homing receptors, we analyzed CD44, CD69 and CD25 expressions to test the activation status of the detected PB donor T cells at important time points (Figure 27). More than 90 % of the detected PB CD8<sup>+</sup> T cells expressed the T cell activation marker CD44 at all time points indicating that the cells are highly activated after allogeneic as well as syngeneic HCT. In untreated WT control mice 20 to 40 % of PB CD8<sup>+</sup> T cells stained positive for CD44. MFI values for this marker also exceeded that of control animals at all time points. CD44 expression levels on CD8<sup>+</sup> donor T cells did not differ significantly between allogeneic or syngeneic HCT recipients (Figure 27A). On day+4 after HCT, CD8<sup>+</sup> donor T cells additionally expressed the activation markers CD69 (Figure 27B) and CD25 (Figure 27C). However, at later time points, the frequencies of PB CD8<sup>+</sup> donor T cells expressing CD69 or CD25 differed between miHAg mismatch and syngeneic HCT

recipients reaching statistical significance from day+6 on (CD69) and on days+6, +15, +21 (CD25), respectively. On day+21 after miHAg mismatch allo-HCT, MFI values of both markers also exceeded the values after syngeneic HCT significantly. Only few PB CD8<sup>+</sup> T cells from WT control animals expressed these markers.

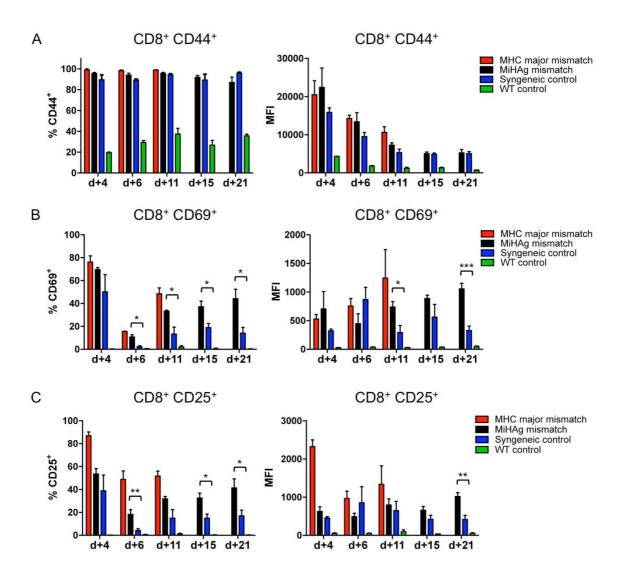


Figure 27: Activation marker expression on PB CD8<sup>+</sup> donor T cells

Expression of the activation markers CD44 (A), CD69 (B) and CD25 (C) on PB CD8<sup>+</sup> donor T cells at indicated time points after HCT. Graphs show relative values (left panels) and MFI (right panels). Displayed are the summaries of two independent experiments (n = 3-6). Error bars show plus or minus SEM.

These experiments showed that it is possible to detect alloreactive donor T cells in the peripheral blood early after allo-HCT according to their homing receptor expression. Based on these results, we suggest  $\alpha 4\beta 7$  integrin as well as P-and E-selectin ligand and L-selectin in combination with the activation markers CD69 and

CD25 as promising candidates for a precise alloreactive cell definition prior to the onset of aGvHD.

## 5.3 Therapeutic intervention during diagnostic window

Next, we investigated whether the proposed potential diagnostic window prior to the clinical manifestation of aGvHD onset would allow for a timely therapeutic intervention when alloreactive donor T cells can be detected upon their homing receptor profile early in the PB (day+6). Therefore, we started to treat miHAg mismatch allo-HCT recipients with three different immunosuppressive drugs based on our previous data.

#### **5.3.1 Prednisolone treatment**

Since the glucocorticoid prednisolone is one of the standard steroids used as first-line treatment of patients showing signs of aGvHD it was the first choice for treatment of the mice. In a preliminary experiment the lowest dose used in humans (1mg / kg BW) did not show any effects when given daily after miHAg mismatch allo-HCT (data not shown). Consequently, we used a high dose of 5 mg / kg BW for further experiments. The drug was given daily starting at the time point of first alloreactive cell detection (day+6) or on the day of HCT until the end of the experiments (day+28, Figure 28A). BLI revealed that prednisolone treatment did not influence early donor T cell proliferation and overall migration patterns in both treated groups (Figure 28B). Cell distribution throughout the organs did not differ between treated and untreated control mice after allo-HCT (see Figure 14). However, at later time points, prednisolone treated mice showed slightly reduced whole body BLI signals compared to the controls. We detected reduced signals from the abdominal areas as well as from the skin in comparison to vehicle controls. Lateral images revealed a very strong splenic signal. Surprisingly, on day+11, the effect of reduced signal intensity was more pronounced when we started the treatment on day+6 than on the day of HCT. The total ventral body signal of all three groups increased over time but measured average values of prednisolone treated mice stayed 1.5-fold and 1.6-fold below control values on day+15 and day+21, respectively, when starting the treatment on the day of HCT. When giving prednisolone from the time point of first alloreactive cell

detection on (day+6) we already detected a 1.7-fold lower signal on day+11, and a 2.3-fold and 2.8-fold signal reduction on days+15 and +21, respectively. However, signal intensities between the treated group and the aGvHD control group did not differ significantly (Figure 28B).

All mice but one survived until the end of the experiment (day+28, Figure 28C). One treated mouse died during the imaging procedure on day+21 likely due to an injection failure rather than due to aGvHD. Histopathological aGvHD scoring of target organs revealed a slightly reduced but not statistically significant tissue damage of prednisolone treated mice versus untreated controls on day+28 after miHAg mismatch allo-HCT. Weight change over time was similar in all three groups.

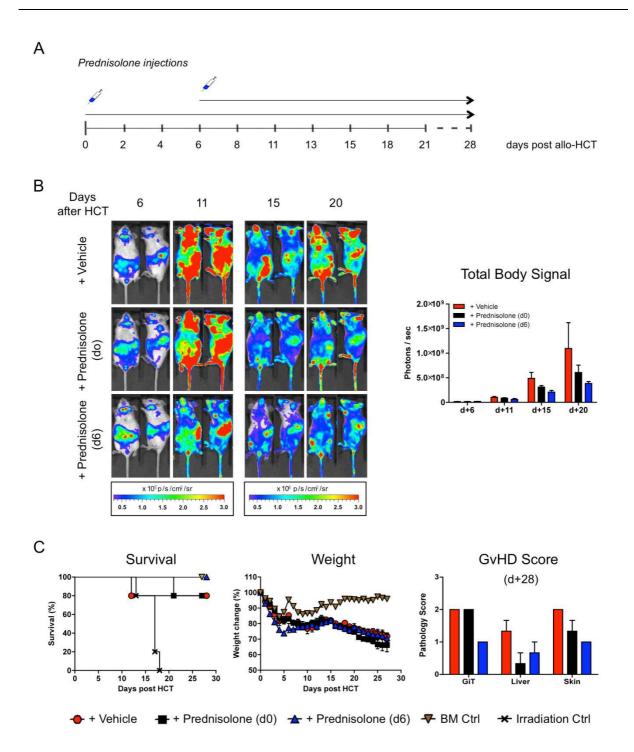


Figure 28: Prednisolone treatment after miHAg mismatch allo-HCT

(A) Timeline of Prednisolone injections (5 mg / kg BW): mice were treated daily starting on d0 and d6 after miHAg mismatch allo-HCT, respectively. On day+28, mice were sacrificed for histopathological analyses. (B) Ventral and lateral BLI images of one representative mouse per group (n=5) at indicated time points. To better resolve organ distribution of donor T cells the threshold had to be adjusted between day+11 and day+15 (see color bars underneath the pictures). (C) Quantification of ventral and lateral whole body signals are shown in the graphs. (D) Survival, weight change and histopathological aGvHD score of different groups are shown. Error bars display plus or minus SEM.

#### **5.3.2** Pentostatin treatment

In the next experiment, we treated the mice with pentostatin. Due to its known cytotoxic side effects, we administered single doses of pentostatin at donor T cell migration peaks (days+6, +11, +15) and days+18 and +21 (Figure 29A). Treated mice showed the same donor T cell distribution patterns and BLI signal intensities as untreated control mice from day+6 to day+15 (Figure 29B and Figure 14). However, some mice showed reduced signal intensities from abdominal regions and the skin. BLI on day+21, after four pentostatin injections, revealed very inhomogeneous signal intensities within the group. Two out of five mice displayed a very weak signal only, signal intensity of two mice was intermediate and one mouse gave a very strong total body signal which was comparable to the control mice. Pentostatin treatment did not influence the overall homing pattern of donor T cells. Quantification of BLI data showed no difference between treated mice and vehicle controls until day+15. However, average signal intensity on day+21 was 1.6-fold lower in drug recipients than in vehicle controls (Figure 29B).

Until day+30, clinical aGvHD scores and weight changes did not differ between both groups. However, from day+30 on, pentostatin treated mice performed worse and had to be sacrificed on day+38 due to severe aGvHD symptoms (Figure 29C).

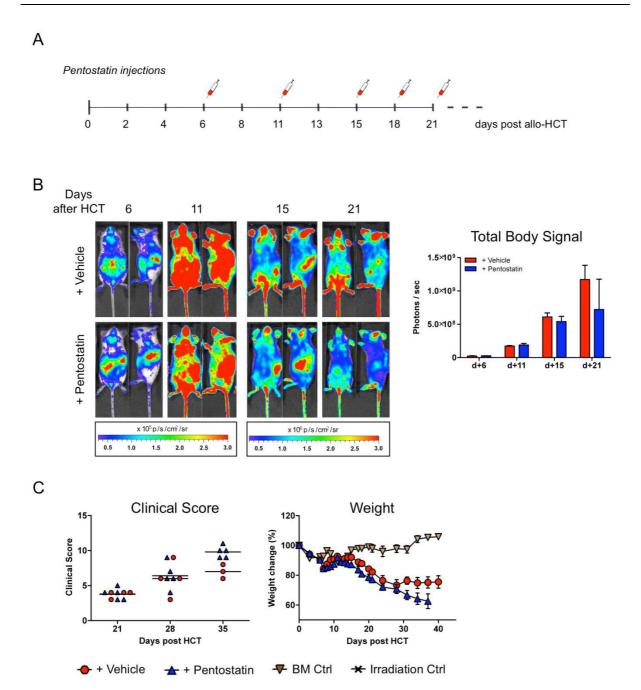


Figure 29: Pentostatin treatment after miHAg mismatch allo-HCT

(A) Timeline of Pentostatin injections (0.75 mg / kg BW): mice were treated at indicated time points after allo-HCT. (B) Ventral and lateral BLI images of one representative mouse per group (n=5) at indicated time points. To better resolve organ distribution of donor T cells the threshold had to be adjusted between day+11 and day+15 (see color bars underneath the pictures). (C) Quantification of ventral and lateral whole body signals are shown in the graphs. (D) Clinical GvHD scores and weight change of different groups are shown. All treated mice had to be sacrificed on day+38 due to severe signs of GvHD. Error bars display plus or minus SEM.

#### 5.3.3 Rapamycin treatment

In the last set of experiments we treated the mice with rapamycin which is established for aGvHD prevention and therapy in humans.

## 5.3.3.1 10 days of rapamycin treatment prolong survival

We administered the drug for 10 consecutive days starting on day+6 after miHAg mismatch allo-HCT (Figure 30A). BLI at selected time points revealed that by day+11, treated mice already showed reduced overall body signal intensities compared to vehicle controls with the strongest signal coming from the spleen, the skin, and the BM compartment (Figure 30B). In contrast, signals from the gastrointestinal region were low indicating that rapamycin treatment also influenced the homing pattern of donor T cells. On day+15, signal intensities of treated mice stayed low in comparison to constantly increasing whole body BLI signals of vehicle controls. On day+21, after the treatment was stopped, BLI signals of treated mice slightly increased but still remained below signal intensities of mice receiving the vehicle only. Quantification of ventral total body images confirmed a constantly low signal of rapamycin recipients during the treatment period compared to steadily increasing signal intensities in the control group (Figure 30B). Treated mice showed significantly lower signal intensities than vehicle controls (7-fold lower by day+11 and 13-fold lower by day+15). After the treatment was stopped (day+15) signal intensity of rapamycin recipients slightly increased but BLI still revealed a 4.5-fold difference between both groups on day+21. In addition, rapamycin treated mice also recovered from first aGvHD symptoms they showed by day+21 (Figure 30C). Over time, the clinical score of the treated group decreased in comparison to a constant increase of the controls. All treated mice survived until the end of the experiment (day+100) whereas some of the vehicle controls succumbed due to aGvHD.

Due to the dramatically reduced signal intensity in rapamycin treated animals by *in vivo* BLI we investigated lymphoid organs and aGvHD target tissues in more detail. To analyze whether rapamycin effects T cell proliferation and expansion locally or systemically we performed *ex vivo* BLI imaging of representative animals per group. In addition to vehicle controls we included completely untreated aGvHD control mice to this set of experiments to exclude inhibitory effects of the vehicle alone.

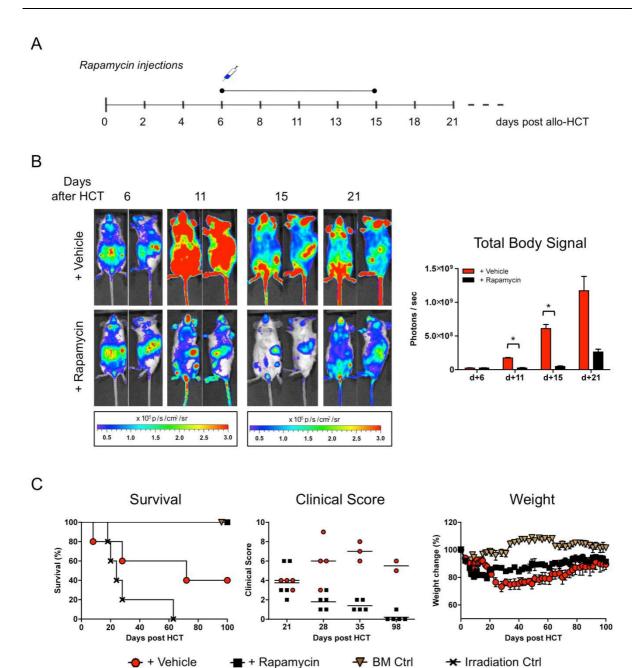


Figure 30: Rapamycin treatment after miHAg mismatch allo-HCT

(A) Timeline of rapamycin treatment (1.5 mg / kg BW): mice were treated daily for 11 days starting on day+6 after miHAg mismatch allo-HCT. (B) Ventral and lateral BLI images of one representative mouse per group (n = 5) at indicated time points. To better resolve organ distribution of donor T cells the threshold had to be adjusted between day+11 and day+15 (see color bars underneath the pictures). (C) Quantification of ventral and lateral whole body signals are shown in the graphs. \*p <0.05 (D) Survival, clinical aGvHD score, and weight change of different groups are shown. Error bars display plus or minus SEM.

Ex vivo BLI on day+11 after miHAg mismatch allo-HCT of untreated control mice revealed a similar donor T cell distribution pattern as observed in the previous experiment (see Figure 18). We detected signals from the gastrointestinal tract and first liver signals in addition to strong signals from SLOs as well as lung and thymus (Figure 31A). Vehicle treated mice showed the same cell distribution pattern with slightly reduced gastrointestinal signal intensities. Due to the very strong splenic signal in both control groups indicating an extremely high cell turn over, we removed the spleens before taking the pictures. In contrast, organs from rapamycin treated mice showed reduced signal intensities from all organs. In this group, gastrointestinal BLI signals were confined to mLNs and PPs and only discretely the large bowel.

Quantification of the data confirmed a significant reduction of signal intensities in all lymphoid organs as well as in all target tissues of rapamycin treated mice compared to untreated controls (Figure 31B) indicating a systemic rather than a local effect of the drug.

### 5.3.3.2 Rapamycin treatment influences regulatory T cell expansion

The enormous reduction in BLI signal intensity prompted us to further investigate possible mechanisms leading to the observed effects. Since it is known that rapamycin influences  $T_{reg}$  expansion we performed immunofluorescence stainings for the transcription factor and Treg marker FoxP3 in mLNs and iLNs on day+11 after miHAg mismatch allo-HCT (Figure 32A, B). As in the previous experiments, rapamycin treatment started with first alloreactive donor T cell detection, on day+6 after allo-HCT

Quantification of FoxP3 expressing cells in both lymph nodes revealed significantly higher cell numbers in rapamycin treated mice compared to both control groups. In mLNs of rapamycin treated mice we found 2.5-fold more Tregs than in mLNs of untreated control mice. iLNs of treated mice showed 3-fold more FoxP3 expressing cells than the controls. These results confirm the influence of rapamycin treatment on the expansion of  $T_{reg}$  cells after allo-HCT.

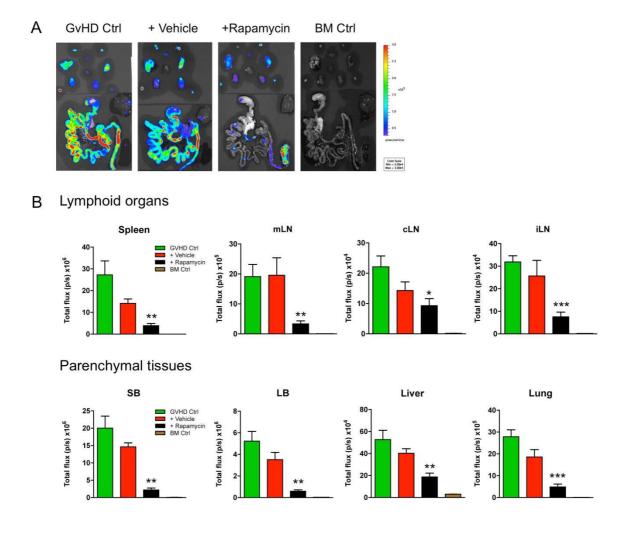


Figure 31: ex vivo BLI of lymphoid and target organs after rapamycin treatment

Donor T cell infiltration of lymphoid organs and parenchymal tissues on day+11 after miHAg mismatch allo-HCT of rapamycin treated and control mice. (A) Organs of one representative mouse per group (n = 3). (B) Quantification of the measured photons per second per organ. Error bars indicate plus or minus SEM. One out of two independent experiments is shown. mLN = mesenteric lymph node; cLN = cervical lymph node; iLN = inguinal lymph node; SB = small bowel; LB = large bowel.

### 5.3.3.3 Single shots of rapamycin insufficient for survival benefit

The daily rapamycin treatment appeared stressful for the mice as they displayed ruffled fur and weight loss during the treatment period. Furthermore, most of the rapamycin treated mice developed periocular alopecia which likely is a drug doserelated side effect as reported by Blazar and colleagues. Therefore, we changed the treatment schedule such that we administered single rapamycin injections only at the time points of donor T cell migration peaks (days+6, +11, +15 or days+11, +15 after miHAg mismatch allo-HCT; Figure 33A)

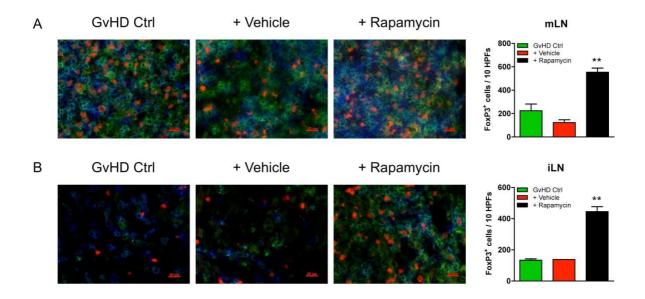


Figure 32: FoxP3 expression in lymph nodes after rapamycin treatment

Immunofluorescence staining of donor (CD90.1<sup>+</sup>, blue) CD4<sup>+</sup> (green) FoxP3 (red) expressing cells in mLNs (A) and iLNs (B) on day+11 after miHAg mismatch allo-HCT. Shown is one representative image per group (n = 3-5 mice), 40x magnification. Error bars display plus or minus SEM. HPF = High Power Field; mLN = mesenteric lymph node; iLN = inguinal lymph node.

On day+11, BLI signal intensity did not differ between groups. On day+15, treated mice of both groups showed a slightly reduced whole body signal compared to vehicle controls. We observed a more pronounced difference in mice that already got two rapamycin injections (day+6 and +11; Figure 33B). However, by day+21, signal intensities of both treated groups almost reached the values of control mice. Furthermore, treated mice of either group did not have a survival benefit compared to control mice (Figure 33C). However, two mice per treated group survived until the end of the experiment (day+70). They completely recovered from all signs of aGvHD that remained apparent until day+34. In contrast, the one survivor of the control group was severely affected by aGvHD (Figure 33C, middle panel).

These experiments proved that starting a preemptive immunosuppressive treatment at the time point of first alloreactive cell detection in the PB protects mice from developing aGvHD after miHAg mismatch allo-HCT. A consecutive treatment with rapamycin for 10 days markedly reduced BLI signal intensity and prolonged survival in contrast to only mild effects of prednisolone or pentostatin administration.

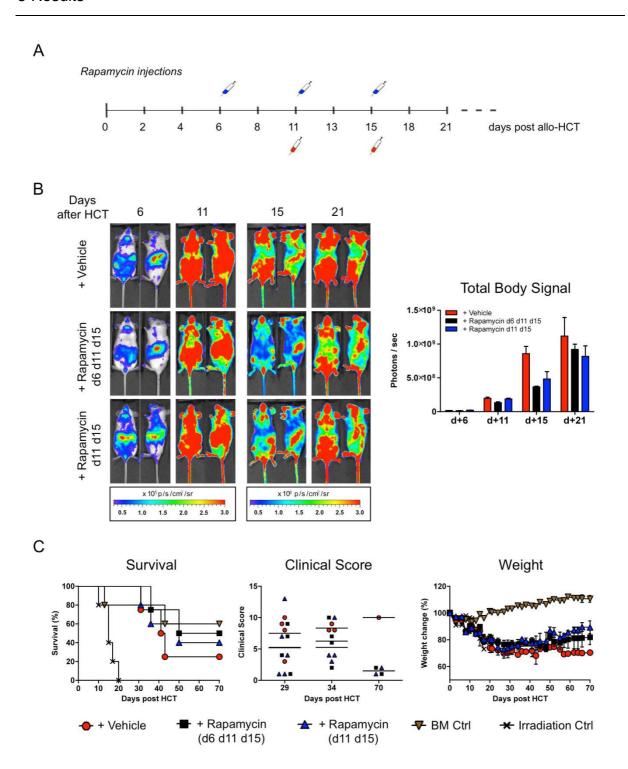


Figure 33: Single shots of rapamycin after miHAg mismatch allo-HCT

(A) Timeline of single rapamycin injections (1.5 mg / kg BW): mice were given 2 and 3 shots after allo-HCT, respectively. (B) Ventral and lateral BLI images of one representative mouse per group (n=4-5) at indicated time points. To better resolve organ distribution of donor T cells the threshold had to be adjusted between day+11 and day+15 (see color bars underneath the pictures). (C) Quantification of ventral and lateral whole body signals are shown in the graphs. Error bars display plus or minus SEM. (D) Survival graph.

### 6. Discussion

This study addressed whether it is possible to detect migrating alloreactive donor T cells in the peripheral blood before aGvHD manifestation in a clinical relevant murine model across minor histocompatibility antigen barriers. We identified a phase of two weeks of massive alloreactive cell migration in the blood of transplanted mice before clinical aGvHD symptoms appeared. The precise analysis of the homing receptor expression profile suggested a strong association of the up-regulation of  $\alpha4\beta7$  integrin, and P- and E-selectin ligand on highly activated alloreactive donor T cells during this migration phase with aGvHD induction. Treatment with the immunosuppressive drug rapamycin from the earliest detection time of alloreactive donor T cells (day+6) onwards significantly prolonged the survival of mice after miHAg mismatch allo-HCT. Thus, we propose that the T cell migration phase after allo-HCT opens a potential diagnostic window to identify alloreactive T cells based on their receptor expression profile for a timely therapeutic intervention prior to clinical disease manifestation.

### 6.1 aGvHD pathophysiology after miHAg mismatch allo-HCT

The first part of this study focused on donor T cell migration kinetics in an aGvHD mouse model across miAHg mismatch barriers (B6  $\rightarrow$  Balb/B). This genetic scenario corresponds to matched unrelated allo-HCT in patients and therefore is of high clinical relevance. A complete HLA match between unrelated donor and recipient improves the chance of a successful engraftment after HCT. However, for most patients even completely matched donors harbour differences in miHAgs which can cause aGvHD.  $^{128}$ 

Early detection of alloreactive donor T cells appears attractive to make a timely therapeutic intervention before clinical aGvHD onset feasible. Therefore, a detailed understanding of pathophysiological processes including the biology of engraftment and immune reconstitution as well as the kinetics of alloreactive T cell priming, migration, and effector mechanisms early after allo-HCT is necessary to provide important prognostic information. Recently, several groups investigated the correlation between lymphocyte recovery, T cell frequencies, and long-term survival

in allo-HCT patients: The assessment of cytotoxic and T-helper cell precursors by limiting dilution analyses showed a trend towards a better overall survival with low precursor frequencies. Other groups reported that the absolute lymphocyte count on day+30 after allo-HCT as well as after cord blood transplantation might serve as a surrogate to identify patients at risk for the development of aGvHD. Therefore, a precise timing of T cell engraftment and a closer investigation of the cell migration patterns appear necessary for further investigations on phenotypic and functional changes within the lymphocyte populations during life-threatening immune syndromes such as aGvHD.

Ferrara and colleagues proposed that aGvHD pathophysiology proceeds in three steps: tissue damage and inflammation due to host conditioning result in the activation of APCs which leads to donor T cell activation, proliferation, differentiation, and migration followed by target tissue destruction. 14 Until now it remained elusive whether alloreactive T cell extravasation would steadily increase after activation in SLOs or whether alloreactive T cell proliferation and migration would rather occur in self-amplifying loops. In accordance with the Ferrara model, in vivo and ex vivo BLI showed that donor T cells first home to SLOs in both the MHC major mismatched and the miHAg mismatched allogeneic models. From day+4 on, alloreactive donor T cells started to leave their priming sites and migrated towards their target organs which allowed the detection of an increasing number of T cells in the peripheral blood after allo-HCT (Figure 14, Figure 16). Daily in vivo BLI revealed the same spatiotemporal shift from aGvHD initiation to effector phase in both allo-HCT models but a slower increase in signal intensity in miHAg mismatch recipients compared to MHC major mismatch recipients. This observation can be explained by the high precursor frequency of T cells directed against MHC molecules of the host in the complete mismatched situation leading to the rapid development of a hyperacute form of GvHD. 126,134 In contrast, after miHAg mismatch allo-HCT only few, immunodominant miHAgs are responsible for inducing aGvHD in donor/recipient pairs differing in numerous miHAg loci. 135-137 Therefore, the development of an immune response is delayed.

In the presented miHAg mismatch allo-HCT model, first histopathological signs of tissue damage appeared by day+11 but mice did not show severe clinical aGvHD symptoms before day+21 (Figure 9C). Interestingly, the characterization of alloreactive T cell kinetics early after miHAg mismatch allo-HCT revealed two weeks

of massive donor T cell migration that fluctuated in cell numbers and peaked at defined time points (Figure 16). A possible explanation for these kinetics may be that host tissue damage results in an increasing release of host antigens and subsequent engagement of an increasing pool of donor T cell clones in the alloreactive immune response preceding each peak of T cell migration. Lehmann and colleagues first described this phenomenon of epitope spreading in experimental allergic encephalomyelitis (EAE). They showed that the autoimmune response of CD4<sup>+</sup> T cells is not fixed and the involved pool of T cell clones evolves over time. Epitope spreading is very well investigated in the context of chronic tissue damage in autoimmunity 139-141 but it might also play an active role in the ongoing pathology of other inflammatory diseases where tissue damage – regardless of the initiating event – can lead to epitope spreading.

Unexpectedly and not predicted by the three-step Ferrara model of aGvHD pathophysiology, we found that migrating peripheral blood donor T cell numbers decreased after allo-HCT in both models for several days before clinical symptoms appeared. This decrease in cell numbers inversely correlated with an increasing whole body BLI signal intensity indicating an accumulation of donor T cells in the respective target organs. There are several possible scenarios that may explain the observed decrease in detectable cells:

The decline in peripheral T cell numbers might be due to activation-induced cell death (AICD) as a control mechanism to regain homeostasis triggered by the inflammatory response after allo-HCT. In AICD, activation through the T cell receptor results in cell death caused by apoptosis. There are several signals that can lead to AICD. One important signaling pathway is mediated by Fas-FasL interaction in peripheral tissues. FasL expression is important in HCT recipients as a negative regulator of the donor T cell allo-response in GvHD and FasL deficient mice showed more intestinal GvHD compared to WT recipients.

Alternatively, immunosuppressive modulators may mediate the reduction in detectable cells by counteracting the prolonged expansion of alloreactive T cells. These may involve  $T_{reg}$  cells, myeloid-derived suppressor cells (MDSC), and biological mediators such as arginase or indoleamine 2,3-dioxygenase (IDO).

CD4<sup>+</sup> CD25<sup>+</sup> FoxP3<sup>+</sup> T<sub>reg</sub> cells adopt important regulatory functions in *in vivo* homeostasis and prevention of autoimmunity. T<sub>reg</sub> cells exert suppressive functions in aGvHD by limiting effector T cell proliferation and thereby preventing immune

responses to allo-antigens. $^{145,146}$  *In vitro* activated and expanded, or naturally occurring  $T_{reg}$  cells offer substantial aGvHD protection. $^{147,148}$ 

MDSCs are a well-defined cell population with inhibitory effects on immune responses. Their role in cancer as an escape mechanism for immune surveillance has been studied intensively in different mouse models. Recently, one group reported a potential beneficial role of MDSCs in regulating allo-reactivity after HCT. The administration of *in vitro* generated MDSCs together with the donor cell inoculum inhibited murine aGvHD in an arginase-1 dependent manner while the GvL effect was preserved. 153

IDO is another critical regulator of intestinal GvHD. It is induced in the colon and regulates T cell proliferation and survival. With its suppressive effects it efficiently diminishes gastrointestinal inflammation and reduces murine aGvHD severity. The assessment of IDO expression in patients after allo-HCT was consistent with the results from mouse models. The assessment of IDO expression in patients after allo-HCT was consistent with the results from mouse models.

Therefore, it is likely that a combination of different inhibitory mechanisms leads to the observed decrease in detectable alloreactive donor T cells in our model even if they may not sufficiently prevent the onset of aGvHD symptoms.

### 6.2 Donor T cell receptor profile after miHAg mismatch allo-HCT

Adhesion molecules as well as chemokines and their respective receptors play an important role for T cell homing into tissues. Therefore, in the context of this study we investigated the dynamic changes of the expression levels of different homing receptors and activation markers in order to identify suitable markers for alloreactive donor T cell detection in the peripheral blood early after miHAg mismatch allo-HCT.

### 6.2.1 α4β7 integrin is highly up-regulated on donor T cells

Daily flow cytometric measurements revealed  $\alpha 4\beta 7$  integrin to be highly up-regulated on alloreactive, peripheral blood donor T cells after miHAg mismatch allo-HCT compared to syngeneic controls (Figure 22). Several previously published, independent murine studies are consistent with these results. They showed an important role of  $\alpha 4\beta 7$  integrin in intestinal aGvHD development. For example, mice lacking PPs – the main priming site for  $\alpha 4\beta 7$  integrin expressing T cells – did not

develop aGvHD after allo-HCT and blocking access to PPs by administering a monoclonal antibody protected mice from aGvHD.  $^{24}$  Using  $\alpha4\beta7$  negative donor T cells caused less aGvHD morbidity and mortality compared to WT donor T cells mainly due to reduced homing to the intestinal tract. The GvTE was still preserved in this model.  $^{108}$  However, results of donor T cells lacking the  $\beta7$  subunit alone are contradictory. Waldman and colleagues reported that a selective knockout of  $\beta7$  integrin resulted in less intestinal aGvHD due to decreased homing of alloreactive T cells to the intestine  $^{99}$  whereas another group showed that the lack of  $\beta7$  was insufficient to modify intestinal aGvHD.  $^{158}$  A general conclusion from these studies is difficult due to the different mouse models used. Nevertheless, all studies point towards an important, but perhaps redundant, role of  $\alpha4\beta7$  integrin in lymphocyte trafficking and the infiltration of mucosa-associated tissues, subsequently leading to intestinal aGvHD development which is in accordance with the results presented here.

Furthermore, the up-regulation of α4β7 integrin on peripheral blood T cells observed in this work is in line with three recently published patient studies suggesting a role of this molecule in the development of acute intestinal aGvHD after allo-HCT. Chen and colleagues found an at least 10-fold up-regulation of  $\alpha 4\beta 7$  integrin on naïve and memory T cell subsets in the peripheral blood before the onset of clinically apparent intestinal aGvHD compared to cutaneous or no aGvHD in a retrospective casecontrolled study including 59 patients. 159 However, in this study the timing of sample collection was heterogeneous and samples were frozen prior to analysis. Therefore, they confirmed these results in a follow-up publication using fresh blood samples collected at the time point of first aGvHD symptom appearance and before any treatment. They again showed that α4β7 integrin was significantly higher expressed on CD8<sup>+</sup> memory T cells of patients with intestinal aGvHD compared to patients with skin or no aGvHD in relative as well as absolute values. Interestingly, patients successfully treated against intestinal aGvHD showed a lower α4β7 expression level several months after diagnosis. 160 A different study including 33 patients investigated the  $\alpha 4\beta 7$  integrin expression on suppressive  $T_{req}$  cells. They found a correlation between a decreased expression level with a subsequent intestinal aGvHD development. 161 These clinical results indicate an important role for α4β7 integrin upregulation at the time point of aGvHD onset but further studies with larger patient cohorts are needed to validate the use of  $\alpha 4\beta 7$  integrin as a predictive marker or potential therapeutic target.

### 6.2.2 Alloreactive donor T cells up-regulate selectin ligands

Migrating allogeneic donor T cells highly up-regulated P- and E-selectin ligands compared to peripheral blood T cells after syngeneic HCT (Figure 23, Figure 24). The interaction between P-selectin and its ligands plays an important role for T cell trafficking in aGvHD as P-selectin deficient host mice demonstrated ameliorated aGvHD after miHAg mismatch allo-HCT. 111 However, donor T cells deficient for Pselectin glycoprotein ligand-1, which represents the major ligand for P-selectin. caused similar aGvHD severity as WT T cells in this study indicating that donor T cells may use multiple ligands apart from PSGL-1 to interact with P-selectin and to enter their target tissues. Another group also observed normal aGvHD induction when using donor T cells which completely lack functional P- and E-selectin ligands concluding that donor T cells can traffic to aGvHD target organs independently of Pand E-selectin ligands after MHC major mismatch allo-HCT. 162 Nevertheless, the elevated expression levels of P- and E-selectin ligand on peripheral blood donor T cells measured in this study are consistent with the previously mentioned reports. Independently of the fact that donor T cells can infiltrate target tissues lacking selectins the upregulation of selectin ligands on circulating T cells could serve as possible predictive markers to identify them. Expression levels measured after allo-HCT significantly exceeded expression levels detected after syngeneic HCT. Asaduzzaman and colleagues confirmed the pivotal role of the interaction of PSGL-1 on CD8<sup>+</sup> donor T cells and P-selectin on the endothelium for entering the large intestine. In addition, they also investigated L-selectin expression and found a downregulation on activated CD8<sup>+</sup> T cells of aGvHD mice compared to controls<sup>163</sup> which is consistent with the results presented here: L-selectin expression differed significantly on peripheral blood donor T cells of allogeneic and of syngeneic HCT recipients. Several groups demonstrated the importance of L-selectin for aGvHD initiation: Transfer of purified memory T cells lacking L-selectin did not induce aGvHD after allo-HCT most likely due to inefficient trafficking to SLOs in the MHC major mismatch<sup>20,164</sup> as well as in the miHAg mismatch allo-HCT scenario.<sup>165</sup> Another group observed a pronounced decrease in donor-derived peripheral blood L-selectin<sup>+</sup> T cells in rats suffering from aGvHD compared to controls after miHAg mismatch allo-HCT. These results suggest the potential use of L-selectin-expressing frequencies as disease-associated biomarker for aGvHD.<sup>166</sup>

Taken together, the significant higher expression of P- and E-selectin ligand as well as the down-regulation of L-selectin on detected peripheral blood donor T cells early after allo-HCT underline the potential use as predictive markers in combination with other receptors.

### 6.2.3 Chemokine receptors do not define alloreactive donor T cells

In contrast to the integrin and the selectin family of analyzed homing receptors, none of the tested chemokine receptors seemed suitable as predictive marker for aGvHD. Especially on day+6 after allo-HCT, peripheral blood donor T cells highy up-regulated all CCRs in both allogeneic models compared to untreated WT controls. However, also syngeneic HCT recipients showed elevated levels of CCRs on peripheral blood donor T cells and comparable MFI values to allo-HCT recipients at all tested time points (Figure 26).

Within the first week after HCT syngeneic recipients also show increased cytokine levels in combination with minor changes in weight, fur texture, and mobility. These effects are due to irradiation toxicity-related tissue damage and mice continuously recover from them over time in contrast to allo-HCT recipients. In line with this, Eyrich and colleagues reported the up-regulation of different cell adhesion molecules on the epithelium of allogeneic as well as syngeneic HCT recipients by day+3. However, following syngeneic HCT these effects are only transient in contrast to a further up-regulation after allo-HCT until day+22. Since all recipients receive the same irradiation conditioning before HCT the transiently caused inflammatory scenario could explain the similar CCR expression level of peripheral blood donor T cells found in all three models at early time points in this study. Inflammation induced by conditioning plays an important role in the initial recruitment of T cells which induces an inflammatory cascade promoting further recruitment of T cells to aGvHD target organs. In the work presented here, CCR expression on peripheral blood donor T cells of allogeneic as well as of syngeneic HCT recipients declined at later

time points compared to day+6. This indicates that after the initial recruitment of T cells to inflamed tissues other mechanisms and receptor – ligand interactions than CCRs may be involved in further cell recruitment to target organs. In line with this, one group reported that activated effector and memory T cells undergo a switch in surface receptor expression within 6 hours of TCR stimulation down-regulating CCRs1, 2, 3, 5, 6 and CXCR3. Because of endothelial cell recovery from the initial conditioning damage together with reduced cytokine production in syngeneic HCT recipients CCRs and further cell recruitment are no longer needed.

Another group demonstrated a marked but transient increase in chemokine expression in the colon after syngeneic HCT. 168 In particular, they found the ligands for the general inflammation marker CXCR3 up-regulated which is consistent with the results presented here. We also found CXCR3 highly up-regulated on peripheral blood donor T cells after miHAg mismatch allo-HCT but also syngeneic HCT recipients showed high expression levels compared to steady-state conditions in untreated mice. From day+6 on, CXCR3 expression of CTLs of syngeneic HCT recipients even exceeded the expression levels of allogeneic donor T cells (Figure 25). The transfer of CXCR3 knock out donor T cells did not significantly reduce aGvHD severity after MHC major mismatch allo-HCT<sup>168</sup> diminishing its role in aGvHD development and as possible predictive marker. However, another study reported an involvement of CXCR3 in aGvHD development after miHAg mismatch allo-HCT but not across MHC major mismatch barriers. 118 Furthermore, Chen and colleagues demonstrated a complex pattern of CXCR3 up- and down-regulation on inflammatory T cells during migration, proliferation, and activation in a murine, ocular inflammation model<sup>169</sup> confirming the results presented here for CXCR3 in the context of aGvHD. In general, the partly conflicting results for the importance of different chemokine receptor interactions in aGvHD initiation and development might be dependent to some extent on the mouse model used and the conditioning regimen applied.<sup>25,168</sup> Furthermore, CCR expression is a dynamically regulated process. One cell can express multiple receptors and the presence of a specific chemokine for one receptor can affect other receptors as well.<sup>170</sup> In addition, interactions of inflammatory chemokines and their respective receptors are highly redundant, limiting the hopes for aGvHD prevention by blocking a specific receptor. 101 Despite this, different studies recently targeted single CCRs using monoclonal antibodies with some promising results: The administration of an anti-CXCR3 neutralizing antibody for 21 days after miHg mismatch allo-HCT significantly reduced alloreactive donor T cell infiltration in aGvHD target organs and inhibited disease onset.<sup>171</sup>

Another group presented preliminary results in a clinical trial adding an oral antagonist of CCR5 to conventional aGvHD prophylaxis. In their study including 38 patients they observed a decreased incidence of intestinal and liver aGvHD at day+180 after HCT compared to a control group<sup>172</sup> which was consistent with previously published results in murine models.<sup>24,114</sup> This is the first study showing that aGvHD can potentially be influenced by inhibiting lymphocyte trafficking. However, considering the small sample size further studies will be needed to confirm these results.

### 6.2.4 Alloreactive donor T cells are highly activated

The analysis of the activation status of alloreactive T cells revealed them to be highly positive for CD44 (Figure 27, upper panel). Triggering of the TCR induces CD44 upregulation on lymphocytes and leads to an activation-dependent interaction with its major ligand hyaluronate, an extracellular matrix component, directing activated lymphocytes to inflammatory sites. <sup>110,173</sup> In the context of aGvHD, a subset of CD8<sup>+</sup> CD44<sup>+</sup> memory T cells mediated potent GvL activity while causing minimal aGvHD only after murine MHC major mismatch allo-HCT. <sup>174</sup> However, in the experiments presented here peripheral blood donor T cells of syngeneic HCT recipients also showed highly elevated levels of CD44 expression, possibly caused by irradiation-induced temporary inflammation, lowering its value as useful predictive marker.

In contrast, from day+6 on, donor T cells expressed significantly more CD69 after miHAg mismatch than after syngeneic HCT (Figure 27, middle panel). Generally regarded as an early activation marker, CD69 is known as an immunomodulatory molecule induced early on leukocytes upon activation<sup>175</sup> which is consistent with the results of this study showing CD69 to be highly up-regulated on peripheral blood CD8<sup>+</sup> T cells of all groups as early as day+4 after HCT. However, its actual role in immune responses remains unclear. Several studies suggest a pro-inflammatory role of CD69 but recent observations have been contradictory pointing more towards a role as a negative regulator of T cell responses by especially inhibiting Th17 cell differentiation. Treg generation and

activity.<sup>178</sup> Other groups suggest a potential role of transiently expressed CD69 in the regulation of thymocyte and T cell trafficking.<sup>179,180</sup> In spite of partially contradictory reports about the role of CD69 on leukocytes in immune responses the significant higher expression observed on peripheral blood CD8<sup>+</sup> donor T cells after miHAg mismatch allo-HCT compared to syngeneic HCT recipients from day+6 on underlines its potential value as predictive marker in combination with others.

Similarly, on days+6, +15 and +21 after HCT the frequency of CD8<sup>+</sup> CD25<sup>+</sup> donor T cells in the peripheral blood of miHAg mismatch allo-HCT recipients exceeded the frequency of this cell populations after syngeneic HCT significantly (Figure 27, lower panel). Lymphocytes constitutively express low levels of CD25, the IL-2Rα chain. IL-2 stimulation is the main T cell growth factor and is essential for the accumulation of effector CD8<sup>+</sup> T cells during immune responses. 181 Inflammation enables the IL-2 responsiveness of T lymphocytes by inducing IL-2R up-regulation. 182 This could explain the elevated CD8<sup>+</sup> CD25<sup>+</sup> donor T cell frequency as well after syngeneic HCT (day+4) compared to untreated WT controls. However, at later time points, the increasing inflammatory scenario due to tissue injury in allo-HCT recipients may sustain CD25 expression and IL-2 sensitivity whereas after syngeneic HCT irradiation-induced tissue injury declined and the cells became less sensitive for IL-2. Though commonly used as an activation marker for CD8<sup>+</sup> T cells, CD25 also represents an important marker for CD4<sup>+</sup> FoxP3<sup>+</sup> T<sub>req</sub> cells and plays a central role in the generation, maintenance, and function of this regulatory T cell subset. 183 A recent publication reported the identification of a highly suppressive CD8<sup>+</sup> FoxP3<sup>+</sup> regulatory T cell subpopulation which converts from conventional CD8+ donor T cells after allogeneic but not syngeneic HCT. 184 Further investigations are needed to test whether the CD8<sup>+</sup> CD25<sup>+</sup> donor T cell population detected in the peripheral blood of miAHg mismatch allo-HCT recipients in this study belongs to this newly identified suppressive subset. Nevertheless, the significant higher expression on donor T cells after allogeneic compared to syngeneic HCT suggests a possible use of this marker for alloreactive T cell definition in the peripheral blood.

Taken together, the combination of the expression levels of  $\alpha 4\beta 7$  integrin as well as P- and E-selectin ligand, and L-selectin together with the activation markers CD69 and CD25 seems promising as a predictive marker panel for alloreactive cell detection early after allo-HCT.

# 6.3 Directed preemptive treatment with rapamycin significantly prolongs survival

In this study, we tested three different drugs for a directed preemptive treatment of aGvHD before disease onset. Based on the results presented above, we started treatments within the identified potential diagnostic window at the time point of first alloreactive cell detection in the peripheral blood (day+6 after miHAg mismatch allo-HCT).

The administration of the glucocorticoid prednisolone at a dose of 5 mg / kg BW, commonly used in patients, 185 did not result in a significant reduction in BLI signal intensity in this mouse model. However, lower BLI signals indicated some therapeutic effect in treated mice. Histopathological analyses on day+28 after allo-HCT confirmed that less donor T cells accumulated in aGvHD target organs in treated mice than in untreated controls (Figure 28C). Bouazzaoui and colleagues reported that prednisolone treatment can reduce clinical aGvHD, improve survival, and reduce GIT injury when given in a concentration of 2 mg / kg BW in a murine haploidentical aGvHD model. They started the treatment shortly after aGvHD onset, on day+10 after HCT in their model.<sup>73</sup> Different murine and human studies indicate that the administration of steroids as aGvHD prophylaxis or within a few days after HCT is associated with increased morbidity and significant higher acute and chronic GvHD incidences implying the administration of steroids before GvHD onset as not beneficial. 186-188 Day+6 after allo-HCT in the B6 → Balb/B miHAg mismatch model in this study marked an early time point before aGvHD onset which could explain the lack of a significant benefit for the treated mice.

The cytotoxic drug pentostatin impairs effector T cell expansion and reduces proinflammatory cytokine production while preserving regulatory T cell populations and functions in a murine model for inflammatory bowel disease. In this study, the authors administered pentostatin daily at a concentration of 0.75 mg / kg BW for 7 days. However, in the miHAg mismatch allo-HCT model used in our study pentostatin did not reduce donor T cell expansion or ameliorate aGvHD symptoms indicating that at this dose five injections were not sufficient to significantly prolong survival.

In contrast, treatment of miHAg mismatch allo-HCT recipients with rapamycin for 10 consecutive days significantly reduced BLI signal intensity and prolonged survival.

The dose of 1.5 mg / kg BW has been proven to be optimal for aGvHD protection starting the treatment on day+0 followed by daily injections. Higher doses led to a survival decrease and toxic side effects whereas lower doses could not protect from lethal aGvHD.85,120 There are several different scenarios that could explain the reduced BLI signal intensity observed in the current study: one group showed that at a dose of 1.5 mg / kg BW rapamycin inhibited splenic expansion of CD4<sup>+</sup> (by day+7) and CD8<sup>+</sup> (by day+14) T cells.<sup>85</sup> Chen and colleagues identified an antigen independent regulatory cell population in aGvHD target organs which was generated in a dose-dependent manner in rapamycin treated animals and may contribute to aGvHD-free long-term survival. 190 Furthermore, rapamycin influences T<sub>req</sub> expansion<sup>120</sup> which we confirmed by microscopy of FoxP3<sup>+</sup> T cells in this study (Figure 32). We found elevated numbers of this cell population in mLNs and iLNs of miHAg mismatch allo-HCT recipients after rapamycin treatment which likely exerted a suppressive effect on expanding effector T cells. Possibly, a combination of the above mentioned effects of rapamycin contributed to the substantial inhibition of donor T cell expansion leading to the observed significantly lower BLI signal intensity of treated mice.

Rapamycin treatment not only inhibited alloreactive donor T cell expansion but also influenced their homing pattern after miHAg mismatch allo-HCT. In contrast to vehicle treated control mice, BLI of Rapamycin treated recipients revealed a strong signal from the BM compartment from day+11 on indicating an accumulation of donor T cells in this area. In contrast, the gastrointestinal tract showed reduced signal intensities. The different homing pattern might be explained by the effect of rapamycin on dentritic cells (DCs). Rapamycin treatment interferes with DC functions by affecting maturation<sup>191</sup>, antigen uptake capacity, <sup>192</sup> and cytokine production. <sup>193</sup> Moreover, mature DCs exert immunosuppressive effects on allogeneic T cells after rapamycin treatment. 194 Host-derived DCs are important but not crucial for the induction of homing receptor expression on alloreactive donor T cells during proliferation in SLOs in aGvHD development. 195,196 DCs of the mLNs and the PPs imprint the gut-homing specificity of T cells by vitamin A production. Under steadystate conditions, vitamin A-deficient mice showed reduced numbers of α4β7<sup>+</sup> T cells in SLOs and in the intestinal lamina propria indicating its important role in α4β7 integrin up-regulation. 197 However, in the context of aGvHD, a vitamin A-deficient diet did not protect from disease onset. These mice showed reduced T cell numbers in the intestine but increased numbers and tissue damage in other organs and succumbed to aGvHD.<sup>198</sup> Therefore, the observed changes in the homing pattern of donor T cells in rapamycin treated mice could be due to the effect of rapamycin on DCs or other host APC populations but most likely other mechanisms are involved as well.

Daily rapamycin application appeared stressful for treated mice as we observed ruffled fur and weight loss during the treatment period. Furthermore, most of the rapamycin treated mice developed periocular alopecia which likely is a drug dose-related side effect. Therefore, we changed the treatment schedule and administered single rapamycin injections only at donor T cell migration peaks (days+11 and +15 or days+6, +11 and +15; Figure 33). In this setting, we detected slight reductions in BLI signal intensities compared to vehicle controls but these differences did not reach statistical significance most likely due to the half-life time of rapamycin of approximately 62 hours. Apparently, the intervals between the single injections were too long and additional injections between the migration peaks are necessary for inducing the protective effect.

In summary, in my thesis project, I demonstrated that it is possible to detect migrating alloreactive donor T cells in the peripheral blood in a clinical relevant mouse model across miHAg mismatch barriers early after allo-HCT. Thus, we propose that a phase of two weeks of massive donor T cell migration in the peripheral blood may open a potential diagnostic window. The homing receptors α4β7 integrin, P- and E-selectin ligand, and L-selectin in combination with the activation markers CD69 and CD25 helped to identify alloreactive donor T cells in the peripheral blood of mice before aGvHD manifestation after allogeneic but not syngeneic HCT. The consecutive, preemptive rapamycin treatment starting at the time point of first cell detection after miHAg mismatch allo-HCT prolonged survival of treated mice.

One advantage of first using animal models to investigate donor T cell kinetics is the possibility of dynamically tracing donor T cell migration patterns by daily in vivo applications. imaging Mice in our study did not receive preemptive immunosuppressive treatment before homing receptor analysis as is done in patients. For this reason, experimental aGvHD is not influenced by such medication thus better reflecting the native disease. Since the prediction of aGvHD is highly relevant for the clinical outcome it appears valuable to closely monitor the kinetics of T cell engraftment, expansion, and homing receptor expression in patients undergoing allo-HCT and interfere with immunosuppressive treatment if biomarker patterns indicate an increased risk of aGvHD.

We already performed a follow-up study to analyze the receptor expression profile in patients after allo-HCT. The data is currently statistically analyzed. A predictive blood test based on a certain receptor combination is highly desirable and would allow a timely, preemptive, therapeutic intervention before the onset of clinical aGvHD.

# 7. Zusammenfassung

Die allogene hämatopoetische Stammzelltransplantation ist oft die einzig mögliche Behandlungsmethode für maligne und nicht-maligne hämatologische Erkrankungen. Die Graft-versus-Host Disease stellt den größten, limitierenden Faktor dieser Therapie dar. Bei diesem Immunsyndrom greifen alloreaktive Spender-T-Zellen gezielt Organe des Empfängers an, insbesondere den Gastrointestinaltrakt, die Leber und die Haut. Die frühe Diagnose einer bevorstehenden, akuten GvHD gestaltet sich nach wie vor schwierig und basiert heutzutage hauptsächlich auf dem Auftreten klinischer Symptome und histopathologischen Befunden. Die Entwicklung eines prädiktiven Tests zur Früherkennung gefährdeter Patienten hat daher hohe Priorität. Verschiedene Gruppen zeigten kürzlich, dass Spender-T-Zellen spezifische Rezeptoren, sogenannte Homing-Rezeptoren, exprimieren müssen, um in die Zielorgane einwandern zu können. Deshalb scheint die Entwicklung eines auf dem spezifischen Homing-Rezeptor-Expressionsmuster der T-Zellen im peripheren Blut basierenden Tests vielversprechend, um gezielt Patienten zu identifizieren, die möglicherweise eine aGvHD entwickeln werden.

Das Ziel dieser Arbeit war die genaue Analyse der Migrationskinetik alloreaktiver Spender-T-Zellen im peripheren Blut in einem klinisch relevanten Mausmodell mit Unterschieden in minor Histokompatibilitätsantigenen. Es folgte eine präzise Charakterisierung des Homing-Rezeptor-Expressionsprofils der migrierenden Spenderlymphozyten zu ausgewählten Zeitpunkten nach Transplantation, um mögliche, geeignete Rezeptoren für einen prädiktiven Test zu identifizieren.

Die Kombination von täglicher *in vivo* Bildgebung der transplantierten Mäuse mit durchflusszytometrischen Analysen des peripheren Blutes ermöglichte es, eine zweiwöchige Phase massiver Spenderzellmigration vor dem Auftreten klinischer aGvHD Symptome zu definieren. Die detektierten Spenderlymphozyten zeigten eine stark erhöhte Expression des für die Migration in den Gastrointestinaltrakt wichtigen Moleküls α4β7 Integrin sowie der Liganden für P- und E-Selektin, die in das Haut-Homing involviert sind. Die Kombination dieser Marker mit der stark reduzierten Expression von L-Selektin, einem Marker für naive T-Zellen, sowie der signifikant höheren Expression der Aktivierungsmarker CD25 und CD69 im Vergleich zu syngen transplantierten Kontrolltieren ermöglichte die Definition von alloreaktiven Spender-T-Zellen. Eine gezielte, vorbeugende Behandlung mit Rapamycin, beginnend am Tag

der Detektion erster alloreaktiver T-Zellen (Tag+6), erhöhte die Überlebensrate der behandelten Mäuse.

Aufgrund dieser Daten schlagen wir ein potentielles, diagnostisches Fenster zur Anwendung prädiktiver Tests vor, um Patienten, mit erhöhtem aGvHD-Risiko rechtzeitig zu identifizieren und vorbeugend behandeln zu können.

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9.2 List of tables
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#### 9.4 Curriculum Vitae

### Carina Annette Bäuerlein

University Hospital Würzburg Medical Clinic II Experimental Stem Cell Transplantation Zinklesweg 10, ZEMM 97078 Würzburg

Phone: +49 (0)931 201 27637

email: Baeuerlein\_C@medizin.uni-wuerzburg.de

### **Personal Information:**

Date of birth:

Place of birth: Würzburg Nationality: German

### **Education:**

Since Apr 2008 PhD in experimental stem cell transplantation at the

Medical Clinic II, Würzburg University Hospital, Würzburg,

Germany

Supervisor: Dr. Andreas Beilhack

Thesis title: Identification of new predictive markers for an

imminent acute Graft-versus-Host Disease

Jan 2008 – Mar 2008 Research assistant at the Medical Clinic II, Würzburg

University Hospital, Würzburg, Germany Supervisor: PD Dr. rer. nat. Jürgen Löffler

Mar 2007 – Dec 2007 Diploma thesis in molecular hematology at the Medical

Clinic II, Würzburg University Hospital, Würzburg,

Germany

Supervisor: PD Dr. rer. nat. Jürgen Löffler

<u>Thesis title:</u> Analysis of the interaction of recombinant proteins and peptides of *Aspergillus fumigatus* with human

antigen presenting cells.

Oct 2002 – Mar 2007 Graduate in Biology, specialized in Microbiology, Genetics

and Biochemistry at the University of Würzburg, Germany

### **Publications:**

- **C.A.** Bäuerlein, S.S. Riedel, J. Baker, C. Brede, A.L. Jordán Garrote, M. Chopra, M. Ritz, G.F. Beilhack, S. Schulz, R. Zeiser, P.G. Schlegel, H. Einsele, R.S. Negrin, and A. Beilhack, *A diagnostic windoe for the treatment of acute GVHD prior to visible symptoms in a murine model.* Under Review.
- S.S. Riedel, A. Mottok, C. Brede, **C.A. Bäuerlein**, A.L. Jordán Garrote, M. Ritz, K. Mattenheimer, A. Rosenwald, H. Einsele, B. Bogen, and A. Beilhack, *Non-invasive imaging provides spatiotemporal information on disease progression and response to therapy in a murine model of multiple myeloma*. PLOSOne, in press.
- C. Brede, M. Friedrich, A.L. Jordán Garrote, S.S. Riedel, **C.A. Bäuerlein**, K.G. Heinze, T. Bopp, S. Schulz, A. Mottok, C. Kiesel, K. Mattenheimer, M. Ritz, V. von Krosigk, A. Rosenwald, H. Einsele, R.S. Negrin, G.S. Harms, and A. Beilhack, *Mapping Immune Processes in Intact Tissues at cellular Resolution. Journal of Clinical Investigation*, 2012. 122(12): 4439-46.
- M. Ok, J.P. Latge, **C.A. Bäuerlein**, F. Ebel, M. Mezger, M. Topp, O. Kurzai, D. Killian, M. Kapp, G.U. Grigoleit, H. Sennefelder, J. Arroyo, H. Einsele, and J. Loeffler, *Immune Responses of Human Immature Dendritic Cells Can Be Modulated by the Recombinant Aspergillus fumigatus Antigen Aspf1.* Clinical and Vaccine Immunology, 2009. **16**(10): p. 1485-1492.

#### **Stipends and Grants:**

Apr 2012 – Mar 2013 Stipend of the Deutsche José Carreras Leukämie-Stiftung

Dec 2010 Travel Award of the American Society of Hematology to

attend their annual meeting in Orlando, FL, USA

### **Participation in Scientific Conferences:**

14 – 17 Jun 2012: 17<sup>th</sup> Congress of the European Hematology Association

(EHA), Amsterdam, Netherlands

Talk: Distinct receptor profile defines alloreactive T cells

before GVHD onset

14 – 15 Mar 2011: 6<sup>th</sup> International Symposium on Cellular Therapy,

Erlangen, Germany

<u>Talk:</u> Peripheral blood analysis defines a diagnostic window prior to the onset of clinical apparent murine acute

GVHD

04 – 07 Dec 2010: 52<sup>nd</sup> Annual Meeting of the American Society of

Hematology (ASH), Orlando, FL, USA

Poster: Definition of a diagnostic window prior to the onset

of clinically apparent acute graft-versus-host disease

01 – 05 Oct 2010: Deutsche, österreichische und schweizer Gesellschaft für

Hämatologie und Onkologie (DGHO), Jahrestagung 2010,

Berlin, Germany

<u>Talk:</u> Monitoring of amplifying proliferation loops in donor T cell expansion establishes critical time points in acute

graft-versus-host disease

10 – 13 Jun 2010: 15<sup>th</sup> Congress of the European Hematology Association

(EHA), Barcelona, Spain

<u>Talk</u>: Differences in alloreactive proliferation loops in donor T cell expansion establishes critical time points in acute

graft-versus-host disease

21 – 24 Mar 2010: European Group for Blood and Marrow Transplantation

(EBMT), Vienna, Austria

Poster: CD107 surface mobilization of peripheral blood T-

cells to predict acute graft-versus-host disease

### **Additional Activities:**

Jun 2005 - Nov 2005: and

Jun 2006 – Nov 2006: Research assistant at the Institute for Molecular Infections

at the University of Würzburg

Supervisor: Dr. rer. nat. Ulrich Dobrindt

Project: Pathogenic variability and host reactions in

infectious diseases

### Research Retreats and Workshops of the Beilhack Laboratory:

3 – 4 Mar 2009: Immunofluorescence Microscopy – IFM Workshop I

Würzburg (München-Würzburg)

10 – 12 Aug 2009: Immunofluorescence Microscopy – IFM Workshop II

Würzburg (München-Würzburg)

13 – 14 Nov 2009: 1st eBMT (Experimental Blood and Marrow

Transplantation) Retreat Schauinsland (Freiburg-

Erlangen-Würzburg)

15 – 16 Jul 2010: 2nd eBMT Retreat Schwanberg (Freiburg-Erlangen-

Würzburg),

16 – 17 Jun 2011: 3rd eBMT Retreat Feuerstein (Freiburg-Erlangen-

Würzburg)

12 – 13 Jul 2012: 4th eBMT Retreat Schauinsland (Freiburg-Erlangen-

Würzburg)

<u>Transferable Skills Courses and Lectures at the University of Würzburg:</u>

Sep 2010 How does Statistics work? (20-hrs Workshop)

Feb 2010 Poster Design and Presentation (7-hrs Workshop)

Apr 2009 Writing for Publication (15-hrs Workshop)

Apr – Jun 2009 Clinical Medicine Lecture (2 hrs per week)

Flow Cytometric Courses offered by Becton Dickinson, Heidelberg, Germany:

06/2010 Intracellular Proteins/Bead Technology (1-day Seminar)

04/2009 Basic Course in Flow Cytometry (3-day Intensive Course)

**Working Stays:** 

Aug 2011 – Sept 2011 Department of Medical Biology, University of Tromsø,

Norway

Supervisor: Prof. Tor B. Stuge

Jul 2001 – Aug 2002 AuPair in Potomac, MD, USA

Course at the University of Maryland: English as a foreign

language

<u>TOEFL-Test</u>: 283 of 300 points – 94%

## 9.5 Avidavit (Eidesstattliche Erklärung)

I hereby declare that my thesis entitled 'Identification of new predictive markers for an imminent acute Graft-versus-Host Disease' is the result of my own work. I did not receive any help or support from commercial consultants. All sources and / or materials applied are listed and specified in the thesis.

Furthermore, I verify that this thesis has not yet been submitted as part of another examination process neither in identical nor in similar form.

Würzburg	
Date	Signature